On the Challenges and Opportunities in Generative AI

Abstract

The field of deep generative modeling has grown rapidly in the last few years. With the availability of massive amounts of training data coupled with advances in scalable unsupervised learning paradigms, recent large-scale generative models show tremendous promise in synthesizing high-resolution images and text, as well as structured data such as videos and molecules. However, we argue that current large-scale generative AI models exhibit several fundamental shortcomings that hinder their widespread adoption across domains. In this work, our objective is to identify these issues and highlight key unresolved challenges in modern generative AI paradigms that should be addressed to further enhance their capabilities, versatility, and reliability. By identifying these challenges, we aim to provide researchers with insights for exploring fruitful research directions, thus fostering the development of more robust and accessible generative AI solutions.

1 Introduction

The past few years have demonstrated the immense potential of large-scale generative models to create powerful AI tools capable of impacting society profoundly. Large Language Models (LLMs) (Brown et al., 2020; Chowdhery et al., 2022; OpenAI, 2023; Rae et al., 2021) and their dialogue agents, such as ChatGPT (OpenAI, 2023) and Llama 3 (Grattafiori et al., 2024). have enabled the development of highly effective text generation systems that produce coherent, contextually relevant, and user-tailored outputs across a wide range of use cases. Similarly, advancements in diffusion models (Sohl-Dickstein et al., 2015; Song et al., 2020; Ho et al., 2020) have led to groundbreaking advancements in image synthesis tasks, such as large-scale text-to-image generation (Ramesh et al., 2022; Rombach et al., 2022; Saharia et al., 2022; Esser et al., 2024). These successes show that highly effective AI systems can be built using a relatively straightforward recipe: combining simple generative modeling paradigms (Larochelle & Murray, 2011; Sohl-Dickstein et al., 2015) with successful network architectures (Vaswani et al., 2017; Dosovitskiy et al., 2020; Ronneberger et al., 2015), training on large-scale datasets, and the incorporation of preferences via human feedback (Ouyang et al., 2022; Ziegler et al., 2019). The impact of generative AI has not been limited to text and image generation applications. It has fueled accelerated progress across a variety of research fields and practical applications, spanning from biology (Jumper et al., 2021) to weather forecasting (Ravuri et al., 2021), from code generation (Chen et al., 2021b; Li et al., 2022b) to video creation (Yang et al., 2023a; Ho et al., 2022b; Singer et al., 2022; Brooks et al., 2024), audio synthesis (Borsos et al., 2023; Liu et al., 2023a), and even artistic and musical composition (Huang et al., 2023b).

With the current advancements and excitement surrounding generative AI, a question naturally arises: Are we on the brink of an AI utopia? Are we close to defining a *perfect generative model*—capable of modeling (i) any joint and conditional distribution over real-world data and (ii) its underlying causal structure—that could theoretically solve every conceivable AI task, from discriminative challenges to reinforcement learning and beyond? We argue in this paper that the answer is a resounding *no*; rather, the realization of such a model, one that would fundamentally transform the field of AI, is still a distant vision, hampered by substantial theoretical, practical, and ethical challenges.

Amidst the excitement and anticipation surrounding this new wave of Deep Generative Models (DGMs),[1] it is easy to overlook the new set of challenges they introduce. Unlike many of the traditional machine learning models, DGMs generate outputs in very high-dimensional spaces, which introduces several technical complexities. These include significantly increased computational demands, a need for larger datasets to accurately capture the underlying data distribution, and challenges in effectively evaluating and interpreting the generated outputs. And while significant progress has been made in improving interpretability and computational efficiency for traditional models (Marcinkevics & Vogt, 2020), these existing methods are frequently ill-suited for DGMs, at least in part because of the complex and high-dimensional nature of their outputs. Consequently, there is a pressing need for the development of a new set of techniques and tools tailored to these models, particularly to enable efficient inference, interpretability and quantization. These challenges lead us to conclude that scaling up current paradigms is *not* in isolation the ultimate path towards a perfect generative model. While increasing model size and training data can enhance performance on benchmarks, it does little to address the fundamental shortcomings of DGMs, such as their inefficiency, lack of inclusivity, limited transparency, and barriers to usability—particularly in high-stakes domains where reliability and fairness are paramount.

This work offers a collection of views and opinions from different communities about these key unresolved challenges in generative AI, with the ultimate goal of guiding future research toward what we perceive are the most critical and promising areas. Concretely, we discuss key challenges in (a) broadening the *scope and adaptability* of DGMs, i.e., their ability to robustly generalize across different domains and modalities (Section 2); (b) improving their *efficiency and resource utilization*, i.e., to lower the memory and computational requirements and enhance accessibility and sustainability in their adoption (Section 3); and, finally, (c) addressing *ethical and societal concerns* that are crucial for responsible deployment (Section 4).

By outlining a comprehensive roadmap of the current state and open challenges of generative AI, we hope to empower researchers and practitioners alike, fostering the development of generative AI models that are not only more robust and reliable but also accessible to a wider audience.

2 Expanding Scope and Adaptability

State-of-the-art leaderboard rankings show the remarkable progress in model performance that has been made by scaling DGMs to massive datasets and model sizes (for instance, in text and high-resolution image synthesis). However, automatic evaluations on popular benchmark datasets cannot be our only measure of model success (Bender et al., 2021); such evaluations often fail to capture the nuanced limitations of DGMs, such as potential biases, inabilities to generalize to inputs from underrepresented or specialized distributions, and difficulties with aligning outputs with specific domain requirements. Understanding these inherent and often hidden constraints is essential for ensuring that DGMs can be reliably applied to various real-world tasks, where data characteristics, domain-specific constraints, and measures of success may differ significantly from those in standardized benchmarks (Durall et al., 2020; Daunhawer et al., 2022; Xu et al., 2024). This section analyzes some of these challenges in the context of large-scale DGMs from the lens of their generalization capabilities (Section 2.1) and the lack of transparency in their underlying modeling assumptions (Section 2.2). We examine these fundamental challenges and provide research directions that could broaden the adaptability of DGMs to promote long-term progress in the field. We also discuss two promising avenues that have the potential to greatly enhance the scope of generative models: (i) integrating causal learning (Section 2.3) and (ii) the development of a versatile, generalist agent capable of handling heterogeneous data types (Section 2.4).

2.1 Generalization and Robustness

To ensure reliability across various domains, DGMs must generalize effectively to shifts in data, also known as *out-of-distribution (OOD) robustness*, and be resilient to minor variations in the input, i.e., *adversarial*

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[1]In this paper, we refer to Generative AI as a collection of large-scale DGMs and use the term DGM henceforth.

robustness. Without proper generalization, generative models may produce unrealistic or biased outputs,[2] limiting their practical utility and trustworthiness in real-world applications.

While large-scale generative models show some promise in achieving OOD robustness (Wang et al., 2023a), these models still face challenges in accurately capturing rare events or responding to adversarial inputs (Zhu et al., 2024), a difficulty that lies in effectively modeling the *tail* of information (Kandpal et al., 2023), i.e., the information that appears rarely or only once in the dataset used to (pre)train the model. This limitation indicates a gap in their ability to fully represent the vast and diverse spectrum of real-world scenarios, especially those that are less common but equally significant. Retrieval-augmented language models represent a promising approach for integrating rare or specialized knowledge into model outputs, effectively addressing challenges that cannot be resolved solely by scaling up training datasets (Kandpal et al., 2023).

DGMs are also prone to adversarial vulnerability, often due to the presence of highly predictive but non-robust features that are used as *shortcuts* for prediction (Du et al., 2023a; Puli et al., 2023; Webson & Pavlick, 2022). This behavior poses a significant threat to various downstream scenarios, especially those of safety-critical applications (Poursaeed et al., 2021; Wang et al., 2023a). Several approaches to mitigate the effect of shortcut learning are based on model refinement or on dataset refinement, also known as data-centric approaches (Whang et al., 2021; Zha et al., 2025). In the former, work has been done towards improving robustness via adversarial training (Zou et al., 2023b), ensembling (Clark et al., 2019), contrastive learning (Choi et al., 2022), and the direct integration of prior knowledge (Ilyas et al., 2019). The latter includes improving the quality of the data used by large-scale models during training, such as through augmentation (Zhang et al., 2018), labeling (Kutlu et al., 2020)) and inference techniques—for example, employing prompt engineering (Wallace et al., 2019) or data slicing (Chung et al., 2019).

However, in most applications, foundation models are often adapted to specific tasks and downstream datasets. Standard fine-tuning techniques often overemphasize the target task, leading to catastrophic forgetting (Thanh-Tung & Tran, 2020) and a loss in the general robustness of the upstream model (Suprem & Pu, 2022). Therefore, a significant challenge is to develop robust adaptation methods that adequately solve the target task but still maintain the beneficial robustness properties of the upstream model (e.g., robustness to distribution shifts of the target dataset) (Balaji et al., 2020; Du et al., 2023a; Han et al., 2021; Liu et al., 2020). These same issues come into play when developing smaller and more efficient models for the sake of economization of DGM inference and memory costs—which we discuss in greater detail in Section 3. In this context, it is important to develop robust distillation methods that do not sacrifice the robustness of the model (Du et al., 2023b; Zi et al., 2021). We argue that a promising approach to obtain robust and interpretable models is to explicitly encode human priors into the training process (Ilyas et al., 2019).

2.2 Accounting for Implicit Assumptions

Silent Assumptions. Current generative models often make use of implicit assumptions and inductive biases, largely driven by convenience or convention. These practices are either rarely questioned or widely-embraced for convenience even when known to be wrong (Zhao et al., 2018). As one example, the algorithms used in machine learning often assume that data are drawn independently. In reality, data points are often correlated, such as in time-series data or through repeated measurements from the same individual. As another example, most generative models assume that latent distributions can be modeled on simple topological structures. However, latent distributions typically benefit from more expressive approaches (Stimper et al., 2022), suggesting the assumptions of their simplicity may be ill-founded.

We argue that convenience should not be the driving factor behind modeling assumptions. While the impact of model misspecifications on downstream applications in DGMs are not yet well understood, we have preliminary evidence suggesting their effects are undesirable: In traditional statistical analyses, such misspecifications are observed to have immense impacts (Cardon & Palmer, 2003); more recently, models that

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[2]Here, we use the terminology *biased outputs* to refer to systematic deviations in model outputs caused by imbalances or inaccuracies in the training data and/or modeling process. These outputs then do not accurately reflect the true underlying data distribution or are skewed in ways that perpetuate inaccuracies, stereotypes, or unfair conceptions about certain outcomes.

rely heavily on the training data distribution have been observed to exhibit bias and decreased performance if not properly corrected by meaningful modeling assumptions (Fortuin, 2022).

There has been some progress towards developing methods that allow practitioners to encode more precise and complex modeling assumptions. As concrete examples, random effects (Jiang & Nguyen, 2007)—the paradigm used by traditional statistical methods to model data dependencies—have been adapted to work with neural models (Simchoni & Rosset, 2023). In normalizing flows, data dependencies can be incorporated directly into the likelihood objective (Kirchler et al., 2023), an approach that might be extended to other probabilistic approaches such as VAEs and diffusion models (Sutter et al., 2023). Causal models can also be integrated to directly model data dependencies and perform counterfactual inference (Pawlowski et al., 2020)—which we discuss in more detail in Section 2.3. Notably, these methods have yet to achieve widespread adoption, despite addressing issues that are prevalent and influential in many applications. We believe that further research into the effects of implicit modeling assumptions and methods that allow a wider range of modeling assumptions are promising and impactful directions for the field.

Incorporation of Prior Knowledge. Recent major breakthroughs in deep generative models (DGMs) have primarily been achieved in settings where models could be trained on internet-scale data (OpenAI, 2023; Rombach et al., 2022). However, many real-world applications, such as drug design (Vamathevan et al., 2019), material engineering (Wei et al., 2019), personalized medicine (MacEachern & Forkert, 2021), and protein biochemistry (Bonetta & Valentino, 2020), often have much smaller datasets due to the high cost of data generation. In these areas, domain experts often possess troves of detailed prior knowledge, which could potentially be used to enable more data-efficient learning in generative AI models. Indeed, it has been shown in the context of VAEs that incorporating domain prior knowledge can significantly improve model performance (Fortuin et al., 2020; Jazbec et al., 2021) and even unlock their use for tasks that were previously impossible (Fortuin et al., 2019; Manduchi et al., 2021; 2022).

There are multiple routes via which prior knowledge can be encoded in generative AI systems (Dash et al., 2022). One straightforward way to incorporate domain knowledge is in Bayesian settings through the choice of prior distribution; such distributions can explicitly encode known properties of the target data. For example, an informed prior can reflect physiological constraints in medicine or chemical properties in materials science (Sam et al., 2024), taking a step towards ensuring that the learned model aligns with real-world principles. Beyond priors, domain knowledge can guide architectural design by suggesting specialized network components or hierarchical structures that reflect known relationships within the data (Andreas et al., 2016b; Shen et al., 2019; Bronstein et al., 2021) or can encourage models to process data in a more human-like manner for the sake of interpretability (Andreas et al., 2016a; McCoy et al., 2020; Vu et al., 2023; Lu et al., 2023). Finally, constraints embedded in either the model specification or the training algorithm can further ground generative models in real-world processes, leading to improved performance and trustworthiness (Raissi et al., 2019; Ren et al., 2020; Dash et al., 2021; Mohan et al., 2023). Each of these approaches can equip our models with helpful inductive biases that aid data-efficient learning.

While designing future models with domain-informed inductive biases holds great promise, it may not be so straightforward in practice. For example, while VAEs are Bayesian models and, therefore, offer a natural paradigm for specifying a prior distribution over their latent space, many other DGMs lack such explicit mechanisms for encoding prior information. We consider diffusion models as a concrete example. At first, it might seem that the diffusion process's Gaussian sampling distribution is comparable to the Gaussian latent prior in a VAE, suggesting a straightforward route for specifying priors for these models. However, this property of the diffusion process arises from the central limit theorem rather than from precise knowledge about the nature of the underlying data-generating distribution. Recent works have attempted to enhance the space of diffusion priors through auxiliary dimensions (Pandey & Mandt, 2023; Singhal et al., 2023). Unfortunately, neither of those approaches offers nearly the same flexibility of prior specification as the Bayesian priors in the latent space of VAEs, so further research into priors for diffusion models is sorely needed. In general, there are several caveats and hurdles that must be considered when designing future models with domain-informed inductive biases. First, by definition, biases constrain or push our models towards certain solutions. If the underlying bias does not capture every facet of the real-world process—an especially common concern in areas like biology, where core mechanisms remain poorly understood—

it may inadvertently limit the model's expressivity or lead to systematic errors. In other words, it may cause models to fail to learn important patterns that fall outside the imposed structure. Moreover, adding constraints often introduces computational challenges: physically or biologically inspired restrictions might be non-differentiable or otherwise difficult to incorporate into standard training pipelines, leading to more complex optimization procedures or increasing computational overhead. Thus, while biasing models with domain knowledge can significantly improve data efficiency and performance, careful consideration of both the correctness of those biases and the technical feasibility of their implementation is essential.

2.3 Causal Generative Models

Going beyond learning mere statistical correlations and understanding how underlying factors influence the generative process is the main objective of learning a causal structure of data (Pearl & Mackenzie, 2018). Such knowledge can be used to reason about hypothetical scenarios in the world, understand the effect of interventions, and perform counterfactuals (Pearl, 2019), thus facilitating informed decision-making. Although there have been attempts to develop methods for learning the optimal generative structure of deep latent variable models from data (He et al., 2019; Manduchi et al., 2023), current generative models often neglect the underlying causal dependencies in their generative processes, making them prone to shortcut learning and spurious associations (Gururangan et al., 2018; McCoy et al., 2019).

Causal generative models have the potential to offer distribution-shift robustness, fairness, and interpretability (Schölkopf et al., 2021; Wang & Jordan, 2021). They are either focused on causal representation learning, which discovers causally related latent variables, or controllable counterfactual generation, which, instead, focuses on learning a mapping between data and known causal variables. For a detailed review of the topic, we refer to (Komanduri et al., 2024). Current open challenges include but are not limited to, scalable and robust causal discovery from observational data (Reizinger et al., 2023; Zhou et al., 2022; Montagna et al., 2024), identifiability of deep generative models under weaker forms of supervision (Ahuja et al., 2023; Locatello et al., 2020; von Kügelgen et al., 2024), lack of benchmark datasets and metrics to evaluate counterfactual quality (Monteiro et al., 2023), strong assumptions that are often violated in real-world applications (Komanduri et al., 2024), and, finally, the integration of diffusion models, a field that is currently under-explored but has tremendous growth potential (Mittal et al., 2021; Pandey et al., 2022; Sanchez & Tsaftaris, 2022; Sanchez et al., 2023). We suggest that the integration of causal principles in DGMs could pave the way for the development of more robust, interpretable, and actionable generative AI systems (Zhou et al., 2023).

2.4 Foundation Models for Heterogeneous Data Types

While there has been tremendous progress in large-scale foundation models for modalities like text and images, as the scope of application widens to encompass a broader range of data modalities, a variety of challenges emerge. These challenges are particularly pronounced in specialized fields such as healthcare and chemistry (Raghupathi & Raghupathi, 2014; Korshunova et al., 2022).

In healthcare, generation based on diverse data types—including imaging, health records, and genomics—poses challenges in interoperability, data privacy, and security (Moor et al., 2023). Time series generation, in particular, requires addressing irregularly sampled data, missing values, seasonality, and long-term dependencies (Steinberg et al., 2021). In chemistry, physics, and chemical engineering, generative models have huge potential, not just for molecule, drug, and material design, but also in data augmentation, property prediction, and reaction prediction (Winter et al., 2019; Ahmad et al., 2022; Castro Nascimento & Pimentel, 2023; Hu et al., 2020). Data in these fields are often sparse, heterogeneous and correlated. On the other hand, they provide a vast body of physical and chemical domain knowledge, ranging from (strict) laws of nature and boundary conditions to (soft) empirical correlations and human experience. Therefore, developing hybrid (ML + domain knowledge) foundation models is a particular challenge (Venkatasubramanian, 2019; Jirasek et al., 2022; Jirasek & Hasse, 2023; Howard et al., 2022).

The overarching goal is to build general models, which can be applied to different applications spanning various data type, by integrating information from diverse sources and understanding complex relationships across different types of data (Li et al., 2023a; Reed et al., 2022; Driess et al., 2023). However, to effectively interact with the physical world, embodied agents must integrate perception, reasoning, and planning across

vision and language, along with executing physical actions and environmental interactions. While datasets for natural language or images are relatively accessible (Hausknecht et al., 2020; Li et al., 2023b; 2024b), a comparable dataset for control tasks is lacking, suggesting generative simulation as a potential solution (Xian et al., 2023; Fan et al., 2022).

3 Optimizing Efficiency and Resource Utilization

Efforts to scale deep generative models (DGMs) for tasks like language modeling and text-to-image synthesis often involve training large models with billions of parameters, which demands significant computational resources. This leads to practical issues such as high energy costs (Wu et al., 2022) and expensive inference, limiting access for many users. There is a clear need to reduce the memory and computational requirements of large-scale DGMs to enhance accessibility and sustainability (Bender et al., 2021).

In this context, we discuss the efficiency-related challenges in current DGMs. We focus on minimizing training and inference costs (3.1), as well as highlighting challenges in designing evaluation metrics for DGMs (3.2), which greatly affect the computational resources needed for model selection and tuning.

3.1 Efficient Training and Inference

Network Architecture. Optimizing the network architecture, which forms the backbone of modern machine learning, is crucial for efficient training and inference in DGMs. While we have seen recent improvements in model quality (OpenAI, 2023; Touvron et al., 2023; Peebles & Xie, 2023), a principled investigation of the role of different underlying neural network modules is still lacking. For instance, several popular LLMs like PaLM (Chowdhery et al., 2022) and Llama (Touvron et al., 2023) still largely reuse the original transformer architecture from Vaswani et al. (2017) with some additional modifications (Shazeer, 2020; Su et al., 2024; Zhang & Sennrich, 2019). A modification of particular importance has been that of the self-attention (Bahdanau et al., 2015) mechanism; in the original architecture, this operation incurred a computational cost that scaled quadratically in the context length. This made inference computationally expensive, especially for long-context modeling. Several recent works have proposed attention variants that provide faster inference times (Tay et al., 2022). For example, Flash Attention (Dao et al., 2022) employs hardware optimizations and efficient memory management techniques to reduce the effective computational overhead of attention from quadratic to linear in the context length. Grouped Query Attention (Ainslie et al., 2023) proposes a structural change to the standard attention mechanism, where queries[3] are divided into distinct groups that are then processed independently and simultaneously. Methods that make these popular network architectures more computationally efficient—as well as alternative autoregressive sequence-modeling frameworks with favorable properties like scalability and linear complexity in the context length (Gu & Dao, 2023; Gu et al., 2021)—remain an interesting direction for future work.

Similarly, several popular large-scale text-to-image diffusion models like DALL-E 2 (Ramesh et al., 2022) and StableDiffusion (Rombach et al., 2022) largely reuse the popular UNet (Ronneberger et al., 2015) backbone from Ho et al. (2020), which has high memory costs. Therefore, we believe that a principled study of the impact of different network components in large-scale generative models is crucial for efficient training and inference. Some recent works (Hoogeboom et al., 2023; Karras et al., 2023; Peebles & Xie, 2023; Podell et al., 2024) already explore architectural design choices for reducing diffusion model sizes, thereby improving training dynamics while enabling faster inference with a lower memory footprint.

Model Quantization. The goal of model quantization is to reduce the precision of model weights and activations, enabling faster, memory-efficient training and inference, ideally without losing performance on downstream tasks. The most common quantization approaches are Post-Training Quantization (PTQ), which applies quantization to a pre-trained large model to enable faster and memory-efficient inference, and Quantization-Aware Training (QAT), which involves training a quantized model from scratch (Krishnamoorthi, 2018).

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[3]A query can loosely be thought of as a transformed vector representation of a token from the input sequence.

Despite some progress in developing PTQ and QAT methods for LLMs (Dettmers et al., 2022; Liu et al., 2023b; Xiao et al., 2023; Yao et al., 2022) and large-scale text-to-image diffusion models (Li et al., 2023c), the existing methods are far from perfect. For instance, OPTQ (Frantar et al., 2023), a PTQ-based approach, can perform inference for a quantized LLM (in this case OPT (Zhang et al., 2022)) with 175B parameters on a single A100 GPU with 80GB of memory without degradation in accuracy. Though impressive, even this quantized model would likely have limited utility on a consumer-grade GPU device, let alone on standard edge devices. Similarly, QAT-based approaches can often achieve lower bitrates but trade off additional training for this efficiency. This can be a major computational bottleneck for large generative models. While some recent work suggests preliminary success in this direction (Lin et al., 2024a), we believe that investigating the impact of model quantization at low bitrates in large-scale generative models is a crucial direction for the practical deployment of these models.

Design Challenges. The current dominant modeling paradigms in generative AI, such as diffusion models (Ho et al., 2020) and LLMs (OpenAI, 2023), demonstrate remarkable sample quality. However, the design of the generative processes in these approaches can cause significant challenges. Diffusion models, for instance, rely on an iterative, multi-stage denoising process, which slows down inference considerably. Generating high-quality samples often requires hundreds to thousands of network function evaluations (NFEs) (Ho et al., 2020; Song et al., 2020). Similarly, LLMs employ an autoregressive structure that generates tokens sequentially, resulting in slow inference due to the left-to-right generation process. These challenges contrast with alternative generative models like VAEs and GANs, which require only a single NFE for sample generation. However, these models suffer from other drawbacks, such as blurry sample generation in VAEs (Dosovitskiy & Brox, 2016) and mode collapse in GANs (Arjovsky et al., 2017).

To address the inefficiencies in diffusion models, researchers have explored multiple complementary approaches to speed up inference. Some notable approaches include: developing training-free samplers (Song et al., 2021; Liu et al., 2022a; Lu et al., 2022; Zhang & Chen, 2023; Karras et al., 2022; Pandey et al., 2024), designing better diffusion processes (Singhal et al., 2023; Dockhorn et al., 2022; Pandey & Mandt, 2023; Karras et al., 2022), and combining other model families with diffusion models (Pandey et al., 2022; Zheng et al., 2023b; Xiao et al., 2022; Yang & Mandt, 2023). Additionally, training a diffusion model in the latent space of a lossy transform (Vahdat et al., 2021; Rombach et al., 2022) not only improves memory requirements and sampling efficiency but also provides access to a more interpretable low-dimensional latent representation. A lossy transform (such as VQ-GAN (Esser et al., 2021)) can drastically reduce data dimensionality while retaining the perceptually relevant details of high-resolution images. Designing more efficient lossy compression operations in the context of diffusion models has received less attention in the community and is an important direction for further work (Yang et al., 2023b; Havasi et al., 2019; Yang et al., 2020). Despite these advances, sampling from diffusion models remains computationally challenging, typically requiring 25-50 NFEs to generate high-quality samples. While approaches based on progressive distillation (Salimans & Ho, 2022; Meng et al., 2023) can further speed up inference, they trade off additional training for faster sampling. Therefore, there is a need for DGMs that inherit all the advantages of diffusion models while supporting one-step sample generation by design (e.g., see consistency models (Song et al., 2023; Song & Dhariwal, 2024) for recent work in this direction).

In the case of LLMs, in addition to an expensive self-attention operation in transformer-based autoregressive models, sequential token generation in a left-to-right fashion in these models makes inference more expensive. Indeed, it is one reason that (sub)word tokenization—the pre-processing of text into pre-defined units—is still an essential part of these pipelines. Notably, tokenization itself introduces a strong inductive bias into language modeling: the model is constrained to work with the predefined units set by the tokenization scheme. The representation of the data that the model learns is inherently shaped by these units, limiting the model's flexibility. Token-free approaches have been proposed to allow for the joint optimization of text segmentation alongside other parameters, but in practice, they are often computationally infeasible with attention-based architectures because handling raw character sequences at scale magnifies the already expensive attention mechanism (Xue et al., 2022). Consequently, tokenization remains a key design choice that shapes both the performance and efficiency of modern generative models and whose further optimization is constrained by needs for efficiency (Rust et al., 2021; Toraman et al., 2023; Ali et al., 2024). Methods such as speculative decoding (Leviathan et al., 2022) are one approach that can help reduce the bottleneck caused

by left-to-right generation. Non-autoregressive models also offer an interesting alternative for sequence modeling. For example, diffusion models amortize the computational cost of generating sequences across all tokens simultaneously (Dieleman et al., 2022; Wu et al., 2023; Li et al., 2022a). However, these models inherently lack the inductive bias for contextual generation, which has been shown to work well empirically for sequential modeling tasks. This affects their performance in downstream tasks that might require long-context modeling, such as video synthesis (Yang et al., 2023a; Ho et al., 2022a). While diffusion models can be incorporated within the autoregressive framework for such tasks, the resulting models can be very expensive during inference (due to the cost of synthesizing a single token using diffusion across multiple tokens). Therefore, we identify a potential tradeoff between long context modeling and efficient inference, with the diffusion and autoregressive modeling paradigms falling on the opposite ends of this tradeoff. Hence, designing generative modeling paradigms that can optimally balance this tradeoff remains challenging.

3.2 Evaluation Metrics

Evaluation metrics are crucial in guiding research, as the conclusions derived from empirical studies depend greatly on the chosen metrics. In modern ML, evaluation metrics are additionally a key component in hyperparameter tuning and model selection; their design thus affects computational resources required during large-scale training. However, designing robust and meaningful evaluation metrics for DGMs is challenging for several reasons.

Evaluation Metric Design. Many generative models are probabilistic, making likelihood-based metrics a seemingly natural choice for evaluating their performance. These metrics have been widely utilized in the literature due to their alignment with the probabilistic frameworks of such models. However, empirical evidence suggests that likelihood-based metrics often do not provide an accurate assessment of generation quality (Theis et al., 2016). In particular, they often fail to correlate with human judgments of sample quality (Kolchinski et al., 2019; Jiralerspong et al., 2023; Pimentel et al., 2023). Moreover, many popular generative models do not even allow for tractable likelihood computation. Other automatic evaluation metrics are thus necessary for evaluating the quality of generated samples.

Several notable evaluation metrics for generative models follow the general paradigm of comparing the distribution of generated samples to that of train/test samples (Sajjadi et al., 2018; Pillutla et al., 2024). For instance, the Fréchet inception distance (Heusel et al., 2017), which is widely used for evaluating image synthesis models, takes this approach (Salimans et al., 2016; Bińkowski et al., 2018). However, these metrics are far from perfect. First, robust computations of these metrics require a large set of samples (around 50k for image generation models). This can be computationally demanding for generative models with a sequential inference process, like diffusion and autoregressive models. Even given large sample sizes, these metrics have still demonstrated issues with robustness. For example, FID can be sensitive to minor perturbations in the input data (Parmar et al., 2022) (see Chong & Forsyth (2020) for additional discussion on sources of bias associated with FID and Borji (2022) for more related evaluation metrics). Second, these methods typically rely on an external pretrained model, e.g., the GPT-2 family of language models (Radford et al., 2019) or a classifier network trained on ImageNet (Deng et al., 2009). This property makes the metric effective for evaluating sample quality within the domain of the pretrained model's data but seemingly causes it to overlook significant features or overemphasize arbitrary ones in other domains (Kynkäänniemi et al., 2023; Pimentel et al., 2023).

Recent works have attempted to improve upon the above-mentioned shortcomings. For example, Jayasumana et al. (2024) propose the use of embeddings from the CLIP model (Radford et al., 2021), which aligns images and text in a shared embedding space, in order to make a more robust evaluation metric for image synthesis models. Several evaluation metrics for text generation systems prompt LLMs to score or rank samples (Li et al., 2024c). These metrics correlate remarkably well with human judgments (Kocmi & Federmann, 2023) and offer more fine-grained assessments of text generation systems—providing feedback at the individual sample level and taking into account user-specified criteria (Jiang et al., 2024a). These methods make progress towards broader applicability across domains and greater alignment with human evaluations but also demonstrate an increased reliance on generative models for the evaluation of other generative models. This circular dependency introduces the risk of amplifying existing model biases (Fang et al., 2024) and

narrowing the diversity of model-generated content (Doshi & Hauser, 2024; Gambetta et al., 2024), as the underlying paradigm of such evaluation metrics should lead to the favoring of outputs that align with the characteristics and biases of the models used for assessment. Some works have proposed explicitly rewarding sample diversity in evaluation metrics (Zhu et al., 2018; Alihosseini et al., 2019), which would alleviate the latter problem. However, there is often a quality-diversity trade-off (Caccia et al., 2019; Zhang et al., 2021; Naeem et al., 2020), where a model that generates high-quality samples might have low diversity across its samples and vice versa. Further, the quantification of diversity is in itself a difficult task (Tevet & Berant, 2021).

Subjective aspects in generation. A major challenge underlying the evaluation of generation quality is the subjective nature of sample attributes, such as realism, fluency, and style. While human inspection is typically the gold standard for evaluating generative models (Denton et al., 2015; Zhou et al., 2019; Saharia et al., 2022), in many cases, human judges disagree over several attributes, such as which samples have better quality (Clark et al., 2021) or what is considered realistic in the target domain (e.g., medical images or industrial optical inspection). This challenge is even present for conditional synthesis tasks when the set of suitable outputs is limited by constraints from the input. For example, in text-to-image generation (Ramesh et al., 2021; Rombach et al., 2022; Saharia et al., 2022), human evaluators may have different opinions on how closely a generated image aligns with the provided description. .

For this reason, a common approach is to collect numerous human judgments and set up benchmarks based on these collective scores, e.g., the Open Parti Prompts Leaderboard[4] for image generator evaluation or TURINGBENCH (Uchendu et al., 2021) for language generator evaluation. While such approaches— along with attempts to standardize human evaluation practices (Elangovan et al., 2024)—help make human evaluations a more reliable signal for guiding the development of generative models, there are several other issues with human evaluation. These include its monetary costs, the general inconsistency of human raters (Clark et al., 2021; Belz et al., 2023), and its focus on individual samples, overlooking how well the generative model reflects the data distribution as a whole.

Evaluating Model Uncertainty and Calibration. Model uncertainty and calibration have become quantities of interest because of their implications for the reliability, interpretability, and safety of generative AI systems. Here, we use the term model uncertainty to refer to the degree of confidence a model has in its outputs; model calibration refers to the degree to which a model's estimated probability of an event is consistent with that event's true probability of occurring.[5] As concrete examples of the importance of these metrics, high model uncertainty in language generation tasks has been linked with the occurrence of hallucinations—instances where the model produces outputs that are implausible or factually incorrect (van der Poel et al., 2022; Zhang et al., 2023b); autonomous driving systems increasingly use generative models to predict the trajectories of other vehicles, cyclists, and pedestrians (Yuan et al., 2020), and miscalibration of the probabilities of such trajectories can lead to severe accidents.

Historically, relatively simple metrics have been employed for measuring uncertainty and calibration in machine learning. For example, Shannon entropy (Shannon, 1951) has been a common metric for quantifying total model uncertainty (Houlsby et al., 2011; Depeweg, 2019); expected calibration error (Pakdaman Naeini et al., 2015, ECE) has often been employed for assessing model calibration (Guo et al., 2017; Dormann, 2020) (see Abdar et al. (2021) and Wang (2023) for detailed surveys on uncertainty and calibration in deep learning, respectively). While these metrics are well-suited to models for simpler classification problems, their extension to generative models is non-trivial. For example, language models operate over a countably infinite output space (i.e., the set of all possible strings), making exact computation of metrics like entropy or ECE infeasible. Consequently, a key aspect of research on these characteristics in generative models has been on defining metrics that are suited to them (Zhao et al., 2021; Ran et al., 2022; Luo et al., 2023; Zhao et al., 2023b; Fei et al., 2023).

To complicate matters further, there is debate regarding which definitions of these metrics actually provide useful insights about a generative model. Model uncertainty, for instance, can come from multiple sources, such as aleatoric uncertainty (intrinsic noise in the data) or epistemic uncertainty (uncertainty in the model

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[4] `https://huggingface.co/spaces/OpenGenAI/parti-prompts-leaderboard`
[5] We note that other definitions for the terms have been used.

parameters) (Hüllermeier & Waegeman, 2021; Wimmer et al., 2023). Depending on the specific use case for a model, one may be interested in the contribution of only one of these sources rather than in total model uncertainty (Osband et al., 2023; Giulianelli et al., 2023; Kuhn et al., 2023). With respect to calibration, it is unclear exactly which distribution a generative model should be calibrated to (Koevering & Kleinberg, 2024); often times, we are more interested in modeling the distribution of high-quality outputs than the data-generating distributions (Ouyang et al., 2022), albeit the data from the latter is what models are often trained on (Kalai & Vempala, 2023). These choices must be carefully and thoughtfully considered, as they play a critical role in shaping the development of methods to quantify these metrics or address poor model performance in terms of these metrics.

Model Selection. Model selection, i.e., identifying which model configuration or set of hyperparameters will perform best on a given task, is essential in training large-scale generative models. Evaluation metrics play a critical role here. Using knowledge of scaling laws (Kaplan et al., 2020; Henighan et al., 2020), evaluation metrics can be used to predict early on in a training run whether a model is likely to be successful (OpenAI, 2023), potentially reducing the need to train numerous large neural networks in the search for a single good model. We also believe that more effort should be invested in analyzing models' *performance-complexity* tradeoff, an important yet under-investigated measure for real-world applications at scale. This tradeoff refers to the balance between model performance and computational complexity. We argue that model selection and evaluation should perhaps shift towards identifying the model families that lie in the associated Pareto set that optimizes this tradeoff (Devroye, 2010; Braverman, 2005; Chen et al., 2022; Braverman, 2023), as optimizing for these characteristics in isolation does not account for real-world constraints. The naïve approach—training well-performing models in each of the model classes under consideration and computing their respective computational complexities—is time- and resource-intensive. We posit that alternative assessments of complexity from information and learning theory (Xu et al., 2020, e.g.,) could provide more efficient substitutes for these types of evaluations.

Looking Forward. The multi-faceted nature of what defines a high-quality generative model makes designing robust and meaningful evaluation metrics a particularly challenging task. Instead of relying on human priors about what constitutes a good quantitative metric of model quality, developers have increasingly turned to the strategy of learning reward functions directly from human preferences (Ouyang et al., 2022). This approach should allow for evaluation metrics that are more aligned with human judgment, as the reward functions are directly informed by human feedback rather than predefined criteria. These reward functions could serve as the foundation for new evaluation frameworks for generative models, and we hope they will be open-sourced to enable the development of publicly accessible benchmarks.

Evaluation metrics can help us understand and ultimately mitigate model shortcomings. While this approach has been embraced for improving model quality (Ranzato et al., 2016; Black et al., 2024, *inter alia*), it also has significant potential to enhance model fairness, safety, and reliability. For instance, metrics designed to quantify various forms of bias can aid in identifying and addressing model unfairness. While such metrics exist for classification or regression models (e.g., demographic parity or equalized odds), their extension to generative models is non-trivial. Research is thus needed to develop and refine metrics that can effectively quantify biases in the complex outputs of generative models.[6] This includes creating frameworks that account for the nuanced and context-dependent nature of generated content, ensuring these models are not only high-quality but also fair and aligned with ethical standards (Ray, 2023). Unfortunately, to be effective, these metrics must also be adaptable to closed-source generative models since parameters and logits of most commercial models are not publicly available (Zhao et al., 2023a; Sun et al., 2023; Laszkiewicz et al., 2024).

Despite the availability of more advanced evaluation metrics, some domains continue to rely heavily on outdated automatic evaluation methods. For instance, BLEU (Papineni et al., 2002) and ROUGE (Lin, 2004)—metrics based on n-gram matching that are known to empirically correlate poorly with human judgments (Reiter, 2018; Deutsch et al., 2022)—remain extremely prominent in the evaluation of machine translation and abstractive summarization systems. The continued reliance on these inaccurate metrics may ultimately

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[6]Many aspects of fairness cannot be captured by quantitative metrics. Further, definitions of fairness can differ amongst different people and groups, and these definitions may evolve over time. However, they can still provide insights into whether models achieve a certain level of fairness in specific aspects.

impede the advancement of generative AI, as they provide weak signals for model improvement and fail to guide the development of systems that truly align with human expectations and real-world applications. A shift towards new evaluation metrics requires a critical mass of adoption within the community. Therefore, we must encourage practitioners to move beyond the convenience of outdated metrics and embrace this new generation of improved metrics.

4 Ethical Deployment and Societal Impact

With the current excitement around the scope and application of large-scale generative models, we are also witnessing a growing apprehension, fueled by media reports, of adverse outcomes surrounding the rapid advancement of generative AI. These concerns add to the conceptual and practical considerations discussed so far and encompass a range of issues, including the spread of misinformation, the absence of regulatory frameworks (Meskó & Topol, 2023), unintended harm (Greenfield & Bhavnani, 2023), and debates over open-source versus closed-source technologies (Chen et al., 2023), among others. Here we identify key challenges concerning the responsible deployment of large-scale deep generative models. More specifically, we discuss several aspects, including the dissemination of misinformation (4.1), violation of privacy and copyright (4.2), presence of biases (4.3), lack of interpretability (4.4), and constraint satisfaction (Section 4.5).

4.1 Misinformation and Uncertainty

As the quality of generated data synthesized using large-scale generative models increases, it can become more and more difficult to distinguish between real and generated content, especially for uninformed consumers (Frank et al., 2024). This indistinguishability facilitates the spread of misinformation (e.g., by deepfakes (Helmus, 2022)). To ensure the trustworthiness of information, we need algorithmic solutions that are on par with the advances in generative models and allow us to robustly detect and mark synthetic data. Watermarking is one such approach in which there has recently been increased interest. The goal of these methods is to manipulate a generated sample (e.g., an image or piece of text) such that a signature can be detected in downstream tasks albeit with minimal effects on sample quality. There have been several approaches to watermark synthetic data generated from LLMs (Kirchenbauer et al., 2023; Dathathri et al., 2024; Zhao et al., 2024) and image generation models (Zhao et al., 2023c; Wen et al., 2023; Jiang et al., 2024b). However, current watermarking methods are far from perfect (Saberi et al., 2023). Evasion is often possible by small manipulations (like paraphrasing or pixel perturbations) (Jiang et al., 2023) and the injecting of watermarks into content can be inefficient (Liu et al., 2024). While some research suggests that robust watermarking is possible (Krishna et al., 2023), more research is needed on the theoretical limitations of watermarking (Kirchenbauer et al., 2024).

Notably, misinformation can emerge even without malicious intent. Tools like ChatGPT are increasingly expected to serve as universal question-answering engines, even though their core objective—to estimate the likelihood of the next token in a sequence—is traditionally designed to assess the linguistic plausibility of strings (Kalai & Vempala, 2023), rather than their factual accuracy. This distinction between the two objectives is evinced by the discrepancies observed between the probability a model explicitly assigns to a statement when prompted vs. the underlying log-probability it assigns (Hu & Levy, 2023), models' tendencies to hallucinate (Huang et al., 2024a)), and their difficulty in achieving probabilistic consistency (Elazar et al., 2021), e.g., ensuring logical predictions between a statement and its negation.

Some works have turned to model uncertainty estimates (e.g., those discussed in 3.2) as indicators of model reliability, developing methods to enhance the trustworthiness of AI systems based on these estimates (Eduputganti et al., 2021; Yang et al., 2023c). For example, (Ren et al., 2023) propose a selective generation approach, where models abstain from providing a response in the face of high uncertainty; (Kuhn et al., 2023) use a notion of a model's semantic uncertainty to predict the correctness of its answer in question-answering. The development of methods that explicitly account for uncertainty represents an interpretable approach toward ensuring model reliability, offering a strategy that also has grounding in a well-studied concept in machine learning (Malinin & Gales, 2018; Abdar et al., 2021; Gawlikowski et al., 2023).

Encouragingly, some research suggests that larger LMs actually are well-calibrated in terms of their world knowledge, i.e., their predicted likelihoods reflect the probability that a statement is true (Srivastava et al., 2022; Zhu et al., 2023; Yu et al., 2024). Further, recent studies show that language models often do possess the ability to assess the truthfulness of their own statements (Lin et al., 2022; Kadavath et al., 2022; Xiong et al., 2024). However, fine-tuning or RLHF, which are frequently applied to these models, have been shown to hurt calibration; rather, they have been widely observed to exhibit overconfidence—the tendency of a model to assign excessively high probabilities to its predictions regardless of their correctness (Kadavath et al., 2022; Tian et al., 2023; OpenAI, 2023; Xiong et al., 2024). There has been some work on mitigating miscalibration issues for fine-tuning (e.g., Wang et al., 2023b) and RLHF (e.g., Tian et al., 2023; Zhang et al., 2024). and there is an increasing focus on systems where evidence can be brought in from external knowledge sources (Blattmann et al., 2022; Pan et al., 2023; Gao et al., 2023), grounding model responses to reliable knowledge sources. Such research—along with benchmarks to assess model factuality (e.g., KoLA; Yu et al., 2024)—is a critical step towards ensuring the trustworthiness and reliability of generative models.

4.2 Privacy and Copyright Infringement

Interestingly, recent works show that publicly available LLMs and large-scale text-to-image models can implicitly "memorize" training data (van den Burg & Williams, 2021), to the point that samples from the dataset can be (almost exactly) reconstructed (Carlini et al., 2023a;b; Somepalli et al., 2023; Nasr et al., 2023). This behavior potentially infringes on data privacy, underscoring the importance of exploring whether generative models can be trained while safeguarding sensitive information. Approaches for alleviating this problem have been proposed: for example, differential privacy (DP) constraints, which can be enforced during generative model training, offer an attractive theoretical framework to ensure privacy Li et al. (2021); Dockhorn et al. (2023). However, DP-based approaches suffer from a tradeoff between privacy and utility (Cummings et al., 2024). Moreover, in the context of image generation, scaling such approaches to high-resolution datasets remains elusive. Recent work has instead focused on generative DP synthetic data, where foundation models are only used as blackboxes (Lin et al., 2024b). Therefore, building privacy constraints in large-scale training of generative models can be a promising direction for further research.

Another byproduct of memorization in generative models is that it can lead to unauthorized distribution or replication of training data, resulting in copyright infringement liabilities.[7] While some recent work tries to alleviate this issue (Liang et al., 2023; Zhao et al., 2023d), there are several outstanding technical challenges ranging from mitigating copyright infringements during dataset curation (Carr & Jeffrey, 2022; Duarte et al., 2024) to reliable detection of copyright violations in generated samples (Li et al., 2024a; Kim et al., 2021). Beyond privacy and copyright concerns, memorization has other undesirable effects. Many of the use cases that generative models are employed for are dynamic in nature, e.g., creative writing or graphics generation; outputs that simply replicate training data lack diversity and originality, and may fail to address user-specific needs or requests. This behavior potentially creates a worse user experience and limits models' usefulness. Further, excessive memorization can lead to biases in generated content, where certain perspectives or styles dominate because they were overrepresented in the training data; we discuss this last issue in more detail in the next section.

4.3 Fairness

Large-scale generative models are often trained on massive datasets containing billions of samples scraped from the internet. While preprocessing such large datasets often involves tagging or removing toxic content, a variety of other societal biases are often harder to detect. Consequently, the trained models can reflect biases and produce outputs that may be deemed toxic or harmful (Pagano et al., 2023; Gallegos et al., 2024; Zhou et al., 2024). For instance, Weidinger et al. (2021) outline a series of harms that can result from using LLMs that produce discriminatory or exclusionary language, e.g., the amplification of stereotypes or exclusionary norms. Multimodal models may exhibit biases about gender, ethnicity, and religion, among others (Janghorbani & De Melo, 2023) that have similar negative effects on society.

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[7] https://www.nytimes.com/2023/12/27/business/media/new-york-times-open-ai-microsoft-lawsuit.html

Not all unfair behaviors exhibited by generative models are as overtly harmful as generating toxic content. Models can show more subtle biases towards certain subpopulations, such as allocation bias—when AI systems extend or withhold opportunities, resources, or information—or quality-of-service bias—when AI systems work better for people in some subpopulations than others; these behaviors should not be downplayed as they can perpetuate systemic inequalities. A main culprit of such behaviors stems from the fact that the data used in the training of most generative models is disproportionately from certain countries and languages. Such models therefore might not work as well for languages or images outside of these mainstream groups. For example, multilingual language models work substantially better for English use cases (Lai et al., 2023; Huang et al., 2023a). Further, because the tokenizers for such models have also been trained disproportionately using English data, the compression rate for English texts is much higher than for texts in low-resource languages (Petrov et al., 2023; Ahia et al., 2023). Consequently, services that charge based on token counts impose higher costs for a query made in a low-resource language compared to a query with the same underlying meaning made in English. This exacerbates accessibility challenges for speakers of underrepresented languages.

While numerous approaches have been proposed to mitigate the biases of generative models in a post-hoc manner (Bai et al., 2022; Glaese et al., 2022; Ferrara, 2024; Olmos et al., 2024), the achieved changes are often merely superficial, leaving the possibility of remnant biases. For example, Gonen & Goldberg (2019) demonstrate that word embeddings still cluster based on gender stereotypes even after bias mitigation techniques, effectively "hiding" rather than eliminating the issue. In the vision domain, post-hoc bias mitigation strategies have been observed to work poorly in the face of test-time distribution shift (Kong et al., 2023). Further, the efficacy of these methods has in most cases only been verified for a small subset of bias or fairness criteria. More research is necessary both to train models that can readily account for multiple forms of fairness with regard to diverse criteria and to fully understand the strengths and shortcomings of bias mitigation strategies for generative AI.

Ultimately, assessing and ensuring fairness in technology applications is a complex challenge. Aside from the aforementioned issue of differing (and potentially dynamic) qualitative definitions of fairness (3.2), different notions of fairness often cannot be fully satisfied simultaneously (Ferrara, 2024). Therefore, it is essential for the builders of generative AI tools to carefully evaluate the various dimensions of fairness and make deliberate trade-offs appropriate for the specific use .

4.4 Interpretability and Transparency

In many situations, it is critical to understand the logic and influencing factors behind generative models' outputs. In other words, we need to be able to *interpret* how a generative model produces its results, with its decision-making process being *transparent*, i.e., accessible and understandable This is particularly true in safety-critical domains—such as healthcare (Chen et al., 2021a) or finance applications—but is also important across general AI use cases, where interpretability is essential for diagnosing errors and fostering user trust. These needs are not new and have been present since the start of publicly-available AI-based products and tools (Confalonieri et al., 2021). There has thus been a sizable amount of research in neural network interpretability methods. However, these methods are not always feasible for use with large-scale DGMs. For example, interpretability methods, such as SHAP, LIME, or Integrated Gradients (Lundberg & Lee, 2017; Ribeiro et al., 2016; Sundararajan et al., 2017), struggle to scale effectively with the complexity and size of large models; many interpretability methods work by attempting to understand concepts encoded in models' latent representations (Crabbe et al., 2021; Esser et al., 2020), but this becomes more difficult in the high-dimensional latent spaces used by DGMs. Further, while one might hope that explanations derived from interpreting smaller models could be used for understanding their larger counterparts, scaling up generative models gives rise to unpredictable effects, e.g., models demonstrating unexpectedly advanced capabilities (Wei et al., 2022; Schaeffer et al., 2024); conclusions drawn when using small models therefore may not be applicable to today's larger models.

The fundamental challenge is to develop explanation methods for DGMs that are both well-understood by humans and faithful to the underlying model behaviors (Schut et al., 2023; Gurnee & Tegmark, 2024). *Mechanistic interpretability* is an emerging field that attempts to achieve this goal by reverse-engineering neural network decisions, translating them to human-interpretable decision-making processes (Bereska &

Gavves, 2024). This is specifically done by analyzing models at the level of their internal computations, representations, and structural components. A large appeal of mechanistic interpretability is that provides causal explanations for models' outputs, i.e., a decision or prediction can be attributed in a causal manner to some component of the input. This allows researchers to move beyond correlation-based explanations and instead understand the actual computational mechanisms driving observed model behavior, which in turn enables more precise debugging, bias detection, and control over generative outputs. Mechanistic interpretability research has uncovered a number of interesting and useful properties of DGMs. For example, specific neurons or layers in GANs and VAEs encode "disentangled" (i.e., distinct and interpretable) features, such as shape, texture, or pose in images (Shen et al., 2020; Mita et al., 2021). Other works have found that certain attention heads in transformers correspond to meaningful linguistic patterns, e.g., some might focus on syntactic structure while others might capture semantic information (Vig & Belinkov, 2019; Elhage et al., 2021). Such properties not only help practitioners better understand DGMs, they also enable them to control generation to some extent (Härkönen et al., 2020; Fetty et al., 2020).

However, the reliability and comprehensiveness of mechanistic interpretability methods remain a subject of debate. A central criticism is that, while these techniques aim to identify causal relationships between model components and outputs, they may only provide partial or even misleading insights into the actual computational processes at work. For instance, attention patterns—which have been used by various methods to attribute model predictions to certain tokens (Xu et al., 2015; Choi et al., 2016, *inter alia*)—do not always faithfully reflect how or why certain tokens influence the final prediction (Jain & Wallace, 2019; Liu et al., 2022b). In some cases, they may merely highlight correlations rather than reveal deeper causal structures. Similar concerns have been raised about other methods for explaining model behaviors from mechanistic interpretability. Further, the new wave of large-scale generative models makes the application of some of these methods more difficult: the larger a model becomes, the (arguably) more difficult it becomes to fully reverse-engineer a prediction. Every nuance of these models' decision-making may not be deducible from a subset of neurons, layers, or attention heads; interpretations derived from one subset of model components may overlook equally critical interactions elsewhere in the large network. Representation engineering (Zou et al., 2023a) and the use of sparse autoencoders (Bricken et al., 2023) are lines of research in mechanistic interpretability that potentially address these issues, offering interpretable explanations even for large-scale models. However, we are still in need of validation methods that can confirm whether the identified "mechanisms" truly govern a model's outputs, or whether they merely reflect convenient, yet incomplete, narratives about its internal workings.

Going forward, researchers should continually assess user needs for explainability to ensure that the appropriate objectives are guiding the development of interpretability methods (Liao et al., 2020; Wang & Yin, 2021; Poursabzi-Sangdeh et al., 2021). Attention must also be paid to the relevance and effectiveness of the metrics and evaluation frameworks used to assess these methods (Ross et al., 2021; Jethani et al., 2021). Another important research direction is enhancing the robustness of explainable methods, such as counterfactual explanations (Wachter et al., 2017; Slack et al., 2021). Further research is also needed for the new wave of multimodal models, as existing explainability methods may not be equipped to offer explanations in the face of cross-modal interactions.

4.5 Constraint Satisfaction

Generative models such as ChatGPT are used by millions of people and deployed across diverse use cases. For many of these, they are expected to satisfy additional constraints.[8] In some cases, these merely stem from a desire to have a more controlled form of generation, such as when a generated image is conditioned on a given depth map (Zhang et al., 2023a). In other cases, ethical and safety considerations are key concerns. For instance, in fields like engineering design, generative model outputs must meet engineering standards and adhere to laws of nature (i.e., physics). More generally, there are widespread calls for generative models to avoid toxicity, bias mitigation and other outputs that may lead to harmful effects (Weidinger et al., 2021), e.g., by refraining from responding in ways that could pose a risk to the mental health of human interlocutors and by refusing to carry out tasks related to illegal activities. While reinforcement learning from human

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[8]Here we focus on constraints specified at inference time. We discuss constraints that must be integrated into the model during training in 2.2.

feedback (Ouyang et al., 2022) has offered an initial step towards these goals, enabling companies and users to provide models with soft constraints within their queries, such constraints can be circumvented (Shen et al., 2023). Ultimately, methods that allow us to place hard constraints on model outputs are necessary.

In language generation, decoding methods that allow for arbitrary constraints (both hard and soft) have been a focus area of the research community (Kumar et al., 2021; 2022; Beurer-Kellner et al., 2024). There are several challenges that proposed approaches, including: efficiently enforcing constraints without significantly increasing computational costs, maintaining fluency and coherence while adhering to constraints, and handling multiple constraints, which may result in conflicting requirements. The discrete nature of text presents a particular difficulty, as small changes to token sequences can drastically alter meaning, making it difficult to optimize for constraints from a computational perspective. Meanwhile, research on controllable image generation has likewise gained momentum (Deng et al., 2020; Huang et al., 2024b), with various approaches aiming to regulate attributes such as style, composition, or specific content elements. Methods range from applying spatial constraints (e.g., bounding boxes, masks, or layout specifications (Zheng et al., 2023a)) to enforcing semantic conditions (e.g., ensuring that certain objects or visual features are present (Pavllo et al., 2020)). Technical challenges arise in this domain as well, such as the need for more complex conditioning mechanisms and heavier computational demands—especially when constraints must be integrated at each step of the generative process in e.g., diffusion models. Code generation stands out as a domain where constraint enforcement has long been a primary focus (Poesia et al., 2022; Dong et al., 2023). Here, the constraints—such as following a language's syntax and producing compilable code—are arguably more well-defined and straightforward to verify. Concepts and methods from this field could conceivably help research in enforcement of hard constraints in other generative AI fields. Overall, methods to ensure effective constraint adherence can substantially improve control over generative models, which is crucial for ensuring their safe and reliable deployment (Regenwetter et al., 2024). Despite progress across various generative AI fields, the development of scalable and generalizable techniques capable of handling the diverse and often conflicting demands of real-world constraints remains an open challenge. We encourage further research in this area to bridge this gap and advance the controllability of generative models across different domains.

5 Conclusion

Despite the recent excitement and hype surrounding advancements in generative AI, the goal of achieving a *perfect* generative model remains far from reality. In the hopes of eventually reaching this goal, we identified several core challenges with the current generative modeling frameworks and practices.

We identified generalization and robustness as major hurdles, demanding methods to handle unseen data and adversarial attacks. Moreover, limited representational power and implicit modeling and data assumptions necessitate exploring more expressive models and incorporating prior knowledge, especially in data-scarce scenarios. Moving beyond merely identifying correlation, integrating causal reasoning into DGMs holds promise for enhanced interpretability and robustness. We also emphasized the escalating computational demands and associated barriers to the widespread adoption of DGMs. Training and inference inefficiencies pose key challenges, urging the exploration of alternative network architectures and low-bitrate model quantization. Inadequate evaluation metrics for generated content, such as FID and n-gram matching, hinder efficient progress, prompting the search for robust, domain-agnostic alternatives.

We also discussed the challenges and considerations surrounding the responsible deployment of large-scale generative models, pointing to the rising concerns related to misinformation, unintended harm, and lack of trustworthiness. Challenges include combating misinformation, ensuring privacy in data curation, addressing fairness issues, enhancing interpretability, estimating model uncertainty, and satisfying constraints.

By confronting the limitations discussed here, we can transform DGMs from data replicators (Bender et al., 2021) to tools with transformative capabilities across various domains. We hope that our paper will point to directions that ultimately contribute to these goals.

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