Open Source Vizier: Distributed Infrastructure and API for Reliable and Flexible Blackbox Optimization

Xingyou Song[1] Sagi Perel[1] Chansoo Lee[1] Greg Kochanski[1] Daniel Golovin[1]

[1]Google Brain Team

Abstract Vizier is the de-facto blackbox optimization service across Google, having optimized some of Google's largest products and research efforts. To operate at the scale of tuning thousands of users' critical systems, Vizier solved key design challenges in providing multiple different features, while remaining fully fault-tolerant. In this paper, we introduce Open Source (OSS) Vizier, a Python-based interface for blackbox optimization and research, based on the Google-internal Vizier infrastructure and framework. OSS Vizier provides an API capable of defining and solving a wide variety of optimization problems, including multi-metric, early stopping, transfer learning, and conditional search. Furthermore, it is designed to be a distributed system that assures reliability, and allows multiple parallel evaluations of the user's objective function. The flexible RPC-based infrastructure allows users to access OSS Vizier from binaries written in any language. OSS Vizier also provides a back-end ("Pythia") API that gives algorithm authors a way to interface new algorithms with the core Vizier system. OSS Vizier is available at https://github.com/google/vizier.

1 Introduction

Blackbox optimization is the task of optimizing an objective function f where the output $f(x)$ is the only available information about the objective. Due to its generality, blackbox optimization has been applied to an extremely broad range of applications, including but not limited to hyperparameter optimization (Yu and Zhu, 2020a), drug discovery (Shields et al., 2021), reinforcement learning (Parker-Holder et al., 2022), and industrial engineering (Zhang et al., 2020).

Google Vizier (Golovin et al., 2017) is the first hyperparameter tuning service designed to scale, and has thousands of monthly users both on the research[1] and production side at Google. Since its inception, Google Vizier has run millions of blackbox optimization tasks and saved a significant amount of computing and human resources to Google and its customers.

Figure 1: Vizier: An advisor.

This paper describes Open Source (OSS) Vizier, a Python implementation of Google Vizier's APIs. It consists of a *user API*, which allows users to configure and optimize their objective function, and a *developer API*, which defines abstractions and utilities for implementing new optimization algorithms. Both APIs consist of Remote Procedure Call (RPC) protocols (Section 3) to allow the setup of a scalable, fault-tolerant and customizable blackbox optimization system, and Python libraries (Sections 4.3 and 6) to abstract away the corresponding RPC protocols.

Compared to (Golovin et al., 2017), OSS Vizier features an evolved backend design for algorithm implementations, as well as new functionalities such as conditional search and multi-objective optimization. OSS Vizier's RPC API is based on Vertex Vizier[2], making OSS Vizier compatible with any framework which integrates with Vertex Vizier, such as XManager[3].

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[1]A list of research works that have used Vizier can be found in Appendix C.
[2]https://cloud.google.com/vertex-ai/docs/vizier/overview. [3]https://github.com/deepmind/xmanager.

2 Problem and Our Contributions

Blackbox optimization has a broad range of applications. Inside Google, these applications include: optimizing existing systems written in a wide variety of programming languages; tuning the hyperparameters of a large ML model using distributed parallel processes (Verbraeken et al., 2020); optimizing a non-computational objective, which can be e.g. physical, chemical, biological, mechanical, or even human-evaluated (Kochanski et al., 2017).

The blackbox optimization workflow greatly varies depending on the application. The evaluation latency can be anywhere between seconds and weeks, while the budget for the number of evaluations, or `Trials`, varies from tens to millions. Evaluations can be done asynchronously (e.g. ML model tuning) or in synchronous batches (e.g. wet lab experiments). Furthermore, evaluations may fail due to transient errors and should be retried, or may fail due to persistent errors (e.g. $f(x)$ cannot be measured) and should not be retried.

To handle all of these scenarios, OSS Vizier is developed as a **service**. The service architecture does not make assumptions on how `Trials` are evaluated, but rather simply specifies a stable API for obtaining `Trials` to evaluate and report results. Users have the freedom to determine when to request trials, how to evaluate trials, and when to report back results.

Another advantage of the service architecture is that it can collect data and metrics over time. At Google, where Vizier runs as a central service, we may track usage patterns to inform our research agenda, and our extensive database of runs serves as a valuable dataset for research into meta-learning and multitask transfer learning. This allows users to transparently benefit from the resulting improvements we make to the system.

2.1 Comparisons to Related Work

Table 1 contains a non-comprehensive list of open-source packages for blackbox optimization and hyperparameter tuning. Overall, OSS Vizier API is compatible with many of the features present in other open-source packages. We did not include commercial services for hyperparameter tuning such as Microsoft Azure, Amazon SageMaker, SigOpt and Vertex Vizier. For a comprehensive review, see (Yu and Zhu, 2020b). We divide the open-source packages into three categories:

- **Services** host algorithms in a server. OSS Vizier, Advisor (Chen, 2017) and OpenBox (Li et al., 2021), which are modeled after Google Vizier (Golovin et al., 2017), belong to this category. Services are more flexible and scalable than frameworks, at the cost of engineering complexities.

- **Frameworks** execute the entire optimization, including both the suggestion algorithm and user evaluation code. Ax (Facebook, 2021) and HpBandSter (ML4AAD, 2018) belong to this category. While frameworks are convenient, they often require knowledge on the system being optimized, such as how to manage resources and perform proper initialization and shutdown.

- **Libraries** implement blackbox optimization algorithms. HyperOpt (Bergstra et al., 2013), Emukit (Paleyes et al., 2019), and BoTorch (Balandat et al., 2020) belong to this category. Libraries offer the most freedom but lack scalability features such as error recovery and distributed/asynchronous trial evaluations. Instead, libaries are often used as algorithm implementations for frameworks or services (e.g. BoTorch in Ax).

One major architectural difference between OSS Vizier and other services is that OSS Vizier's algorithms may run in a separate service and communicate via RPCs with the API server, which performs database operations. With a distributed backend setup, OSS Vizier can serve algorithms written in different languages, scale up to thousands of concurrent users, and continuously process user requests without interruptions during a server maintenance or update.

Furthermore, there are other minor differences between the services. While OSS Vizier and OpenBox support distinguishing workers via the workers' logical ids (Section 5), Advisor does not.

Name	Type	Client Languages	Parallel Trials	Features*
OSS Vizier	Service	Any	Yes	Multi-Objective, Early Stopping, Transfer Learning, Conditional Search
Advisor	Service	Any	Yes	Early Stopping
OpenBox	Service	Any	Yes	Multi-Objective, Early Stopping, Transfer Learning, Parameter Constraints
HpBandSter	Framework	Python	Yes	
Ax + BoTorch	Framework	Python	Yes	Multi-Objective, Multi-fidelity, Early Stopping, Transfer Learning, Parameter and Outcome Constraints
HyperOpt	Library	Python	No	Conditional Search
Emukit	Library	Python	No	Multi-Objective, Multi-fidelity, Outcome Constraints

Table 1: Open Source Optimization Packages. *OSS Vizier supports the API only.

In addition, OSS Vizier's Python clients possess more sophisticated functionalities than Advisor's, while OpenBox lacks a client implementation and requires users to implement client code using framework-provided worker wrappers. OSS Vizier also emphasizes algorithm development, by providing a developer API called *Pythia* (Section 6) and utility libraries for state recovery. Other features of OSS Vizier include:

- OSS Vizier is one of the first open-source AutoML systems simultaneously compatible with a large-scale industry production service, Vertex Vizier, via our PyVizier library (Section 4.3).

- The backend of OSS Vizier is based on the standard Google Protocol Buffer library, one of the most widely used remote procedure call formats, which allows extensive customizability. In particular, the client (i.e. blackbox function to be tuned) can be written in any language and is not restricted to machine learning models in Python.

- OSS Vizier is extensively integrated with numerous other Google packages, such as Deepmind XManager for experiment management (Section 7).

3 Infrastructure

We briefly define a *Study* as all relevant data pertaining to an entire optimization loop, while a *Trial* or *Suggestion* as a suggested parameter x, potentially along with its relevant objective $f(x)$. We define these core primitives and extensions more programmically in Section 4.

3.1 Protocol Buffers

OSS Vizier's APIs are RPC interfaces that carry protocol buffers, or *protobufs/protos*[4], to allow simple and efficient inter-machine communication. The protos are language- and platform- independent objects for serializing structured data, which make building external software layers and wrappers onto the system straightforward. In particular, the user can provide their own:

- **Visualization Tools**: Since OSS Vizier securely stores all study data in its database, the data can then be loaded and visualized, with e.g. standard Python tools (Colab, Numpy, Scipy, Matplotlib) and other statistical packages such as R via RProtoBuf (Eddelbuettel et al., 2014). Front-end languages such as Angular/Javascript may also be used for visualizing studies.

- **Persistent Datastore**: The database in Vizier can changed based on the user's needs. For instance, a SQL-based datastore with full query functionality may be used to store study data.

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[4]https://github.com/protocolbuffers/protobuf

- **Clients**: Protobufs allow binaries written in Python, C++, and other languages to be tuned and/or used for evaluating the objective function. This allows Vizier to easily tune existing systems.

We explain the interactions between these components in a distributed backend below.

3.2 Distributed Backend

In order to serve multiple users while remaining fault-tolerant, Vizier runs in a distributed fashion, with a *server* performing the algorithmic proposal work, while users or *clients* communicate with the server via Remote Procedure Calls (RPCs) using the Client API, built upon gRPC [5]. A packet of RPC communication is formatted in terms of standard Google protobufs.

Figure 2: Pictorial representation of the distributed pipeline. The OSS Vizier server services multiple clients, each with their own types of requests. Such requests can involve running Pythia Policies, saving measurement data, or retrieving previous studies. Note that Pythia may run as a separate service from the API service.

To start an optimization loop, a client will send a `CreateStudy` RPC request to the server, and the server will create a new Study in its datastore and return the ID to the client. The main tuning workflow in Vizier will then involve the following repeated cycle of events:

1. The client sends a `SuggestTrials` RPC request to the server.

2. The server creates a `Operation` in its datastore, and starts a thread to launch a Pythia policy (i.e. blackbox optimization algorithm) to compute the next suggested trial(s). The server returns an `Operation` protobuf to the client to denote the computation taking place.

3. The client will repeatedly poll the server via `GetOperation` RPCs to check the status of the `Operation` until the `Operation` is done.

4. When the Pythia policy produces its suggestions, the server will store these suggestions into the `Operation` and mark the `Operation` done, which will be collected by the client's `GetOperation` ping.

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[5]https://grpc.io/

5. The client retrieves the suggested trials $x_i, ..., x_{i+n}$ stored inside the `Operation`, and returns objective function measurements $f(x_i), ..., f(x_{i+n})$ to the server via calls to the `CompleteTrial` RPC.

Server-side Fault Tolerance. The `Operations` are stored in the database and contain sufficient information to restart the computation after a server crash, reboot, or update.

Automated/Early Stopping. A similar sequence of events takes place when the client sends a `CheckTrialEarlyStoppingStateRequest` RPC, in which the policy determines if a trial's evaluation should be stopped, and returns this signal as a boolean via the `EarlyStoppingOperation` RPC.

Batched/Parallel Evaluations. Note that *multiple clients may work on the same study, and the same trial.* This is important for compute-heavy experiments (e.g. neural architecture search) which need to parallelize workload by using multiple machines, with each machine j evaluating the objective $f(x_j)$ after being given suggestion x_j from the server.

Client-side Fault Tolerance. When one of the parallel workers fails and then reboots, the Vizier service will assign the worker the same Trial as before. The worker can choose to load a model from the checkpoint to warm-start the evaluation.

4 Core Primitives

In Figure 3, we provide a pictorial example representation of how OSS Vizier's primitives are structured; below we provide definitions.

Figure 3: Example of a study that tunes a deep learning task, featuring relevant data types.

4.1 Definitions

A `Study` is a single optimization run over a feasible space. Each study contains a name, its description, its state (e.g. `ACTIVE`, `INACTIVE`, or `COMPLETED`), a `StudySpec`, and a list of evaluations and suggestions (`Trials`).

A `StudySpec` contains the configuration details for the `Study`, namely the search space $\mathcal{X}$ (constructed by `ParameterSpecs`; see §4.2), the algorithm to be used, automated stopping type (see Appendix B.1), the type of `ObservationNoise` (see Appendix B.2), and at least one `MetricSpec`, containing information about the metric f to optimize, including the metric name and the goal (i.e. whether to minimize or maximize f). Multiple `MetricSpecs` will be used for cases involving multiobjective optimization, where the goal is to find Pareto frontiers over multiple objectives $f_1, ..., f_k$.

A `Trial` is a single evaluation, containing both the input $x \in \mathcal{X}$ as well as the scalar value $f(x)$ or multiobjective values $f_1(x), ..., f_k(x)$. Each trial possesses a `State`, which indicates what stage of the optimization process the trial is in, with the two primary states being `ACTIVE` (meaning that x has been suggested but not yet evaluated) and `COMPLETED` (meaning that evaluation is finished, and typically that the objectives $(f_1(x), ..., f_k(x))$ have been calculated).

Both the `StudySpec` and the `Trials` can contain `Metadata`. Metadata is not interpreted by Vizier, but rather can be used by developers to store algorithm state, by users to store small amounts of arbitrary data, or as an extra communication medium between user code and algorithms.

4.2 Search Space

Search spaces can be built by combining the the following primitives, or `ParameterSpecs`:

- `Double`: Specifies a continuous range of possible values in the closed interval $[a, b]$ for some real values $a \le b$.

- `Integer`: Specifies an integer range of possible values in $[a, b] \in \mathbb{Z}$ for some integers $a \le b$.

- `Discrete`: Specifies a finite, ordered set of values from $\mathbb{R}$.

- `Categorical`: Specifies an unordered list of strings.

Furthermore, each of the numerical parameters {`Double, Integer, Discrete`} has a *scaling type*, which toggles whether the underlying algorithm is performing optimization in a transformed space. The scale type allows the user to conveniently inform the optimizer about the shape of the function, and can sometimes drastically accelerate the optimization. For instance, a user may use logarithmic scaling, which expresses the intent that a parameter ranging over $[0.001, 10]$ should roughly receive the same amount of attention in the subrange $[0.001, 0.01]$ as $[1, 0]$, which would otherwise not be the case when using uniform scaling.

Each parameter also can potentially contain a list of child parameters, each of which will be active only if the parent's value matches the correct value(s). This allows the notion of *conditional search*, which is helpful when dealing with search spaces involving incompatible parameters or parameters which only exist in specific scenarios. For example, this can be useful when competitively tuning several machine learning algorithms along with each algorithm's parameters. E.g. one could tune the following for the `model` parameter: {`"linear", "DNN", "random_forest"`}, each with its own set of parameters. Conditional parameters help keep the user's code organized, and also describe certain invariances to Vizier, namely that when `model="DNN"`, $f(x)$ will be independent of the `"random_forest"` and `"linear"` model parameters.

These parameter primitives can be used flexibly to build highly complex search spaces, of which we provide examples in Appendix A.

4.3 PyVizier

All the above objects are implemented as protos to allow RPC exchanges through the service, as mentioned in Section 3. However, for ease-of-access, each object is also represented by an equivalent *PyVizier* class to provide a more Pythonic interface, validation, and convenient construction (further details and examples are found in Appendix D.3). Translations to and from protos are provided

by the `to_proto()` and `from_proto()` methods in PyVizier classes. **PyVizier provides a common interface across all Vizier variants (i.e. Google's internal Vizier, Vertex Vizier, and OSS Vizier)**[6]. The two intended primary use cases for PyVizier are:

- Tuning user binaries. For such cases, the core PyVizier primitive is the `VizierClient` class that allows access to Vizier.

- Developing algorithms for researchers. In this case, the core PyVizier primitives are the Pythia `Policy` and `PolicySupporter` classes.

Both cases typically use the `StudyConfig` and `SearchSpace` classes to define the optimization, and the `Trial`, and `Measurement` classes to support the evaluation. We describe the two cases in detail below.

5 User API: Parallel Distributed Tuning with Vizier Client

```python
from vizier import StudyConfig, VizierClient

config = StudyConfig() # Search space, metrics, and algorithm.
root = config.search_space.select_root() # "Root" params must exist in every trial.
root.add_float('learning_rate', min=1e-4, max=1e-2, scale='LOG')
root.add_int('num_layers', min=1, max=5)
config.metrics.add('accuracy', goal='MAXIMIZE', min=0.0, max=1.0)
config.algorithm = 'RANDOM_SEARCH'

client = VizierClient.load_or_create_study(
    'cifar10', config, client_id=sys.argv[1]) # Each client should use a unique id.

while suggestions := client.get_suggestions(count=1)
  # Evaluate the suggestion(s) and report the results to Vizier.
  for trial in suggestions:
    metrics = _evaluate_trial(trial.parameters)
    client.complete_trial(metrics, trial_id=trial.id)
```

Code Block 1: Pseudocode for tuning a blackbox function using the included Python client. To save space, we did not use longer official argument names from the actual code.

The Vizier service must be set up first (see pseudocode in Appendix D.2), preferably on a larger machine capable of processing multiple RPCs in parallel. Then, replicas of Code Block 1 can be launched in parallel, each with a unique command-line argument to be used as the client id in Line 11. The first replica to be launched creates a new `Study` from the `StudyConfig`, which defines the search space, relevant metrics to be evaluated, and the algorithm for providing suggestions. The other replicas then load the same study to be worked on. There are a few important aspects worth noting in this setting:

- Vizier service does not make any assumptions about how `Trials` are evaluated. Users may complete `Trials` at any latency, and may do so with a custom client written in any language.

- Each `Trial` is assigned a `client_id` and only suggested to clients created with the same `client_id`. This design makes it easy for users to recover from failures during `Trial` evaluations; if one of the tuning binaries is accidentally shut down, users can simply restart the binary

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[6]For compatibility reasons, protos have slightly different names than PyVizier equivalents; e.g. `StudySpec` protos are equivalent to `StudyConfig` PyVizier objects. We describe conversions further in Appendix D.5.

with the same client id. The tuning binary creates a new client attached to the same study and Vizier suggests the same `Trial`.

- Multiple binaries can share the same `client_id` and collaborate on evaluating the same `Trial`. This feature is useful in tuning a large distributed model with multiple workers and evaluators.

- The client may optionally turn on automated stopping for objectives that can provide intermediate measurements (e.g. learning curves in deep learning applications). An example code snippet can be found in Appendix D.1.

6 Developer API: Implementing a New Algorithm Using Pythia Policy

6.1 Overview

As we have explained in Section 3, OSS Vizier runs its algorithms in a binary called the *Pythia service* (which can be the same binary as the API service). When the client asks for suggestions or early stopping decisions, the API service creates operations and sends requests to the Pythia service. This section describes the default python implementation of the Pythia service included in the open-source package.

The Pythia service creates a `Policy` object that executes the algorithm and returns the response. `Policy` is designed to be a minimal and general-purposed interface built on top of PyVizier, to allow researchers to quickly incorporate their own blackbox optimization algorithms. `Policy` is usually injected with a `PolicySupporter`, which is a mini-client specialized in reading and filtering `Trials`. As shown in Code Block 2, a typical `Policy` loads `Trials` via `PolicySupporter` and processes the request at hand.

```python
from vizier.pythia import Policy, PolicySupporter, SuggestRequest, SuggestDecisions

class MyPolicy(Policy):
  def __init__(self, policy_supporter: PolicySupporter):
    self.policy_supporter = policy_supporter  # Used to obtain old trials.

  def suggest(self, request: SuggestRequest) -> SuggestDecisions:
    """Suggests trials to be evaluated."""
    Xs, y = _trials_to_np_arrays(self.policy_supporter.GetTrials(
        status='COMPLETED')) # Use COMPLETED trials only.
    model = _train_gp(Xs, y)
    return _optimize_ei(model, request.study_config.search_space)
```

Code Block 2: Pseudocode for implementing a Gaussian Process Bandit.

6.2 State Saving via Metadata

The lifespan of a `Policy` object is equivalent to one suggestion or early stopping operation. This ephemeral nature of `Policy` is beneficial for building a scalable service (Section 3.2) but is not ideal for algorithms that can benefit from maintaining their own states.

The most notable examples are evolutionary algorithms, which have seen a surge in popularity since Vizier (Golovin et al., 2017) was first introduced. Evolutionary algorithms are the preferred method in a latency-sensitive loop for optimizing blackbox functions that can be evaluated quickly. A typical evolutionary algorithm iteratively updates its population pool and generates mutations to be suggested, both of which take constant time with respect to the number of previous trials. However, if the algorithm cannot maintain a persistent state between two suggestions, it would need to fetch all `Trials` in the `Study` and restore its state in $O(\text{number of previous trials})$ time.

PolicySupporter provides an easy-to-use API for developers to send algorithm states into Vizier's database as `Metadata`. `Metadata` is a key-value mapping with namespaces that help prevent key collisions. There are two tables for metadata in the database: one attached to the `StudySpec` and another to each `Trial`. A `Policy` can restore its last saved state from metadata, reflect the recently added `Trials`, and process the operation at hand. We provide example code for this functionality in Appendix D.4

7 Integrations

OSS Vizier is also compatible with multiple other interfaces developed at Google as well. These include:

- Vertex Vizier whose Protocol Buffer definitions are exactly the same[7] as OSS Vizier's. This consistency also allows a wide variety of other packages (discussed below) pre-integrated with Vertex Vizier to be used with minimal changes.

- Deepmind XManager experiments currently can be tuned by Vertex Vizier[8] through `VizierWorker`. This worker can also be directly connected to an OSS Vizier server to allow custom policies to manage experiments.

- OSS Vizier will also be the core backend for PyGlove (Peng et al., 2020)[9], which is a symbolic programming language for AutoML, in particular facilitating combinational and evolutionary optimization which are common in neural architecture search applications.

8 Conclusion, Limitations and Broader Impact Statement

Conclusion. We discussed the motivations and benefits behind providing OSS Vizier as a service in comparison to other blackbox optimization libraries, and described how our gRPC-based distributed back-end infrastructure may be deployed as a fault-tolerant yet flexible system that is capable of supporting multiple clients and diverse use cases. We further outlined our client-server API for tuning, our algorithm development Pythia API, and integrations with other Google libraries.

Limitations. Due to proprietary and legal concerns, we are unable to open-source the default algorithm used in the tuning service for Google employees and Cloud customers. Furthermore, this paper intentionally does not discuss algorithms or benchmarks, as the emphasis is on the systems aspect of AutoML. Algorithms may easily be added as policies to OSS Vizier's collection over time from contributors.

Broader Impact. While there are a rich collection of sophisticated and effective AutoML algorithms published every year, broad adoption to practical use cases still remains low, as only 7% of the ICLR 2020 and NeurIPS 2019 papers used a tuning method other than random or grid search (Bouthillier and Varoquaux, 2020). In comparison, Google Vizier is widely used among multiple researchers at Google, including for conference submissions. We hope that the release of OSS Vizier and its similar benefits may significantly improve the reach of AutoML techniques to users.

In terms of potential negative impacts, optimization as a service encourages central storage of data with the attendant risks and benefits. However, in our experience, the most impactful applications of Vizier typically occur when Vizier's parameters and measurements correspond to aggregate data (e.g. the learning rate of a ML algorithm, or e.g. the number of threads in a server) rather than data that describes individuals. Further, data received by Vizier can be obscured to a degree: names can be encrypted, and (within limits) differential privacy approaches can be applied to the parameters and measurements.

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[7]https://cloud.google.com/vertex-ai/docs/reference/rest/v1beta1/StudySpec.
[8]https://github.com/deepmind/xmanager/tree/main/xmanager/vizier.   [9]PyGlove will be open-sourced soon.

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