SAMPLE-EFFICIENT REINFORCEMENT LEARNING BY BREAKING THE REPLAY RATIO BARRIER

ABSTRACT

Increasing the replay ratio, the number of updates of an agent's parameters per environment interaction, is an appealing strategy for improving the sample efficiency of deep reinforcement learning algorithms. In this work, we show that fully or partially resetting the parameters of deep reinforcement learning agents causes better replay ratio scaling capabilities to emerge. We push the limits of the sample efficiency of carefully-modified algorithms by training them using an order of magnitude more updates than usual, significantly improving their performance in the Atari 100k and DeepMind Control Suite benchmarks. We then provide an analysis of the design choices required for favorable replay ratio scaling to be possible and discuss inherent limits and tradeoffs.

1 INTRODUCTION

In many real world scenarios, each interaction with the environment comes at a cost, and it is desirable for deep reinforcement learning (RL) algorithms to learn with a minimal amount of samples (François-Lavet et al., 2018). This can be naturally achieved if an algorithm is able to leverage more computational resources during training to improve its performance. Given the online nature of deep RL, there is a peculiar way to aim at having such behavior: to train the agent for longer, given a dataset of experiences, before interacting with the environment again.

A method based on this idea can be said to be *scaling the replay ratio*, the number of updates of an agent's parameters for each environment interaction. Despite generally providing limited benefit when applied to standard baselines (Fedus et al., 2020; Kumar et al., 2021), replay ratio scaling has been shown to bring performance improvements to well-tuned algorithms. Recent approaches were able to achieve better sample efficiency by increasing it to higher values, up to 8 for discrete control (Kielak, 2019) or 20 for continuous control (Chen et al., 2021; Smith et al., 2022).

(a) DeepMind Control Suite (DMC15-500k) (b) Atari 100k

Figure 1: Scaling behavior of SAC and SR-SAC in the DeepMind Control Suite (DMC15-500k) benchmark, and of SPR and SR-SPR in the Atari 100k benchmark. (5 seeds for point for SAC and SR-SAC, at least 20 seeds for point for SPR and SR-SPR, 95% bootstrapped C.I.)

In this paper, we show that it is possible, with minimal but careful modifications to model-free algorithms mostly based on parameter resets (Ash & Adams, 2020; Nikishin et al., 2022), to reach new levels of replay ratio scaling and push the sample efficiency limits of deep RL. Both in continuous control, with SAC in DeepMind Control Suite (Haarnoja et al., 2018; Tassa et al., 2018), and discrete control, with SPR in Atari 100k (Schwarzer et al., 2021a; Kaiser et al., 2020), we *break the replay ratio barrier*, unlocking a training regime in which orders of magnitude of additional agent updates can be used to increase the performance of an algorithm for a given budget of interactions with the environment. By doing so, we obtain better aggregated scores than strong baselines, with a general blueprint to improve sample efficiency of potentially any off-policy deep RL algorithm.

To understand how this can be feasible, it is useful to reflect on one of the most common patterns observed in the development of deep RL algorithms (Mnih et al., 2015b). With a few exceptions, researchers typically ground their methods on the well-established dynamic programming mathematical machinery, combining it with optimization strategies common in deep learning. However, the RL setting is inherently different from the one in which most deep learning architectures and optimization methods were developed. In deep RL, neural networks have to deal with dynamic datasets, whose composition changes over the course of training; their training actively determines the value of future inputs, but also the value of future targets. We argue that the recently identified tendency of neural networks to lose their ability to learn and generalize from new information during training (Chaudhry et al., 2018; Ash & Adams, 2020; Berariu et al., 2021; Igl et al., 2021; Dohare et al., 2022; Lyle et al., 2022a;b; Nikishin et al., 2022), against which most RL methods deploy no countermeasures, has been the main roadblock in achieving better sample efficiency through replay ratio scaling.

After presenting and evaluating our algorithmic solution leading to better replay ratio scaling, we discuss some of the aspects of thinking about deep RL algorithms under the lens of this paradigm. We show some examples of algorithm design decisions important, or not important, for effective replay ratio scaling to be possible, with particular attention to the role of online interaction. Then, we visualize in an explicit way the data-computations tradeoff implied by this approach and, after having shown the potential of replay ratio scaling, we discuss its inherent limits.

2 RELATED WORK

Loss of Ability to Learn and Generalize in Neural Networks   A growing body of evidence suggests that artificial neural networks lose their ability to learn and generalize during training. The phenomenon is not clearly visible when learning with a static dataset on a fixed task, but it starts appearing when the data distribution changes. In the continual learning setting, an alleviation of the problem by partially resetting the network parameters already provides a consistent improvement (Ash & Adams, 2020). Berariu et al. (2021) provides an in-depth study of how this phenomenon happens, including how many training updates are required for the performance of a network on future tasks to be unrecoverably damaged. The phenomenon becomes even more prominent in deep RL, where it has been identified in multiple settings. In the context of on-policy algorithms, it has been investigated under the name of *transient non-stationarity* and mitigated via self-distillation (Igl et al., 2021); in off-policy RL, it has been studied under the name of *capacity loss* (Lyle et al., 2022a), counteracted by the use of auxiliary tasks; in the sparse reward setting, it has been mitigated by post-training policy distillation (Lyle et al., 2022b). To address what they call *loss of plasticity*, Dohare et al. (2022) proposes a variation of backpropagation compatible with continual learning, also applying it to the continual RL context. In this paper, we primarily leverage the hard resetting method proposed by Nikishin et al. (2022), which was used to study *the primacy bias* phenomenon. Our work demonstrates that addressing this phenomenon allows for increased sample efficiency by scaling the replay ratio to much higher values than other model-free methods.

Scaling in Deep and Reinforcement Learning   The topic of understanding and exploiting the scaling behavior of a deep learning algorithm's performance with respect to the amount of resources used for training has recently gained attention. Hestness et al. (2017) pioneered the idea of empirically studying and predicting performance when increasing a model's size, and subsequent work investigated scaling with respect to both model and dataset size, as well as training time (Kaplan et al., 2020; Bahri et al., 2021; Djolonga et al., 2021). Recent work in language modeling has also highlighted the importance of having high-quality data and the right training setup for efficient scaling to be possible (Hoffmann et al., 2022). In RL, scaling with respect to model size has been

investigated in the offline setting for decision transformers (Lee et al., 2022) and with respect to planning-time in model-based RL (Hamrick et al., 2021). For what concerns replay ratio scaling, moderately increasing the replay ratio for standard baselines has been shown to be a competitive data-efficient baseline for both discrete and continuous control when compared to model-based RL methods (Holland et al., 2018; Van Hasselt et al., 2019; Kielak, 2019; D'Oro & Jaśkowski, 2020), despite clear limitations (Kumar et al., 2021). Recent approaches in continuous control leveraged high replay ratios as a strategy to improve sample efficiency through the use of ensembles of value functions (Chen et al., 2021) or normalization strategies (Smith et al., 2022); however, we have shown that explicitly alleviating the progressive loss of ability to learn and generalize pushes the replay ratio scaling capabilities much further than those techniques can achieve.

3 EFFECTIVE REPLAY RATIO SCALING WITH RESETS

Most off-policy deep RL algorithms make use of a replay buffer (Lin, 1992) for storing transitions encountered over (a window of) an agent's lifespan. At a fixed frequency, such methods sample a batch of transitions from the buffer, update the parameters of the agent by following the gradient of some loss function, and let the agent interact again with the environment before adding new experience to the buffer. The number of agent updates per environment step is usually called *replay ratio*[1] (Wang et al., 2016; Fedus et al., 2020), and most standard algorithms were designed to have values around or below 1 (Mnih et al., 2015a; Haarnoja et al., 2018). It is natural to view increasing the replay ratio beyond these values as a way to improve sample efficiency. For ease of discussion, we now explicitly state and give a name to this idea, which has been an object of interest in previous studies (Van Hasselt et al., 2019; Kumar et al., 2021).

> **Replay Ratio Scaling**
>
> Change in an agent's performance caused by doing more updates for a fixed number of environment interactions.

This definition does not have any positive connotation per se; any deep RL algorithm will have a certain replay ratio scaling behavior, and a desirable property for an algorithm is to have particularly *favorable* replay ratio scaling, so that its performance can improve by increasing the replay ratio.

In contrast to other performance scaling properties analyzed for deep learning algorithms (Kaplan et al., 2020), replay ratio scaling is intertwined with the online RL paradigm: if the agent has a significantly better data-collection policy due to more training, the next collected sample will be potentially different with respect to the one collected if doing less training before the interaction; by this virtue, also future learning will be directly impacted by the presence of different data in the replay buffer. In other words, this type of scaling can only be understood by considering the interaction of an agent with an environment: training more on a small dataset of interactions, without any further collection of data, will eventually lead to challenges associated to off-policy learning (Ostrovski et al., 2021); but training more *while the data is collected* can drastically change the stream of incoming data and the overall learning dynamics.

Given its appeal, what are the limiting factors to increasing the replay ratio? We argue that the main factor inhibiting effective replay ratio scaling in existing deep RL algorithms has been *the progressive loss of the ability to learn and generalize in neural networks* (Dohare et al., 2022; Lyle et al., 2022b; Nikishin et al., 2022). It has been shown that this property hinders a neural network's performance under tasks switches (Ash & Adams, 2020; Berariu et al., 2021) and, from the perspective of a neural network employed by the agent, what is deep RL if not a long sequence of related but distinct tasks (Dabney et al., 2021)?

Recent studies showed that, even under smooth task changes, the more training has been done on a previous task, the worse the performance will eventually be in a new task (Ash & Adams, 2020; Berariu et al., 2021). Since higher replay ratio correspond to an increased amount of training, this gives a natural explanation to the limit in increasing it. The ability to learn and generalize can, however, be restored. For instance, Nikishin et al. (2022) periodically reset the network's parameters, with a frequency that is fixed with respect to the number of *environment steps*. In this work, we

---

[1]This quantity was called update-to-data (UTD) ratio in other work (Chen et al., 2021; Smith et al., 2022).

Figure 2 & Table 1: Performance of SR-SAC and of standard baselines on the DMC15 benchmark. (5 seeds for SR-SAC, 20 for all other algorithms, 95% bootstrapped C.I.).

argue that the key to surprisingly effective replay ratio scaling is a periodic restoration of the ability to learn and generalize of the network, via partial (Ash & Adams, 2020) or total (Nikishin et al., 2022) resets of its parameters, with a reset frequency that only depends on the number of *updates* and thus implicitly also on the replay ratio. This means the more an algorithm updates its neural networks, the more frequent the restoration of its ability to learn and generalize will be, leading to better performance, as we now show in practice.

4   REPLAY RATIO SCALING DRASTICALLY IMPROVES SAMPLE EFFICIENCY

We apply two different reset strategies to two standard continuous control and discrete control algorithms and study their replay ratio scaling behavior. We consider Soft Actor-Critic (SAC) (Haarnoja et al., 2018), which optimizes an actor and a critic by maximizing policy entropy alongside the environment's reward, and SPR (Schwarzer et al., 2021a), which incorporates a self-supervised prediction loss into a DQN-based algorithm. The two curves in Figure 1 show that it is possible, with a same algorithm, to almost double the performance for the same number of environment steps, by just varying the replay ratio. We call the modified versions of these two algorithms *Scaled-by-Resetting SAC* (SR-SAC) and *Scaled-by-Resetting SPR* (SR-SPR). In the rest of this section, we are going to describe the precise the details of the reset strategies that we employ for the two algorithms, as well as the benchmarks to which they are applied, by describing our decisions first in continuous control and then in discrete control. For evaluation and comparisons, we follow the protocol suggested by Agarwal et al. (2021)

4.1   CONTINUOUS CONTROL

The DMC15 Benchmark   To appropriately compare the performance of different algorithms, we consider a benchmark based on 15 environments from DeepMind Control Suite (Tassa et al., 2018). Our selection of tasks, reported in Table 3, is a set for which discussing sample efficiency is sensible (i.e., that are not immediately solvable, but not unfeasible to solve, by common deep RL algorithms). For ease of comparison, we specialize the benchmark to DMC15-500k, in which 5×10^5 interactions with the environment are allowed, and DMC15-1M, in which 10^6 interactions with the environment are allowed.

Reset Strategy   We adapt the approach of Nikishin et al. (2022), and completely reset all the agent parameters every 2.56×10^6 of its updates. This allows to avoid explicitly thinking about the particular moment at which resets should happen for different replay ratios. In terms of environment steps, resets will just occur more often at higher replay ratios. For instance, for replay ratio 128 (128 times higher than what typically used by SAC), a reset occurs once every 20000 steps of interaction with the environment.

Results In Figure 2, we compare a version of SR-SAC that uses a replay ratio of 128 to standard deep RL baselines. This also includes the recently proposed REDQ (Chen et al., 2021), which obtained state-of-the-art sample efficiency by using a replay ratio of 20. At any budget of interactions with the environment, SR-SAC compares favorably with REDQ, despite being a simpler algorithm. SR-SAC establishes a new state-of-the-art result for model-free continuous control.

4.2 ATARI 100K

Reset Strategy We follow Nikishin et al. (2022) in performing one reset every 40,000 updates; at replay ratio 16, the highest considered, this corresponds to a reset every 2,500 environment steps, or roughly once every three minutes of interaction. However, Nikishin et al. (2022) only reset a subset of the agent's parameters when training on the ALE, leaving the agent's convolutional encoder untouched by resets. While this leaves the encoder vulnerable to plasticity loss, fully-resetting the agent's encoder is impractical, as Nikishin et al. (2022) observe. As an intermediate solution, we apply soft resets, using a variant of *Shrink and Perturb* (Ash & Adams, 2020) in which encoder parameters are interpolated between their previous value and a random re-initialized parameter vector on each reset: $\theta^t = \alpha\theta^{t-1} + (1 - \alpha)\phi$, $\phi \sim$ `initializer`. This formulation is marginally different from that used by (Ash & Adams, 2020) but allows easy interpolation between completely resetting a layer and leaving it unchanged; we use $\alpha = 0.8$ by default. We examine the impact of this decision in Section 5.2.

Target Networks By default, SPR does not employ a separate target network, unlike traditional DQNs (Mnih et al., 2015a). However, we find that this leads replay ratio scaling to stop improving performance at relatively low replay ratios, which we hypothesize is due to fundamental variance in optimization limiting the accuracy to which the value function may be estimated. To alleviate it, we directly adopt the target strategy employed by SR-SAC, with an exponential moving average (EMA) target network with coefficient $\tau = 0.005$, which we find allows beneficial replay ratio scaling out to at least replay ratio 16. Moreover, following (Ghavamzadeh et al., 2011), SR-SPR also uses its target network for action selection. We elaborate on this design decision in Section 5.2.

Results Figure 3 shows performance profiles of SR-SPR at various replay ratios, demonstrating that replay ratio scaling consistently improves performance up to at least replay ratio 16. We also compare a version of SR-SPR that uses replay ratio 16 to standard baselines and recent work (Micheli et al., 2022) in table 2. SR-SPR establishes a new state-of-the-art for model-free control on Atari 100k, and rivals prior work that has aggressively pretrained on additional data (Liu & Abbeel, 2021; Schwarzer et al., 2021b). We present full results and per-game scores for SR-SPR in table 4, and show aggregated training curves in fig. 16.

Figure 3 & Table 2: Performance profiles (left, higher is better) of SR-SPR at various replay ratios, and 95% bootstrapped C.I.s of SR-SPR at replay ratio 16 and of standard baselines on Atari 100k (right, 20 seeds for SR-SPR and SPR, 5 seeds for IRIS, 100 seeds for all other algorithms as taken from (Agarwal et al., 2021)).

Figure 4: Scaling behavior of SAC, SR-SAC and its tandem and iterated offline variations in the DMC15 benchmark. Each individual line shows performance at a given number of environment steps, denoted by color, across different numbers of agent updates. Each point in a line is obtained by measuring performance with a different replay ratio for that number of environment steps. Each line is computed over 5 seeds.

5 Algorithm Design in Light of Replay Ratio Scaling

5.1 Analyzing the Importance of Online Interaction

When training with high replay ratios and short reset intervals, the training regime an agent is subjected to begins to resemble offline RL; the agent is primarily learning from data collected by policies unrelated to its own, with only a small amount of online data available to correct its policy. Given many classical analyses of the role of pessimism and overestimation on offline RL (Levine et al., 2020), it is perhaps surprising that an agent trained in a pseudo-offline setting with no explicit regularization towards conservatism (e.g., Kumar et al., 2020) can learn successfully. What is then the role of the incoming stream of interactions? To gain some understanding, we can attack the problem from different angles, and study the scaling behavior of SR-enabled variants of the same algorithm. In this section, we study different data collection patterns and how interleaving them with agent optimization changes the training dynamics. The appendix also presents a comparison with the related NFQI algorithm (Riedmiller, 2005).

5.1.1 Iterated Offline Setting

Changing the replay ratio in a deep RL algorithm can be seen as a specific way of increasing the proportion of offline training an agent is subject to. Specifically, the agent's parameters are updated a number of times exactly equal to the replay ratio before a new sample is collected. This implies a uniform distribution of the number of offline updates across time steps. Is this an important variable for determining the replay ratio scaling behavior of an algorithm?

To answer this question, we resort to what we call *iterated offline* RL, which alternates between purely offline updates and data collection. In this setting, a certain value of replay ratio is not distributed uniformly during the course of the interactions with the environment. Instead, the agent is not updated during data collection, and an amount of updates equal to the one that would be due in that data collection time frame in virtue of the replay ratio is applied completely offline, right after each reset.

As visible in Figure 4, the iterated offline paradigm has a different replay ratio scaling behavior. Applying a very large number of updates with a fixed dataset, with an algorithm such as SAC, incurs serious risk of generating a degenerate policy, not able to outperform the previous one. As exemplified in Figure 6, in the absence of any mechanism for stopping this natural degeneration to happen, this circle is broken only when enough data is collected. Collecting enough data in this sense is possible for easy tasks such as `hopper-stand` and `walker-run`, with a cost in sample efficiency, or impossible on hard tasks such as `humanoid-stand` and `quadruped-walk`. This is unfortunate: the iterated offline RL paradigm can be quite useful in practical settings, in which the agent is allowed to only collected batches of data without any update (perhaps for safety reasons); however, current backbone algorithms (such as SAC) are not currently compatible with such a setting, that thus leads to favorable replay scaling only when closer to the online setting. This explains the sudden increase in the curve of Figure 4, when the number of agent updates, and consequently the reset frequency, become large enough. An interesting question, left for future work, is whether this behavior could change if combined with conservative algorithms created for the offline RL setting.

Figure 6: Examples of behaviors of SR-SAC and its tandem and iterated offline variations on four environments from DMC15. (5 runs, ± std).

5.1.2 TANDEM SETTING

Despite, with high replay ratios, most of its training actually happens offline, the agent still has the possibility to interact, at a certain frequency, with the environment. This yields, for an agent after a resets, a small stream of interactions collected by the agent itself during its training, compared to a vast majority of data collected by potentially unrelated agents. How important is this possibility of online interaction then?

To answer this question, we leverage the tandem setting, as presented in Ostrovski et al. (2021). Two copies of the same agent, identical apart from the initialization, are created. With the same algorithm (SR-SAC in this case), they are trained on the replay buffer collected by the *active agent*. The *passive agent* thus never directly interacts with environment, having no chance to collect data to correct its own misconceptions about the environment.

As shown in Figure 4, the behavior of Tandem SR-SAC offers an alternative perspective on the importance of online interactions: despite the performance of the algorithm being hurt, the overall replay ratio scaling capabilities remain similar. We can look at the performance of the passive agent to understand what the exact effect of online interactions is on training. As evident in the environments from Figure 6, especially in `hopper-stand` and `quadruped-walk`, there is a qualitative difference between the behavior of an active agent (blue curve) and a passive agent (green curve): right after a reset, with the initial high replay ratio training, the performance of both agents is greatly improved; after a few thousands steps, training remains stable for the active agent but causes performance collapse in the passive agent. Despite the severity of the tandem effect declining over time, this experiment demonstrates the power of having online interactions as an *implicit regularization mechanism*.

For the design of future replay ratio-scalable algorithms, one should keep in mind that it is indeed possible to scale an algorithm that could potentially be affected by extreme off-policyness; but on the other hand, online data collection helps slowing down performance collapse when training aggressively, as shown in both the iterated offline and the tandem experiments.

5.1.3 ALTERNATIVE COMBINATIONS OF OFFLINE AND ONLINE UPDATES

The iterated offline setting can be seen as the extreme in which all of the updates are done offline, compared to the even distribution of them that is usually done in the online setting. What happens if we use an intermediate strategy?

For SR-SPR, we find that directly mixing offline and online RL by performing half the updates allotted to each interval fully offline immediately after each reset can actually improve performance by some metrics, such as training return (see Figure 5 upper), by miti-

Figure 5: Learning curves (top) and evaluation performance (bottom) at replay ratio 16 for SPR and SR-SPR with and without offline updates after each reset

gating the performance drop otherwise experienced after each reset. Although we find that offline updates have essentially no impact on final evaluation performance (figure 5 lower), they may allow SR-SPR to be used in contexts where cumulative regret is important.

5.2 WHAT IS REQUIRED FOR REPLAY RATIO SCALING IN DISCRETE CONTROL?

Although replay ratio scaling is relatively straightforward for SR-SAC, achieving robust replay ratio scaling for SR-SPR is requires due to its shorter training period and more complex function approximation. As a result, unlike SR-SAC, SR-SPR contains a number of additional modifications from the variant of SPR used by Nikishin et al. (2022).

Inspired by the findings of Berariu et al. (2021) that plasticity loss is concentrated in the final layers of the network but affects all layers, we apply Shrink and Perturb (SP) to the encoder; this is responsible for roughly a constant increase of IQM 0.04 past replay ratio 4. We note however that applying Shrink and Perturb alone to all the parameters of the network is not sufficient to enable beneficial scaling; it is important that at least the network's final layers be completely reset. We explain this using the observations from (Berariu et al., 2021) that the last layers tend to be more responsible for the loss of plasticity.

Figure 7: The replay ratio scaling behavior of SR-SPR with various components ablated.

That said, the most important factor in allowing SR-SPR to continue scaling well is its use of a target network. This effect is primarily due to better action selection through the target network; we found that the stabilizing effect on optimization was a less important factor. This is reminiscent of speedy Q-learning (Ghavamzadeh et al., 2011), where the use of an exponential moving average policy was theoretically shown to improve convergence speed in tabular settings.

Meanwhile, removing both Shrink and Perturb and the target network is roughly equivalent to taking the method of Nikishin et al. (2022) but setting reset intervals as in SR-SPR. As Figure 7 suggests, this alone suffices to yield some replay ratio scaling but not as efficient compared to SR-SPR. However, maintaining a fixed reset interval (in terms of environment steps) when varying replay ratio, as done by Nikishin et al. (2022), leads to poor performance at replay ratios above 4.

Intriguingly, we note that this modifications are beneficial specifically for replay ratio scaling; at replay ratios 1 or 2 they do not improve performance (although for the most part they do not significantly harm performance either). We thus hypothesize that there may be other modifications to complex algorithms such as SPR that could be made to further replay ratio scaling properties, but that are today not in widespread use because they do not improve performance in standard low replay ratio settings.

5.3 VISUALIZING THE DATA/COMPUTE TRADEOFF

If an order of magnitude more of updates can be used for improving the performance of an algorithm, additional tradeoffs start to emerge. The type of computations that replay ratio scaling implies are fundamentally different than other concepts of scaling, (e.g., about larger models): scaling here is inherently sequential. Thus, obtaining more hardware does not help faster execution of the algorithm.

Figure 8: Performance of SR-SAC in the DMC15 benchmark as a function of the number of interactions with the environment (data budget) and number of agent updates (computational budget) as determined by the replay ratio.

When collecting new transitions is not very expensive, the choice between collecting new samples in the environment and spending more time updating an agent could become nontrivial.

We visualize this tradeoff in Figure 8. The plot is obtained by combining runs of SR-SAC with doubling replay ratio from 0.25 to 128, and considering, for a fixed data budget (in terms of environment steps), the total computational budget (in terms of total number of agent updates at that point), as well as the achieved performance. There exists multiple ways to achieve the same level of performance, as denoted by the color. This plot shows that resets provide a knob on replay ratio scaling and allows to tradeoff data for computation. If, for a given problem, sample efficiency is more important than computational considerations, one can spend about two orders of magnitude of additional agent updates to obtain the same performance that can be obtained by waiting for 800000 additional samples to be collected from the environment. The peculiar feature of the approach we advocate for in this paper is that it allows to act on this tradeoff with an algorithm basically as simple as the employed backbone.

6 THE LIMITS OF REPLAY RATIO SCALING

We have seen what becomes possible when higher level of replay ratio scaling are unlocked by resets. What are the limits of this paradigm? First of all, replay ratio scaling is always possible up to a finite value, at which there is simply not enough information left to be extracted from the existing dataset of experience. Current methods, including the one proposed in this paper, are not able to automatically identify when this limit is reached, and they are therefore still subject to performance collapse when increasing the replay ratio too much. Second, replay ratio scaling cannot go beyond the intrinsic limitations of the given deep RL algorithm: for example, if the task is simply impossible to solve because of hard credit assignment or exploration, then replay ratio scaling is only of limited help. Third, the strategy we proposed for replay ratio scaling is based on keeping the entire history of interactions with the environment in the replay buffer. While this is feasible for the kind of sample-efficiency benchmarks that we have used in this paper, it might also require special consideration to be applied to larger problems; for instance, it is possible to keep a large replay buffer on permanent storage, albeit at the cost of slower batch retrieval. Lastly, replay ratio scaling can inherently become time-consuming for a training agent, which can limit the applicability of methodologies like ours to settings requiring high-frequency interactions with an environment.

7 CONCLUSIONS

In this paper, we have shown that, by leveraging partial or full resets of an agent's parameters, it is possible to unlock new levels of favorable replay ratio scaling and, consequently, of sample-efficiency for model-free deep RL algorithms. We demonstrated this by a careful evaluation on the DeepMind Control Suite and Atari 100k benchmarks, where our approach (SR-SAC and SR-SPR) demonstrated far superior performance compared to strong baselines, with minimal amounts of additional algorithmic complexity. Then, we discussed which algorithmic design choices are important for achieving such levels of replay ratio scaling with a deep RL algorithm, as well as the tradeoffs implied by this paradigm. Through our empirical analysis, we showed the value of online data collection, offering a perspective on its relationship with offline RL (Levine et al., 2020).

More generally, this paper is about a discovery and how to leverage and interpret it for the design of future deep RL algorithms. We believe this work to be an example of how the development of effective deep RL methods should be achieved not only through extending existing algorithms or creating new ones, but also through the discovery of new phenomena inherent of current deep RL systems, and of techniques for exploiting them to increase performance. The progressive loss of ability to learn and generalize of neural networks and its interaction with RL was only one of the recent empirical discoveries about deep RL. It is natural to wonder whether deeper understanding or exploitation of other recently highlighted surprising empirical properties (Ostrovski et al., 2021; Schaul et al., 2022) could lead to the emergence of other new capabilities in deep RL algorithms.

REFERENCES

Rishabh Agarwal, Max Schwarzer, Pablo Samuel Castro, Aaron Courville, and Marc G Bellemare. Deep reinforcement learning at the edge of the statistical precipice. In *Thirty-Fifth Conference on Neural Information Processing Systems*, 2021.

Jordan Ash and Ryan P Adams. On warm-starting neural network training. *Advances in Neural Information Processing Systems*, 33:3884–3894, 2020.

Yasaman Bahri, Ethan Dyer, Jared Kaplan, Jaehoon Lee, and Utkarsh Sharma. Explaining neural scaling laws. *arXiv preprint arXiv:2102.06701*, 2021.

Tudor Berariu, Wojciech Czarnecki, Soham De, Jorg Bornschein, Samuel Smith, Razvan Pascanu, and Claudia Clopath. A study on the plasticity of neural networks. *arXiv preprint arXiv:2106.00042*, 2021.

James Bradbury, Roy Frostig, Peter Hawkins, Matthew James Johnson, Chris Leary, Dougal Maclaurin, George Necula, Adam Paszke, Jake VanderPlas, Skye Wanderman-Milne, and Qiao Zhang. JAX: composable transformations of Python+NumPy programs, 2018. URL http://github.com/google/jax.

Pablo Samuel Castro, Subhodeep Moitra, Carles Gelada, Saurabh Kumar, and Marc G. Bellemare. Dopamine: A research framework for deep reinforcement learning. *CoRR*, abs/1812.06110, 2018. URL http://arxiv.org/abs/1812.06110.

Arslan Chaudhry, Puneet K Dokania, Thalaiyasingam Ajanthan, and Philip HS Torr. Riemannian walk for incremental learning: Understanding forgetting and intransigence. In *Proceedings of the European Conference on Computer Vision (ECCV)*, pp. 532–547, 2018.

Xinyue Chen, Che Wang, Zijian Zhou, and Keith Ross. Randomized ensembled double q-learning: Learning fast without a model. *arXiv preprint arXiv:2101.05982*, 2021.

Will Dabney, André Barreto, Mark Rowland, Robert Dadashi, John Quan, Marc G Bellemare, and David Silver. The value-improvement path: Towards better representations for reinforcement learning. In *Proceedings of the AAAI Conference on Artificial Intelligence*, volume 35, pp. 7160–7168, 2021.

Josip Djolonga, Jessica Yung, Michael Tschannen, Rob Romijnders, Lucas Beyer, Alexander Kolesnikov, Joan Puigcerver, Matthias Minderer, Alexander D'Amour, Dan Moldovan, et al. On robustness and transferability of convolutional neural networks. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pp. 16458–16468, 2021.

Shibhansh Dohare, Richard S. Sutton, and A. Rupam Mahmood. Continual backprop: Stochastic gradient descent with persistent randomness, 2022. URL https://openreview.net/forum?id=86sEVRfeGYS.

Pierluca D'Oro and Wojciech Jaśkowski. How to learn a useful critic? model-based action-gradient-estimator policy optimization. *Advances in Neural Information Processing Systems*, 33:313–324, 2020.

William Fedus, Prajit Ramachandran, Rishabh Agarwal, Yoshua Bengio, Hugo Larochelle, Mark Rowland, and Will Dabney. Revisiting fundamentals of experience replay. In *International Conference on Machine Learning*, pp. 3061–3071. PMLR, 2020.

Vincent François-Lavet, Peter Henderson, Riashat Islam, Marc G Bellemare, Joelle Pineau, et al. An introduction to deep reinforcement learning. *Foundations and Trends® in Machine Learning*, 11 (3-4):219–354, 2018.

Mohammad Ghavamzadeh, Hilbert Kappen, Mohammad Azar, and Rémi Munos. Speedy q-learning. In J. Shawe-Taylor, R. Zemel, P. Bartlett, F. Pereira, and K.Q. Weinberger (eds.), *Advances in Neural Information Processing Systems*, volume 24. Curran Associates, Inc., 2011. URL https://proceedings.neurips.cc/paper/2011/file/ab1a4d0dd4d48a2ba1077c4494791306-Paper.pdf.

Tuomas Haarnoja, Aurick Zhou, P. Abbeel, and Sergey Levine. Soft actor-critic: Off-policy maximum entropy deep reinforcement learning with a stochastic actor. In *ICML*, 2018.

Jessica B Hamrick, Abram L. Friesen, Feryal Behbahani, Arthur Guez, Fabio Viola, Sims Wither-spoon, Thomas Anthony, Lars Holger Buesing, Petar Veličković, and Theophane Weber. On the role of planning in model-based deep reinforcement learning. In *International Conference on Learning Representations*, 2021. URL https://openreview.net/forum?id=IrM64DGB21.

Joel Hestness, Sharan Narang, Newsha Ardalani, Gregory Diamos, Heewoo Jun, Hassan Kianinejad, Md Patwary, Mostofa Ali, Yang Yang, and Yanqi Zhou. Deep learning scaling is predictable, empirically. *arXiv preprint arXiv:1712.00409*, 2017.

Jordan Hoffmann, Sebastian Borgeaud, Arthur Mensch, Elena Buchatskaya, Trevor Cai, Eliza Rutherford, Diego de Las Casas, Lisa Anne Hendricks, Johannes Welbl, Aidan Clark, Tom Hennigan, Eric Noland, Katie Millican, George van den Driessche, Bogdan Damoc, Aurelia Guy, Simon Osindero, Karen Simonyan, Erich Elsen, Jack W. Rae, Oriol Vinyals, and L. Sifre. Training compute-optimal large language models. *ArXiv*, abs/2203.15556, 2022.

G Zacharias Holland, Erin J Talvitie, and Michael Bowling. The effect of planning shape on dyna-style planning in high-dimensional state spaces. *arXiv preprint arXiv:1806.01825*, 2018.

Maximilian Igl, Gregory Farquhar, Jelena Luketina, Wendelin Boehmer, and Shimon Whiteson. Transient non-stationarity and generalisation in deep reinforcement learning. In *ICLR*, 2021.

Lukasz Kaiser, Mohammad Babaeizadeh, Piotr Milos, Blazej Osinski, Roy H. Campbell, K. Czechowski, D. Erhan, Chelsea Finn, Piotr Kozakowski, Sergey Levine, Ryan Sepassi, G. Tucker, and Henryk Michalewski. Model-based reinforcement learning for atari. *ArXiv*, abs/1903.00374, 2020.

Jared Kaplan, Sam McCandlish, T. J. Henighan, Tom B. Brown, Benjamin Chess, Rewon Child, Scott Gray, Alec Radford, Jeff Wu, and Dario Amodei. Scaling laws for neural language models. *ArXiv*, abs/2001.08361, 2020.

Kacper Piotr Kielak. Do recent advancements in model-based deep reinforcement learning really improve data efficiency? 2019.

Ilya Kostrikov. JAXRL: Implementations of Reinforcement Learning algorithms in JAX, 10 2021. URL https://github.com/ikostrikov/jaxrl.

Aviral Kumar, Aurick Zhou, George Tucker, and Sergey Levine. Conservative q-learning for offline reinforcement learning. *Advances in Neural Information Processing Systems*, 33:1179–1191, 2020.

Aviral Kumar, Rishabh Agarwal, Dibya Ghosh, and Sergey Levine. Implicit under-parameterization inhibits data-efficient deep reinforcement learning. *ArXiv*, abs/2010.14498, 2021.

Kuang-Huei Lee, Ofir Nachum, Mengjiao Yang, L. Y. Lee, Daniel Freeman, Winnie Xu, Sergio Guadarrama, Ian S. Fischer, Eric Jang, Henryk Michalewski, and Igor Mordatch. Multi-game decision transformers. *ArXiv*, abs/2205.15241, 2022.

Sergey Levine, Aviral Kumar, George Tucker, and Justin Fu. Offline reinforcement learning: Tutorial, review, and perspectives on open problems, 2020. URL https://arxiv.org/abs/2005.01643.

Long-Ji Lin. *Reinforcement learning for robots using neural networks*. Carnegie Mellon University, 1992.

Hao Liu and Pieter Abbeel. Aps: Active pretraining with successor features. In *International Conference on Machine Learning*, pp. 6736–6747. PMLR, 2021.

Clare Lyle, Mark Rowland, and Will Dabney. Understanding and preventing capacity loss in reinforcement learning. *ArXiv*, abs/2204.09560, 2022a.

Clare Lyle, Mark Rowland, Will Dabney, Marta Z. Kwiatkowska, and Yarin Gal. Learning dynamics and generalization in reinforcement learning. *ArXiv*, abs/2206.02126, 2022b.

Vincent Micheli, Eloi Alonso, and François Fleuret. Transformers are sample efficient world models, 2022. URL https://arxiv.org/abs/2209.00588.

Volodymyr Mnih, Koray Kavukcuoglu, David Silver, Andrei A Rusu, Joel Veness, Marc G Bellemare, Alex Graves, Martin Riedmiller, Andreas K Fidjeland, Georg Ostrovski, et al. Human-level control through deep reinforcement learning. *nature*, 518(7540):529–533, 2015a.

Volodymyr Mnih, Koray Kavukcuoglu, David Silver, Andrei A. Rusu, Joel Veness, Marc G. Bellemare, Alex Graves, Martin A. Riedmiller, Andreas Fidjeland, Georg Ostrovski, Stig Petersen, Charlie Beattie, Amir Sadik, Ioannis Antonoglou, Helen King, Dharshan Kumaran, Daan Wierstra, Shane Legg, and Demis Hassabis. Human-level control through deep reinforcement learning. *Nature*, 518:529–533, 2015b.

Evgenii Nikishin, Max Schwarzer, Pierluca D'Oro, Pierre-Luc Bacon, and Aaron C. Courville. The primacy bias in deep reinforcement learning. In *ICML*, 2022.

Georg Ostrovski, Pablo Samuel Castro, and Will Dabney. The difficulty of passive learning in deep reinforcement learning. *Advances in Neural Information Processing Systems*, 34:23283–23295, 2021.

Martin Riedmiller. Neural fitted q iteration–first experiences with a data efficient neural reinforcement learning method. In *European conference on machine learning*, pp. 317–328. Springer, 2005.

Tom Schaul, André Barreto, John Quan, and Georg Ostrovski. The phenomenon of policy churn. *arXiv preprint arXiv:2206.00730*, 2022.

Max Schwarzer, Ankesh Anand, Rishab Goel, R. Devon Hjelm, Aaron C. Courville, and Philip Bachman. Data-efficient reinforcement learning with self-predictive representations. In *ICLR*, 2021a.

Max Schwarzer, Nitarshan Rajkumar, Michael Noukhovitch, Ankesh Anand, Laurent Charlin, R Devon Hjelm, Philip Bachman, and Aaron C Courville. Pretraining representations for data-efficient reinforcement learning. *Advances in Neural Information Processing Systems*, 34:12686–12699, 2021b.

Laura Smith, Ilya Kostrikov, and Sergey Levine. A walk in the park: Learning to walk in 20 minutes with model-free reinforcement learning. *ArXiv*, abs/2208.07860, 2022.

Yuval Tassa, Yotam Doron, Alistair Muldal, Tom Erez, Yazhe Li, Diego de Las Casas, David Budden, Abbas Abdolmaleki, Josh Merel, Andrew Lefrancq, Timothy P. Lillicrap, and Martin A. Riedmiller. Deepmind control suite. *ArXiv*, abs/1801.00690, 2018.

Hado P Van Hasselt, Matteo Hessel, and John Aslanides. When to use parametric models in reinforcement learning? *Advances in Neural Information Processing Systems*, 32, 2019.

Ziyu Wang, Victor Bapst, Nicolas Heess, Volodymyr Mnih, Remi Munos, Koray Kavukcuoglu, and Nando de Freitas. Sample efficient actor-critic with experience replay. *arXiv preprint arXiv:1611.01224*, 2016.

Environment	Tasks
walker	run
quadruped	run, walk
reacher	hard
humanoid	run, walk, stand
swimmer	swimmer6
cheetah	run
hopper	hop, stand
acrobot	swingup
pendulum	swingup
finger	turn_hard
fish	swim

Table 3: The tasks from the DMC15 benchmark. We chose commonly-employed DMC tasks for which the optimal policy is not immediately found by SAC according to https://github.com/denisyarats/pytorch_sac#results.

A EXPERIMENTAL DETAILS

Our implementation of continuous control algorithms is based on the jaxrl codebase (Kostrikov, 2021). For REDQ, we use the best hyperparameters, as recommended by Chen et al. (2021), as well as a replay ratio of 20. For discrete control, we use a version of SPR implemented in Jax (Bradbury et al., 2018) in Dopamine (Castro et al., 2018)

A.1 FULL EXPERIMENTAL RESULTS

We report in Table 3 the full list of tasks for the DMC15 benchmark. In Figure 9, we show the scaling curve for DMC15-1M.

We report in Table 4 the full per-game results for SR-SPR and in Figure 10,11,12,13,14 full experimental results for SR-SAC. For completeness, we also report the performance of the modified settings and of REDQ at the same replay ratios.

B ADDITIONAL EXPERIMENTAL RESULTS

B.1 PARETO FRONTS COMPARISON

With the same approach used for studying the data/computation tradeoffs of SR-SAC, it also becomes possible to directly compare the performance of different replay ratio-scalable algorithms. As a simple example, we compare SR-SAC, its tandem version and SAC in Figure 15. The different lines

Figure 9: Scaling curve for SR-SAC and SAC on DMC15-1M.

Figure 10: Evaluation Returns on individual DMC15 environments for replay ratio 8.

Figure 11: Evaluation Returns on individual DMC15 environments for replay ratio 16.

Figure 12: Evaluation Returns on individual DMC15 environments for replay ratio 32.

Figure 13: Evaluation Returns on individual DMC15 environments for replay ratio 64.

Figure 14: Evaluation Returns on individual DMC15 environments for replay ratio 128.

Figure 15: Pareto fronts for SR-SAC and Tandem SR-SAC on DMC15 (5 seeds).

are Pareto curves, obtained by retaining the points that are dominating the other ones in terms of either data or computational budget, to reach an IQM of at least 600. On this plot, SAC simply appears as a point because, not allowing for effective replay ratio scaling, it can only reach the prescribed performance by using more data and a relatively small amount of computational resources.

B.2   COMPARISON WITH NEURAL FITTED Q-ITERATION

The approach we demonstrated for replay ratio scaling, for its relationship with offline RL and its use of resets, could resemble the classic NFQI algorithm (Riedmiller, 2005), which train from scratch, after each large batch of transitions, a Q-function. Our approach propagates information across resets mainly through the use of the replay buffer, having a fast target network updated alongside the regular agent training; NFQI instead propagates information primarily through a target network, which is updated once per reset. We implement an-friendly variant of NFQI on SR-SPR at replay ratio 16, performing one target network update upon each reset. However, we find that this leads to very poor performance (IQM 0.350), achieving barely half that of standard SR-SPR. Although we hypothesized that 2,500 environment steps (the standard reset interval for SR-SPR at replay ratio 16) might be too infrequent for target network updates, making this interval shorter did not improve performance. Although we cannot rule out the possibility that NFQI might be competitive at dramatically higher replay ratios, its inherent slowness in propagating information is likely to lead it to lag in data-efficient settings; any reset interval that is sufficiently long to allow for accurate estimation of the value function may lead to insufficiently rapid value propagation via target updates, and vice versa.

C   COMPUTATIONAL CONSIDERATIONS

For DMC, the running time depends on the individual environment, due to differences in dimensionality of the observation as well as physics simulation time. On an NVIDIA V100 GPU, at this highest replay ratio of RR=128, our code takes about 10.5 hours on `acrobot-swingup` and about 15 hours on `humanoid-run` to complete 500k environment steps. For a replay ratio of RR=32, which yields remarkable, even if not best, performance, the time goes down to just about 3 hours and about 4 hours respectively. which is well-below the typical demands of modern model-based RL methods. With careful seed parallelization, running SR-SAC with RR=32 for 5 seeds for all tasks in the DMC15-500k benchmark takes less than 4 GPU/days on an NVIDIA V100. For Atari 100k, running time depends primarily on the replay ratio chosen. At the highest replay ratio used (16) and with five seeds running in parallel, our code takes roughly 25 hours to complete 100k steps on an NVIDIA A100, yielding a cost of roughly 5 GPU/hours per training run.

Game	Random	Human	IRIS	SR-SPR:2	SR-SPR:4	SR-SPR:8	SR-SPR:16
Alien	227.8	7127.7	420.0	877.9	964.4	1015.5	**1107.8**
Amidar	5.8	1719.5	143.0	189.2	**211.8**	203.1	203.4
Assault	222.4	742.0	**1524.4**	891.9	987.3	1069.5	1088.9
Asterix	210.0	8503.3	853.6	836.7	894.2	**916.5**	903.1
Bank Heist	14.2	753.1	53.1	253.6	460.0	472.3	**531.7**
Battle Zone	2360.0	37187.5	13074.0	14493.5	17800.6	**19398.4**	17671.0
Boxing	0.1	12.1	**70.1**	36.1	42.0	46.7	45.8
Breakout	1.7	30.5	**83.7**	24.5	26.1	28.8	25.5
Chopper Command	811.0	7387.8	1565.0	1609.4	1933.7	2201.0	**2362.1**
Crazy Climber	10780.5	35829.4	**59324.2**	28004.7	38341.7	43122.3	45544.1
Demon Attack	152.1	1971.0	2034.4	2969.0	**3016.2**	2898.1	2814.4
Freeway	0.0	29.6	**31.1**	24.1	24.5	24.9	25.4
Frostbite	65.2	4334.7	259.1	1450.4	1809.9	1752.8	**2584.8**
Gopher	257.6	2412.5	**2236.1**	735.3	717.5	711.2	712.4
Hero	1027.0	30826.4	7037.4	6832.1	7195.7	7679.6	**8524.0**
Jamesbond	29.0	302.8	**462.7**	412.9	408.8	392.8	389.1
Kangaroo	52.0	3035.0	838.2	1651.2	2024.1	3254.9	**3631.7**
Krull	1598.0	2665.5	**6616.4**	5206.4	5364.3	5824.8	5914.4
Kung Fu Master	258.5	22736.3	**21759.8**	14165.6	17656.5	17095.6	18649.4
Ms Pacman	307.3	6951.6	999.1	1472.6	1544.7	1522.6	**1574.1**
Pong	-20.7	14.6	**14.6**	-10.5	-5.5	-3.0	2.9
Private Eye	24.9	69571.3	**100.0**	98.8	95.8	95.8	97.9
Qbert	163.9	13455.0	745.7	3431.7	3699.8	3850.6	**4044.1**
Road Runner	11.5	7845.0	9614.6	12199.0	**14287.3**	13623.5	13463.4
Seaquest	68.4	42054.7	661.3	714.7	766.6	800.5	**819.0**
Up N Down	533.4	11693.2	3546.2	61851.2	91435.2	95501.1	**112450.3**
Games > Human	0	0	**9**	7	8	**9**	**9**
IQM (↑)	0.000	1.000	0.501	0.444	0.544	0.589	**0.632**
Optimality Gap (↓)	1.000	0.000	0.512	0.516	0.470	0.452	**0.433**
Median (↑)	0.000	1.000	0.289	0.336	0.523	0.560	**0.685**
Mean (↑)	0.000	1.000	1.046	0.910	1.111	1.188	**1.272**

Table 4: Full results for individual games in Atari 100k for SR-SPR at various replay ratios.

Figure 16: Learning curves for SR-SPR (solid) and SPR (dashed) at various replay ratios. Note that all SR-SPR runs converge to similar TD errors, gradient norms and parameter norms, while these metrics greatly differ for SPR at different replay ratios. IQM training performance does not match evaluation performance, as ongoing training episodes are often disrupted by the reset procedure.