Robust Imitation via
Mirror Descent Inverse Reinforcement Learning

Abstract

Recently, adversarial imitation learning has shown a scalable reward acquisition method for inverse reinforcement learning (IRL) problems. However, estimated reward signals often become uncertain and fail to train a reliable statistical model since the existing methods tend to solve hard optimization problems directly. Inspired by a first-order optimization method called mirror descent, this paper proposes to predict a sequence of reward functions, which are iterative solutions for a constrained convex problem. IRL solutions derived by mirror descent are tolerant to the uncertainty incurred by target density estimation since the amount of reward learning is regulated with respect to local geometric constraints. We prove that the proposed mirror descent update rule ensures robust minimization of a Bregman divergence in terms of a rigorous regret bound of $\mathcal{O}(1/T)$ for step sizes $\{\eta_t\}_{t=1}^T$. Our IRL method was applied on top of an adversarial framework, and it outperformed existing adversarial methods in an extensive suite of benchmarks.

1 Introduction

One crucial requirement of practical imitation learning algorithms is *robustness*, often described as learning expert behavior for finite number of demonstrations, overcoming various realistic challenges [1]. In real-world problems such as motor control tasks, the demonstration size can be insufficient to create a precise model of an expert [2], and even in some cases, demonstrations can be noisy or suboptimal to solve the problem [3]. For such challenging scenarios, imitation learning algorithms inevitably struggle with unreliable statistical models; thus, the way of handling the uncertainty of estimated cost functions dramatically affects the imitation performance. Therefore, a thorough analysis of addressing these issues is required to construct a robust algorithm.

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Inverse reinforcement learning (IRL) is an algorithm for learning ground-truth rewards from expert demonstrations where the expert acts optimally with respect to an unknown reward function [4]. Traditional IRL methods solve the imitation problem based on iterative algorithms [5, 6], alternating between the reward estimation process and a reinforcement learning (RL) [7] algorithm. Newer studies of adversarial imitation learning (AIL) [8, 9] rather suggest learning reward functions of a certain form "directly," by using adversarial learning objectives [10] and nonlinear discriminative neural networks [9]. Compared to classical approaches, the AIL methods have shown great success on control benchmarks in terms of scalability for challenging control tasks [11].

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Technically, it is well known that AIL formulates a divergence minimization problem with its discriminative signals, which incorporates fine-tuned estimations of the target densities [12]. Through the lens of differential geometries, the limitation of AIL naturally comes from the implication that minimizing the divergence does not guarantee unbiased progression due to constraints of the underlying space [13]. In order to ensure stability, we argue that an IRL algorithm's progress needs to be regulated, yielding gradual updates with respect to local geometries of policy distributions.

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We claim that there are two issues leading to unconstrained policy updates: **(1)** a statistical divergence often cannot be accurately obtained for challenging problems and **(2)** an immediate divergence between agent and expert densities does not guarantee unbiased learning directions. Our approach is connected to a collection of optimization processes called mirror descent (MD) [14]. For a sequence of parameters $\{w_t\}_{t=1}^T$ and a convex function Ω, an MD update for a cost function F_t is derived as

$$\nabla\Omega(w_{t+1}) = \nabla\Omega(w_t) - \eta_t \nabla F_t(w_t). \tag{1}$$

In the equation, the gradient $\nabla\Omega(\cdot)$ creates a transformation that links a parametric space to its dual space. Theoretically, MD is a first-order method for solving constrained problems which enjoys rigorous regret bounds for various geometries [15, 16], including probability spaces. Thus, applying MD to the reward estimation can be efficient in terms of the number of learning phases.

In this paper, we derive an MD update rule in IRL with a postulate of nonstationary estimations of the expert density, resulting to convergent reward acquisition even for challenging problems. Compared to MD algorithms in optimization studies, our methodology draws a sequence of functions on an alternative space induced by a reward operator Ψ_Ω (Definition 1). To this end, we propose an AIL algorithm called mirror descent adversarial inverse reinforcement learning (MD-AIRL). Our empirical evidence showed that MD-AIRL outperforms the regularized adversarial IRL (RAIRL) [17] methods. For example, MD-AIRL showed higher performance in 30 distinct cases among 32 different configurations in challenging MuJoCo [18] benchmarks, and it clearly showed higher tolerance to suboptimal data. All of these results are strongly aligned with our theoretical results.

Table 1: A technical overview. Traditional IRL methods lack scalability, and RAIRL does not guarantee convergence of its solution for realistic cases. MD-AIRL combines desirable properties.

Method	Reference	Scalability	Rewards	Bregman divergence	Iterative solutions	Convergence analyses
BC (1991)	[19]	✓	✗	✗	✗	✓
MM-IRL (2004)	[5]	✗	✓	✗	✓	✓
GAIL (2016)	[8]	✓	✓	✗	✗	✗
RAIRL (2021)	[17]	✓	✓	✓	✗	✗
MD-AIRL (ours)	–	✓	✓	✓	✓	✓

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Our contributions. Our work is complementary to previous IRL studies; the theoretical and technical contributions are built upon a novel perspective of considering iterative RL and IRL algorithms as a combined optimization process with dual aspects. Comparing MD-AIRL with RAIRL, both are highly generalized algorithms in terms of a variety of choices of divergence functions. Tab. 1 shows that MD-AIRL brings beneficial results in realistic situations of limited time and data, since our approach is more aligned with earlier theoretical IRL studies providing formalized reward learning schemes and convergence guarantees. In summary, we list our main contributions below:

- Instead of a monolithic estimation process of a global solution in AIL, we derive a sequence of reward functions that provides iterative local objectives (Section 4).
- We formally prove that rewards derived by an MD update rule guarantee robust performance of divergence minimization along with a rigorous regret bound (Section 5).
- We propose a novel adversarial algorithm that is motivated by mirror descent, which is tolerant to unreliable discriminative signals of the AIL framework (Sections 6 and 7).

2 Related Works

Mirror descent. We are interested in a family of statistical divergence called the Bregman divergence [20]. The divergence generalizes constrained optimization problems such as least squares [21, 22], and it also has been applied in various subfields of machine learning [23, 24]. In differential geometries, the Bregman divergence is a first-order approximation for a metric tensor and satisfies metric-like properties [13, 25]. MD is also closely related to optimization methods regarding non-Euclidean geometries with a discretization of steps such as natural gradients [26, 27]. In the primal space, training with the infinitesimal limit of MD steps corresponds to a Riemannian gradient flow [28, 29]. In the RL domain, MD has been recently studied for policy optimization [30–32]. In this paper, we focus on learning with suboptimal representations of policy, and our distinct goal is to draw a robust reward learning scheme based on MD for the IRL problem.

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Imitation learning. As a statistical model for the information geometry [33], energy-based policies (i.e., Boltzmann distributions) appeared in early IRL studies, such as Bayesian IRL, natural

gradient IRL, and maximum likelihood IRL [34–36] for modeling expert distribution to parameterized functions. Notably, MaxEnt IRL [6, 37] is one of the representative classical IRL algorithms based on an information-theoretic perspective toward IRL solutions. Also, discriminators of AIL are trained by logistic regression; thus, the logit score of the discriminator defines an energy function that approximates the truth data density for the expert distribution [38]. Other statistical entropies have also been applied to AIL, such as the Tsallis entropy [39]. On the one hand, our approach is closely related to RAIRL [17], which defined its objective as minimizing the Bregman divergence. On the other hand, this work further employs the divergence to derive iterative MD updates for reward functions, resulting to theoretically pleasing properties while retaining the scalability of AIL.

Learning theory. There have been considerable achievements in dealing with temporal costs $\{F_t\}_{t=1}^{\infty}$, often referred to as *online learning* [40]. The most ordinary approach is stochastic gradient descent (SGD): $w_{t+1} = w_t - \eta_t \nabla F_t(w_t)$. In particular, SGD is a desirable algorithm when the parameter w_t resides in the Euclidean space since it ensures unbiased minimization of the expected cost. Apparently, policies appear in geometries of probabilities; thus, an incurred gradient may not be the direction of the steepest descent due to geometric constraints [26, 33]. An online form of MD (Eq. (1)) is analogous to SGD for non-Euclidean spaces, where each local metric is specified by a Bregman divergence [29]. Our theoretical findings and proofs follow the results of online mirror descent (OMD) that appeared in previous literature for general aspects [14, 15, 41, 27, 16, 29]. Our analyses extend existing theoretical results to IRL; at the same time, they are also highly general to cover various online imitation learning problems which require making decision sequentially.

3 Background

For sets X and Y, let Y^X be a set of functions from X to Y and Δ_X (Δ_X^Y) be a set of (conditional) probabilities over X (conditioned on Y). We consider an MDP defined as a tuple $(\mathcal{S}, \mathcal{A}, P, r, \gamma)$ with the state space $\mathcal{S}$, the action space $\mathcal{A}$, the Markovian transition kernel $P \in \Delta_{\mathcal{S}}^{\mathcal{S} \times \mathcal{A}}$, the reward function $r \in \mathbb{R}^{S \times A}$ and the discount factor $\gamma \in [0, 1)$. Let a function $\Omega : \Delta_{\mathcal{A}} \to \mathbb{R}$ be strongly convex. Using Ω, the Bregman divergence is defined as

$$D_\Omega(\pi^s \| \hat{\pi}^s) := \Omega(\pi^s) - \Omega(\hat{\pi}^s) - \langle \nabla \Omega(\hat{\pi}^s),\ \pi^s - \hat{\pi}^s \rangle_{\mathcal{A}},$$

where π^s and $\hat{\pi}$ denote arbitrary policies for a given state s. For a representative divergence, one can consider the popular KL divergence. The KL divergence is a Bregman divergence when Ω is specified as the negative Shannon entropy: $\Omega(\pi^s) = \sum_a \pi^s(a) \ln \pi^s(a)$.

Regularization of policy distribution with respect to convex Ω brings distinct properties for learning agent [42, 43]. The objective of regularized RL is to find $\pi \in \Pi$ that maximizes the expected value of discounted cumulative returns along with a causal convex regularizer $\Omega : \Delta_{\mathcal{A}} \to \mathbb{R}$, i.e.

$$J_\Omega(\pi, r) := \mathbb{E}_\pi \Big[\sum_{i=0}^{\infty} \gamma^i \big\{ r(s_i, a_i) - \Omega\big(\pi(\cdot | s_i)\big) \big\} \Big], \tag{2}$$

where the subscript π on the expectation indicates that each action is sampled by $\pi(\cdot | s_i)$ for the given MDP. In this setup, a trainable policy space is a subset of the entire conditional probability space denoted as $\Pi := [\Pi^s]_{s \in \mathcal{S}} \subset \Delta_{\mathcal{A}}^{\mathcal{S}}$, which is constrained by the parameterization of a policy.

The objective of IRL is to find a function r_E that rationalizes the expert behavior π_E. For an inner product $\langle \cdot, \cdot \rangle_{\mathcal{A}}$, consider Ω^*, the Legendre-Fenchel transform (convex conjugate) of Ω:

$$\forall q^s \in \mathbb{R}^{\mathcal{A}}, \quad \Omega^*\big(q^s\big) = \max_{\pi^s \in \Delta_{\mathcal{A}}} \langle \pi^s,\ q^s \rangle_{\mathcal{A}} - \Omega\big(\pi^s\big), \tag{3}$$

where q^s and π^s denote the shorthand notation of $q(s, \cdot)$ and $\pi(\cdot | s)$. Differentiating both sides with respect to q^s, the gradient of conjugate $\nabla \Omega^*$ maps q^s to a policy distribution. One fundamental property in *regularized* IRL [42] is that π_E is the maximizing argument of Ω^* for q_E, where q_E is the regularized state-action value function $q_E(s, a) = \mathbb{E}_{\pi_E}[\sum_{i=0}^{\infty} \gamma^i \{ r_E(s, a) - \Omega(\pi_E^s) \} | s_0 = s, a_0 = a]$. Note that the problem is ill-posed, and every $\hat{r}_E$ that makes its value function $\hat{q}_E$ satisfy $\pi_E^s = \nabla \Omega^*(\hat{q}_E^s)\ \forall s \in \mathcal{S}$ is a valid solution. Addressing this issue, Jeon et al. [17] proposed a reward operator $\Psi_\Omega : \Delta_{\mathcal{A}}^{\mathcal{S}} \to \mathbb{R}^{\mathcal{S} \times \mathcal{A}}$, providing a unique IRL solution by $\Psi_\Omega(\pi_E)$.

Definition 1 (Regularized reward operators). Define the regularized reward operator Ψ_Ω as $\psi_\pi(s, a) := \Omega'(s, a; \pi) - \langle \pi^s, \nabla \Omega(\pi^s) \rangle_{\mathcal{A}} + \Omega(\pi^s)$, for $\Omega'(s, \cdot; \pi) := \nabla \Omega(\pi^s) = \big[\nabla_p \Omega(p) \big]_{p = \pi(\cdot | s)}$.

The reward function $\psi_E := \Psi_\Omega(\pi_E)$ replaces its state-action value function, since the sum of composite Bregman divergences derived from Eq. (2) allows reward learning in a greedy manner [17].

4 RL-IRL as a Proximal Method

Associated reward functions. We consider the RL-IRL processes as a sequential algorithm with local constraints and define sequences $\{\pi_t\}_{t=1}^{\infty}$ and $\{\psi_t\}_{t=1}^{\infty}$ that denote policies and associated reward functions, respectively. The associated reward functions is in a space $\Psi_\Omega(\Pi)$, which is an alternative space of the dual space, defined by the regularized reward operator Ψ_Ω. Formally, we provide Lemma 1 which shows a bijective relation between the operators $\nabla\Omega^*$ and Ψ_Ω in the set Π. The proof is in Appendix A.

Lemma 1 (Natural isomorphism). *Let $\psi \in \Psi_\Omega(\Pi)$ for $\Psi_\Omega(X) := \{ \psi \mid \psi(s,a) = \psi_\pi(s,a), \ \forall s \in \mathcal{S}, a \in \mathcal{A}, \pi \in X \}$. Then, $\nabla\Omega^*(\psi)$ is unique and for every $\pi = \nabla\Omega^*(\psi)$, $\pi \in \Pi$.*

Figure 1: A schematic illustration. MD is locally constrained by a divergence (gray area), i.e., $D_\Omega(\cdot \| \pi_t)$. An MD update is performed for the reward function ψ_t in an associated reward space of defined by Ψ_Ω, and π_{t+1} is achieved in the desired space of Π by applying $\nabla\Omega^*$ for the function ψ_{t+1}. The gray dashed lines provide another interpretation of MD with $\tilde{\pi}_{t+1}$ and the projection operator $\mathcal{P}_\Omega$.

Fig. 1 illustrates that there is unique ψ_t for π_t in every time step. Note that $\Psi_\Omega(\pi_t)$ is different from $\nabla\Omega(\pi_t)$; it is shifted by a vector $\mathbf{1}c$ with a constant $c = \Omega(\pi_t^s) - \langle \pi_t^s, \nabla\Omega(\pi_t^s)\rangle_\mathcal{A}$. Since the underlying space is a probability simplex, the operator $\nabla\Omega^*$ reconstructs the original point for both Ψ_Ω and $\nabla\Omega$, as the distributivity [42] $\Omega^*(y+\mathbf{1}c) = \Omega^*(y)+c$ holds (so $\nabla\Omega^*(y+\mathbf{1}c) = \nabla\Omega^*(y)$). An alternative interpretation is of considering a projection (gray dashed line in Fig. 1). Suppose that a policy π_t is updated to $\tilde{\pi}_{t+1} \in \mathbb{R}^{\mathcal{S}\times\mathcal{A}}$. The Bregman projection operator $\mathcal{P}_\Omega$ is applied that locates the subsequent update π_{t+1} to the "feasible" region, i.e., $\mathcal{P}_\Omega(\tilde{\pi}_{t+1}) := \arg\min_{\pi\in\Pi}[D_\Omega(\pi^s\|\tilde{\pi}_{t+1}^s)]_{s\in\mathcal{S}}$.

Consequently, one can consider an updated reward function ψ_{t+1} as a projected target of MD associated with an alternative parameterization of Π. For instance, the parameters of ψ_t can construct a softmax policy for a discrete space, or a Gaussian policy for a continuous space. Using the reward function ψ_{t+1}, an arbitrary regularized RL process maximizing Eq. (2) at the t-th step [17]

$$J_\Omega(\pi, \psi_{t+1}) = -\mathbb{E}_\pi\Big[\sum_{i=0}^{\infty} \gamma^i D_\Omega\Big(\pi(\cdot|s_i)\big\|\pi_{t+1}(\cdot|s_i)\Big)\Big] \tag{4}$$

becomes finding the next iteration $\pi_{t+1} = \nabla\Omega^*(\psi_{t+1})$ by maximizing the RL objective. The equation shows that a regularized RL algorithm with the regularizer Ω forms a cumulative sum of Bregman divergences; thus, the policy π_{t+1} is uniquely achieved by the property of divergence.

Online imitation learning. Our setup comes from an observation that imitation learning do not retain the global target π_E during training. That is, it is fundamentally uncertain to model global objectives (such as $J_\Omega(\pi, \psi_E)$) which are not attainable for both RL and IRL. Instead, we hypothesize on existence of a random process $\{\bar{\pi}_{E,t}\}_{t=1}^{\infty}$ where each estimation $\bar{\pi}_{E,t}$ resides in a closed, convex neighborhood of π_E, generated by an arbitrary estimation algorithm. Substituting ψ_E to $\psi_{\bar{\pi}_{E,t}} = \Psi_\Omega(\bar{\pi}_{E,t})$, $J_\Omega(\pi, \psi_{\bar{\pi}_{E,t}})$ forms a temporal costs F_t:

$$F_t(\pi) = \mathbb{E}_\pi\Big[\sum_{i=0}^{\infty} \gamma^i D_\Omega\big(\pi(\cdot|s_i)\big\|\bar{\pi}_{E,t}(\cdot|s_i)\big)\Big]. \tag{5}$$

For a better understanding, we consider an actual experiment in Fig. 2. Suppose that policies of the learning agent and the expert follow multivariate Gaussian distributions at $\mathcal{N}([0,0]^\mathsf{T}, \mathbf{I})$ and

Figure 2: (**a**) A policy π_t learns from MD updates for temporal costs $D_\Omega(\cdot\|\bar{\pi}_{E,t})$. (**b**) The updates of π_t vary by η, and the distance between π_t and π_E can be closer than the distance between $\bar{\pi}_{E,t}$ and π_E when t is sufficiently large and the η is effectively low. (**c**) Two plots show $D_\Omega(\pi_t, \|\pi_E)$ associated with entropic regularizers for four different η (10 trials), with the red baselines $D_\Omega(\bar{\pi}_{E,t}\|\pi_E)$.

167 $\mathcal{N}([5,3]^\intercal, \Sigma_E)$ with $|\Sigma_E| < 1$. Suppose a (suboptimal) reference policy $\bar{\pi}_{E,t}$, independently fitted with
168 a maximum likelihood estimator with a relatively high learning rate, starting from $\bar{\pi}_{E,1} = \pi_1$. The
169 policy π_t was trained by a cost function $D_\Omega(\cdot\|\bar{\pi}_{E,t})$ using the MD update rule in Eq. (1). In Fig. 2,
170 we first observed that choosing high step size constant η accelerates the training speed mostly in the
171 early phase. The results also showed that the performance of MD ($D_\Omega(\pi_t\|\pi_E)$) outperformed that
172 of referenced maximum likelihood estimation ($D_\Omega(\bar{\pi}_{E,t}\|\pi_E)$) by choosing an effectively low step
173 size. This suggests that there are clear advantages in scheduling step sizes by time steps especially
174 for unreliable $\bar{\pi}_{E,t}$.

175 **MD update rules.**   As a result, we formulate subsequent MD steps for a policy dstistribution. Let
176 w_t be a parameter on a set $\mathcal{W}$ and $F_t : \mathcal{W} \to \mathbb{R}$ be a convex cost function from a class of functions
177 $\mathcal{F}$ at the t-th step. Replacing the L2 proximity term of proximal gradient descent with a Bregman
178 divergence, the proximal form of the MD update for Eq. (1) is written as [44]

$$\underset{w \in \mathcal{W}}{\text{minimize}} \left\langle \nabla F_t(w_t),\, w - w_t \right\rangle_\mathcal{W} + \alpha_t D_\Omega\big(w \,\big\|\, w_t\big), \tag{6}$$

179 where $\alpha_t := {}^1/\eta_t$ denotes an inverse of the current step size η_t [45]. Plugging each divergences of the
180 cumulative cost F_t to Eq. (6), the MD update for the subsequent reward function $\psi_{t+1} = \Psi_\Omega(\pi_{t+1})$
181 is derived by solving a problem

$$\underset{\pi^s \in \Pi^s}{\text{minimize}} \Big\langle \underbrace{\nabla D_\Omega\big(\pi_t^s \,\big\|\, \bar{\pi}_{E,t}^s\big)}_{\nabla \Omega(\pi_t^s) - \nabla \Omega(\bar{\pi}_{E,t}^s)},\ \pi^s - \pi_t^s \Big\rangle_\mathcal{A} + \alpha_t D_\Omega\big(\pi^s \,\big\|\, \pi_t^s\big)$$

$$\Longleftrightarrow \quad \underset{\pi^s \in \Pi^s}{\text{minimize}}\ D_\Omega\big(\pi^s \big\| \bar{\pi}_{E,t}^s\big) - D_\Omega\big(\pi^s \big\| \pi_t^s\big) + \alpha_t D_\Omega\big(\pi^s \big\| \pi_t^s\big)$$

$$\Longleftrightarrow \quad \underset{\pi^s \in \Pi^s}{\text{minimize}}\ \eta_t \underbrace{D_\Omega\big(\pi^s \big\| \bar{\pi}_{E,t}^s\big)}_{\text{estimated expert}} + (1 - \eta_t) \underbrace{D_\Omega\big(\pi^s \big\| \pi_t^s\big)}_{\text{learning agent}} \qquad \forall s \in \mathcal{S}, \tag{7}$$

182 where the gradient of D_Ω is taken with respect to its first argument π_t^s. Note that solving the
183 optimization Eq. (7) requires interaction between π_t and the dynamics of the given environment
184 in order to minimize F_t; thus, the corresponding RL process plays an essential role in sequential
185 learning by the induced the value measures. At a glance the objective is analogous to finding an
186 interpolation at each iteration where the point is controlled η_t. Fig. 3 shows that the uncertainty of
187 π_t (blue region) gets minimal regardless of persisting uncertainty of $\bar{\pi}_{E,t}$ (red region).

Figure 3: Illustrations of MD at the (**a**) t-th iteration and (**b**) $(t + 1)$-th iteration where $\eta_t > \eta_{t+1}$.
$\{\bar{\pi}_{E,t}\}_{t=1}^\infty$ is an arbitrary estimation process attained from a neighborhood of π_E with respect to a
norm. The MD update is taken inside the interval of π_t and $\bar{\pi}_{E,t}$ using Eq. (7).

5   Convergence Analyses

189 In this section, we introduce our theoretical results. The main goals are to address (1) the conver-
190 gence of MD updates for various cases and (2) the necessity of scheduling the amount of learning.   *NEW* [Mged]

191 Suppose that states at the t-th step $s_i^{(t)} \in \tau_t$ covers $\mathcal{S}$ by executing the policy π_t in an infinite horizon.
192 From this assumption, we define a temporal cost function at the time step t as

$$f(\pi_t, \tau_t) := \sum_{i=0}^\infty \gamma^i D_\Omega\big(\pi_t(\,\cdot\mid s_i^{(t)}) \,\big\|\, \bar{\pi}_{E,t}(\,\cdot\mid s_i^{(t)})\big), \tag{8}$$

193 that additionally involves a trajectory τ_t as an input. We refer to the global objective as finding a
194 unique fixed point $\pi_* \in \Pi$ that minimizes a total cost $F(\pi) := \mathbb{E}[f(\pi, \tau_t)]$, where the expectation is
195 taken over trajectories of entire steps, i.e., $\lim_{t \to \infty} \mathbb{E}_{\tau_{1:t}}[f(\pi, \tau_t)]$. Taking the (stepwise) gradient for
196 each $\pi(\cdot|s)$, an optimal policy π_* is found by $\mathbb{E}[\nabla\Omega(\pi_*(\cdot|s)) - \nabla\Omega(\bar{\pi}_{E,t}(\cdot|s))] = 0$ when $t \to \infty$;
197 hence, $\nabla\Omega(\pi_*(\cdot|s)) = \lim_{t \to \infty} \mathbb{E}[\nabla\Omega(\bar{\pi}_{E,t}(\cdot|s))]$. Introducing the optimal policy π_* allows not only
198 the specific situation when (**1**) $\pi_E = \pi_* \in \Pi$ and the estimation algorithm of $\bar{\pi}_{E,t}$ is actually conver-
199 gent with $t \to \infty$, but also more general situations where (**2**) $\pi_E \notin \Pi$ or the estimated expert policy
200 $\bar{\pi}_{E,t}$ does not converge; the algorithm finds convergence to a fixed point by scheduling updates.

201 We state two conditions of $\{\eta_t\}_{t=1}^{\infty}$ to guarantee convergence justified in Theorems 1 and 2.

202 • Convergent sequence & divergent series:

$$\lim_{t\to\infty}\eta_t = 0 \qquad \text{and} \qquad \sum_{t=1}^{\infty}\eta_t = \infty. \tag{9}$$

203 • Divergent series & convergent series of squared terms:

$$\sum_{t=1}^{\infty}\eta_t = \infty \qquad \text{and} \qquad \sum_{t=1}^{\infty}\eta_t^2 < \infty. \tag{10}$$

204 We assume Lipschitz continuity of $\nabla\Omega$ and boundedness of D_Ω in a Banach space. In some Ω, these
205 two assumptions do not necessarily hold for extreme cases in $\Delta_{\mathcal{A}}^{\mathcal{S}}$, e.g., a distribution that $\pi(a|s) = 0$
206 for some entries. Nevertheless, these outliers can be leaved out if the parametrization is constrained
207 to satisfy the assumptions. For example, one can either **(1)** prevent a policy to have non-zero entries
208 of probabilities for a discrete policy by a constraint on its parameters, or **(2)** prevent having too low
209 value of entropy for a continuous policy, typically by a constraint on the covariance functions.

210 Theorem 1 argues that the sequence $\{\eta_t\}_{t=1}^{\infty}$ shall diverge for its series; therefore, Eq. (9) is satisfied.

211 **Theorem 1** (Stepsize considerations). *Let Ω be strongly convex, $\nabla\Omega$ be Lipschitz continuous, and*
212 *the associated Bregman divergence D_Ω is bounded. Assume a general condition of the problem that*
213 $\inf_{\pi\in\Pi}\mathbb{E}\big[f(\pi,\tau_t)\big] > 0$. *Then we get $\lim_{T\to\infty}\mathbb{E}_{\tau_{1:T}}\big[\sum_{i=0}^{\infty}D_\Omega\big(\pi_*(\cdot|s_i)\big\|\pi_T(\cdot|s_i)\big)\big] = 0$ if and only if*
214 *Eq. (9) is satisfied.*

215 *(a) If $\lim_{t\to\infty}\eta_t = 0$, then $T\in\mathbb{N}$, $n<T$, and $c>0$ exist such that $\mathbb{E}_{\tau_{1:T}}\big[f_T(\pi_T,\tau_T)\big] \geq \frac{c}{T-n}$.*
216 *(b) If the step size takes the form of $\eta_t = \frac{4}{t+1}$, then $\mathbb{E}_{\tau_{1:T}}\big[\sum_{i=0}^{\infty}D_\Omega\big(\pi_*(\cdot|s_i)\big\|\pi_T(\cdot|s_i)\big)\big] = \mathcal{O}(1/T)$.*

217 Next, we provide Theorem 2, which addresses the convergence in a specific case when π_E resides in
218 Π. Additionally, the theorem addresses the bounds of the performance for fixed size update $\eta_t \equiv \eta_1$.

219 **Theorem 2** (Optimal cases). *Let Ω be strongly convex, $\nabla\Omega$ be Lipschitz continuous, and the as-*
220 *sociated Bregman divergences be bounded. Assume $\pi_1 \neq \pi_E$ and $\inf_{\pi\in\Pi}\mathbb{E}[f(\pi,\tau_t)] = 0$. Then,*
221 $\mathbb{E}\big[f(\pi_t,\tau_t)\big] = 0$ *if and only if $\sum_{t=1}^{\infty}\eta_t = \infty$. If $\eta_t \equiv \eta_1$, then there exist $c_1, c_2 \in (0,1)$ such that*
222 $c_1^{T-1}\cdot A_1 \leq A_T \leq c_2^{T-1}\cdot A_1$, *for $A_t = \sup_{s\in\mathcal{S}}\mathbb{E}_{\tau_{1:t}}\big[D_\Omega(\pi_E^s\|\pi_t^s)\big]$.*   *FIX* [Ptuv]

223 Lastly, Proposition 1 provides the sufficient condition for the almost certain convergence of the
224 algorithm by imposing the stronger condition of step size in Eq. (10). The proofs are in the appendix.

225 **Proposition 1** (General cases). *Assume that $\pi_E \notin \Pi$, hence $\inf_{\pi\in\Pi}\mathbb{E}[f(\pi,\tau_t)] > 0$. If the step sizes*
226 *satisfies Eq. (10), then $\lim_{t\to\infty}\sum_{i=0}^{\infty}\gamma^i D_\Omega\big(\pi_*(\cdot|s_i)\big\|\pi_t(\cdot|s_i)\big)$ converges to 0 almost surely.*

227 **Regrets.** For a sequence of state trajectories $\{\tau_t\}_{t\in\mathbb{N}}$, let us define a regret at the t-th iteration as

$$\frac{1}{t}\sum_{i=1}^{t}f(\pi_i,\tau_i) - \inf_{\pi\in\Pi}\Big\{\frac{1}{t}\sum_{j=1}^{t}f(\pi,\tau_j)\Big\}. \tag{11}$$

228 In the optimal case of $\inf_{\pi\in\Pi}\mathbb{E}[f(\pi,\tau_t)] = 0$, the cost f inherits the property of Bregman divergence
229 so that the infimum is achieved by 0 at π_E. In this case, the regret is bounded to $\mathcal{O}(1/T)$ by the
230 theorems. By Proposition 1, the MD updates converge for the case of $\inf_{\pi\in\Pi}\mathbb{E}[f(\pi,\tau_t)] > 0$ when
231 the step sizes abide by Eq. (10). Thus, the regret is bounded to $\mathcal{O}(1/T)$ even for the general case.

6   Algorithm: MD-IRL on an Adversarial Framework

233 In this section, we propose MD-AIRL, a novel AIL algorithm which trains a parameterized reward
234 function with adversarial learning and the MD update rule. Neural network parameters θ, ϕ, and ν *FIX*
235 are newly presented representing agent policy, reward, and expert policy functions respectively.

236 **Dual discriminators.** In order to bridge the gap between theory and practice, we propose a novel   *NEW* [4Ddj, Mged]
237 discriminative architecture, motivated by GAN studies regarding multiple discriminators [46, 47].
238 Basically, the proposed discriminators separate two concepts in AIL: matching overall state densities
239 and imitating specific behavior. Given a learning agent policy π_θ, an estimation policy π_ν, and a
240 discriminative neural network for states $d_\xi : \mathcal{S} \to \mathbb{R}$, the two discriminators are defined as

$$D_\nu(s,a;\theta,\xi) = \sigma\big(\log\{\pi_\nu(a|s)/\pi_\theta(a|s)\} + d_\xi(s)\big) \quad \text{and} \quad D_\xi(s) = \sigma\big(d_\xi(s)\big), \quad \forall\, s\in\mathcal{S},\, a\in\mathcal{A},$$

241 where $\sigma(\cdot)$ denotes the sigmoid function. The discriminators are trained using binary logistic re-
242 gression losses with respect to mini-batch adversarial samples:

$$\text{maximize } \mathcal{J}_{d_\xi} = \mathbb{E}_{\pi_E}\big[\log D_\xi(s)\big] + \mathbb{E}_{\pi_\phi}\big[\log(1 - D_\xi(s))\big], \tag{12}$$

$$\text{maximize } \mathcal{J}_{\pi_\nu} = \mathbb{E}_{\pi_E}\big[\log D_\nu(s,a)\big] + \mathbb{E}_{\pi_\phi}\big[\log(1 - D_\nu(s,a))\big], \tag{13}$$

Algorithm 1 Mirror Descent Adversarial Inverse Reinforcement Learning.

1: **Input:** trajectories $\{\tau_t^*\}_{t=1}^T$, an agent π_θ, a reference policy π_ν, a neural network $d_\xi\colon\mathcal{S}\to\mathbb{R}$, a regularized reward function $\psi_\phi\in\Psi_\Omega(\Pi)$, α_1,α_T, and λ.
2: **for** $t\leftarrow 1$ to T **do**
3:     $\alpha_t\leftarrow\alpha_1+(t-1)(\alpha_T-\alpha_1/T-1)$ and then $\eta_t\leftarrow 1/\alpha_t$.
4:     Optimize d_ξ and π_ν via binary logistic regression for D_ξ and D_ν.
5:     Optimize ψ_ϕ with the objective in Eq. (14) using both τ_t^* and τ_t.
6:     Train π_θ via RL to maximize $\psi_\phi^\lambda(s,a)$ with regularizer $\lambda\Omega(\cdot)$.
7: **Output:** $\pi_\theta,\psi_\phi^\lambda$.

Figure 4: A sequence example of (α_t,η_t).

where d_ξ and π_θ are not trained for learning D_ν. Let $\rho_\pi\in\Delta_\mathcal{S}$ denote the state visitation density of π, which is defined as $\rho_\pi(s):=(1-\gamma)\,\mathbb{E}_\pi\big[\sum_{i=0}^\infty\gamma^i\,\mathbb{I}\{s_i=s\}\big]$ where $\mathbb{I}\{\cdot\}$ is an indicator function. The convergence of functions in an ideal case is found at $\pi_\nu=\pi_E$ and $d_\xi(s)=\log\{\rho_{\pi_E}(s)/\rho_\pi(s)\}$.

Learning with MD-based rewards. ==Based on the MD solution for a regularized reward function, we focus on developing a learning objective.== Let $\psi_\phi\in\Psi(\Pi)$ denote a parameterized regularized reward function and π_ϕ denotes a corresponding policy from ϕ. Note that the transformation between ψ_ϕ and π_ϕ can be performed with shared ϕ without the additional computational costs under specific parameterizations [17]. Using a step size η_t, the RL agent π_θ, and the estimated expert policy π_ν, we define the objective of ϕ as direct interpretation of the update rule of Eq. (7): *NEW*[4Ddj,Mged] *NEW*[4Ddj]

$$\text{minimize } \mathcal{L}_{\psi_\phi}=\mathbb{E}_{s\sim\bar\tau_t}\big[\eta_t\,D_\Omega\big(\pi_\phi(\cdot\,|s)\big\|\pi_\nu(\cdot\,|s)\big)+(1-\eta_t)D_\Omega\big(\pi_\phi(\cdot\,|s)\big\|\pi_\theta(\cdot\,|s)\big)\big],\quad(14)$$

where the trajectory $\bar\tau_t$ denotes sample states using both agent and expert trajectories. As shown in Fig. 4, η_t is adjusted by linearly increasing α_t, originated from the analyses in Section 5.

==One drawback of Eq. (14) is the issue of covariance shifts, and it is likely that state densities between the expert and the agent are misaligned. Thus,== we define the IRL reward function as linear combinations of ψ_ϕ and the state density discriminative signal: *FIX*[4Ddj,Mged]

$$\psi_\phi^\lambda(s,a)=\lambda\,\psi_\phi(s,a)+d_\xi(s),\quad(15)$$

with a coefficient $\lambda\in\mathbb{R}^+$. Utilizing an arbitrary regularized RL algorithm a regularizer $\lambda\Omega(\cdot)$, the reward learning regarding agent policy π_θ is decomposed into the following:

$$\mathbb{E}_{\pi_\theta}\big[\psi_\phi^\lambda(s,a)-\lambda\Omega\big(\pi_\theta(\cdot\,|s)\big)\big]=\lambda\mathbb{E}_{\pi_\theta}\big[\psi_\phi(s,a)-\Omega\big(\pi_\theta(\cdot\,|s)\big)\big]-D_{\mathrm{KL}}\big(\rho_{\pi_\theta}\big\|\rho_{\pi_E}\big)$$
$$=-\lambda\mathbb{E}_{\pi_\theta}\big[D_\Omega\big(\pi_\theta(\cdot\,|s)\big\|\pi_\phi(\cdot\,|s)\big)\big]-D_{\mathrm{KL}}\big(\rho_{\pi_\theta}\big\|\rho_{\pi_E}\big).$$

Minimizing the first term of $\mathbb{E}_{\pi_\theta}\big[D_\Omega(\pi_\theta^s\|\pi_\phi^s)\big]$ represents learning with the MD formulation. Meanimizing the second term $D_{\mathrm{KL}}(\rho_{\pi_\theta}\|\rho_{\pi_E})$ plays an auxiliary role in facilitating the supports of state visitation densities to be properly matched. ==With the hyperparameter λ, we report that learning the second term is helpful when the state densities are heavily misaligned in certain benchmarks.== Algorithm 1 summarizes the entire procedure. We defer additional details to Appendix B and C. *NEW*[4Ddj,Mged]

7 Experimental Results

The aim of our experiments was to identify whether MD-AIRL facilitates robustness ==for various Ω== while retaining scalability of AIL. The comparative method was RAIRL with density based models (RAIRL-DBM) which contained comparable expressiveness as MD-AIRL For RL, we used RAC algorithm [43] that is a generalization of the SAC algorithm [48]. We considered a class of regularizers $\Omega(p)=-\mathbb{E}_{x\sim p}[\varphi(p(x))]$ with **(1)** Shannon $(\varphi(x)=\log(x))$, **(2)** Tsallis $(\varphi(x;q)=\frac{1}{q-1}(x^{q-1}-1)$, $q=2$ by default), **(3)** exp $(\varphi(x)=e-e^x)$, **(4)** cos $(\varphi(x)=\cos(\frac{\pi}{2}x))$, and **(5)** sin $(\varphi(x)=1-\sin(\frac{\pi}{2}x))$.

7.1 Large scale multiarmed bandits

==To measure the performance of IRL,== we first considered multiarmed bandit problems, where the cardinality of action spaces varies largely. Learning the optimal distribution of π_E becomes challenging as the size of action space $|\mathcal{A}|$ increases, because the frequency of each sample becomes sparse due to the curse of dimensionality. The stateless expert distribution π_E was generated by the parameters of softmax distribution $\pi_E(a)=\exp(z_a)/\sum_i\exp(z_i)$ where the logits z_i were randomly initialized. We set the action size to $|\mathcal{A}|=10^2,10^3,10^4$ and restricted each sample size of 16. *NEW*[Mged]

Table 2: The training results of $|\mathcal{A}| \cdot D_\Omega(\pi_T \| \pi_E)$ with five types of regularization (five runs with different seeds).

Method	$|\mathcal{A}| = 10^2$		$|\mathcal{A}| = 10^3$		$|\mathcal{A}| = 10^4$	
	RAIRL	MD-AIRL	RAIRL	MD-AIRL	RAIRL	MD-AIRL
Shannon	2.55 ± 1.59	$\mathbf{2.28 \pm 1.20}$	140.3 ± 87.5	$\mathbf{125.3 \pm 61}$	-	-
Tsallis	0.21 ± 0.13	$\mathbf{0.11 \pm 0.04}$	0.55 ± 0.13	$\mathbf{0.24 \pm 0.03}$	4.95 ± 2.3	$\mathbf{4.21 \pm 0.2}$
exp	0.27 ± 0.17	$\mathbf{0.13 \pm 0.06}$	0.55 ± 0.12	$\mathbf{0.23 \pm 0.03}$	5.06 ± 2.4	$\mathbf{4.97 \pm 0.7}$
cos	0.05 ± 0.04	$\mathbf{0.02 \pm 0.01}$	0.03 ± 0.02	$\mathbf{0.01 \pm 0.01}$	0.21 ± 0.6	$\mathbf{0.05 \pm 0.1}$
sin	0.34 ± 0.25	$\mathbf{0.12 \pm 0.04}$	3.82 ± 3.46	$\mathbf{1.07 \pm 0.75}$	8.12 ± 3.8	$\mathbf{7.59 \pm 1.0}$

Figure 5: The cost $D_\Omega(\pi_T \| \pi_E)$ on the log-scale at $|\mathcal{A}| = 10^3$. The shade represents 95% confidence interval.

Tab. 2 shows that MD-AIRL achieved overall lower Bregman divergence on average when three different cardinalities and five regularizers were considered. Fig. 5 shows that the Bregman divergence was large for MD-AIRL at the early training phase, because we chose the initial step size η_1 to be greater than 1 ($\alpha_1 = 0.5$). MD-AIRL exceeded the discriminative performance of RAIRL after certain steps, while the progression of RAIRL mostly stopped at local minima. MD-AIRL outperformed RAIRL in four cases by an choosing effectively low step size at η_T to be less than 1 ($\alpha_T = 2$). These results match the properties of MD algorithms and our convergence analyses. Therefore, we argue that a constrained update rule with appropriate step sizes is necessary for robust reward acquisition and imitation for situations when the total number of data samples is limited.

Figure 6: (**a**) Visualisation of trajectories trained by MD-AIRL, and the ground-truth reward surface. (**b**) The entropies for the probabilities of achieving four goals. The x-axis indicates the q value from the Tsallis regularizers (the Shannon regularizer is considered by $q = 1$ [49]). (**c**) The top and middle of each column show induced reward surfaces. The bottom shows the agent policy.

7.2 A continuous multigoal environment

We then considered a multigoal environment. In this environment, an agent is a two-dimensional point mass initialized at the origin, and the four goals are located in the four cardinal directions. The objective of imitation learning is to go toward each direction evenly as possible where the expert model was trained by an SAC algorithm. To draw informative reward surfaces regarding stochastic actions, we considered the multivariate Gaussian distribution policies parameterized with full covariance matrices instead of conventional diagonal Gaussian policies (see Appendices 2 and 3).

Fig. 6 (a) shows trajectories generated by the trained agent. Fig. 6 (b) shows that MD-AIRL achieved higher entropy for reaching the multiple goals. Fig. 6 (c) shows reward surfaces with regularizers, which were calculated by $\psi_\phi(s, a) + \varphi(\pi_\theta(a|s))$ for each point of $a \in \mathcal{A}$ and $s = (5, -1)$. During the training, the MD reward was similar to the estimated ground truth using adversarial training. However, the surface of MD-AIRL became flatter than the ground-truth estimation when π_t was sufficiently close to the expert behavior. As a result, we claim that a drastic change in the target distribution, which is one of the typical characteristics of adversarial frameworks, are prevented. We argue that these characteristics mitigate overfitting caused by unreliable discriminative signals.

7.3 A continuous control benchmark: MuJoCo

Lastly, we validated MD-AIRL on the MuJoCo continuous control benchmark suite. We assumed full covariance Gaussian policies for both learner's policy π and expert policy π_E. We used the hyperbolized environment assumption [17] where the action constraint is incorporated to the dynamics as a part of the environment using hyperbolic tangent activation.

Sample efficiency. For each task, we considered two different numbers of episodes collected by an expert policy. In Fig. 7, the performance of MD-AIRL, RAIRL, and behavior cloning (bc) algorithms [19] is shown with the expert and random agent performance. MD-AIRL was able to achieve

Figure 7: Average scores for 5 runs with two different regularizers (Shannon and Tsallis regularizer). The agent and IRL reward functions were trained with 4 episodes of expert demonstrations.

Figure 8: Scores on the last 10^5 steps in a total of 32 different settings. The x-axis indicates the q value of the Tsallis regularizers. The scores are rescaled by considering the expert performance as 1, and the error bars represent standard deviations. Left: 4 demonstrations. Right: 100 demonstrations.

consistent performance throughout the tasks and demonstration size. On the training curves, MD-AIRL showed high tolerance to the scarcity of data compared to RAIRL for 4 expert demonstrations. The plots in Fig. 8 indicate that MD-AIRL showed higher average scores with lower variance compared to RAIRL, across 30 distinct cases among 32 different configurations we have tested. MD-AIRL inherits the scalability of AIL, and it is highly stable with respect to limited sample sizes.

Table 3: Scores on noisy demonstrations. The values of ε represents scales of the Gaussian noises.

	Method	$\varepsilon = 0.01$	$\varepsilon = 0.5$		Method	$\varepsilon = 0.01$	$\varepsilon = 0.5$
Hopper	RAIRL (Shannon)	3636.03 ± 391.09	3573.74 ± 508.14	**HalfCheetah**	RAIRL (Shannon)	4354.15 ± 63.83	4216.99 ± 661.17
	MD-AIRL (Shannon)	$\mathbf{3669.25 \pm 177.78}$	$\mathbf{3653.31 \pm 267.87}$		MD-AIRL (Shannon)	$\mathbf{4373.17 \pm 68.12}$	$\mathbf{4337.18 \pm 106.40}$
	RAIRL (Tsallis)	3671.12 ± 322.32	3576.17 ± 515.75		RAIRL (Tsallis)	4364.13 ± 68.09	4216.67 ± 248.08
	MD-AIRL (Tsallis)	$\mathbf{3730.14 \pm 63.09}$	$\mathbf{3701.24 \pm 205.68}$		MD-AIRL (Tsallis)	$\mathbf{4388.87 \pm 73.19}$	$\mathbf{4247.44 \pm 266.73}$
Walker2d	RAIRL (Shannon)	2856.56 ± 939.9	2451.00 ± 1392.6	**Ant**	RAIRL (Shannon)	4493.74 ± 383.04	3777.78 ± 505.78
	MD-AIRL (Shannon)	$\mathbf{3386.38 \pm 953.59}$	$\mathbf{3252.65 \pm 1395.7}$		MD-AIRL (Shannon)	$\mathbf{4658.29 \pm 201.37}$	$\mathbf{4284.38 \pm 329.79}$
	RAIRL (Tsallis)	2731.84 ± 1058.7	2435.10 ± 1555.2		RAIRL (Tsallis)	4359.62 ± 168.46	3660.22 ± 508.54
	MD-AIRL (Tsallis)	$\mathbf{3624.00 \pm 992.63}$	$\mathbf{3093.54 \pm 963.96}$		MD-AIRL (Tsallis)	$\mathbf{4705.25 \pm 130.53}$	$\mathbf{4127.37 \pm 457.25}$

Noisy demonstrations. Tab. 3 shows the results of imitation learning experiments for 100 expert demonstrations with two different levels of Gaussian additive noises, resulting to suboptimal demonstrations. MD-AIRL is highly tolerant to noisy data, consistently achieving higher performance. The experiment is closely related to the general case in the theory the results suggest that the characteristics of MD-AIRL are in alignment with our analyses of the MD reward learning scheme.

8 Conclusions and Discussion

In this paper, we presented MD-AIRL, a practical AIL algorithm designed to solve the imitation learning problem in the real world. We proved that the proposed method has clear advantages over previous AIL methods in terms of robustness. We verified MD-AIRL on a variety of situations, including high-dimensional spaces, limited samples, and imperfect demonstrations. The empirical evidence showed that MD-AIRL outperforms previous methods on various benchmarks. We conclude that the rich foundation of optimization theories shows a promising direction to AIL studies.

Considering RL and IRL with geometric perspectives is vital for solving real-world problems. Although our work covers various imitation learning problems with the Bregman divergence, this does not include some other problems when the proximity term is of other statistical divergence families such as the f-divergence [50]. If the relationship between these classes of divergences is studied in more detail, it is expected to proceed applications to various subfields of machine learning. The *NEW* [Ptuv, Mged] assumptions on Ω in our analyses are usually justified by enforcing a specific policy space, but some outliers might have substantial meaning for certain tasks. Therefore, extensive analyses on these parameterizations remain as future works. The "impurity" of Eq. (15) compared to $\Psi_\Omega(\Pi)$ can also *NEW* [Ptuv, Mged] be regarded as a limitation. To fully resolve this issue, all data must be treated as on-policy samples, which might require a sophisticated sampling mechanism on top of the adversarial framework.

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