Response to Reviewers

We greatly appreciate the constructive comments and the opportunity we were given to improve our paper. Following your comments and advice, we revised our manuscript to include missing details to make our study more sound and complete. Within the points, we also reviewed all the detailed comments from the reviewers with our best efforts. We refer to specific locations of each change using **Review X-XX** . You can click **X** ("on page **X**") to jump to the modified location. We are currently on revision R2.2.

Reviewer #SPN2: A.1. The accuracy metrics are not clearly defined and show inconsistent results across the different experiments. For example, the final accuracy of the Base (non-early exit enabled) on CIFAR100-ResNet50 is 78.98% in Table 2, which I would expect to be an approximate upper bound on accuracy based on Table 3 from (Kaya et al., 2019). However, the early exits 1-4 in Figure 2 achieve 100% GT Accuracy at 100% confidence, while the early-exit enabled model achieves roughly 86% accuracy at 95% confidence in Figure 4. In both experiments, I expected these accuracies to be closer and roughly upper bounded by the Base model (78.98%). Recommendation: Similar to the tables of (Kaya et al., 2019), I recommend adding the accuracy of the original model (non-exit enabled) across tables and figures for reference.

Thank you for your important and insightful comment.

There are two folds in this issue (inconsistent accuracies): firstly, the inconsistency between results in Figure 2 and those reported in Table 2 and Figures 3 and 4 are not wrong. Figure 2, reports layer-by-layer accuracy, while the Table 2 and Figures 3 and 4 report the final accuracy. Individual early-exit layers' accuracies might be different than the final early-exit-enabled model's accuracy, since layer-wise accuracies are calculated only for hit cases per layer, which typically result in higher accuracies. For example, out of 5000 inputs, a particular layer may serve as an early exit for only 5 inputs at a confidence level of 99%. Even high accuracy in these cases would only slightly affect the final accuracy.

Secondly, there are was an error in our final accuracy results in Figure 3 and 4, where we, mistakenly, reported train and test datasets' accuracy together. Thanks to your comment, we found the error and now the results are only for the test sets. Looking at the new results, we see that e.g., the upper bound accuracy are indeed at 78.98% for CIFAR 100-ResNet50, as you pointed out.

According to your recommendation, we also added the original model's accuracy in Figure 3 and 4 now. Please note that Table 2 already had this value in column "Base". Table 3 and 4 also refer to that in the drop in accuracy column.

An important point to note is that our Base model represents the upper bound of our work, as it is built on a pre-trained model. However, this does not necessarily apply to other baselines, as they may use different approaches for training.

Reviewer #SPN2: A.2. The unsupervised problem setup and evaluation are not clearly defined. Given that the training of the early exits is done on the test set, does this mean the model is not deployed yet? Is this training phase on the test set considered a warm-up? Or are predictions being provided to the target application while also training early exits? How were other methods trained given that, to my knowledge, they require access to the training data? Were they also trained in an unsupervised way? Were other methods evaluated on the entire test set or just the second half of the test data, similar to your method? Recommendation: To address these comments, I recommend adding a formulation of the problem setup in the methodology section since the proposed unsupervised training is part of your contribution and may not be clear to the reader. I also recommend discussing how other methods, which may originally train on labeled data, are adapted to this framework. As a suggestion, this could be under a "Baselines" subsection in Section 4.

Thanks for you comment. Other baseline methods train the layers in the training phase together with the base model on the training set. In our approach, which is now have been clarified in the Methodology Section, we (1) do not do any training on the train set, (2) we only train on the test set (a warm up period; first part of the test set in our experiments), (3) we also do NOT need this test set to be labelled. Regarding the evaluation, all methods, baselines, original model, and the early-exit-enalbed ones are all evaluated on the other half of the test set, to be fair.

We have provided a new subsection (Baselines) to address this concern.
The content marked with **Review 1-1** **on page 19** is the location of the change.

Reviewer #SPN2: A.3. The comparison with other methods is not performed in a standardized way. At which confidence % were the results reported in section 4.5.6 (NOW 4.6.6)? If these were reported at fixed confidence %, this may make the evaluation subjective and could be dataset-specific. While it is great to show a trend of trade-offs between performance and latency, a more standardized approach for benchmarking would be preferable. Recommendation: Since this work is based on (Kaya et al., 2019) and to be consistent with previous works, I recommend showing the comparison results at defined points of inference costs similar to Table 3 of (Kaya et al., 2019).

Initially, our results were reported at the confidence level of 0.9. We have now re-ran the experiment with fixed confidence levels of: 0.25, 0.5, and 0.75. The results of these additional tests are now included in a new table in the appendix D.

Reviewer #SPN2: B.1. Section 3.5 seems to be incomplete or out of context. At the beginning of the section, the discussion appears to be about the implementation of two tasks: image classification and object detection. However, the rest of the section only covers image classification for pedestrian detection. Then, at the end of the section, a recommendation system task is introduced abruptly without sufficient context. I recommend revising Section 3.5 to clarify its objective.

We appreciate your feedback and have revised Section 3.5, accordingly.
The content marked with **Review 1-2** **on page 15** is the location of the change.

Reviewer #SPN2: B.2. Writing clarity: I highly recommend revising the document thoroughly in terms of language and grammar. For example:

- ***There are several mentions of the term "a early exit", which should be "an early exit".***
- ***The phrase "acceleration inference" in Section 2.1 should be "accelerating inference".***

We thank your valuable comment. We reviewed the paper again and have resolved any writing issues we found including the mentioned ones.

Reviewer #SPN2: B.3. Terminology consistency: There are a few mentions of "mean precision" and "final precision". It seems precision and accuracy are used interchangeably in the document, even though they are different metrics. Do these references actually indicate the precision metric? If so, I suggest defining them in the metrics.

Thanks for noticing this error. We have indeed calculated accuracy and those "mean precision" and "final precision" should have been "mean accuracy" and "final accuracy". We have now fixed all those typos.

Reviewer #SPN2: Although this is obvious, it may be worth mentioning that deploying this approach in safety-critical applications (e.g., autonomous cars) to improve inference speed

may result in accuracy degradation, which could lead to potential accidents.

We thank your comment. we've mentioned it in the discussion section.
The content marked with **Review 1-3** **on page 28** is the location of the change.

An Unsupervised Early Exit Mechanism for Deep Neural Networks

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Paper under double-blind review

Abstract

Deep Neural Networks (DNNs) have become an essential component in many application domains, including web-based services. A variety of these services require high throughput and (close to) real-time features, for instance, to respond or react to users' requests or to process a stream of incoming data on time. However, the trend in DNN design is towards larger models with many layers and parameters to achieve more accurate results. Although these models are often pre-trained, the computational complexity in such large models can still be relatively significant, hindering low inference latency. In this paper, we propose an end-to-end automated early exiting solution to improve the performance of DNN-based services in terms of computational complexity and inference latency. Our method adopts the ideas of self-distillation of DNN models and early exits. The proposed solution is an automated unsupervised early exiting mechanism that allows early exiting of a large model during inference time if the early exit model in one of the early exits is confident enough for final prediction. One of the main contributions of this paper is that we have implemented the idea as an unsupervised early exiting, meaning that the early exit models do not need access to training data and perform solely based on the incoming data at run-time, making it suitable for applications using pre-trained models. The results of our experiments on two downstream tasks (image classification and object detection) show that, on average, early exiting can reduce the computational complexity of these services up to 58% (in terms of FLOP count) and improve their inference latency up to 46% with low to zero reduction in accuracy. Our approach also outperforms existing approaches, particularly when applied on complex models and larger datasets. It achieves a remarkable reduction in latency of 51.6% and 30.4%, exceeding the Gati and BranchyNet methods for CIFAR100-Resnet50. This enhancement is accompanied by an increase of 2.31% and 0.72% in the mean accuracy, further highlighting the superiority of our approach in demanding scenarios.

1 Introduction

Deep Neural Networks (DNNs) are incorporated in real-world applications used by a wide spectrum of industry sectors including healthcare (Shorten et al., 2021; Fink et al., 2020), finance (Huang et al., 2020; Culkin, 2017), self-driving vehicles (Swinney & Woods, 2021), and cybersecurity (Ferrag et al., 2020). These applications utilize DNNs in various fields such as computer vision (Hassaballah & Awad, 2020; Swinney & Woods, 2021), audio signal processing (Arakawa et al., 2019; Tashev & Mirsamadi, 2017),and natural language processing (Otter et al., 2021). Many services in large companies such as Google and Amazon have DNN-based back-end software (e.g., Google Lens and Amazon Rekognition) with tremendous volume of queries per second. For instance, Google processes over 99,000 searches every second (Mohsin, 2022) and spends a substantial amount of computation power and time on the run time of their models (Xiang & Kim, 2019). These services are often time-sensitive and resource-intensive and require high availability and reliability.

Now the question is how fast the current state-of-the-art (SOTA) DNN models are at inference time and to what extent they can provide low-latency responses to queries. The SOTA model depends on the application domain and the problem at hand. However, the trend in DNN design is indeed toward pre-trained large-scale

models due to their reduced training cost (only fine-tuning) while providing dominating results (since they are huge models trained on an extensive dataset).

One of the downsides of large-scale models (pre-trained or not) is their high inference latency. Although the inference latency is usually negligible per instance, as discussed, a relatively slow inference can jeopardize a service's performance in terms of throughput when the QPS is high.

In general, in a DNN-based software development and deployment pipeline, the inference stage is part of the so-called "model serving" process, which enables the model to serve inference requests or jobs (Xiang & Kim, 2019) by directly loading the model in the process or by employing serving frameworks such as TensorFlow Serving (Olston et al., 2017) or Clipper (Crankshaw et al., 2017).

The inference phase is an expensive stage in the life cycle of a deep neural model in terms of time and computation costs (Desislavov et al., 2021). Therefore, efforts towards decreasing the inference cost in production have increased rapidly over the past few years.

From a system engineering perspective, caching is a standard practice to improve the performance of software systems, helping to avoid redundant computations. Caching is the process of storing recently observed information to be reused when needed in the future, rather than re-computation (Wessels, 2001; Maddah-Ali & Niesen, 2014). Caching is usually orthogonal to the underlying procedure, meaning that it is applied by observing the inputs and outputs of the target procedure and does not engage with the internal computations of the cached function.

Caching effectiveness is best observed when the cached procedure often receives duplicated input while in a similar internal state, for instance, accessing a particular memory block, loading a web page, or fetching the books listed in a specific category in a library database. It is also possible to adopt a standard caching approach with DNNs (e.g., some work caches a DNN's output solely based on its input values (Crankshaw et al., 2017)). However, it would most likely provide a meager improvement due to the high dimension and size of the data (such as images, audios, texts) and low duplication among the requests.

However, due to the feature extraction nature of deep neural networks, we can expect the inputs with similar outputs (e.g., images of the same person or the same object) to have a pattern in the intermediate layers' activation values. Therefore, we exploit the opportunity to cache a DNN's output based on the intermediate layer activation values. In this way, **we can cache the results not by looking at the raw inputs but by looking at their extracted features in the intermediate layers within the model's forward-pass**.

The intermediate layers often have dimensions even higher than the input data. Therefore, we use shallow classifiers (Kaya et al., 2019) to replace the classic cache-storing and look-up procedures. A shallow classifier is a supplementary model attached to an intermediate layer in the base model that uses the intermediate layer's activation values to infer a prediction. In the caching method, training a shallow classifier on a set of samples mimics the procedure of storing those samples in a cache storage, and inferring for a new sample using the shallow classifier mimics the look-up procedure.

In this paper, we propose early exiting the predictions made by standard classification models using shallow classifiers trained using the samples and information collected at inference time. We first evaluate the rationality of our method in our first research question by measuring how it affects the final accuracy of the given base models and assessing the effectiveness of the parameters we introduce (tolerance and confidence thresholds) as a knob to control the early exiting certainty. We further evaluate the method in terms of computational complexity and inference latency improvements in the second and third research questions. We measure these improvements by comparing the FLOPs count, memory consumption, and inference latency for the original model vs. the early exit-enabled version that we build throughout this experiment. We observed a reduction of up to 58% in FLOPs, an acceleration of up to 46% in inference latency while inferring on the CPU and up to 18% on GPU, with less than 2% drop in accuracy. Our method demonstrates remarkable performance across a range of models and datasets. For the simplest model and dataset, CIFAR10-resnet50, it offers a substantial reduction of 52.2% and 32.4% in latency, with only a minor decrease of 6.9% and 1.6% in accuracy compared to the BranchyNet and Gati methods, respectively. Furthermore, in the case of the more complex CIFAR100-Resnet50 model and dataset, our method achieves a significant reduction

in latency of 51.6% and 30.4%, while simultaneously enhancing the mean accuracy by 2.31% and 0.72% compared to the Gati and BranchyNet methods.

In summary the contributions of this paper are:

- Proposing an early exiting method for the predictions made by off-the-shelf image classifiers and object detection models, which only uses unlabelled samples collected at inference time.
- Automating the process of designing the supplementary models used for early exiting and tuning their parameters used for determining the early exit hits, by AutoML methods.
- Empirically evaluating the proposed early exiting method using six publicly available off-the-shelf models on five datasets (CIFAR-10, CIFAR-100, LFW, Cityscapes, Criteo), in terms of computational complexity and inference time reduction.

In the rest of the paper, we discuss the background and related works in section 2, details of the method in section 3, design and evaluation of the study in section 4, and we conclude the discussions in section 5.

2 Related Works

In this section, we briefly review the topics related to the model inference optimization problem. Following this, we introduce the techniques used to build the early exiting procedure.

2.1 Inference Optimization

There are two perspectives that address the model inference optimization problem. The first perspective focuses on optimizing the model deployment platform and covers a broad range of optimization goals (Yu et al., 2021). These studies often target deployment environments in resource-constrained edge devices (Liu et al., 2021; Zhao et al., 2018) or resourceful cloud-based devices (Li et al., 2020a). Others focus on hardware-specific optimizations (Zhu & Jiang, 2018) and inference job scheduling (Wu et al., 2020).

The second perspective is focused on minimizing the model's inference compute requirements by compressing the model. Among model compression techniques, model pruning (Han et al., 2015; Zhang et al., 2018; Liu et al., 2019b), model quantization (Courbariaux et al., 2015; Rastegari et al., 2016; Nagel et al., 2019), and model distillation (Bucila et al., 2006; Polino et al., 2018; Hinton et al., 2015) are widely used. These ideas alleviate the model's computational complexity by pruning the weights, computing the floating-point calculations at lower precision, and distilling the knowledge from a teacher (more complex) model into a student (less complex) model, respectively. These techniques modify the original model and often cause a fixed amount of loss in test accuracy. In (Liu et al., 2019a) and (Li et al., 2020b), the authors use search algorithms for channel pruning, and they suggest compressed networks with lighter models, which lead to a lower accuracy but faster inference. In fact, in most cases in these studies, either they offer great computation (latency), but with poor accuracy, or a reasonable accuracy with poor latency. The main disadvantage of these methods is that the computation cannot be tuned with any parameters. In practice, though, to what extent one wants to sacrifice accuracy for faster inference is project dependent and must be tunable.

As we mentioned in the motivation, accelerating inference is crucial for sensitive real-time approaches such as autonomous vehicles. Most of the methods focus on partitioning and offloading calculations to the edge ((Mohammed et al., 2020)). However, achieving faster decisions for a vehicle to detect a pedestrian requires a more immediate reaction than outsourcing data to the edge. We have applied our method to state-of-the-art object detection approaches, such as Mask R-CNN ((He et al., 2017)) using popular urban datasets, showing a significant improvement even when using unlabeled data.

2.2 Early-Exits in DNNs

"Early exit" generally refers to an alternative path in a DNN model which can be taken by a sample instead of proceeding to the next layers of the model. Many previous works have used the concept of early exit

for different purposes (Xiao et al., 2021; Scardapane et al., 2020; Matsubara et al., 2022; Haseena Rahmath et al., 2023). (Panda et al., 2016) is one of the early works in this area. They tried to terminate classification by cascading a linear network of output neurons for each convolutional layer and monitoring the output of the linear network to decide about the difficulty of input instances and conditionally activate the deeper layers of the network. But they have not mentioned anything about the inference time and accuracy/time trade-off issue. BranchyNet ((Teerapittayanon et al., 2016)), (Pacheco et al., 2021) and (Ebrahimi et al., 2022) also utilize their old observation that features were learned in an early layer of a network to make an early exit. However, they require labeled data to train their models, rendering them unsuitable for use with unlabeled data. Shallow Deep Networks (SDN) (Kaya et al., 2019) points out the "overthinkingproblem " in deep neural networks. "Overthinking" refers to models spending a fixed amount of computational resources for any query sample, regardless of their complexity (i.e., how deep the neural network should be to infer the correct prediction for the sample). Their research proposes attaching shallow classifiers to the intermediate layers in the model to form the early-exits. Each shallow classifier in SDN provides a prediction based on the values of the intermediate layer to which it is attached.

On the other hand, (Xiao et al., 2021) incorporates the shallow classifiers to obtain multiple predictions for each sample. In their method, they use early exits as an ensemble of models to increase the base model's accuracy.

The functionality of the shallow classifiers in our proposed method is similar to that of SDN. However, the SDN method trains the shallow classifier using ground truth data from the training set and ignores the available knowledge in the original model. This constraint renders the proposed method useless when using a pre-trained model without access to the original training data, which is commonly the case for practitioners.

2.3 DNN Distillation and Self-distillation

Among machine learning tasks, the classification category is one of the significant use cases where DNNs have been successful in recent years. Classification is applied to a wide range of data, such as classification of images (Bharadi et al., 2017; Xia et al., 2021), text (Varghese et al., 2020), audio (Lee et al., 2009), and time series (Zheng et al., 2014).

Knowledge distillation(KD) (Bucila et al., 2006; Polino et al., 2018; Hinton et al., 2015) is a model compression method that trains a relatively small (less complex) model known as the student to mimic the behavior of a larger (more complex) model known as the teacher. Classification models usually provide a probability distribution (PD) representing the probability of the input belonging to each class. KD trains the student model to provide similar PDs (i.e., soft labels) to the teacher model rather than training it with just a class label for each sample (i.e., hard labels). KD uses specialized loss functions in the training process, such as Kullback-Leibler Divergence (Joyce, 2011) to measure how one PD is different from another.

KD is usually a 2-step process consisting of training a large complex model to achieve high accuracy and distilling its knowledge into a smaller model. An essential challenge in KD is to choose the right teacher and student models. Self-distillation (Zhang et al., 2021) addresses this challenge by introducing a single-step method to train the teacher model along with multiple shallow classifiers. Each shallow classifier in self-distillation is a candidate student model, which is trained by distilling the knowledge from one or more of the deeper classifiers. In contrast to SDN, self-distillation utilizes knowledge distillation to train shallow classifiers. However, it still trains the base model from scratch along with the shallow classifiers, using the original training set. This training procedure conflicts with our objectives in both aspects. Specifically, we use a pre-trained model and keep it unchanged throughout the experiment and only use inference data to train the shallow classifiers.

In (Leontiadis et al., 2021) the authors present a method for enhancing CNN efficiency through early exits. Using supervision, self-supervision, and self-distillation, you can personalize the device, using both labeled and unlabeled data. This allows for dynamic adaptation with varying data availability, focusing on training enhancements. Our work is different by not altering the main model, but instead utilizing early exit layers updated solely during the inference time. This is done to improve latency, without the need for labeled data during these updates, which offers a modular solution with minimal modifications to existing systems.

Our work modifies and puts the presented methods in SDN and self-distillation in the context of early exiting the final predictions of pre-trained DNN models. The method trains the shallow classifiers using only the unlabeled samples collected at run-time and measures the improvement in inference compute costs achieved by the early exits throughout the forward passes.

2.4 DNN Prediction Early Exiting

Clipper (Crankshaw et al., 2017) is a serving framework that incorporates early exiting DNNs predictions based on their inputs. Freeze inference (Kumar et al., 2019) investigates the use of traditional ML models such as K-NN and K-Means to predict based on intermediate layer values. They show that the size and computation complexity of those ML models grows proportionally with the number of available samples, and their computational overheads by far exceed any improvement. In Learned Early Exits, (Balasubramanian et al., 2021) extend Freeze Inference by replacing the ML models with a pair of DNN models. A predictor model that predicts the results and a binary classifier that predicts whether the result should be used as the final prediction. Their method uses ground-truth data in the process of training the predictor and selector models. In contrast, our method 1) only uses unlabeled inference data, 2) automates the process of early exit-enabling, 3) uses a confidence-based early exit hit determination, and 4) handles batch processing by batch shrinking.

3 Methodology

In this section, we explain the method to convert a pre-trained deep neural model (which we call the backbone) to its extended version with our early exiting method (called early exit-enabled model). The early exiting method adds one or more early-exit paths to the backbone, controlled by the shallow classifiers (which we call the early exit models), allowing the model to infer a decision faster at run-time for some test data samples (early exit hits). Faster decisions for some queries will result in a reduced mean response time.

"Early Exit model" is a supplementary model that we attach to an intermediate layer in the backbone, which given the layer's values provides a prediction (along with a confidence value) for the backbone's output. Just a reminder that as our principal motivation, we assume that the original training data is unavailable for the user, as is the case for most large-scale pre-trained models used in practice. Therefore, in the rest of the paper, unless we explicitly mention it, the terms dataset, training set, validation set, and test set all refer to the whole available data at run-time or a respective subset.

Our procedure for enabling a pre-trained model to early exit is derived primarily from the self-distillation method (Zhang et al., 2021). However, we adapt this method to early exit-enable pre-trained models using only their recorded outputs through unsupervised learning, without access to the ground truth (GT) labels. The novel aspects of our approach consists of selecting the best early exit model through Neural Architecture Search (NAS) followed by an inference-time early exit training. This approach helps us refine our early exit strategy based on performance feedback, with the aim of optimizing both accuracy and inference speed across all stages of the network. Since we employ early exit models in a sequence, if an early early exit model wrongly triggers an incorrect early exit, later early exit models will not have a chance to engage even if they would have been successful. Therefore, an individual early exit model's accuracy/hit rate does not guarantee overall good results, since the final outcomes depend on the earlier early exit model's performance as well.

A step-by-step guide on early exit-enabling an off-the-shelf pre-trained model from a user perspective contains the following steps:

1. **Identify the candidate layers to be early exit** This step involves analyzing each selected model to identify potential positions for early exit layers. Layers whose outputs are independent of other layers' states are chosen as candidates, enabling training possibilities.

2. **Build an early exit model for each candidate** Using Neural Architecture Search (NAS), we evaluate all possible subsets for these early exit layers. NAS also scores the different architectures defined for our early exit models.

3. **Assign confidence thresholds to built models to determine early exit hits** Each early exit model features a softmax as the final layer. In this step, we assign confidence thresholds to the constructed models to determine early exit hits. The probability value associated with the predicted output reflects the model's confidence in its prediction. This confidence level for a given input determines whether we accept that prediction as an early exit hit or continue processing through the rest of the backbone model.

4. **Evaluate and optimize the early exit-enabled model** In this step, we evaluate and optimize the early exit-enabled model in the inference mode and also present our algorithm to update all early exit layers.

5. **Early-Exit Optimization Implementation** In this step, we implement the algorithm and train all the early exit models using unsupervised learning (without using any ground truth) on the datasets we have mentioned.

6. **Update and maintenance** In this step, we periodically update early exit models by retraining them with newly collected inference samples and adjusting their confidence thresholds.

In subsections 3.1 to 3.6, we further discuss the procedure and design decisions in each step outlined above.

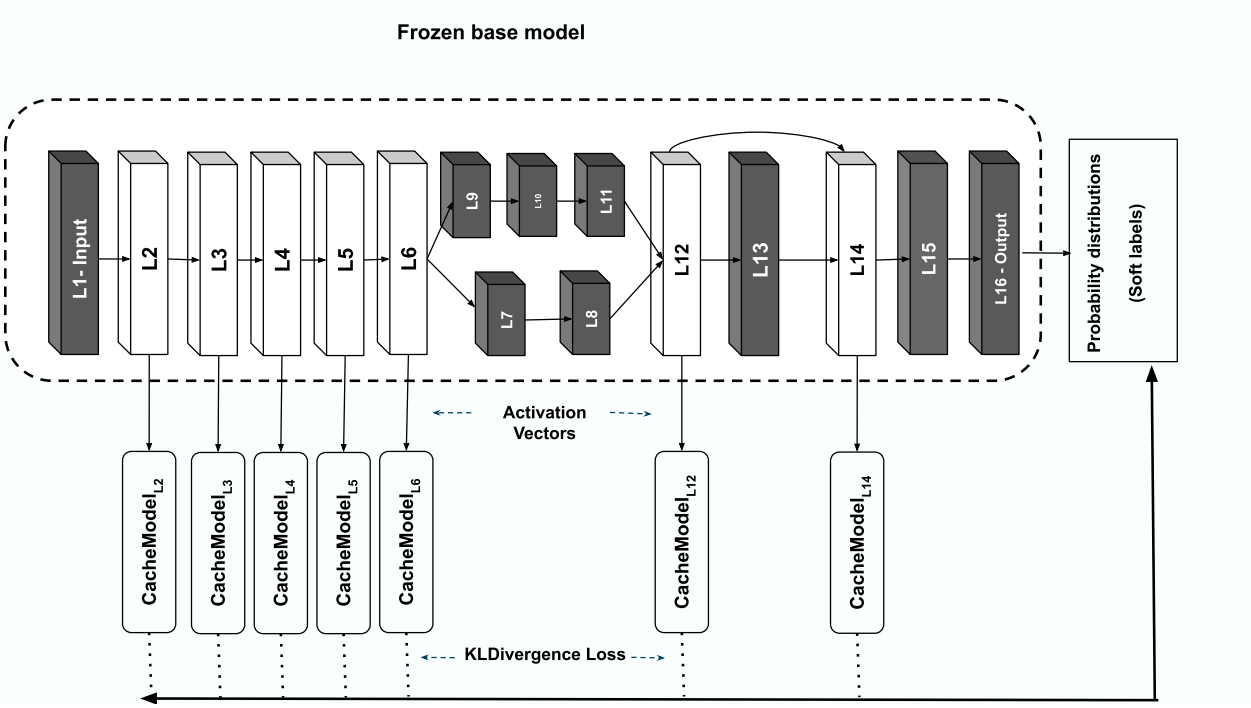

Figure 1: Early Exit-enabling procedure, candidate layers, and data paths.

3.1 Identifying candidate layers

Choosing which layers to early exit is the first step towards early exit-enabling a model. A candidate layer is a layer that we will examine its values' correlation to the final predictions by training an early exit model based on them. One can simply list all the layers in the backbone as candidates. However, since we launch a search for an early exit model per candidate layer in the next step, we suggest narrowing the list by filtering out some layers with the following criteria:

- Some layers are disabled at inference time, such as dropouts and batch normalizations. These layers do not modify their input values at inference time. Therefore, we cross them off the candidate list.

- Some of the last layers in the model (close to the output layer, such as `L15` in Figure 1) might not be valuable candidates for early exiting, since the remaining layers might not have heavy computations to reach the output.

- DNN models usually are composed of multiple components (i.e. first-level modules) consisting of multiple layers such as multiple residual blocks in ResNet models He et al. (2016)). We narrow down the search space to the outputs layers in those components.

- We only consider the layers, for which, given their activation values, the backbone's output is uniquely determined without any other layer's state involved (i.e., the backbone's output is a function of the layer's output). In other words, a layer with other layers or connections in parallel (such as `L7-L11` and `L13` in the Figure 1) is not suitable for early exiting, since the backbone's output does not solely depend on the layer's output.

Having the initial set of the candidate layers, we next build and associate an early exit model to each one.

3.2 Building early exit models

Building an early exit model to be associated with an intermediate layer in the backbone consists of finding a suitable architecture for the early exit model and training the model with that architecture. The details of the architecture search (search space, search method, and evaluation method) and the training procedure (training data extraction and loss function) are discussed in the following two subsections.

3.2.1 Early Exit models architecture

An early exit model can have an architecture of any depth and breadth size, as long as it provides more computational improvement than its overhead. In other words, it must have substantially less complexity (i.e., number of parameters and connections) than the rest of the layers in the backbone that come after the corresponding intermediate layer. The search space for such models would contain architectures with different numbers and types of layers (e.g., a stack of dense and/or convolution layers). However, all models in the search space must produce a PD identical to the output of the backbone in terms of size (i.e, the number of classes) and activation (e.g., SoftMax or LogSoftMax).

In our experiments, the search space consists of architectures with a stack of (up to 2) convolution layers followed by another stack of (up to 2) linear layers, with multiple choices of kernel and stride sizes for the convolutions and neuron counts for the linear layers. However, users can modify or expand the search space according to their specific needs and budget.

The objective of the search is to find a minimal architecture that converges and predicts the backbone's output with acceptable accuracy. Note that any accuracy given by an early exit model (better than random) can be helpful, as we will have a proper selection mechanism later in the process to only use the early exit predictions that are (most likely) correct, and also to discard the early exit models yielding low computational improvement.

The user can conduct the search by empirically sampling through the search space or by using a automated Neural Architecture Search (NAS) tool such as Auto-Keras (Jin et al., 2019), Auto-PyTorch (Zimmer et al., 2021), Neural Network Intelligence (NNI) (Microsoft, 2022), or NASLib (Ruchte et al., 2020). However, we used NNI to conduct the search and customized the evaluation process to account for the models' accuracy and their computational complexity. We have used the floating point operations (FLOPs) count as the estimation for the models' computational complexity in this stage.

Several factors influence the architecture of an early exit model for a given intermediate layer. These factors include the dimensions of the intermediate target layer, its position in the backbone, and the data set specifications, such as its number of target classes. For example, the first early exit models in the CIFAR100-Resnet50 and CIFAR10-Resnet18 experiments (shown as early exit1 in Figure 6) have the same input size, but since CIFAR100 has more target classes, it reasonably requires an early exit model with more learning

capacity. Therefore, using NAS to design the early exit models helps automate the process and alleviate deep learning expert supervision in designing the early exit models.

NAS tries to minimize the total accuracy no more than the tolerance so for all possible subsets, there will be a maximum range. This method does not guarantee the best score, but is a good solution. Subsets with the best score will be selected as our early exit system which will be added inside the model to be trained individually by the predictions and perform early exits.

Regardless of the search method, evaluating a nominated architecture requires training a model with the given architecture, of which we discuss the procedure in the next section. Moreover, since the search space is limited in depth, it is possible that, for some intermediate layers, neither of the early exit models converges (i.e., the model provides nearly random results). In such cases, we discard the candidate layer as non-suitable for early exiting.

3.2.2 Training an early exit model

Figure (1) illustrates the schema of an early exit-enabled model consisting of the backbone (the dashed box) and the associated early exit models. The objective of an early exit model is to predict the output of the backbone model, given the corresponding intermediate layer's output, per input sample.

Similarly to the backbone, early exit models are classification models. However, their inputs are the activation values in the intermediate layers. As suggested in self-distillation (Zhang et al., 2021), training an early exit model is essentially similar to distilling the knowledge from the backbone (final classifier) into the early exit model.

Therefore, to distill the knowledge from the backbone into the early exit models, we need a medial data set (MD) based on the collected inference data (ID). The medial data set for training an early exit model associated with an intermediate layer `L` in the backbone `B` consists of the set of activation values in the layer `L` and the PDs given by `B` per samples in the given ID, formally annotated as follows:

$$MD_L = [i \in ID :< B_L(i), B(i) >] \tag{1}$$

where:

$\mathtt{MD_L}$: Medial dataset for the early exit model associated with the layer `L`
`ID` : The collected inference data consisting of unlabelled samples
$\mathtt{B_L(i)}$: Activation values in layer `L` given the sample `i` to the backbone `B`
`B(i)` : The backbone's PD output for the sample `i`

Note that the labels in MDs are the backbone's outputs and not the GT labels, as we assumed the GT labels to be unavailable. We split the $\mathrm{MD_L}$ into three splits (MD_L^{Train}, MD_L^{Val}, MD_L^{Test}) and use them, respectively, similar to the common deep learning training and test practices.

Similarly to the distillation method (Hinton et al., 2015), we use the Kullback-Leibler divergence (KLDiv) (Joyce, 2011) loss function in the training procedure. KLDiv measures how different the two given PDs are. Thus, minimizing the value of the KLDiv loss in MD_L^{Train} trains the early exit model to estimate the prediction of the backbone ($B(i)$).

Unlike self-distillation, where (Zhang et al., 2021) train the backbone and shallow classifiers simultaneously, in our method, while training an early exit model, it is crucial to freeze the rest of the model including the backbone and the other early exit models (if any) in the collection, to ensure that the training process does not modify any parameter not belonging to the current early exit model.

3.3 Assigning confidence threshold

The probability value associated with the predicted class (the one with the highest probability) is known as the confidence of the model in the prediction. The early exit model's prediction confidence for a particular

input will indicate whether we stick with that prediction (early exit hit) or proceed with the rest of the backbone to the next — or probably final — exit (early exit miss).

Confidence calibration means enhancing the model to provide accurate confidence. In other words, a well-calibrated model's confidence accurately represents the likelihood for that prediction to be correct(Guo et al. (2017)). An overconfident early exit model will lead the model to prematurely exit for some samples based on incorrect predictions, whereas an underconfident early exit model will bear a low early exit hit rate. Therefore, after building an early exit model, we also calibrate its confidence using MD_L^{Val} to better distinguish the predictions that are more likely to be correct. Several confidence calibration methods are discussed in (Guo et al., 2017), among which temperature scaling (in the output layer) has been shown to be practical and easy to implement.

Having the model calibrated, we next assign a confidence threshold value to the model which will be used at inference time to determine the early exit hits and misses. When an early exit model identifies an early exit hit, its prediction is considered the final prediction. However, when needed for validation and test purposes, we obtain the predictions from the early exit model and the backbone.

Confidence calibration involves adjusting the predictive confidence of our early exit models to more accurately reflect their true performance, particularly how likely they are to be correct. This is crucial for making reliable decisions during inference, especially when early exits from the model are considered based on these confidence scores.
To calibrate the confidence levels of our early exit models, we employ a threshold that measures confidence based on the model's output during the validation phase. Specifically, each early exit model functions as a classifier: during both the validation and testing phases, it generates an output that carries an associated confidence value. This confidence value indicates the probability that the model prediction is correct.

Table 1: Early Exit prediction confusion matrix, C: Early Exit predicted class, B: Backbone's predicted class, GT: Ground Truth label

Category	B = C	B = GT	C = GT
BC	✓	✓	✓
$\overline{BC}$	✓	X	X
$B\overline{C}$	X	✓	X
$\overline{B}C$	X	X	✓
$\overline{B}\ \overline{C}$	X	X	X

An early exit model's prediction (C) for an input to the backbone falls into one of the 5 correctness categories listed in table 1 with respect to the ground truth labels (GT) and the backbone's prediction (B) for the input.

Among the cases where the early exit model and the backbone disagree, the $B\overline{C}$ predictions negatively affect the final accuracy and on the other hand, the $\overline{B}C$ predictions positively affect the final accuracy. The Equation 2 formulates an early exit model's actual effect on the final accuracy.

$$F_\Delta(\theta) = \overline{B}C_\Delta(\theta) - B\overline{C}_\Delta(\theta) \tag{2}$$

Where:

Δ : The early exit model
F_Δ : The actual accuracy effect Δ causes given θ as threshold
$B\overline{C}_\Delta$: Ratio of $B\overline{C}$ predictions by Δ given θ as threshold
$\overline{B}C_\Delta$: Ratio of $\overline{B}C$ predictions by Δ given θ as threshold

However, since we used the unlabeled inference data to form the MDs, we can only estimate an upper bound for the effect of the early exit model in the final accuracy. The estimation assumes that an incorrect early

exit would always lead to an incorrect classification of the sample ($\overline{B}C$). We estimate the change in the accuracy upper bound an early exit model causes given a certain confidence threshold by its hit rate and early exit accuracy:

$$F_{\Delta}(\theta) \leq HR_{\Delta}(\theta) \times (1 - CA_{\Delta}(\theta)) \tag{3}$$

Where

Δ : The early exit model
F_{Δ} : The expected accuracy drop Δ causes given θ as threshold
HR_{Δ} : Hit rate provided by Δ given θ as threshold
CA_{Δ} : Early Exit accuracy provided by Δ given θ as threshold

Given the tolerance T for the drop in final accuracy, we assign a confidence threshold to each early exit model that yields no more than the expected drop in accuracy $T/2^n\%$ in MD_L^{Val} according to equation 3, where `n` is the 1-based index of the early exit model in the setup.

It is important to note that there are alternative methods to distribute the accuracy drop budget among the early exit models. For example, one can equally distribute the budget. However, as we show in the evaluations later in section 4.6.1, we find it reasonable to assign more budget to the early exit models shallower positions in the backbone.

3.4 Evaluation and optimization of the early exit-enabled model

So far, we have a set of early exit layers and their corresponding early exit models ready for deployment. The algorithm 1 demonstrates a pseudo-implementation of a Python-style inference process for the early exit-enabled model. When the early exit-enabled model receives a batch of samples, it proceeds layer-by-layer similar to the standard forward-pass. Once an early exit layer's activation values are available, it will pass the values to the corresponding early exit model and obtain an early prediction with a confidence value per sample in the batch. For each sample, if the corresponding confidence value exceeds the specified threshold, we consider it an early exit hit. Hence, we have the final prediction for the sample without passing it through the rest of the backbone. At this point, the prediction can be sent to the procedure awaiting the results (e.g., an API, a socket connection, a callback). We shrink the batch by discarding the early exit hit items at each exit and proceed with a smaller batch to the next (or the final) exit.

Algorithm 1 Early Exit-enabled model inference

```
Require: Backbone                                          ▷ The original model
Require: Early Exit Layers                                 ▷ List of early exit layers
Require: Layer   ▷ As part of Backbone, including the associated early exit model and threshold
procedure ForwardPass(X, callback)                         ▷ X: Input batch
    for Layer in Backbone.Layers do                        ▷ In order of presence[1]
        X ← Layer(X)

        if Layer in Early Exit Layers then
            Early Exit ← Layer.Early ExitModel
            T ← Early Exit.Threshold
            early exit PDs ← Early Exit(X)
            confidences ← max(early exit PDs, axis=1)
            callback(early exit PDs[confidences≥ T])       ▷ Resolve early exit hits
            X ← X[confidences<T]                           ▷ Shrink the batch
        end if
    end for
end procedure
```

So far in the method, we have only evaluated the early exit models individually, but to gain the highest improvement, we must also evaluate their collaborative performance within the early exit-enabled model. Once the early exit-enabled model is deployed, each early exit model affects the hit rates of the following early exit models by narrowing the set of samples for which they will infer. More specifically, even if an early exit model shows promising hit rate and accuracy in individual evaluation, its performance in the deployment can be affected due to the previous early exit hits made by the earlier early exit models (connected to shallower layers in the backbone). Therefore, we need to choose the optimum subset of early exit models to infer the predictions with the minimum computations.

A brute-force approach to find the optimum subset would require evaluating the early exit-enabled model with each subset of the early exit models. However, we implement a more efficient method without multiple executions of the early exit-enabled model.

First, for each early exit model, we record its prediction per sample in the MD_L^{Val} and their confidence values. We also record two FLOPs counts per early exit model; One is the early exit model's FLOPs count(C_1), and the other is the fallback FLOPs count which denotes the FLOPs in the remaining layers in the backbone(C_2). For example, for the layer `L12` in the Figure 1, C_1 is the FLOP count of the corresponding early exit model, and C_2 is the FLOPs count in the layers `L13` through `L16`.

For each subset S, we process the lists of predictions recorded for each model in S to generate the lists of samples that they actually receive when deployed along with other early exit models in S. The processing consists of keeping only the samples in each list for which there have been no early exit hits by the previous early exit models in the subset. Further, we divide each list into two parts according to each early exit model's confidence threshold; One consisting of the early exit hits, and the other consisting of the early exit misses.

Finally, we score each subset using the processed lists and recorded values for each early exit model in S as follows:

$$K(S) = \sum_{\Delta \in S} |H_\Delta| \times (C_{2,\Delta} - C_{1,\Delta}) - |M_\Delta| \times C_{1,\Delta} \tag{4}$$

Where

K : The early exiting score for subset S
Δ : An early exit model in S
H_Δ : The generated list of early exit hits for Δ
M_Δ : The generated list of early exit misses for Δ
$C_{1,\Delta}$: FLOPs count recorded for Δ
$C_{2,\Delta}$: Fallback FLOPs count recorded for Δ

The score equation accounts for both the improvement an early exit model provides through its early exit hits within the subset, and the overhead it produces for its early exit misses.

Utilizing additional early exit layers may cause earlier detection of a class with a higher probability (with using an appropriate confidence for early exit layers) before moving to the next layers, so the total accuracy would increase with the cost of memory consumption.

Final schemas after applying the method on MobileFaceNet, EfficientNet, ResNet18, and ResNet50 are discussed in the main text, with detailed illustrations provided in the Appendix (see Figure 6). This figure demonstrates the chosen subsets and their associated early exit models for each backbone and dataset.

[1]The loop is to show that each early exit model will receive the early exit layer's activation values when available, immediately, before proceeding to the next layer in the base model.

3.5 Early-Exit Optimization Implementation

In this section, we present the implementation and application of early-exit models for efficient and timely predictions in three distinct tasks: image classification, object detection, and recommendation systems. We begin by incorporating our proposed early-exit approach into architectures widely used for image classification tasks i.e. MobileFaceNet, EfficientNet, ResNet18, and ResNet50 on benchmark datasets i.e. CIFAR10, CIFAR100, ImageNet and LFW.

Inspired by the promising results obtained in image classification, we further extend our methodology to address a critical real-world scenario: pedestrian detection in urban environments. For this purpose, we adopt the state-of-the-art Mask R-CNN model, renowned for its exceptional object detection capabilities. By integrating our early-exit strategy into Mask R-CNN, we enable the model to detect pedestrians at an earlier stage during inference, thus significantly reducing the processing time and providing timely warnings to autonomous vehicles about the presence of pedestrians within a given scene.

The significance of our contributions lies in the potential to enhance the safety and responsiveness of autonomous vehicles in urban settings, where pedestrian detection plays a pivotal role in avoiding accidents and ensuring seamless interaction between vehicles and pedestrians. In our approach, while prioritizing accuracy, we forgo an important aspect: the exact coordinates of the detected objects. By implementing early-exit models triggered upon the detection of pedestrians or humans, we aim to achieve faster processing and response times. However, we acknowledge that in certain cases, reacting within the required time window is of utmost importance. The trade-off between accuracy and response time is a crucial consideration in our methodology, and we recognize the significance of timely actions, especially in scenarios where immediate responses are critical for ensuring optimal outcomes.

In the context of the Mask R-CNN model, various options are available to select different backbones and settings, allowing flexibility in performance evaluation and adaptation to specific tasks. While numerous configurations are possible, we opted to utilize a publicly available, pre-trained backbone to ensure that our experiments are standardized and well established. This choice allows us to focus on the effectiveness of our proposed approach, taking advantage of the robustness and generalization capabilities of the chosen backbone. Additionally, using a pre-trained model helps to mitigate potential biases in training data and enables fair comparisons with other methods that have adopted similar backbones. The final schema of the early exit models for Mask R-CNN with Resnet50 backbone is illustrated in the appendix (see Figure 7). We extend our early exit classification model to implement pedestrian object detection. The object detection model scans an input image and detects multiple objects within the image, assigning each detected object a probability distribution over possible classes.

In object detection, the model's task is to identify various objects within an image and to provide a probability distribution for each detected object. The challenge therein lies in determining an effective method for updating the convolutional dense layer early exits in this context. **Review 1-2** **[[Finally, we explore the application of our early-exit strategy in recommendation systems. Specifically, we integrate early-exit nodes into the Deep Learning Recommendation Model (DLRM), as suggested by the Microsoft Neural Architecture Search (NAS) algorithm. This integration aims to optimize the inference time while maintaining high recommendation accuracy. The schema of the DLRM architecture with early exits is detailed in Figure 8.]]**

3.5.1 Updating Early Exits for Pedestrian Detection

In object detection, pedestrians are one of the most common classes. To optimize the performance of our early exit framework for pedestrian detection, we explore three update strategies for the layers:

- Updating with the most confident pedestrians: In this approach, we selectively update the layers with features extracted from regions confidently classified as pedestrians. By focusing on the most confident detections, we aim to enhance the early exit memory's relevance to crucial features associated with pedestrians in the scene.

- Updating with the most confident object: We investigate updating the layers with features from regions classified as the most confident object, regardless of whether it is a person or another class. This strategy is designed to ensure that the early exit memory reflects critical features representative of the dominant object class in the scene.
- Updating with all detected objects: In this method, we update the layers with features from all detected objects in the scene. While this approach may provide a broader context, it may introduce redundancy and bias towards the more prevalent classes.

After testing these three early exit-updating approaches, the results supported updating with the most confident single object as the best-performing method. Training early exit layers with a sole focus on individual objects, such as pedestrians, leads to non-convergence and a lack of meaningful learning. Even prior to testing, it became evident that training layers exclusively with a defined class introduce bias, impeding effective learning. Meanwhile, training early exit layers using a diverse set of objects results in model confusion, manifesting itself as reduced accuracy in our testing outcomes. So, our selected approach updates the model with the most certain detection while avoiding the issues of bias and multi-object confusion.

3.6 Updates and maintenance

Similar to conventional caching, layer early exiting also requires recurring updates to the early exit space to adapt to the trend in inference data. However, unlike conventional caching, we can not update the early exit models in real-time. Therefore, to update the early exit models using the extended set of collected inference samples, we retrain them and re-adjust their confidence thresholds.

Retraining adapts the early exit models to the trend in the incoming queries and maintains their early exit accuracy. We consider two triggers for the updates: I) When the size of the recently collected data reaches a threshold (e.g. 20% of the collected samples are new) and II) When the backbone is modified or retrained. However, users must adapt the recommended triggers to their requirements and budget.

This is our summarized evaluation process:

- **Pretraining Models:** We use pretrained models, typically trained with both training and validation data. After pretraining, we integrate our layers (early exits) into the models. Initially, these new blocks and layers are not trained.
- **Test Data Splitting:** For testing our method, we split the test dataset into two separate parts. We then freeze the main model and update the early exit layers by performing inference on the first portion of the test data. During this phase, the main model weights are not updated, only the new cache layers are trained. Importantly, we do not use the labels from the test data for training or optimization, thus avoiding overfitting concerns.
- **Performance Evaluation:** After training the cache layers, we test the performance of the entire model using the remaining part of the test dataset. This approach may result in a slight loss of accuracy, but it significantly reduces the total inference time due to the early exits.

4 Empirical Evaluation

In this section, we explain the objective of our experiment, the research questions, the implementation of the tool and the design of the experiment including the backbones and data sets, the evaluation metrics, and the configuration of the environment.

4.1 Objectives and research questions

The high-level objective of this experiment is to assess the ability of the automated layer early exiting mechanism to improve compute requirements and inference time for DNN-based services.

To address the above objective, we designed the following research questions (RQ):

RQ1 To what extent the early exit models can accurately predict the backbone's output and the ground truth data?
This RQ investigates the core idea of early exiting as a mechanism to estimate the final output earlier in the model. The assessments in this RQ consider the early exit models' accuracy in predicting the backbone's output (early exit accuracy) and predicting the correct labels (GT accuracy).

RQ2 To what extent can early exit-enabling improve compute requirements?
In this RQ, we are interested in how early exit-enabling affects the models' computation requirements. In these measurements, we measure the FLOPs counts and memory usage as metrics for the models' compute consumption.

RQ3 How much acceleration does early exit-enabling provide on CPU/GPU?
In this RQ, we are interested in the actual amount of end-to-end speed-up that an early exit-enabled model can achieve. We break this result down to CPU and GPU accelerations, since they address different types of computation during the inference phase and thus may have been differently affected.

RQ4 How does the early exit-enabled model's accuracy/latency trade-off compare with other early exit methods?
In this research question, our aim is to assess and compare the performance of your early exit-enabled model against other existing early exit methods concerning the trade-off between accuracy and latency in practical, real-world scenarios.

4.2 Tasks and datasets

Among the diverse set of real-world classification tasks that are implemented by solutions using DNN models, we have selected two representatives: face recognition and object classification. Both tasks are quite commonly addressed by DNNs and often used in large-scale services that have non-functional requirements such as: high throughput (due to the nature of the service and the large volume of input data) and are time-sensitive.

Face recognition models are originally trained on larger datasets such as MS-Celeb-1M (Guo et al., 2016) and are usually tested with different — and smaller — datasets such as LFW (Huang et al., 2008), CPLFW (Zheng et al., 2017), RFW (Wang et al., 2019), AgeDB30 (Moschoglou et al., 2017), and MegaFace (Kemelmacher-Shlizerman et al., 2016) to test the models against specific challenges, such as age/ethnic biases, and recognizing mask-covered faces.

We used the Labeled Faces in the Wild (LFW) dataset for face recognition, which contains 13,233 images of 5,749 individuals. We used the images of 127 identities who have at least 11 images in the set so we can split them for training, validation, and testing.

We also used CIFAR10, CIFAR100, and ImageNet test sets (Krizhevsky, 2009; Russakovsky et al., 2015) for object classification, each containing 10,000 images distributed equally among 10 and 100 classes for CIFARs and 100,000 images among 1,000 classes for ImageNet. CIFARs have a size of 32×32 pixels, while the ImageNet dataset originally contains images of different sizes. To standardize the input size for ImageNet, we used a common method of resizing images to 256×256 pixels and then cropping them to 224×224 pixels.

Reminder that we do not use the training data, rather we only use the test sets to simulate incoming queries at run-time. Specifically, we used only the test splits of the CIFARs and ImageNet datasets. However, we used the whole LFW data as it has not been used to train the face recognition models. Moreover, we do not use the labels in these test sets in the training and optimization process, rather we only use them in the evaluation step to provide GT accuracy statistics.

Each dataset mentioned above represents an inference workload for the models. Thus, we split each one into training, validation and test partitions with 50%, 20%, and 30% proportionality, respectively. However, we augmented the test sets using flips and rotations to improve the statistical significance of our testing measurements.

We used the CityScape dataset to assess the presence of pedestrians ((Cordts et al., 2016)). This data set is valuable for our research on the comprehension of urban scenes, as it offers meticulously annotated images,

with pixel-level labels, depicting various urban environments from the perspective of a vehicle. To evaluate the accuracy of our model, we needed labeled data for testing purposes. Upon observing that the test dataset within the CityScape dataset contained dummy labels, we opted to utilize the validation subset instead.

To demonstrate the applicability of our early exit model on other non-image based tasks, we integrated it into a recommendation system. The data set we use is from the Criteo dataset on Kaggle (Jean-Baptiste Tien, 2014), used for the Display Advertising Challenge, which is a comprehensive resource designed to benchmark click-through rate (CTR) prediction algorithms. It includes data collected over a seven-day period, consisting of feature values and click feedback for millions of display ads. The dataset is divided into training and testing parts, with each part containing both clicked and non-clicked examples that have been sub-sampled to manage dataset size. The dataset consists of 39 features per sample: 13 integer features, primarily count data, and 26 hashed categorical features for anonymization. The details of these features are not disclosed and may include missing values. This application can show the ability of the model to significantly improve inference speed while managing large-scale data structures.

4.3 Backbones and Models

The proposed early exit enabler method is applicable to any deep classifier model. However, the results will vary for different models depending on their complexity.

Among the available face recognition models, we have chosen the well-known MobileFaceNet and EfficientNet models to evaluate the method, and we experiment with ResNet18 and ResNet50 for object classification.

The object classification models are typical classifier models out-of-the-box. However, face recognition models are feature extractors that provide embedding vectors for each image based on the face / location features. They can still be used to classify a face-identity dataset. Therefore, we attached a classifier block to these models and trained them (with the feature extractor layers frozen) to classify the images of the 127 identities with the highest number of images in the LFW dataset (above 10). It is important to note that since the added classifier block is a part of the pre-trained model under study, we discarded the data portion used to train the classifier block to ensure we still hold on to the constraint of working with pre-trained models without access to the original training dataset.

As stated previously, our pedestrian detection approach required the selection of an object detection technique capable of identifying pedestrians within images. We adopted the Mask R-CNN framework. This method encompasses a backbone component (for which we employed ResNet50) and two additional sections that consume significant time and memory resources.

However, for our specific use case of providing early warnings to autonomous vehicles regarding the presence of pedestrians, the precise localization of pedestrians is not essential. Consequently, we chose to disregard the other resource-intensive sections, resulting in substantial time savings while still achieving the necessary level of awareness of pedestrian presence.

The Deep Learning Recommendation Model (DLRM (Naumov et al., 2019)) is a neural network architecture designed for use in recommendation systems. It efficiently handles categorical and numerical data, making it highly effective for personalized recommendation tasks. DLRM uses a combination of embedding tables for categorical features and multi-layer perceptrons (MLPs) for numerical features. These components are then interacted with through a specialized dot product interaction operation, which enables the model to learn and predict complex patterns from user-item interactions.

4.4 Metrics and measurements

Our evaluation metrics for RQ1 are ground truth (GT) accuracy and early exit accuracy. Early Exit accuracy measures how accurately an early exit model predicts the backbone's output (regardless of correctness). The GT accuracy applies to both the early exit-enabled model and each individual early exit model. However, early exit accuracy only applies to early exit models.

In RQ2, we compare the original models and their early exit-enabled version in terms of the average FLOPs count occurring for inference and their memory usage. We only measure the resources used in the inference.

Specifically, we exclude the training-specific layers (e.g. batch normalization and dropout) and computations (e.g. gradient operations) in the analysis.

FLOPs count takes into account the model architecture and input size and estimates the computations required by the model to infer the input (Desislavov et al., 2021). In other words, the fewer FLOPs used for inference, the more efficient the model is in terms of compute and energy consumption.

On the other hand, we report two aspects of memory usage for the models. The first is the total space used to load the models in the memory (i.e, model size). This metric is essentially agnostic to the performance of early exit models and only considers the memory cost of loading them along with the backbone.

In addition to the memory required for their weights, DNNs also allocate a sizeable amount of temporary memory for buffers (also referred to as tensors) that correspond to intermediate results produced during the evaluation of the DNN's layers Levental (2022). Therefore, our second metric is the live tensor memory allocations (LTMAs) during inference. LTMA measures the total memory allocated to load, move, and transform the input tensor through the model's layers to form the output tensor while executing the model.

In RQ3, we compare the average inference latency of the original model with its early exit-enabled counterpart. Inference latency measures the time spent from passing the input to the model till it exits the model (by either an early-exit or the final classifier in the backbone). Various factors affect inference latency including hardware-specific optimizations (e.g., asynchronous computation), framework, and model implementation. In our measurements, the framework and model implementations are fixed as discussed in the Appendix .1. However, to account for other factors, we repeat each measurement 100 times and report the average inference latency recorded for each experiment. Further, to also account for the effects of asynchronous computations in GPU inference latency, we repeated experiments with different batch sizes.

Please refer to Appendix 5 for details of implementation and setup.

RQ4 aims to evaluate the trade-offs between accuracy, latency, and computational cost of an early exit-enabled model compared to other early exit methods. This inquiry is crucial to understanding the efficiency and effectiveness of different approaches.

To address this question, we have selected two prominent and easy-to-implement early exit methods for comparison. These methods are evaluated using classification tasks in ResNet18, ResNet50, and the CIFAR datasets.

Review 1-1 [[

4.5 Baselines

In this subsection, we discuss the baselines used to evaluate the proposed unsupervised early exit method. Specifically, we consider two prominent methods: BranchyNet and GATI, both of which aim to reduce the latency of deep neural network (DNN) inference while maintaining high accuracy. These baselines typically require access to labeled training data, which contrasts with our unsupervised approach.
BranchyNet is designed to improve the efficiency of DNN inference by allowing certain samples to exit the network early through additional side branch classifiers. These branches are strategically placed at various depths in the network to enable predictions at different levels of abstraction. During the training phase, BranchyNet jointly optimizes the loss functions of both the main branch and the side branches, effectively regularizing the network and mitigating vanishing gradients. The inference phase utilizes entropy-based thresholds to determine whether a sample should exit early or continue through the deeper layers of the network. In our implementation of BranchyNet as a baseline, we maintain the original supervised setup, where labeled training data is used to train both the main branch and the side branches. For evaluation, we applied BranchyNet to the entire test set, allowing us to directly compare its performance against our unsupervised method.
GATI introduces a learned caching mechanism to accelerate DNN inference by exploiting temporal locality in prediction serving workloads. GATI caches the hidden layer outputs of the

DNN, enabling faster predictions for inputs that exhibit similar characteristics to previously processed data. The system employs simple machine learning models as learned caches, which are continuously updated based on incoming data. Unlike BranchyNet, GATI does not modify the DNN architecture but instead optimizes the inference process by dynamically skipping layers when a cache hit is detected. This approach also relies on supervised training to establish the initial caches, using a validation dataset to optimize the architecture and hit-rate of the caches. During evaluation, GATI was applied to the entire test set, as it requires labeled data to train and update its learned caches.
While both baselines rely on supervised training, our method is designed to function in an unsupervised manner, particularly in scenarios where a base pre-trained model is accessible, but labeled data may not be available. This flexibility allows our approach to be deployed in contexts where labeled data is scarce or unavailable, leveraging the test set itself for training early exits. To ensure a fair comparison with our method, we adapted both baselines to this unsupervised framework by evaluating them on the entire test set. However, it is important to note that these baselines do not inherently support unsupervised training, which is a key contribution of our work.]]

4.6 Experiment results

In this sub-section, we evaluate the results of applying the method on the baseline backbones and discuss the answers to the RQs. For a more comprehensive understanding, further details about our implementation, including access to the code repository, are provided in the Appendix 5.

4.6.1 RQ1. How accurate are the early exit models in predicting the backbone output and the ground truth labels?

In this RQ, we are interested in the built early exit models' performance in terms of their hit rate, GT accuracy, and early exit accuracy. We break down the measurements into two parts. The first part covers the individual performance of the early exit models over the whole test set without any other early exit model involved. The second part covers their collaborative performance within the early exit-enabled model.

4.6.2 Early Exit models' individual performance

Figure 2 portrays each early exit model's individual performance against any confidence threshold value in CIFAR100-Resnet50 experiment. Figures demonstrating the same measurements for other experiments are available in the Appendix .2.

We make three key observations here. First, deeper early exit models are more confident and accurate in their predictions. For example, early exit 1 in Figure 2 has 33.36% GT accuracy and 35.74% early exit accuracy, while these metrics increase to 78.60% and 95.38% for early exit 3, respectively. This observation agrees with the generally acknowledged feature extraction pattern in the DNNs – deeper layers convey more detailed information.

The second key observation is the inverse correlation between the early exit models' accuracy (both GT and early exit) and their hit rates. This observation highlights the reliability of confidence thresholds in distinguishing predictions that are more likely to be correct. For example, early exit 1 in Figure 2, with a confidence threshold 20%, produces a hit rate of 35.24% but also a drop of 8.99% in the final accuracy. However, with a confidence threshold 60%, it produces a hit rate 4% and does not reduce the final accuracy by more than 0.1%.

The third observation is that the early exit accuracy is higher than the GT accuracy in all cases. This difference is because we have trained the early exit models to mimic the backbone only by observing its activation values in the intermediate layers and outputs. Since we have not assumed access to the GT labels (which is the case for inference data collected at run-time) while training the early exit models, they have learned to make correct predictions only through predicting the backbone's output, which might have been incorrect in the first place. On the other hand, we observed that the early exit models predict the

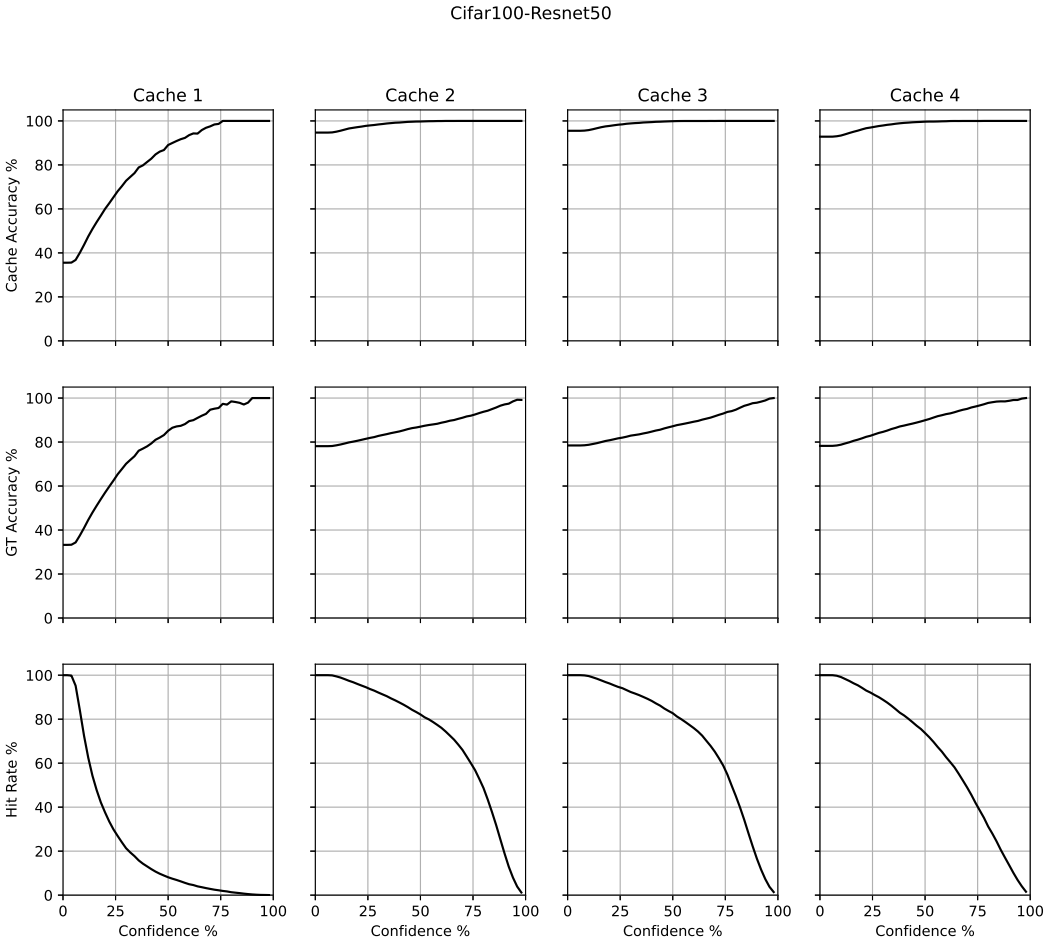

Figure 2: Individual accuracy and hit rate of the early exit models vs. confidence threshold per early exit model in CIFAR100 - Resnet50 experiment

correct labels for a portion of the samples for which the backbone misclassifies. For example, for 0.92% of the samples, early exit 3 (in Figure 2) correctly predicted the GT labels while the backbone failed ($\overline{B}C$ predictions). This shows the potential of the early exit models to partially compensate for their incorrect early exits ($B\overline{C}$ predictions) by correcting the backbone predictions for some samples ($\overline{B}C$). This agrees with the overthinking concept in SDN (as discussed in 2.3), since for this set of samples, the early exit models have been able to predict correctly in the shallower layers of the backbone.

4.6.3 Early Exit models' collaborative performance

Table 2 describes the collaborative performance of the early exit models within a confidence threshold of 0.9. In the table, we also report how each early exit model's layer hits have affected the final accuracy. Additional accuracy results for three other confidence levels are provided in the Appendix .2.

Here, we observe that while evaluating the early exit models on the subset of samples, which were missed by the previous early exit models (the relatively more complex ones), the measured hit rate and GT accuracy are substantially lower compared to the evaluation on the whole dataset. This is in fact due to the fact that the simpler samples (less detailed and easier to classify) are resolved earlier in the model. More specifically, the hit rate decreases since the early exit models are less confident in their prediction for the more complex samples, and the GT accuracy also decreases since the backbone is also less accurate for such samples. However, we observe that the early exit models still have high early exit accuracy, with a low impact on the overall accuracy. This observation shows how the confidence-based early exiting method has effectively enabled the early exit models to provide early predictions and keep the overall accuracy drop within the given tolerance.

Summary for RQ1

Early Exit models show lower hit rates and GT accuracy for complex samples, but maintain overall accuracy thanks to the effective use of a confidence-based early exiting method.

4.6.4 RQ2. To what extent can early exit-enabling improve compute requirements?

In this RQ, we showcase the amount of computation early exiting can save in terms of FLOPs count and analyze the memory usage of the models. They must be comprised of accuracy drop data

Table 3 shows the average amount of FLOPs computed for inference per sample. Here we observe that shrinking the batches proportionally decreases the FLOPs count required for inference.

In addition, Table 4 shows the memory used to load the models (i.e, the model size) and the total LTMA during inference while inferring for the test set. As expected, the size of the early exit-enabled models is larger than the original model in all cases since they include the backbone and the additional early exit models. However, the decrease in LTMA in all cases shows a reduced amount of memory allocations during the inference time. Generally, lower LTMA indicates smaller tensor dimensions (e.g., batch size, input and operator dimensions) (Ren et al., 2021). However, in our case, since we do not change either of the dimensions, the lower LTMA is due to avoiding the computations in the remaining layers after early exit hits which require further memory allocations. A noteworthy observation from this table highlights the substantial memory usage of our object detection approach due to the sizeable model employed. This underscores the notion that implementing early exiting for this purpose does not significantly amplify the memory requirements.

Although the FLOPs count and memory usage indicate the model's inference computational requirements, the decreased amount of FLOPs and LTMA does not necessarily lead to proportional reduction in the models' inference latency, which we further investigate in the next RQ.

Table 2: Early Exit models' collaborative performance in terms of hit rate(HR), early exit accuracy ($A_{\text{early exit}}$), GT accuracy (A_{GT}), and their effect on the final accuracy($\downarrow A_{\text{effect}}$) in the early exit-enabled model with a confidence threshold of 0.9. LFW: Labeled Faces in the Wild, MFN: MobileFaceNet, EFN: EfficientNet

Data	Model	Final accuracy		Exit#	HR	$A_{\text{early exit}}$	A_{GT}	$\downarrow A_{\text{effect}}$
		Base	Early Exit-enabled					
CIFAR10	Resnet18	88.71%	86.49%	1	67.21%	92.29%	88.91%	01.31%
				2	10.33%	89.76%	76.63%	0.56%
				3	11.24%	85.71%	51.43%	0.25%
				4	8.32%	91.37%	35.71%	0.1 %
	Resnet50	87.92%	85.88%	1	61.41%	89.12%	86.19%	1.12%
				2	15.73%	93.01%	77.84%	0.58%
				3	10.29%	82.22%	53.33%	0.3%
				4	6.1%	97.47%	42.65%	0.04%
CIFAR100	Resnet18	75.92%	74.47%	1	11.96%	99.29%	82.11%	0.94%
				2	58.26%	99.62%	85.41%	0.1%
				3	7.26 %	93.81%	59.29%	0.3%
				4	5.36%	55.56%	38.89%	0.11%
	Resnet50	78.98%	77.04%	1	11.92%	76.34%	80.2%	1.32%
				2	61.98%	98.56%	84.55%	0.34%
				3	11.5%	97.85%	63.69%	0.27%
				4	7.38%	73.68%	52.63%	0.1%
ImageNet	Resnet18	69.76%	68.12%	1	8.21%	93.55%	76.42%	0.59%
				2	14.09%	93.24%	84.23%	1.1%
				3	38.13%	83.53%	88.76%	1.13%
				4	8.78%	75.23%	79.72%	0.61%
	Resnet50	76.13%	74.09%	1	9.13%	91.41%	92.19%	0.48%
				2	13.89%	84.78%	81.77%	0.89%
				3	42.65%	78.58%	72.03%	1.37%
				4	3.14%	73.12%	71.12%	0.19%
LFW	MFN	97.78%	96.91%	1	37.35%	98.63%	97.88%	0.51%
				2	41.02%	99.71%	99.71%	0%
				3	55.95%	93.44%	96.18%	0.24%
	EFN	97.29%	95.35%	1	63.73%	96.82%	96.24%	1.67%
				2	14.52%	99.12%	98.76%	0.02%
CityScape	Mask RCNN	91.0%	83.4%	1	34.3%	58.3%	57.9%	0.1%
				2	36.34%	79.2%	79.1%	0.24%
				3	21.12%	87.31%	86.16%	0.81%
Criteo	DLRM	78.88%	73.54%	1	12.3%	74.3%	70.9%	0.4%
				2	14.3%	69.5%	69.3%	0.23%
				3	25.41%	94.31%	90.70%	0.51%

Table 3: Original and early exit-enabled models FLOPs (M:Mega - 10^6)

Dataset(input size)	Model	FLOPs		↓ Ratio	↓ Accuracy
		Original	Early Exit-enabled (0.9 Confidence)		
CIFAR10($3 \times 32 \times 32$)	Resnet18	765M	414M	45.88%	2.51%
	Resnet50	1303M	601M	53.87%	2.32%
CIFAR100($3 \times 32 \times 32$)	Resnet18	766M	374M	51.17%	1.91%
	Resnet50	1304M	547M	58.05%	2.46%
ImageNet($3 \times 224 \times 224$)	Resnet18	2343M	1673M	28.6%	2.35%
	Resnet50	2783M	2020M	27.4%	2.68%
LFW($3 \times 112 \times 112$)	MobileFaceNet	474M	296M	37.55%	0.91%
	EfficientNet	272M	182M	33.08%	1.99%
CityScape($3 \times 2048 \times 1024$)	Mask R-CNN	4950M	2730M	44.84%	8.61%
Criteo(39)	DLRM	153M	99M	35.29%	6.52%

Table 4: Original and early exit-enabled models memory usage

Dataset(input size)	Model	Original		Early Exit-enabled (0.9 Confidence)		↓Accuracy
		Model Size	LTMA	Model Size	LTMA	
CIFAR10($3 \times 32 \times 32$)	Resnet18	43MB	102MB	97MB	88MB	2.51%
	Resnet50	91MB	235MB	243MB	201MB	2.32%
CIFAR100($3 \times 32 \times 32$)	Resnet18	43MB	104MB	383MB	93MB	1.91%
	Resnet50	91MB	235MB	552MB	189MB	2.46%
ImageNet($3 \times 224 \times 224$)	Resnet18	43MB	110MB	403MB	101MB	2.35%
	Resnet50	91MB	237MB	572MB	198MB	2.68%
LFW($3 \times 112 \times 112$)	MobileFaceNet	286MB	567MB	350MB	515MB	0.91%
	EfficientNet	147MB	371MB	297MB	349MB	1.99%
CityScape($3 \times 2048 \times 1024$)	Mask R-CNN	3680MB	3925MB	4171MB	4216MB	8.61%
Criteo(39)	DLRM	320MB	450MB	332MB	411MB	6.52%

Summary for RQ2

Early Exit-enabled models are larger due to additional early exit layers, but reduce memory use during inference by avoiding computations after early exit hits, demonstrating efficient memory management without significantly increasing overall memory requirements.

4.6.5 RQ3. How much acceleration does early exit enablement provide on the CPU/GPU?

In this RQ, we investigate the end-to-end improvement that early exit enablement offers. The results of this measurement clearly depend on multiple deployment factors, such as the underlying hardware and framework, and, as we discuss later in the section, their asynchronous computation capabilities.

Table 5: end-to-end evaluation of early exit-enabled models improvement in average inference latency, batch size = 32, MFN: MobileFaceNet, EFN: EfficientNet

Dataset	Model	Original latency		Early Exit-enabled latency		↓ Ratio	
		CPU	GPU	CPU	GPU	CPU	GPU
CIFAR10	Resnet18	13.4 ms	1.08 ms	10.11 ms	0.98 ms	24.55%	10.2%
	Resnet50	18.73 ms	1.81 ms	14.62 ms	1.51 ms	31.08%	16.57%
CIFAR100	Resnet18	14.23 ms	1.39 ms	9.39 ms	1.25 ms	34.01%	10.08%
	Resnet50	19.59 ms	2.05 ms	9.02 ms	1.84 ms	**46.08%**	16.75%
ImageNet	Resnet18	38.19 ms	3.26 ms	30.23 ms	2.79 ms	20.84%	14.42%
	Resnet50	47.21 ms	3.49 ms	38.74 ms	2.42 ms	17.94%	30.65%
LFW	MFN	25.34 ms	8.22 ms	16.91 ms	7.30 ms	33.23%	11.19%
	EFN	39.41 ms	17.63 ms	27.98 ms	14.38 ms	29.01%	18.44%
CityScape	Mask R-CNN	895 ms	145.2 ms	562.3 ms	108.7 ms	45.12%	**35.32**%
Criteo	DLRM	9.0 ms	1.7 ms	7.67 ms	1.38 ms	14.7%	18.82%

Table (5) shows the average latency for the base models on CPU and GPU, vs. their early exit-enabled counterparts, evaluated on the test set.

The first key observation here is the improvements on the CPU. This improvement is due to the low parallelism in the CPU architecture. Essentially, the computation volume on the CPU is proportional to the number of samples. Therefore, when a sample takes an early exit, the remaining computation required to finish the tasks for the batch proportionally decreases.

The FLOPs of all our early exit models, utilized by each input in the batch, are aggregated, resulting in latency being dictated by the longest time observed in the batch. Consequently, a larger batch size tends to worsen latency, as it may lead to more frequent early exit misses.

The second observation is the relatively lower latency improvement in the GPU. This observation shows that shrinking a batch does not proportionally reduce the inference time on GPU, which is due to the high parallelism in the hardware. Shrinking the batch on GPU provides a certain overhead since it interrupts the on-chip parallelism and hardware optimizations. This interruption forces the hardware to re-plan its computations, which can be time consuming. Thus, batch-scanning improvements can be insignificant on GPU. The third observation pertains to the time savings related to pedestrian detection, in contrast to the primary model. This significant gain in efficiency is attributed to disregarding the additional layers of Mask R-CNN through our early exit strategy.

Table 6 further demonstrates how the batch size affects the improvement provided by early exiting. The key observation here is that increasing the batch size can negate the early exiting effect on the inference latency, which, as discussed, is due to fewer batches that are fully resolved through the early exit models and do not reach the last layers. In conclusion, the latency improvement here highly depends on the hardware used in inference and must be specifically analyzed per hardware environment and computation parameters such as batch size. However, the method can still be useful when the model is not performing batch inferences (batch

Table 6: Inference latency improvement on GPU vs. batch size in Resnet18 and Resnet50 trained on CIFAR100

Model	Batch Size	Original Latency	Early Exit-enabled (0.9 Confidence) Latency	↓ Ratio
Resnet18	16	1.34 ms	1.18 ms	11.83%
	32	1.39 ms	1.25 ms	10.08%
	64	1.43 ms	1.77 ms	-24.28%
	128	1.61 ms	2.11 ms	-31.05%
Resnet50	16	1.98 ms	1.71 ms	13.68%
	32	2.05 ms	1.84 ms	16.75%
	64	2.19 ms	1.98 ms	9.21%
	128	2.7 ms	3.22 ms	-19.43%

size = 1). One can also use the tool and get the best prediction so far within the forward-pass process by disabling batch shrinking. Doing so will generate multiple predictions per input sample, one per exit (early and final).

Summary for RQ3

Increasing batch size in early exit models can worsen latency due to more frequent early exit misses and does not proportionally reduce inference time on GPUs due to the disruption of hardware parallelism. In particular, significant time savings are achieved in pedestrian detection by bypassing extra layers with an early exit strategy, although the benefits of early exiting on latency heavily depend on the specific hardware and batch size used.

4.6.6 RQ4. How does the early exit-enabled model accuracy / latency and computational cost trade-off compare with other early exit methods?

In this RQ, we conducted a comprehensive evaluation of three distinct methods, including BranchyNet(Teerapittayanon et al., 2016), Gati(Balasubramanian et al., 2021), and our proposed method in the same system configuration. This evaluation included two different Resnets with two different data sets to thoroughly assess the performance of each method.

Figures 3 and 4 demonstrate a comprehensive analysis of the accuracy / latency / computational cost trade-off at various confidence levels for all methods evaluated for CIFAR10 and CIFAR100. Our findings reveal a remarkable adaptability of our proposed method to different confidence levels, particularly excelling in low-confidence scenarios. This adaptability underscores the effectiveness of our approach's training, showcasing its ability to perform exceptionally well in situations where traditional methods might falter. In contrast, as confidence levels increase, our method exhibits a slight latency increase, reflecting its ability to fine-tune confidence settings to suit various application requirements. This feature demonstrates the method's adaptability and its potential for accommodating diverse use cases.

The primary observation is that our method exhibits the best latencies in various methods at different confidence levels. Another significant observation is that our method's performance scales positively with the complexity of the model or dataset, leading to superior outcomes in terms of both accuracy and latency. As we add some lightweight layers for each model, it becomes evident that Resnet50 exhibits more pronounced improvements in our research. This observation is also shown in Figure 3. For the simplest model and dataset, namely CIFAR10-Resnet18, we achieved a substantial 52.2% and 32.4% reduction in latencies, with a modest 6.9% and 1.6% decrease in mean accuracy when compared to the BranchyNet and Gati methods, respectively, while improving computational costs by 7.1% and 0.5%. In the case of a more complex model and dataset, such as CIFAR100-Resnet50, we achieved a substantial reduction in latency of 51.6% and 30.4% while simultaneously improving the mean accuracy by 2.31% and 0.72% compared to the Gati and BranchyNet methods, respectively, while improving computational costs by 14.3% and 8.2%.

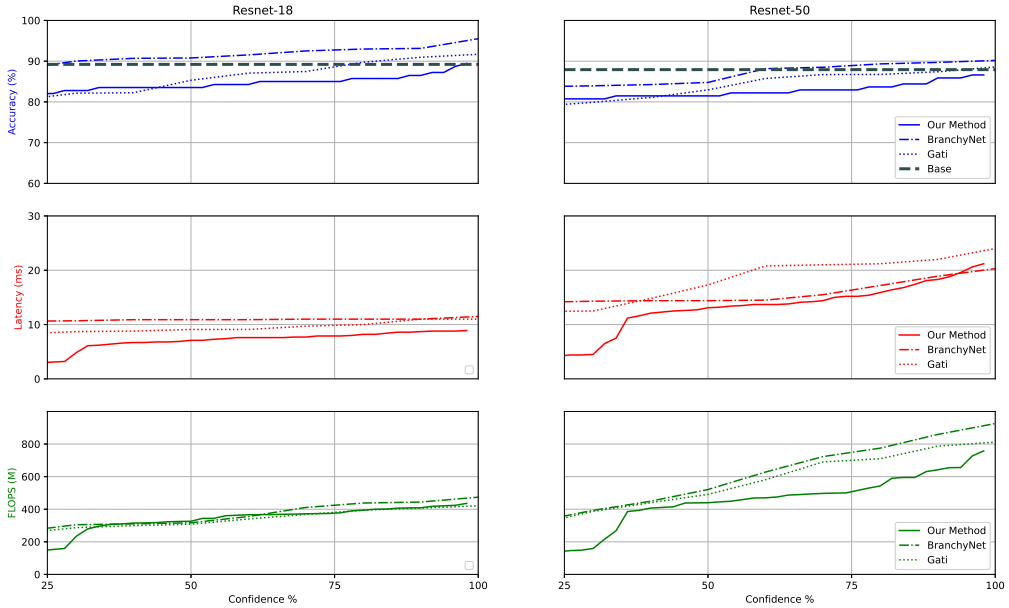

Figure 3: CIFAR10 Accuracy/latency/computational cost comparison between the approaches with different confidence.

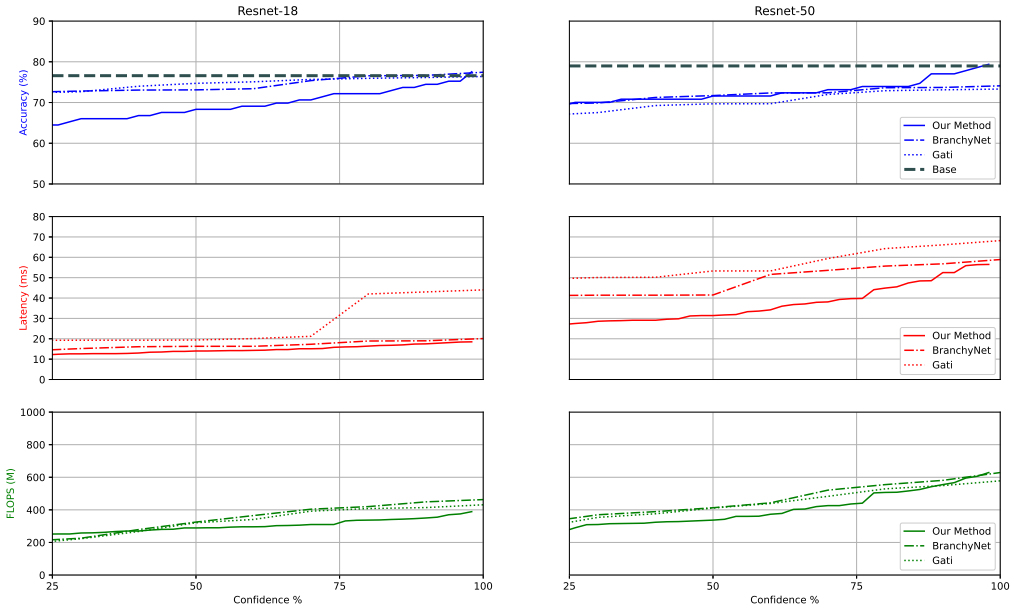

Figure 4: CIFAR100 Accuracy/latency/computational cost comparison between the approaches with different confidence.

BranchyNet, on the other hand, exhibits relatively consistent results at different confidence levels. Although this stability might be desirable in some scenarios, it lacks the adaptability and dynamic response that our method offers. Gati, while showing learned early exits, is marked by the complexity of its training process, which can be challenging to implement effectively. Moreover, Gati consumes more memory resources during implementation, in contrast to the efficiency of our approach.

Indeed, our method stands out as a dynamic and adaptable solution, requiring less extensive data and model preparation compared to approaches such as Gati. The ability to configure confidence levels further underscores its versatility for a broad spectrum of applications. However, it should be noted that for simpler models and datasets, our method may not demonstrate a marked improvement over others, as they employ additional dense layers for early exiting, which can provide competitive results. Crucially, the most significant aspect of our work lies in the capability to update the early exit layers effectively without reliance on ground-truth labels, offering a substantial advantage in practical applications where such labels may not be readily available.

Summary for RQ4

Our method demonstrates exceptional adaptability across different confidence levels, particularly excelling in low-confidence scenarios, which highlights its robust training and ability to fine-tune settings for varied applications. It achieves the best latencies and scales positively with the complexity of the model or dataset, showing substantial improvements in both accuracy and computational costs, particularly with complex configurations like CIFAR100-Resnet50.

To evaluate the impact of implementing NAS and varying model depths, we conducted an ablation study, detailed in Appendix .2.

4.7 Limitation and future directions

The first limitation of this study is that the proposed method is limited to classification models, since it would be more complicated for early exit models to predict the output of a regression model due to their continuous values. This limitation is strongly tied to the effectiveness of knowledge distillation in the case of regression models.

The method also does not take the internal state of the backbone (if any) into account, such as the hidden states in recurrent neural networks. Therefore, the effectiveness of the method for such models still needs to be further assessed.

Moreover, practitioners should take the underlying hardware and the backbone structure into account, as they directly affect the final performance. On this note, as shown in Section 4.6.5, different models provide different performances in terms of inference latency in the first place; therefore, choosing the right model for the task comes first, and early exiting can be helpful in improving the performance.

Review 1-3 **[[As the main goal of our proposed approach is to increase the inference time, depending on the application domain, if a potential slight degradation of accuracy is not acceptable (e.g., in a safety critical system), using our approach might not be a good fit.]]**

While our current comparison has yielded valuable results, we can explore the applicability of our approach to other large models, particularly in non-vision-based datasets, to assess its effectiveness in different domains. Given the growing importance of reducing latency and inference time, especially in Large Language Models (LLMs), our future research can focus on methods to further optimize and reduce costs for large-scale industries.

5 Conclusion

In this paper, we have shown that our automated early exiting approach is able to extend a pretrained classification DNN to an early exit-enabled version using a relatively small and unlabeled dataset. The

required training data sets for early exiting models are collected just by recording the input items and their corresponding backbone outputs at the inference time. We have also shown that the early exiting method can introduce significant improvement in the model's computing requirements and inference latency, especially when the inference is performed on the CPU.

We discussed the parameters, design choices, and the procedure of early exit-enabling a pre-trained off-the-shelf model, and the required updates and maintenance.

In conclusion, while traditional early exiting might not be beneficial for DNN models due to the diversity, size, and dimensions of the inputs, early exiting the features in the hidden layers of the DNNs using the early exit models can achieve significant improvement in the model's inference computational complexity and latency. As shown in sections 4.6.4 and 4.6.5, early exiting reduces the average inference FLOPs by up to 58% and the latency by up to 46.09% on CPU and 18.44% on GPU for classification purposes. For pedestrian detection, we could reduce latency up to 45.1% on CPU and 35.32% on GPU. In summary, our method consistently outperforms alternative approaches in different models and datasets. In the case of the simplest model, CIFAR10-Resnet50, we observe a remarkable reduction of 52.2% and 32.4% in latency, with accuracy only experiencing a minor decrease of 6.9% and 1.6% compared to the BranchyNet and Gati methods, respectively. For the more intricate CIFAR100-Resnet50 model and dataset, our method excels with a significant 51.6% and 30.4% reduction in latency, and a notable 2.31% and 0.72% improvement in mean accuracy compared to the Gati and BranchyNet methods. These findings underscore the adaptability and superior performance of our method in diverse and complex scenarios.

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Appendix A. Implementation

.1 Implementation details

We developed the early exiting tool using PyTorch, which is accessible through the GitHub repository[2]. Figure 5 shows the overall system design. The tool provides a NAS module, an optimizer module, and a

[2]https://anonymous.4open.science/r/AutoCacheLayer-CBB4/

deployment module. The NAS module provides the architectures to be used per early exit model. The optimizer assigns the confidence thresholds, finds the best subset of the early exit models, and provides evaluation reports. Lastly, the deployment module launches a Web server with the early exit-enabled model ready to serve queries.

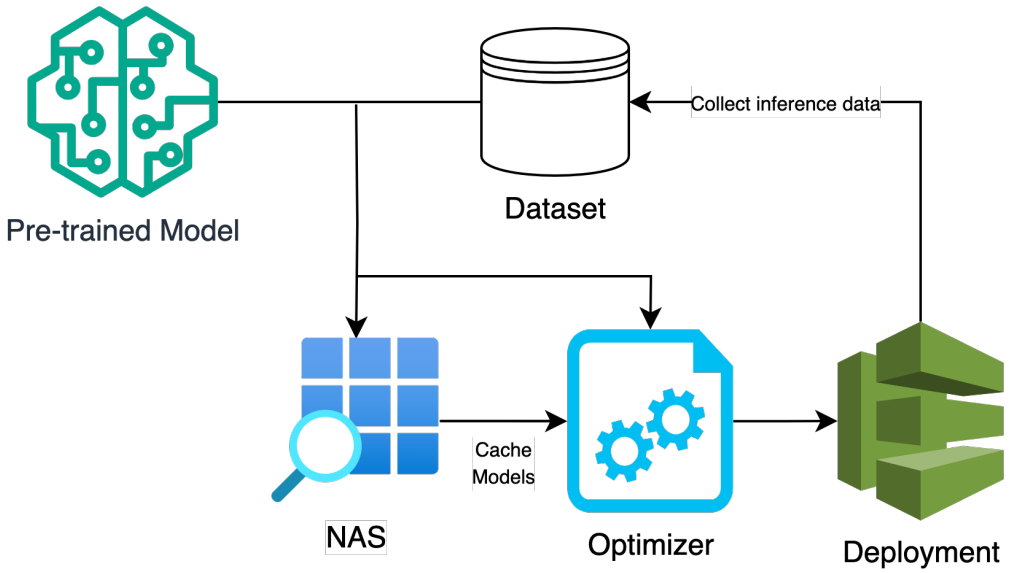

Figure 5: Early Exiting system overall framework

.1.1 NAS Module

Existing NAS tools typically define different search spaces according to different tasks, which constrains their applicability to certain input types and sizes. Using such tools with input constraints defeats our method's generalization and automation purpose, since the early exit models' inputs can have any dimension and size. For example, ProxylessNAS (Cai et al., 2019) specializes in optimizing the performance of neural architecture for a target hardware. However, it is only applicable for image classification tasks and requires certain input specifications (e.g., 3xHxW images normalized using given values). Similarly, Auto-PyTorch (Zimmer et al., 2021) and Auto-Keras are only applicable to tabular data sets, text, and images.

We chose NNI from Microsoft (Microsoft, 2022) as it does not constrain the input of the model in terms of type, size, and dimensions. NNI also provides an extensible search space definition with support for variable number of layers and nested choices (e.g., choosing among different layer types, each with different layer-specific parameters).

Given the backbone implementation, the dataset and the search space, the module launches an NNI experiment per candidate layer to find an optimum early exit model for the layer. Each experiment launches a web GUI for progress reports and results.

We aim for end-to-end automation in the tool. However, currently, the user still needs to manually export the architecture specifications when using the NAS module and convert them to a proper Python implementation (i.e., a PyTorch module implementing the architecture). The specifications are available to the user through the experiments web GUI and also in the trial output files. This shortcoming is due to the NNI implementation, which does not currently provide access to the model objects within the experiments. We have created an enhancement suggestion on the NNI repository to support model object access (issue #4910).

.1.2 Optimizer and deployment modules

Given the implementation of the backbone and the early exit models, the optimizer evaluates the early exit models, assigns their confidence thresholds, finds the best subset of the early exit models, and disables the rest, and finally reports the relevant performance metrics for the early exit-enabled model and each early exit model. We used the DeepSpeed by Microsoft and the PyTorch profiler to profile the FLOP counts, memory usage, and latency values for the early exit models and the backbones.

The user can use each module independently. Specifically, the user can skip the architecture search via the NAS module and provide the architectures manually to the optimizer, and the module trains them before proceeding to the evaluation.

The tool also offers an extensive set of configurations. More specifically, the user can configure the tool to use one device (e.g., GPU) for training processes and the other (e.g., CPU) for evaluation and deployment.

The deployment module launches a web server and exposes a WebSocket API to the early exit-enabled model. The query batches passed to the socket will receive one response per item, as soon as the prediction is available through either of the (early or final) exits.

.1.3 Backbone Implementation

We used the backbone implementations and weights provided by the FaceX-Zoo (Wang et al., 2021) repository to conduct the experiments with LWF data set on the MobileFaceNet and EfficientNet models.

For experimenting with CIFAR10 and CIFAR100, we used the implementations provided by torchvision (Marcel & Rodriguez, 2010) and the weights provided by (Phan, 2021) and (Weiaicunzai, 2020). For ImageNet pre-trained weights for ResNets we used new torchvision version as well (maintainers & contributors, 2016). All backbone implementations were modified to implement an interface that handles the interactions with the early exit models, controls the exits (early exit hits and misses), and provides the relevant reports and metrics. We document the use of the interface in the repository, so that users can experiment with new backbones and datasets. We refer interested readers to a blog post on how to extract intermediate activations in PyTorch (Bhaskhar, 2020) which introduces three methods to access the activation values. The introduced forward hooks method in PyTorch is very convenient for read-only purposes. However, our method requires performing actions based on the activation values, specifically, early exit lookup and batch shrinking, and avoiding further computation through the next layers. Therefore, we used the so-called "hacker" method to access the activation values and perform these actions and provided the interface for easy replication on different backbones.

.2 Environment setup

The hardware used for inference substantially affects the results due to the hardware-specific optimizations such as computation parallelism. In our experiments, we have used an "Intel(R) Core(TM) i7-10700K CPU @ 3.80GH" to measure on-CPU inference times and an "NVIDIA GeForce RTX 3070" GPU to measure on-GPU inference time.

Appendix B. Final schema of early exit models

This appendix shows key figures that provide a deeper visual understanding of the methodologies and results highlighted in the main text.

Figure 6 illustrates the final schema of the early exit models used in our experiments with CIFAR10-Resnet18, CIFAR100-Resnet50, LWF-EfficientNet, and LFW-MobileFaceNet.

Figure 7 presents the final schema of the early exit models specifically designed for our CityScapes experiments using the Mask R-CNN framework.

Figure 8 shows the final schema of the early exit models designed for our recommendation experiments with the Criteto data set using DLRM.

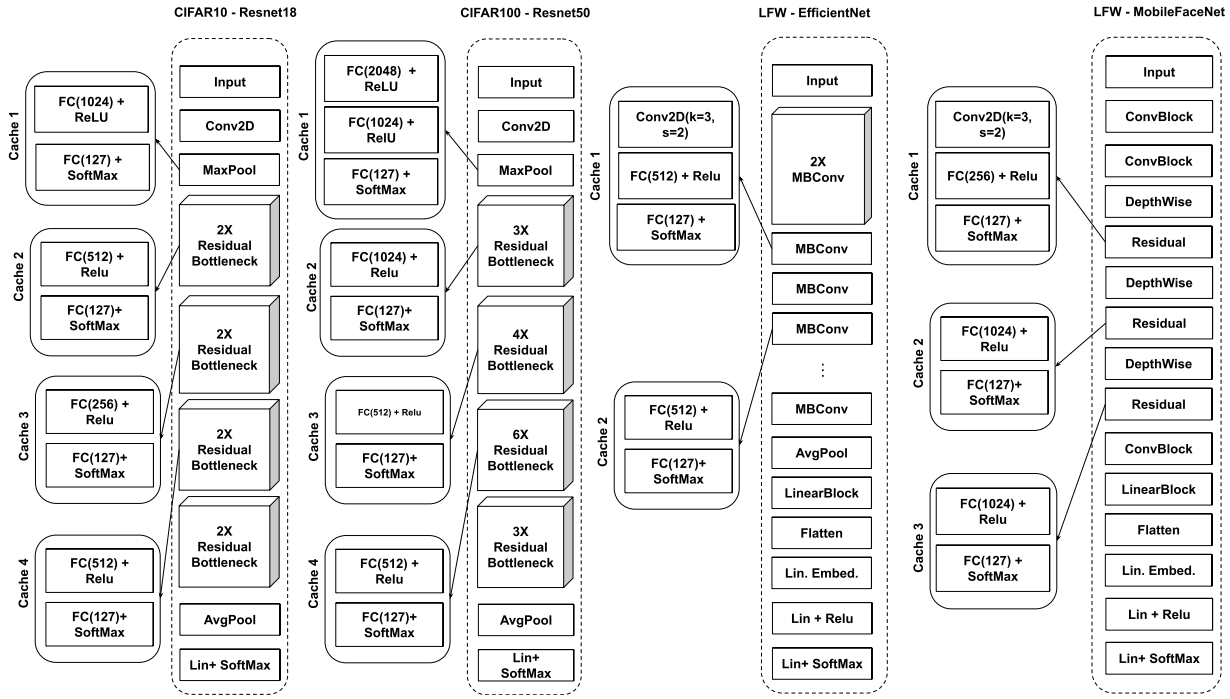

Figure 6: Final schema of the early exit models, for the experiments CIFAR10-Resnet18, CIFAR100-Resnet50, LWF-EfficientNet, and LFW-MobileFaceNet

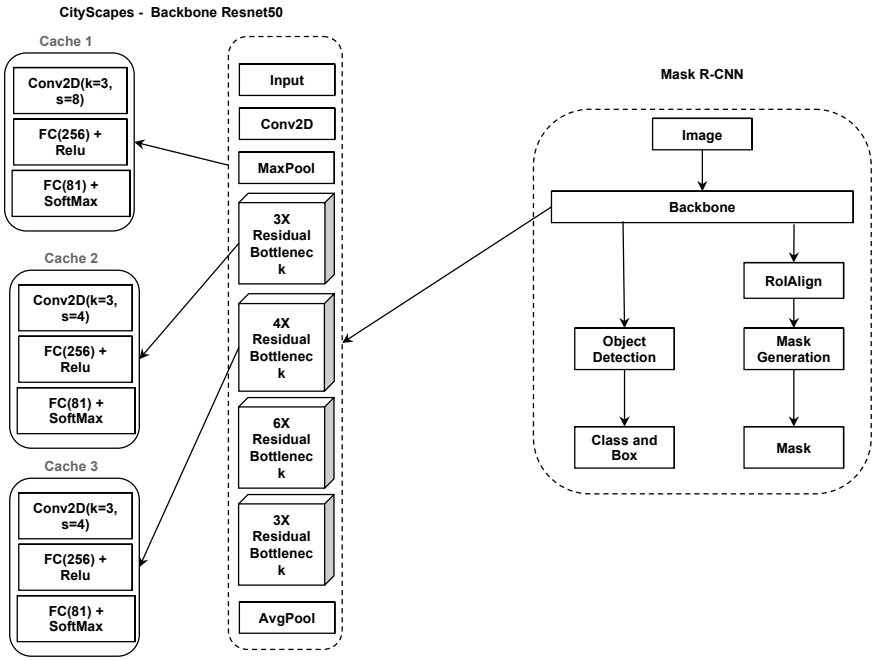

Figure 7: Final schema of the early exit models, for the experiments CityScapes - Mask RCNN

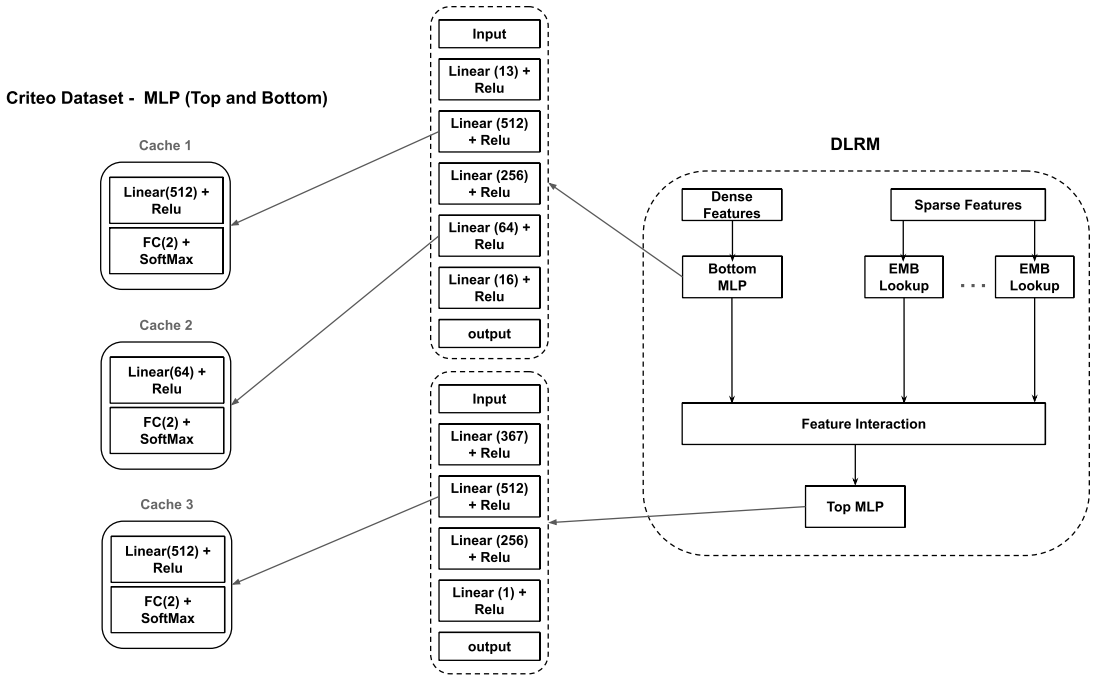

Figure 8: Final schema of the early exit models, for the experiments Criteo - DLRM

Appendix C. Early Exit models' individual performance for all experimenst

The following figures demonstrate the hit rate, GT accuracy, and early exit accuracy of each early exit model vs. the confidence threshold, per experiment dataset and backbone.

Appendix D. Multiple confidences Accuracies

Table 7 shows the final accuracy of various models across different datasets, comparing their base performance with their performance when early exit is enabled. Additionally, it includes early exit accuracies at three different confidence levels, highlighting how accuracy changes with varying early exit thresholds. This concise view helps in understanding the trade-offs between early exits at different confidence levels and overall model accuracy.

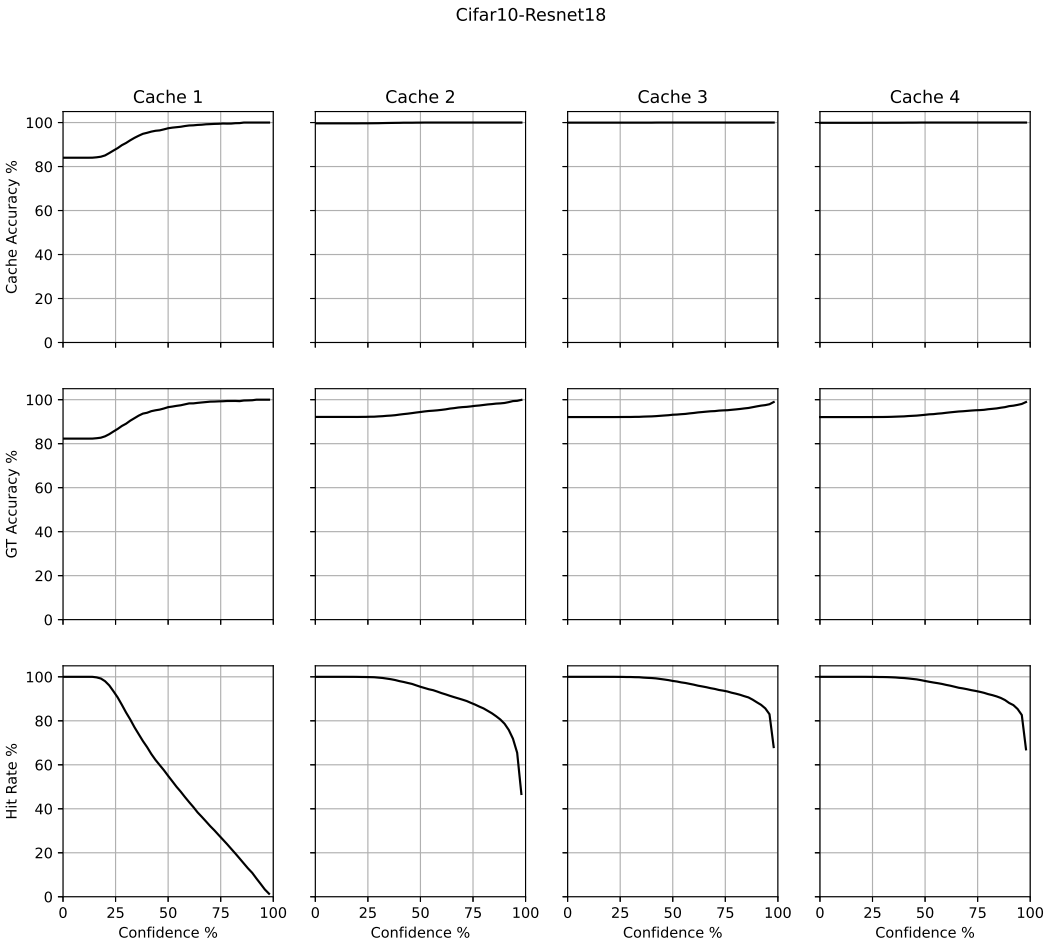

Figure 9: Experiment: CIFAR10-Resnet18

Table 7: Early Exit models' collaborative performance in terms of final accuracies at different confidence levels. LFW: Labeled Faces in the Wild, MFN: MobileFaceNet, EFN: EfficientNet

Data	Model	Base	Early Exit Enabled			
			0.9	0.75	0.5	0.25
CIFAR10	Resnet18	88.71%	86.49%	85.0%	83.52%	82.05%
	Resnet50	87.92%	85.88%	82.94%	81.47%	80.74%
CIFAR100	Resnet18	75.92%	74.47%	72.17%	68.33%	64.48%
	Resnet50	78.98%	77.04%	73.15%	71.6%	69.26%
ImageNet	Resnet18	69.76%	68.12%	66.53%	66.08%	65.31%
	Resnet50	76.13%	74.09%	73.03%	71.78%	71.27%
LFW	MFN	97.78%	96.91%	94.87%	93.06%	91.67%
	EFN	97.29%	95.35%	93.54%	92.17%	90.30%
CityScape	Mask RCNN	91.0%	83.4%	81.41%	80.19%	79.65%
Criteo	DLRM	78.88%	73.54%	71.78%	70.54%	70.17%

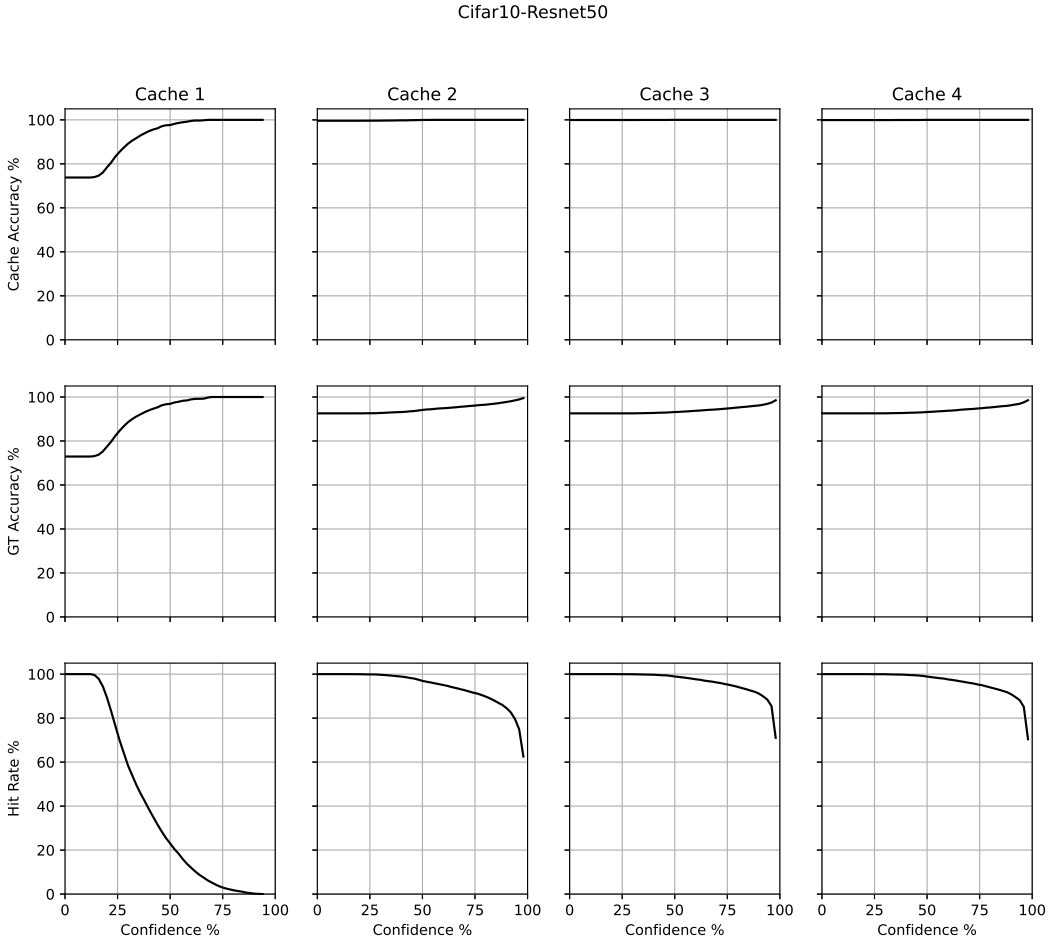

Figure 10: Experiment: CIFAR10-Resnet50

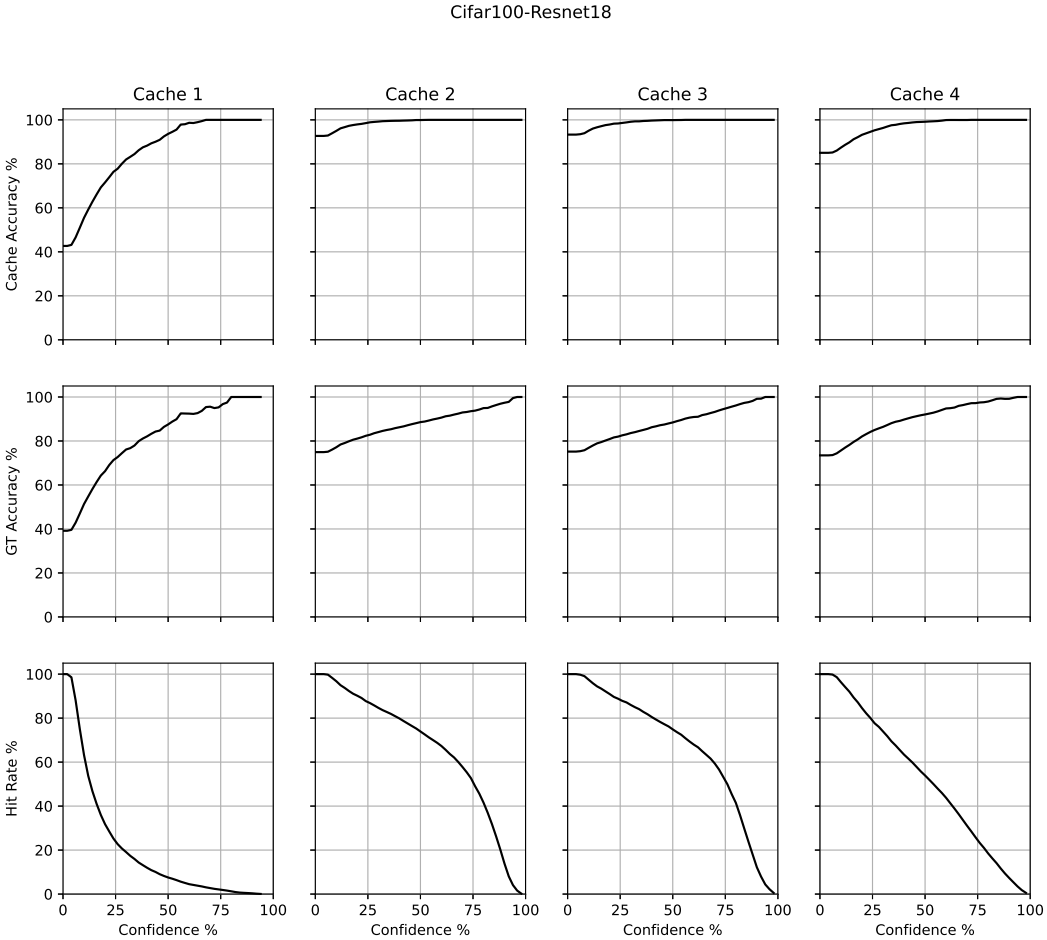

Figure 11: Experiment: CIFAR100-Resnet18

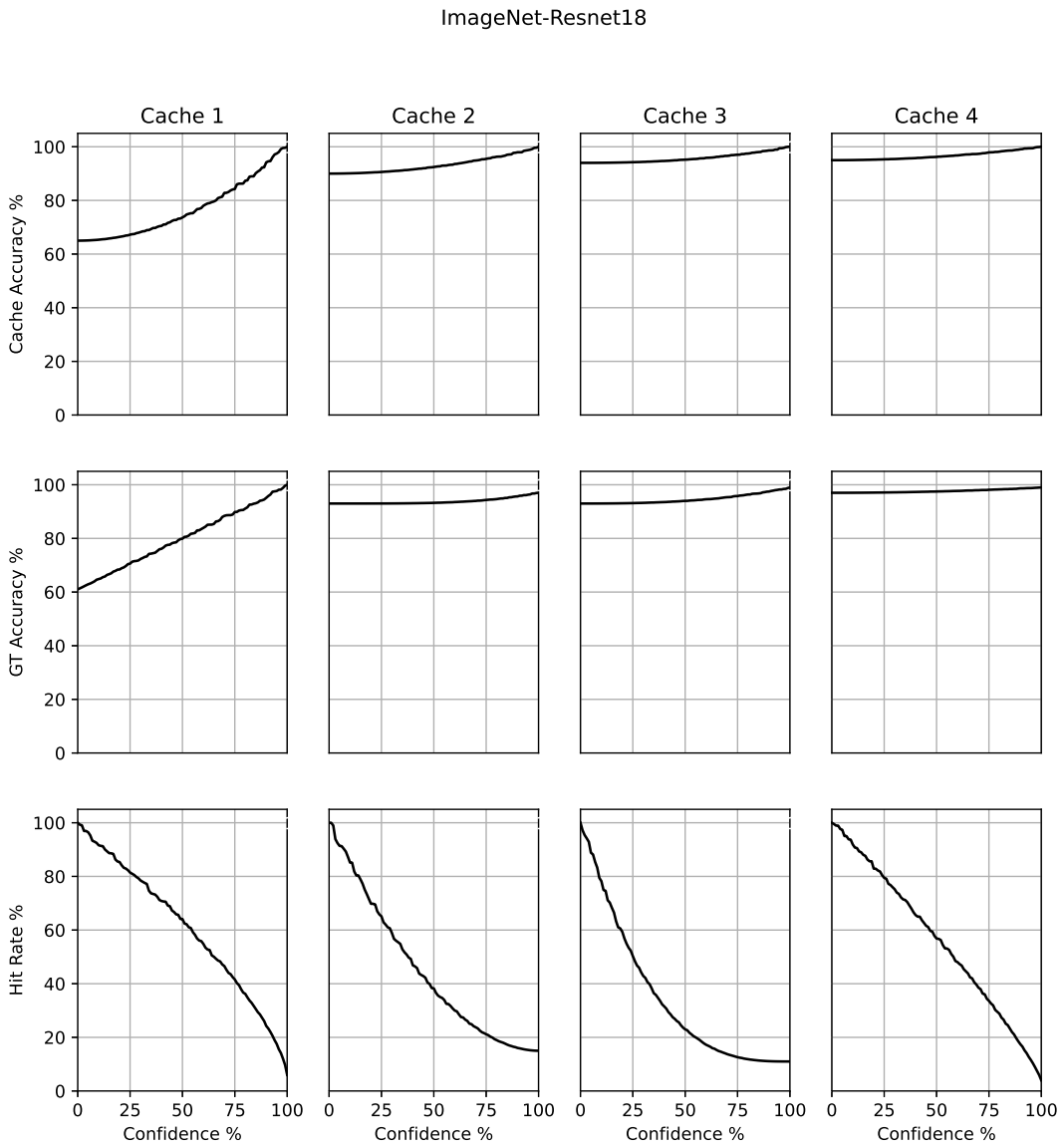

Figure 12: Experiment: ImageNet-Resnet18

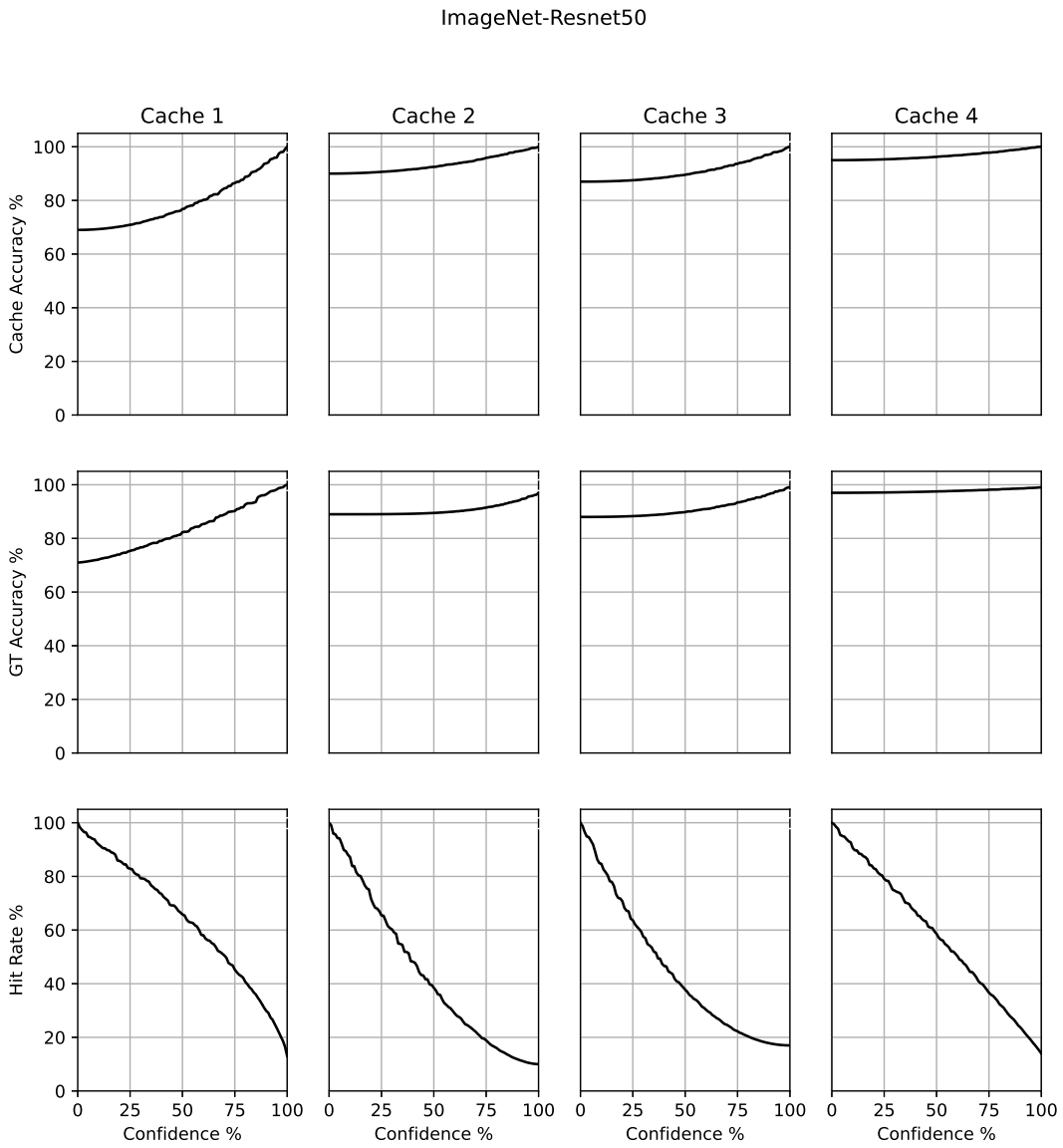

Figure 13: Experiment: ImageNet-Resnet50

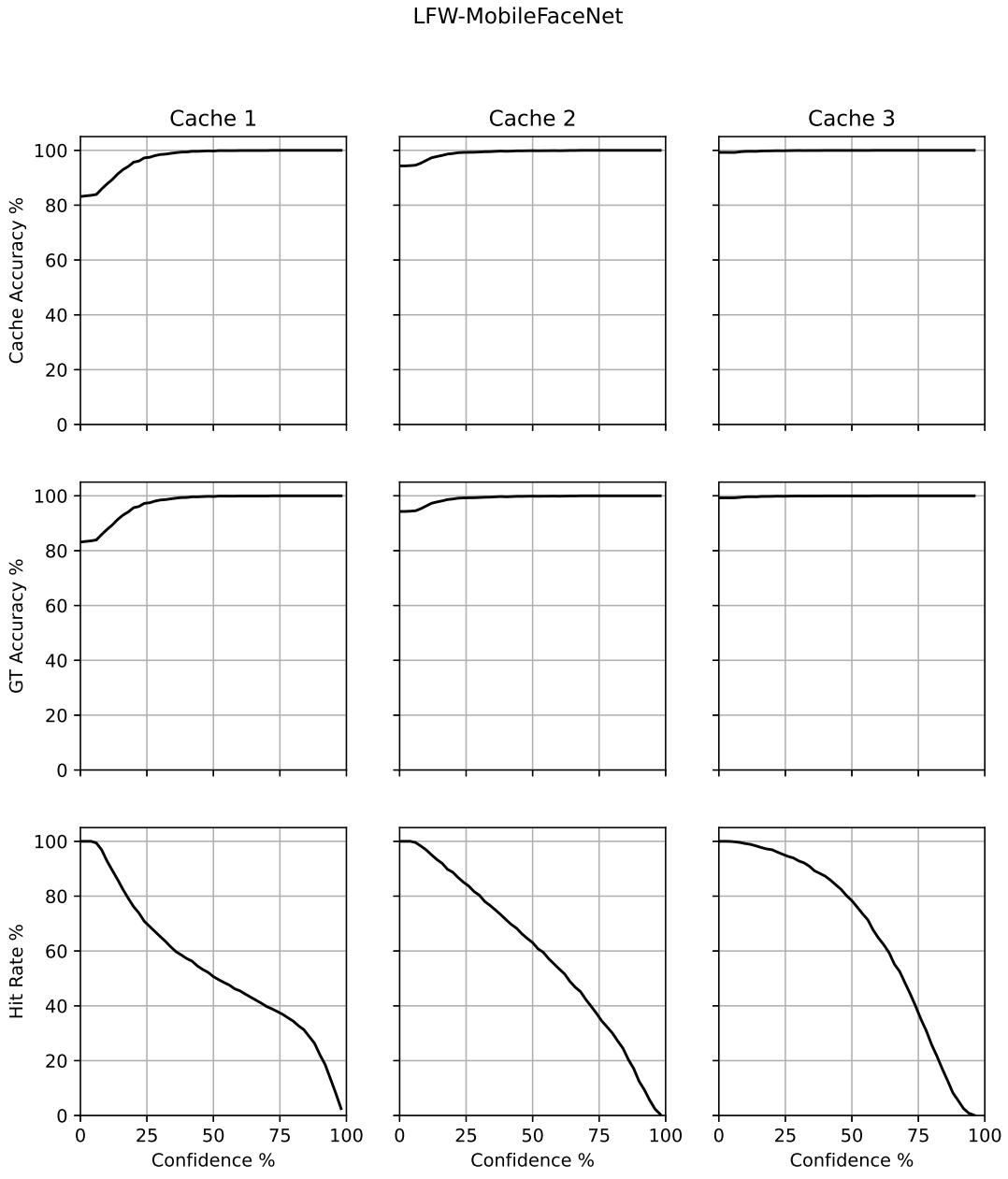

Figure 14: Experiment: LFW-MobileFaceNet

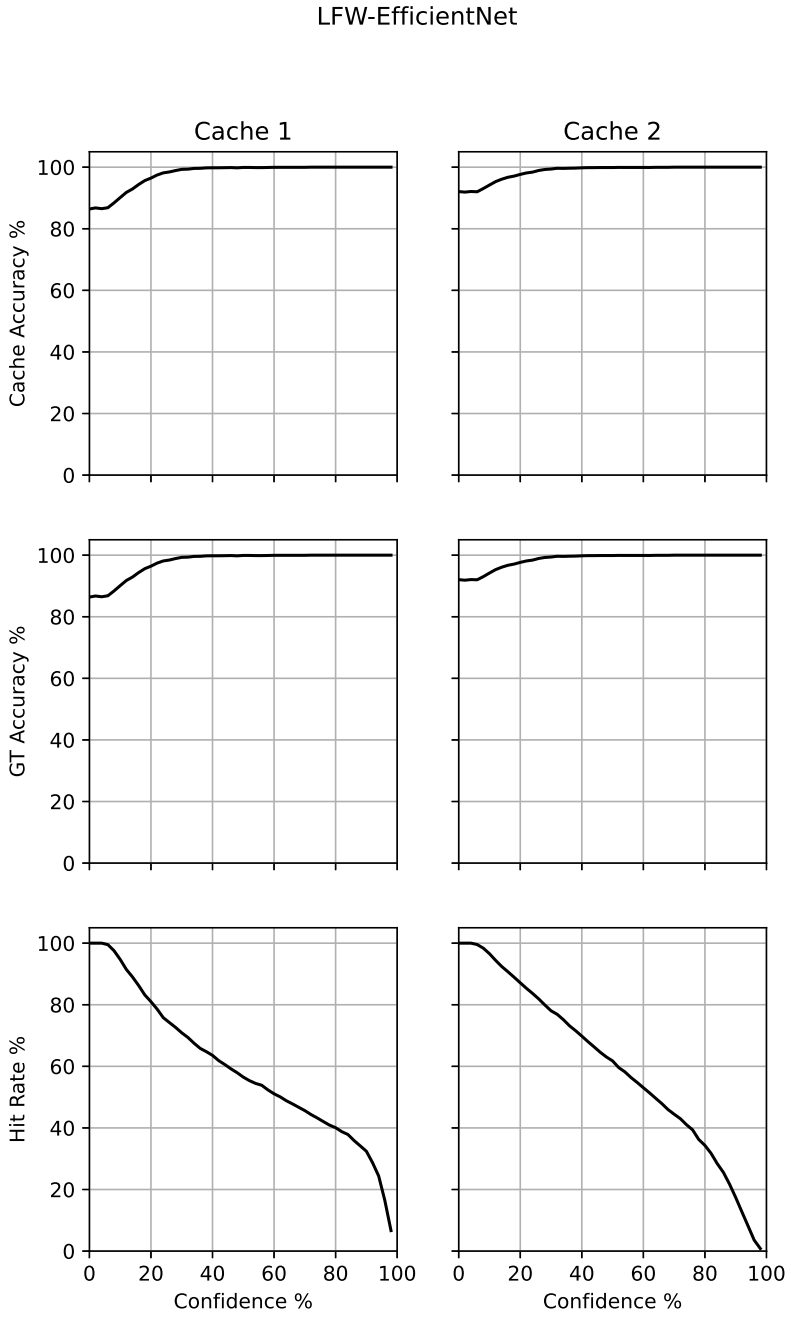

Figure 15: Experiment: LFW-EfficientNet

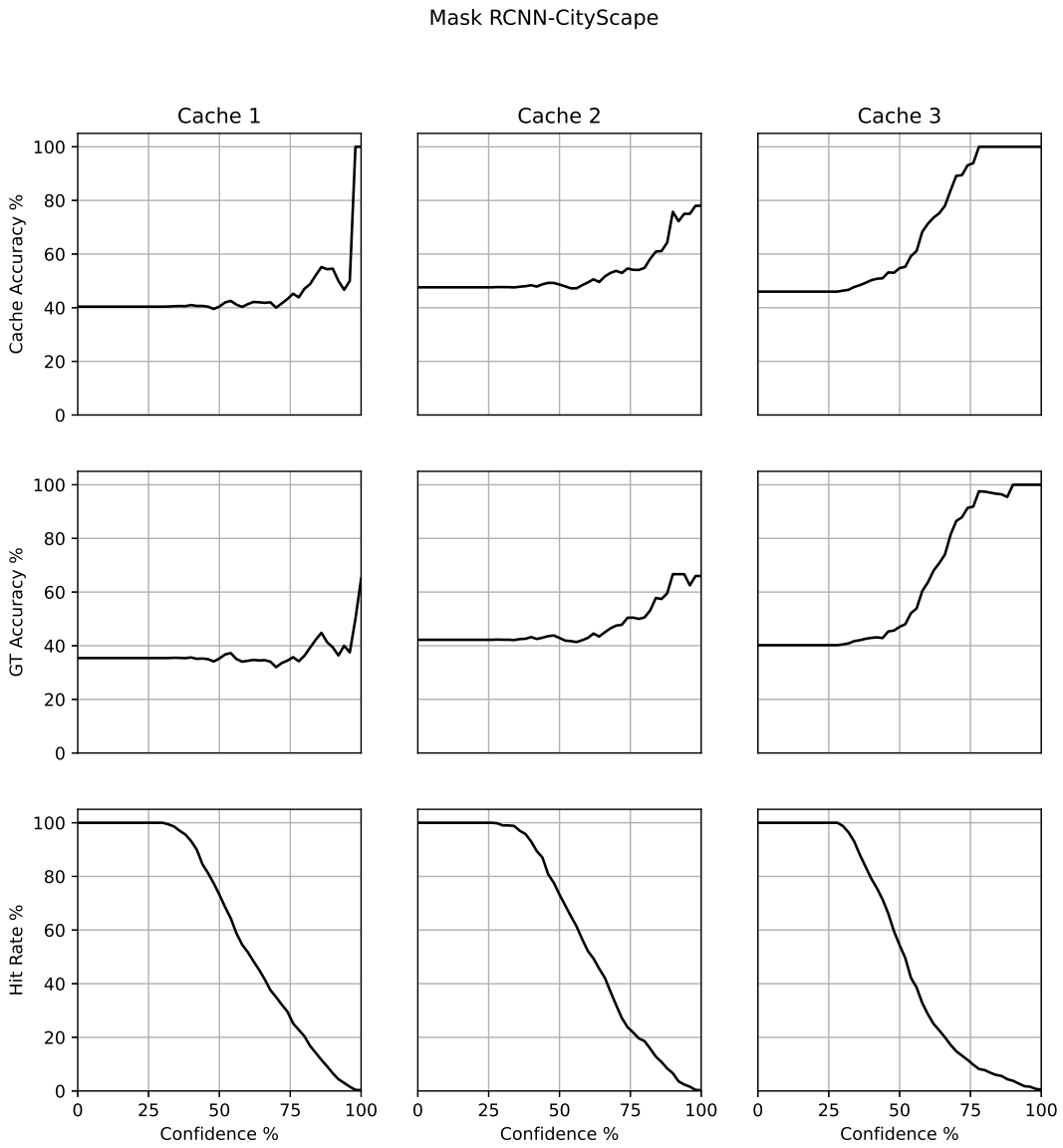

Figure 16: Experiment: Mask RCNN-CityScape

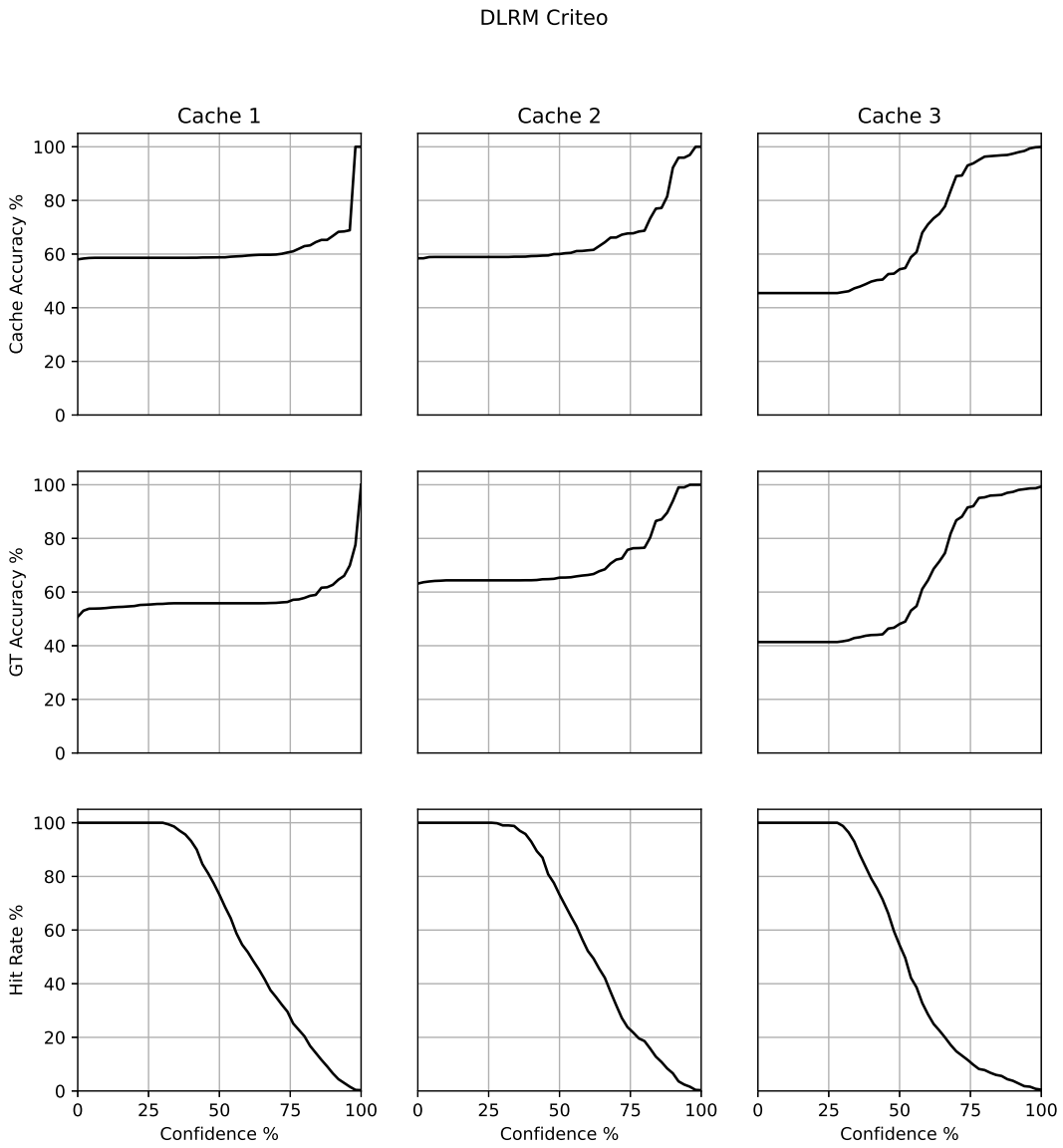

Figure 17: Experiment: DLRM-Criteo

Appendix E. Ablation Study

To investigate the need for using NAS in our work, we run an ablation study. The alternative to use NAS is inserting early exit models at every possible node, which can be memory-intensive and may even degrade the final performance and accuracy. Additionally, the number of early exit layers may also impact the results. Our default setup uses 2 dense layers per early exit model. This part of the ablation study assesses the impact of increasing this number to 3, 4, and 5 dense layers. The following tables compare latency (GPU and CPU), accuracy, model size and FLOPs across these modes.

Table 8: Different early exit models accuracy, MFN: MobileFaceNet, EFN: EfficientNet, R18: Resnet18, R50: Resnet50, C10: CIFAR10, C100: CIFAR100, IN: ImageNet, CityScapes: CS, Mask RCNN: MRCNN

Model Type	R18-C10	R50-C10	R18-C100	R50-C100	R18-IN	R50-IN	MFN-LFW	EFN-LFW	MRCNN-CS	DLRM-Criteo
With NAS	86.49%	85.88%	74.47%	77.04%	68.12%	74.09%	96.91%	95.35%	83.4%	73.54%
Without NAS	86.79%	85.16%	74.32%	77.19%	67.31%	72.91%	96.19%	95.71%	83.14%	73.96%
1 more layer	87.15%	86.12%	74.29%	77.72%	67.56%	73.19%	96.07%	95.92%	83.89%	73.91%
2 more layers	86.20%	86.09%	74.82%	77.02%	67.61%	73.21%	95.42%	95.14%	83.01%	73.62%
3 more layers	86.17%	86.01%	74.78%	77.03%	67.60%	73.31%	95.43%	95.14%	82.99%	73.48%

Table 9: Different early exit models latency on GPU, MFN: MobileFaceNet, EFN: EfficientNet, R18: Resnet18, R50: Resnet50, C10: CIFAR10, C100: CIFAR100, IN: ImageNet, CityScapes: CS, Mask RCNN: MRCNN

Model Type	R18-C10	R50-C10	R18-C100	R50-C100	R18-IN	R50-IN	MFN-LFW	EFN-LFW	MRCNN-CS	DLRM-Criteo
With NAS	0.98 ms	1.51 ms	1.25 ms	1.84 ms	2.79 ms	2.42 ms	7.30 ms	14.38 ms	108.7 ms	1.38 ms
Without NAS	1.29 ms	1.75 ms	1.47 ms	2.27 ms	3.91 ms	3.64 ms	9.26 ms	17.87 ms	153.1 ms	1.89 ms
1 more layer	1.11 ms	1.63 ms	1.32 ms	2.19 ms	3.27 ms	3.33 ms	7.91 ms	16.10 ms	123.1 ms	1.52 ms
2 more layers	1.21 ms	1.71 ms	1.39 ms	2.26 ms	3.34 ms	3.37 ms	7.97 ms	16.70 ms	131.27 ms	1.69 ms
3 more layers	1.27 ms	1.81 ms	1.48 ms	2.37 ms	3.61 ms	3.47 ms	8.83 ms	17.51 ms	138.27 ms	1.74 ms

Table 10: Different early exit models latency on CPU, MFN: MobileFaceNet, EFN: EfficientNet, R18: Resnet18, R50: Resnet50, C10: CIFAR10, C100: CIFAR100, IN: ImageNet, CityScapes: CS, Mask RCNN: MRCNN

Model Type	R18-C10	R50-C10	R18-C100	R50-C100	R18-IN	R50-IN	MFN-LFW	EFN-LFW	MRCNN-CS	DLRM-Criteo
With NAS	10.11 ms	14.62 ms	9.39 ms	9.02 ms	30.23 ms	38.74 ms	16.91 ms	27.98 ms	562.3 ms	7.67 ms
Without NAS	13.53 ms	19.17 ms	13.19 ms	13.01 ms	41.29 ms	51.04 ms	22.53 ms	38.77 ms	724.42 ms	11.89 ms
1 more layer	11.87 ms	18.12 ms	12.19 ms	12.12 ms	33.31 ms	45.41 ms	21.25 ms	34.68 ms	689.11 ms	10.63 ms
2 more layers	12.84 ms	19.32 ms	14.01 ms	13.89 ms	34.91 ms	47.02 ms	25.94 ms	35.78 ms	713.71 ms	11.93 ms
3 more layers	13.17 ms	22.12 ms	16.71 ms	15.89 ms	36.06 ms	48.68 ms	26.41 ms	37.52 ms	743.71 ms	13.31 ms

Table 11: Different early exit models FLOPs, MFN: MobileFaceNet, EFN: EfficientNet, R18: Resnet18, R50: Resnet50, C10: CIFAR10, C100: CIFAR100, IN: ImageNet, CityScapes: CS, Mask RCNN: MRCNN

Model Type	R18-C10	R50-C10	R18-C100	R50-C100	R18-IN	R50-IN	MFN-LFW	EFN-LFW	MRCNN-CS	DLRM-Criteo
With NAS	414M	601M	374M	547M	1673M	2020M	296M	182M	2730M	99M
Without NAS	671M	942M	545M	719M	1941M	2430M	371M	282M	3211M	128M
1 more layer	614M	921M	534M	643M	1897M	2321M	351M	261M	3139M	121M
2 more layers	701M	1011M	709M	773M	1970M	2410M	404M	290M	3310M	138M
3 more layers	791M	1125M	771M	823M	2018M	2512M	514M	368M	3589M	153M

Regarding NAS use, the results indicate that there is an average increase in accuracy of less than 2%, while latency, FLOPs, and model sizes increased by an average of 16%. When using additional dense layers, the results showed an increase in accuracy of less than 1%, but resulted in approximately 24% worse results in latency and model size and about 30% increase in FLOPs usage on average.

Ablation experiments indicate that using additional dense layers or ignoring NAS and inserting and training all possible early exit layers, may result in slightly better accuracy. However, this is accompanied by increased

Table 12: Different early exit models size (Mega Byte), MFN: MobileFaceNet, EFN: EfficientNet, R18: Resnet18, R50: Resnet50, C10: CIFAR10, C100: CIFAR100, IN: ImageNet, CityScapes: CS, Mask RCNN: MRCNN

Model Type	R18-C10	R50-C10	R18-C100	R50-C100	R18-IN	R50-IN	MFN-LFW	EFN-LFW	MRCNN-CS	DLRM-Criteo
With NAS	97MB	243MB	383MB	552MB	403MB	572MB	350MB	297MB	4171MB	332MB
Without NAS	137MB	324MB	442MB	822MB	731MB	801MB	514MB	411MB	5231MB	451MB
1 more layer	113MB	273MB	401MB	622MB	591MB	609MB	372MB	320MB	4319MB	362MB
2 more layers	130MB	301MB	420MB	691MB	682MB	725MB	395MB	351MB	4480MB	390MB
3 more layers	159MB	340MB	451MB	751MB	705MB	789MB	421MB	393MB	4680MB	427MB

latency and, in some cases, performance is worse than the original model without early exits. This is because having, for example, 10 early exit layers and processing all batches through them significantly increases the time required and also enlarges the model size. In addition, memory consumption is negatively affected.