Skill-based Meta-Reinforcement Learning

Abstract

While deep reinforcement learning methods have shown impressive results in robot learning, their sample inefficiency makes the learning of complex, long-horizon behaviors with real robot systems infeasible. To mitigate this issue, meta-reinforcement learning methods aim to enable fast learning on novel tasks by learning how to learn. Yet, the application has been limited to short-horizon tasks with dense rewards. To enable learning long-horizon behaviors, recent works have explored leveraging prior experience in the form of offline datasets without reward or task annotations. While these approaches yield improved sample efficiency, millions of interactions with the environment are still required to solve long-horizon tasks. In this work, we devise a method that enables meta-learning on long-horizon, sparse-reward tasks, allowing us to solve unseen target tasks with orders of magnitude fewer environment interactions than prior works. Our core idea is to leverage prior experience extracted from offline datasets during meta-learning. Specifically, we propose to (1) extract reusable skills and a skill prior from offline datasets, (2) meta-train a high-level policy that learns to efficiently compose the skills into long-horizon behaviors, and (3) rapidly adapt the meta-trained policy to solve an unseen target task. Experimental results demonstrate that the proposed method can efficiently solve long-horizon novel target tasks by combining the strengths of meta-learning and the usage of offline datasets, while prior approaches in RL, meta-RL, and multi-task RL require substantially more environment interactions to solve the tasks.

1 Introduction

In recent years, deep reinforcement learning methods have achieved impressive results in robot learning (Gu et al., 2017; Andrychowicz et al., 2020; Kalashnikov et al., 2021). Yet, existing approaches are sample inefficient, thus rendering the learning of complex behaviors through simple trial and error learning infeasible, especially on real robot systems. In contrast, humans are capable of effectively learning a variety of complex skills in only a few trials. This can be greatly attributed to our ability to efficiently utilize previously acquired skills and learn how to learn new tasks quickly.

Can machines likewise learn to how to learn while efficiently utilizing learned skills like humans? Meta-reinforcement learning (meta-RL) holds the promise of allowing RL agents to acquire novel tasks with improved efficiency by learning to learn from a distribution of tasks (Finn et al., 2017; Rakelly et al., 2019). In spite of recent advances in the field, most existing meta-RL algorithms are restricted to short-horizon and dense-reward tasks. To facilitate efficient learning on long-horizon tasks, another line of work instead aims to leverage experience from prior tasks in the form of offline datasets without additional reward and task annotations (Lynch et al., 2020; Pertsch et al., 2020; Chebotar et al., 2021). While these methods can leverage offline data to solve complex tasks and substantially improve sample efficiency over methods that train from scratch, they still require millions of interactions with the environment to learn long-horizon tasks.

Submitted to the 5th Workshop on Meta-Learning at NeurIPS 2021, held virtually. Do not distribute.

In this work, we aim to take a step toward combining the capabilities of *both* learning how to quickly learn new tasks while *also* leveraging prior experience in the form of unannotated offline data (see Figure 1). Specifically, we aim to devise a method that enables meta-learning on complex, long-horizon tasks and can solve unseen target tasks with orders of magnitude fewer environment interactions than prior works.

We propose to leverage the offline experience by extracting reusable *skills* – short-term behaviors that can be composed to solve unseen long-horizon tasks. We employ a hierarchical meta-learning scheme in which we meta-train a high-level policy to learn how to quickly reuse the extracted skills. To efficiently explore the learned skill space during meta-training, the high-level policy is guided by a skill prior which is also acquired from the offline experience data.

Figure 1: We propose a method that jointly leverages (1) a large offline dataset of prior experience collected across many tasks without reward or task annotations and (2) a set of meta-training tasks to learn how to quickly solve unseen long-horizon tasks. Our method extracts reusable skills from the offline dataset and meta-learn a policy to quickly use them for solving new tasks.

We demonstrate the efficacy of our method on a challenging 2D maze navigation task which requires long-horizon control and only provides sparse reward feedback. We show that only our method is able to efficiently solve unseen tasks by exploiting meta-learning with offline datasets, while prior approaches that rely on either meta-learning or offline data require substantially more interactions with the environment or simply fail to solve the tasks.

In summary, the main contributions of this paper are threefold:

- To the best of our knowledge, this is the first work to combine meta-reinforcement learning algorithms with task-agnostic offline datasets that do not contain reward or task annotations.

- We propose a method that combines meta-learning with offline data by extracting learned skills and a skill prior as well as meta-learning a hierarchical skill policy regularized by the skill prior.

- We empirically show that our method is significantly more efficient at learning long-horizon sparse-reward tasks compared to prior works in RL, skill-based RL, meta-RL, and multi-task RL.

2   Related Work

Meta-Reinforcement Learning. Meta-RL approaches (Finn et al., 2017; Yu et al., 2018; Schulman et al., 2017; Rakelly et al., 2019; Gupta et al., 2018; Vuorio et al., 2018; Nagabandi et al., 2019; Clavera et al., 2019, 2018; Vuorio et al., 2019; Zintgraf et al., 2020; Humplik et al., 2019) hold the promise of allowing learning agents to quickly adapt to novel tasks by learning to learn from a distribution of tasks. Despite the recent advances in the field, most existing meta-RL algorithms are limited to short-horizon and dense-reward tasks. In contrast, we aim to develop a method that can meta-learn to solve long-horizon tasks with sparse rewards by leveraging offline datasets.

Offline datasets. Recently, many works have investigated the usage of offline datasets for agent training. In particular, the field of *offline reinforcement learning* (Levine et al., 2020; Siegel et al., 2020; Kumar et al., 2020; Yu et al., 2021) aims to devise methods that can perform RL fully offline from pre-collected data, without the need for environment interactions. However, these methods require target task reward annotations on the offline data for every new tasks that should be learned. These reward annotations can be challenging to obtain, especially if the offline data is collected from a diverse set of prior tasks. In contrast, our method is able to leverage offline datasets without any reward annotations.

Offline Meta-RL. Another recent line of research aims to *meta-learn* from static, pre-collected datasets (Mitchell et al., 2021; Pong et al., 2021; Dorfman et al., 2021). After meta-training offline these works aim to quickly adapt to a new task with only a small amount of data from that new task. In contrast to the aforementioned offline RL methods these works aim to adapt to *unseen* tasks and assume access to only *limited data* from the new tasks. However, in addition to reward annotations, these approaches often require that the offline training data is split into separate datasets for each training tasks, further limiting the scalability.

Skill-based Learning. An alternative approach for leveraging offline data that does not require reward or task annotations is through the extraction of skills – reusable short-horizon behaviors. Methods for skill-based learning recombine these skills for learning unseen target tasks and converge substantially faster than methods that learn from scratch (Lee et al., 2018; Hausman et al., 2018; Sharma et al., 2020). When trained from diverse datasets these approaches can extract a wide repertoire of skills and learn complex, long-horizon tasks (Merel et al., 2020; Lynch et al., 2020; Pertsch et al., 2020; Ajay et al., 2021; Chebotar et al., 2021; Pertsch et al., 2021). Yet, although they are more efficient than training from scratch, they still require a large number of environment interactions to learn a new task. Our method instead combines skills extracted from offline data with meta-learning, leading to significantly improved sample efficiency.

3 Problem Formulation and Preliminary

Our approach builds on prior work for meta-learning and learning from offline datasets and aims to combine the best of both worlds. In the following we will formalize our problem setup and briefly summarize relevant prior works.

Problem Formulation. Following prior work on learning from large offline datasets (Lynch et al., 2020; Pertsch et al., 2020, 2021), we assume access to a dataset of state-action trajectories $\mathcal{D} = \{s_t, a_t, ...\}$ which is collected either across a wide variety of tasks or as "play data" with no particular task in mind. We thus refer to this dataset as *task-agnostic*. Due to the large number of data collection tasks the dataset covers a wide variety of behaviors which can be used to accelerate learning on diverse target tasks. Such data can be collected at scale, e.g. through autonomous exploration (Hausman et al., 2018; Sharma et al., 2020; Dasari et al., 2019), human teleoperation (Schaal et al., 2005; Gupta et al., 2019; Mandlekar et al., 2018; Lynch et al., 2020) or from previously trained agents (Fu et al., 2020; Gulcehre et al., 2020). We additionally assume access to a set of meta-training tasks $\mathcal{T} = \{T_1, \ldots, T_N\}$, each represented as a Markov decision process (MDP) defined by a tuple $\{\mathcal{S}, \mathcal{A}, \mathcal{T}, R, \rho, \gamma\}$ of states, actions, transition probability, reward, initial state distribution, and discount factor.

Our goal is to leverage both, the offline dataset $\mathcal{D}$ and the meta-training tasks $\mathcal{T}$, to accelerate the training of a policy $\pi(a|s)$ on a target task T_T which is also represented as an MDP. Crucially, we do not assume that T_T is part of the set of training tasks $\mathcal{T}$, nor that $\mathcal{D}$ contains demonstrations for solving T_T. Thus, we aim to design an algorithm that can leverage offline data and meta-training tasks for learning how to quickly compose known skills for solving an unseen target task. Next, we will describe existing approaches that *either* leverage offline data *or* a set of meta-training tasks to accelerate target task learning. Then, we describe how our approach takes advantage of the best of both worlds.

Skill-based RL. One successful approach for leveraging task-agnostic datasets for accelerating the learning of unseen tasks is though the transfer of reusable *skills*, *i.e.* short-horizon behaviors that can be composed to solve long-horizon tasks. Prior work in skill-based RL called Skill-Prior RL (SPiRL, Pertsch et al. (2020)) proposes an effective way to implement this idea. Specifically, SPiRL uses a task-agnostic dataset to learns two models: (1) a skill policy $\pi(a|s, z_s)$ that decodes a latent skill representation z_s into a sequence of executable actions and (2) a prior over latent skill variables $p(z_s|s)$ which can be leveraged to guide exploration in skill space. SPiRL uses these skills for learning new tasks efficiently by training a high-level skill policy $\pi(z_s|s)$ that acts over the space of learned skills instead of primitive actions. The target task RL objective extends Soft Actor Critic (SAC, Haarnoja et al. (2018)), a popular off-policy RL algorithm, by guiding the high-level policy with the learned skill prior:

$$\max_{\pi} \mathbb{E}_{\pi}\left[\sum_{t=0}^{H-1} r(s_t, z_{s,t}) - \alpha D_{\mathrm{KL}}\big(\pi(z_{s,t}|s_t), p(z_s|s_t)\big)\right]. \tag{1}$$

Here D_{KL} denotes the Kullback-Leibler divergence between the policy and skill prior and α is a weighting coefficient.

Off-Policy Meta-RL. Rakelly et al. (2019) introduced an off-policy meta-RL algorithm called probabilistic embeddings for actor-critic RL (PEARL) that leverages a set of training tasks $\mathcal{T}$ to enable quick learning of new tasks. Specifically, PEARL leverages the meta-training tasks for learning a task encoder $q(z_T|\tau)$. This encoder takes in a small set of state-action-reward transitions τ

Figure 2: **Method Overview.** Our proposed skill-based meta-RL method has three phases. **(1) Skill Extraction**: learns reusable skills from snippets of task-agnostic offline data through a skill extractor (**yellow**) and low-level skill policy (**blue**). Also trains a prior distribution over skill embeddings (**green**). **(2) Skill-based Meta-training**: Meta-trains a high-level skill policy (**red**) and task encoder (**purple**) while using the pre-trained low-level policy. The pre-trained skill prior is used to regularize the high-level policy during meta-training and guide exploration. **(3) Target Task Learning**: Leverages the meta-trained hierarchical policy for quick learning of an unseen target task. After conditioning the policy by encoding a few transitions τ_T from the target task, we continue fine-tuning the high-level skill policy on the target task while regularizing it with the pre-trained skill prior.

and produces a task embedding z_T. This embedding is used to condition the actor $\pi(a|s, z_T)$ and critic $Q(s, a, z_T)$. In PEARL, actor, critic and task encoder are trained by jointly maximizing the obtained reward and the policy's entropy $\mathcal{H}$ (Haarnoja et al., 2018):

$$\max_{\pi} \mathbb{E}_{p_T}\left[\mathbb{E}_{\pi, q(z_T|\tau)}\left[\sum_{t=0}^{H-1} r_T(s_t, a_t) + \alpha \mathcal{H}\big(\pi(a_t|s_t, z_T))\big)\right]\right]. \tag{2}$$

Additionally, the task embedding output of the skill encoder is regularized towards a constant prior distribution $p(z_T)$.

4 Approach

We propose **Ski**ll-based **M**eta-**P**olicy **L**earning (SiMPL), an algorithm for jointly leveraging offline data as well as a set of meta-training tasks to accelerate the learning of unseen target tasks. Our method has three phases: **(1) skill extraction**: we extract reusable skills and a skill prior from the offline data (Section 4.1), **(2) skill-based meta-training**: we utilize the meta-training tasks to learn how to leverage the extracted skills and skill prior to efficiently solve new tasks (Section 4.2), **(3) target task learning**: we fine-tune the meta-trained policy to rapidly adapt to solve an unseen target task (Section 4.3). An illustration of the proposed method is shown in Figure 2.

4.1 Skill Extraction

To acquire a set of reusable skills from the offline dataset $\mathcal{D}$, we leverage the skill extraction approach proposed in Pertsch et al. (2020). Concretely, we jointly train (1) a skill encoder $q(z_s|s_{1:K}, a_{1:K})$ that embeds an K-steps trajectory randomly cropped from the sequences in $\mathcal{D}$ into a low-dimensional skill embedding z_s, and (2) a low-level skill policy $\pi(a_t|s_t, z_s)$ that is trained with behavioral cloning to reproduce the action sequence $a_{1:K-1}$ given the skill embedding. To learn a smooth skill representation, we regularize the output of the skill encoder with a unit Gaussian prior distribution, and weight this regularization by a coefficient β (Higgins et al., 2017):

$$\max_{q, \pi} \mathbb{E}_q\left[\underbrace{\prod_{t=1}^{K-1} \log \pi(a_t|s_t, z_s)}_{\text{behavioral cloning}} + \beta \underbrace{D_{\text{KL}}\big(q(z_s|s_{1:K}, a_{1:K-1}), \mathcal{N}(0, I)\big)}_{\text{embedding regularization}}\right]. \tag{3}$$

Additionally, we follow Pertsch et al. (2020) and learn a skill prior $p(z_s|s)$ that captures the distribution of skills likely to be executed in a given state under the training data distribution. The prior is trained to match the output of the skill encoder: $\min_p D_{\text{KL}}\big(\lfloor q(z_s|s_{1:K}, a_{1:K-1})\rfloor, p(z_s|s_1)\big)$. Here $\lfloor \cdot \rfloor$ indicates that gradient flow is stopped into the skill encoder for training the skill prior.

4.2 Skill-based Meta-Training

We aim to learn a policy that can quickly learn to leverage the extracted skills to solve new tasks. We leverage off-policy meta-RL (see Section 3) to learn such a policy using our set of meta-training tasks $\mathcal{T}$. Similar to PEARL (Rakelly et al., 2019), we train a task-encoder that takes in a set of sampled transitions and produces a task embedding z_T. Crucially, we leverage our learned skills by training a task-embedding-conditioned policy over *skills* instead of primitive actions: $\pi(z_s|s, z_T)$, thus equipping the policy with a set of useful pre-trained behaviors and reducing the meta-training task to learning how to combine these behaviors instead of learning them from scratch. We find that this usage of offline data through learned skills is crucial for enabling meta-training on complex, long-horizon tasks (see Section 5).

Prior work has shown that the efficiency of RL on learned skill spaces can be substantially improved by guiding the policy with a learned skill prior (Pertsch et al., 2020; Ajay et al., 2021). Thus, instead of regularizing with a maximum entropy objective as done in prior work on off-policy meta-RL (Rakelly et al., 2019), we propose to regularize the meta-training policy with our pre-trained skill prior, leading to the following meta-training objective:

$$\max_{\pi} \mathbb{E}_{p_T}\left[\mathbb{E}_{\pi, q(z_T|\tau)}\left[\sum_{t=0}^{H-1} r_T(s_t, z_{s,t}) - \alpha D_{\mathrm{KL}}\big(\pi(z_{s,t}|s_t, z_T), p(z_s|s_t)\big)\right]\right], \tag{4}$$

where α determines the strength of the prior regularization. We automatically tune α via dual gradient descent by choosing a target divergence δ between policy and prior (Pertsch et al., 2020).

During meta-training, the size of the task transition set τ is varied randomly (Rakelly et al., 2019). We found that we can increase training stability by adjusting the strength of the prior regularization to the size of this conditioning set. Intuitively, when the high-level policy is conditioned on only a few transitions, i.e. when the set τ is small, it has only little information about the task at hand and should thus be regularized stronger towards the task-agnostic skill prior. Conversely, when τ is large, the policy likely has more information about the target task and thus should be allowed to deviate from the skill prior more to solve the task, i.e. have a weaker regularization strength.

To implement this intuition, we employ a simple approach: we define *two* target divergences δ_1 and δ_2 and associated auto-tuned coefficients α_1 and α_2 with $\delta_1 < \delta_2$. We regularize the policy using the larger coefficient α_1 with small conditioning transition set and otherwise we regularize using the smaller coefficient α_2. We found this technique simple yet sufficient in our experiments and leave the investigation of more sophisticated regularization approaches for future work.

4.3 Target Task Learning

When a target task is given, we aim to leverage the meta-trained policy for quickly learning how to solve it. Intuitively, the policy should first explore different skill options to learn about the task at hand and then rapidly narrow its output distribution to those skills that solve the task. We implement this intuition by first collecting a small set of conditioning transitions τ_{target} from the target task. Since we have no information about the target task at this stage, we explore the environment by conditioning our pre-trained policy with task embeddings sampled from the task prior $p(z_T)$. Then, we encode this set of transitions into a target task embedding $z_{T,\text{target}} \sim q(z_T|\tau_{\text{target}})$. By conditioning our meta-trained high-level policy on this encoding, we can rapidly narrow its skill distribution to skills that solve the given target task: $\pi(z_s|s, z_{T,\text{target}})$.

Empirically, we find that this policy is often already able to achieve high success rates on the target task. Note that only very few interactions with the environment for collecting τ_{target} are required for learning a complex, long-horizon and unseen target task with sparse reward. This is substantially more efficient than prior approaches such as SPiRL that require orders of magnitude more target task interactions for achieving comparable performance.

To further improve the performance on the target task, we fine-tune the conditioned policy with target task rewards while guiding its exploration with the pre-trained skill prior[1]:

$$\max_{\pi} \mathbb{E}_{\pi, z_{T,\text{target}} \sim q(z_T | \tau_{\text{target}})} \left[\sum_{t=0}^{H-1} r_T(s_t, z_{s,t}) - \alpha_{\text{target}} D_{\text{KL}}\big(\pi(z_{s,t} | s_t, z_{T,\text{target}}), p(z_s | s_t) \big) \right]. \quad (5)$$

5 Experiments

Our experiments aim to answer the following questions: (1) Can our proposed method learn to efficiently solve long-horizon, sparse reward tasks? (2) Is it crucial to utilize offline datasets to achieve this? (3) How can we best leverage the training tasks for efficient learning of target tasks? (4) How does the training task distribution affect the target task learning?

5.1 Experimental Setup

Environment. To evaluate our method, we consider a challenging 2D maze navigation task based on the maze navigation problem in Fu et al. (2020), which requires *long-horizon* control with hundreds of steps for a successful episode and only provides *sparse reward* feedback upon reaching the goal. The observation space of the agent consists of its 2D position and velocity and it acts via planar, continuous velocity commands.

Offline Dataset & Meta-training / Target Tasks. Following Fu et al. (2020) we collect a task-agnostic offline dataset by randomly sampling start-goal locations in the maze and using a planner to generate a trajectory that reaches from start to goal. Note that the trajectories are not annotated with any reward or task labels (*i.e.* which start-goal location is used for producing each trajectory). To generate a set of meta-training and target tasks, we fix the agent's initial position in the center of the maze and sample 40 random goal locations for meta-training and another set of 10 goals for target tasks. All meta-training and target tasks use the same sparse reward formulation.

5.2 Baselines

We compare SiMPL to prior works in RL, skill-based RL, meta-RL, and multi-task RL.

- **SAC** (Haarnoja et al., 2018) is a state of the art RL algorithm. It learns to solve a target task from scratch without leveraging the offline dataset nor the meta-training tasks.

- **SPiRL** (Pertsch et al., 2020) is a method designed to leverage offline data through the transfer of learned skills. It acquires skills and a skill prior from the offline dataset but does not utilize the meta-training tasks. This investigates the benefits our method can obtain from leveraging the meta-training tasks.

- **PEARL** (Rakelly et al., 2019) is a state of the art off-policy meta-RL algorithm that aims to learn a policy which can quickly adapt to unseen test tasks. It learns from the meta-training tasks but does not use the offline dataset. This examines the benefits of using learned skills in meta-RL.

- **PEARL-ft** demonstrates the performance of a PEARL (Rakelly et al., 2019) model further fine-tuned on a target task using SAC (Haarnoja et al., 2018).

- **Multi-task RL (MTRL)** is a multi-task RL baseline which learns from the meta-training tasks by distilling individual policies specialized in each task into a shared policy, similar to Distral (Teh et al., 2017). Each individual policy is trained using SPiRL by leveraging skills extracted from the offline dataset. Therefore, like our method it utilizes both the meta-training tasks and offline dataset. This provides a direct comparison of multi-task learning (MTRL) from the training tasks vs. meta-learning using them (ours).

More implementation details can be found in Section A. In the following, all reported results are obtained by averaging across 10 meta-testing tasks and three random seeds for each task.

---

[1]Other regularization distributions are possible during fine-tuning, e.g. the high-level policy conditioned on task prior samples $p(z_s | s, z_T \sim p(z_T))$ or the target task embedding conditioned policy $p(z_s | s, z_{T,\text{target}})$ *before finetuning*. Yet, we found the regularization with the pre-trained task-agnostic skill prior to work best in our experiments.

5.3 Results

We present the quantitative results in Figure 3 and the qualitative results in Figure 4. In Figure 3, SiMPL demonstrates much better sample efficiency for learning the unseen target tasks compared to all the baselines. Without leveraging the offline dataset and meta-training tasks, SAC is not able to make learning progress on most of the target tasks. While PEARL is first trained the meta-training tasks, it still achieves poor performance on the target tasks and fine-tuning it (PEARL-ft) does not yield significant improvement. We believe this is because the environment provides only sparse rewards yet requires the model to exhibit long-horizon and complex behaviors, which is known to be difficult for meta-RL methods (Mitchell et al., 2021).

On the other hand, by first extracting skills and acquiring a skill prior from the offline dataset, SPiRL's performance consistently improves with more samples from the target tasks. Yet, it requires significant more environment interactions than our method to solve the target tasks since the policy is optimized using vanilla RL, which is not designed to learn to quickly learn new tasks. While the multi-task RL (MTRL) baseline first learns a multi-task policy from the meta-training tasks, its sample efficiency is similar to SPiRL on target task learning, which highlights the strength of our proposed method – meta-learning from the meta-training tasks for fast target task learning.

Figure 3: **Target Task Learning Efficiency.** SiMPL demonstrates better sample efficiency compared to all the baselines, verifying the efficacy of meta-learning on long-horizon tasks by leveraging skills and skill prior extracted from an offline dataset.

Compared to the baselines, our method learns the target tasks much quicker. Within only a few episodes the policy converges to solve more than 80% of the target tasks. The prior-regularized fine-tuning then continues to improve performance. The rapidly increasing performance and the overall faster convergence show the benefits of leveraging meta-training tasks in addition to learning from offline data: by first learning to learn how to quickly solve tasks using the extracted skills and the skill prior, our policy can efficiently solve the target tasks.

The qualitative results presented in Figure 4 show that all the methods that leverage the offline dataset (*i.e.* SiMPL, SPiRL, and MTRL) effectively explore the maze in the first episode. Then, SiMPL converges with much fewer episodes compared to SPiRL and MTRL, underlining the effectiveness of meta-training. In contrast, PEARL-ft is not able to make learning progress, justifying the necessity of employing offline datasets for acquiring long-horizon, complex behaviors.

Figure 4: **Qualitative Results.** All the methods that leverage the offline dataset (*i.e.* SiMPL, SPiRL, and MTRL) effectively explore the maze in the first episode. Then, SiMPL converges with much fewer episodes compared to SPiRL and MTRL. In contrast, PEARL-ft is not able to make learning progress.

(a) Sparser Task Distribution   (b) $\mathcal{T}_{\text{TRAIN-TOP}} \rightarrow \mathcal{T}_{\text{TARGET-TOP}}$ (c) $\mathcal{T}_{\text{TRAIN-TOP}} \rightarrow \mathcal{T}_{\text{TARGET-BOTTOM}}$

Figure 5: **Meta-training Task Distribution Analysis.** (a) With sparser meta-training task distributions (*i.e.* fewer numbers of meta-training tasks), SiMPL still achieves better sample efficiency compared to SPiRL, highlighting the benefit of leveraging meta-training tasks. (b) When trained on a meta-training task distribution that aligns better with the target task distribution, SiMPL achieves improved performance. (c) When trained on a meta-training task distribution that is mis-aligned with the target tasks, SiMPL yields worse performance.

5.4 Meta-training Task Distribution Analysis

In this section, we aim to investigate the effect of the meta-training task distribution on our skill-based meta-training and target task learning phases. Specifically, we examine the effect of (1) the number of tasks in the meta-training task distribution and (2) the alignment between a meta-training task distribution and target task distribution.

Number of meta-training tasks. We aim to investigate how the number of meta-training tasks affects the performance of our method. To this end, we train our method with fewer numbers meta-training tasks (*i.e.* 10 and 20) and evaluate it with the same set of target tasks. The quantitative results presented in Figure 5(a) suggest that even with sparser meta-training task distributions (*i.e.* fewer numbers of meta-training tasks), SiMPL still achieves better sample efficiency compared to the most competitive baseline (*i.e.* SPiRL).

Meta-train / test task alignment. We aim to examine if a model trained on a meta-training task distribution that aligns better/worse with the target tasks would yield improved/deteriorated performance. To this end, we create biased meta-training / test task distributions: we create a meta-train set by sampling goal locations from only the top 25% portion of the maze ($\mathcal{T}_{\text{TRAIN-TOP}}$). To rule out the effect of the density of the task distribution, we sample 10 (*i.e.* $40 \times 25\%$) meta-training tasks. Then, we create two target task distributions that have good and bad alignment with this meta-training distribution respectively by sampling 10 target tasks from the top 25% portion of the maze ($\mathcal{T}_{\text{TARGET-TOP}}$) and 10 target tasks from the bottom 25% portion of the maze ($\mathcal{T}_{\text{TARGET-BOTTOM}}$).

Figure 5(b) and Figure 5(c) present the target task learning efficiency for models trained with good task alignment (train on $\mathcal{T}_{\text{TRAIN-TOP}}$, test on $\mathcal{T}_{\text{TARGET-TOP}}$) and bad task alignment (train on $\mathcal{T}_{\text{TRAIN-TOP}}$, test on $\mathcal{T}_{\text{TARGET-BOTTOM}}$), respectively. The results demonstrate that SiMPL can achieve improved performance when trained on a well-aligned meta-training task distribution. In contrast, learning from a meta-training task distribution that has bad alignment with the test tasks can lead to slightly worse performance compared to SPiRL, which does not learn from the misaligned meta-training tasks. In sum, meta-learning from either a diverse task distribution or a better informed task distribution can yield improved performance for our method.

6 Conclusion

We propose a skill-based meta-RL method, dubbed SiMPL, that can meta-learn on long-horizon tasks by leveraging prior experience in the form of large offline datasets without additional reward and task annotations. Our method extracts reusable skills and a skill prior from the offline data and meta-trains a high-level policy that leverages these skills for efficient learning of unseen target tasks. The experimental results on challenging long-horizon navigation tasks demonstrate that our method outperforms the baselines in RL, skill-based RL, meta-RL, and multi-task RL. In the future, we aim to demonstrate the scalability of our method to high-DoF continuous control problems as commonly encountered in robot learning applications.

References

Anurag Ajay, Aviral Kumar, Pulkit Agrawal, Sergey Levine, and Ofir Nachum. Opal: Offline primitive discovery for accelerating offline reinforcement learning. *International Conference on Learning Representations*, 2021.

OpenAI: Marcin Andrychowicz, Bowen Baker, Maciek Chociej, Rafal Jozefowicz, Bob McGrew, Jakub Pachocki, Arthur Petron, Matthias Plappert, Glenn Powell, Alex Ray, et al. Learning dexterous in-hand manipulation. *The International Journal of Robotics Research*, 2020.

Yevgen Chebotar, Karol Hausman, Yao Lu, Ted Xiao, Dmitry Kalashnikov, Jake Varley, Alex Irpan, Benjamin Eysenbach, Ryan Julian, Chelsea Finn, et al. Actionable models: Unsupervised offline reinforcement learning of robotic skills. *arXiv preprint arXiv:2104.07749*, 2021.

Ignasi Clavera, Jonas Rothfuss, John Schulman, Yasuhiro Fujita, Tamim Asfour, and Pieter Abbeel. Model-based reinforcement learning via meta-policy optimization. In *Conference on Robotic Learning*, 2018.

Ignasi Clavera, Anusha Nagabandi, Simin Liu, Ronald S. Fearing, Pieter Abbeel, Sergey Levine, and Chelsea Finn. Learning to adapt in dynamic, real-world environments through meta-reinforcement learning. In *International Conference on Learning Representations*, 2019.

Sudeep Dasari, Frederik Ebert, Stephen Tian, Suraj Nair, Bernadette Bucher, Karl Schmeckpeper, Siddharth Singh, Sergey Levine, and Chelsea Finn. Robonet: Large-scale multi-robot learning. In *Conference on Robotic Learning*, 2019.

Ron Dorfman, Idan Shenfeld, and Aviv Tamar. Offline meta learning of exploration. In *Neural Information Processing Systems*, 2021.

Chelsea Finn, Pieter Abbeel, and Sergey Levine. Model-agnostic meta-learning for fast adaptation of deep networks. In *International Conference on Machine Learning*, 2017.

Justin Fu, Aviral Kumar, Ofir Nachum, George Tucker, and Sergey Levine. D4rl: Datasets for deep data-driven reinforcement learning. *arXiv preprint arXiv:2004.07219*, 2020.

Shixiang Gu, Ethan Holly, Timothy Lillicrap, and Sergey Levine. Deep reinforcement learning for robotic manipulation with asynchronous off-policy updates. In *Proceedings of IEEE International Conference on Robotics and Automation*, 2017.

Caglar Gulcehre, Ziyu Wang, Alexander Novikov, Tom Le Paine, Sergio Gómez Colmenarejo, Konrad Zolna, Rishabh Agarwal, Josh Merel, Daniel Mankowitz, Cosmin Paduraru, et al. Rl unplugged: Benchmarks for offline reinforcement learning. *arXiv preprint arXiv:2006.13888*, 2020.

Abhishek Gupta, Russell Mendonca, YuXuan Liu, Pieter Abbeel, and Sergey Levine. Meta-reinforcement learning of structured exploration strategies. In *Neural Information Processing Systems*, 2018.

Abhishek Gupta, Vikash Kumar, Corey Lynch, Sergey Levine, and Karol Hausman. Relay policy learning: Solving long-horizon tasks via imitation and reinforcement learning. In *Conference on Robotic Learning*, 2019.

Tuomas Haarnoja, Aurick Zhou, Pieter Abbeel, and Sergey Levine. Soft actor-critic: Off-policy maximum entropy deep reinforcement learning with a stochastic actor. In *International Conference on Machine Learning*, 2018.

Karol Hausman, Jost Tobias Springenberg, Ziyu Wang, Nicolas Heess, and Martin Riedmiller. Learning an embedding space for transferable robot skills. In *International Conference on Learning Representations*, 2018.

Irina Higgins, Loic Matthey, Arka Pal, Christopher Burgess, Xavier Glorot, Matthew Botvinick, Shakir Mohamed, and Alexander Lerchner. beta-VAE: Learning basic visual concepts with a constrained variational framework. In *International Conference on Learning Representations*, 2017.

Jan Humplik, Alexandre Galashov, Leonard Hasenclever, Pedro A Ortega, Yee Whye Teh, and Nicolas Heess. Meta reinforcement learning as task inference. *arXiv preprint arXiv:1905.06424*, 2019.

Dmitry Kalashnikov, Jacob Varley, Yevgen Chebotar, Benjamin Swanson, Rico Jonschkowski, Chelsea Finn, Sergey Levine, and Karol Hausman. Mt-opt: Continuous multi-task robotic reinforcement learning at scale. *arXiv preprint arXiv:2104.08212*, 2021.

Diederik P Kingma and Jimmy Ba. Adam: A method for stochastic optimization. In *International Conference on Learning Representations*, 2015.

Aviral Kumar, Aurick Zhou, George Tucker, and Sergey Levine. Conservative q-learning for offline reinforcement learning. In *Neural Information Processing Systems*, 2020.

Juho Lee, Yoonho Lee, Jungtaek Kim, Adam Kosiorek, Seungjin Choi, and Yee Whye Teh. Set transformer: A framework for attention-based permutation-invariant neural networks. In *Proceedings of the 36th International Conference on Machine Learning*, pp. 3744–3753, 2019.

Youngwoon Lee, Shao-Hua Sun, Sriram Somasundaram, Edward S Hu, and Joseph J Lim. Composing complex skills by learning transition policies. In *International Conference on Learning Representations*, 2018.

Sergey Levine, Aviral Kumar, George Tucker, and Justin Fu. Offline reinforcement learning: Tutorial, review, and perspectives on open problems. *arXiv preprint arXiv:2005.01643*, 2020.

Corey Lynch, Mohi Khansari, Ted Xiao, Vikash Kumar, Jonathan Tompson, Sergey Levine, and Pierre Sermanet. Learning latent plans from play. In *Conference on Robotic Learning*, 2020.

Ajay Mandlekar, Yuke Zhu, Animesh Garg, Jonathan Booher, Max Spero, Albert Tung, Julian Gao, John Emmons, Anchit Gupta, Emre Orbay, Silvio Savarese, and Li Fei-Fei. Roboturk: A crowdsourcing platform for robotic skill learning through imitation. In *Conference on Robotic Learning*, 2018.

Josh Merel, Saran Tunyasuvunakool, Arun Ahuja, Yuval Tassa, Leonard Hasenclever, Vu Pham, Tom Erez, Greg Wayne, and Nicolas Heess. Catch & carry: Reusable neural controllers for vision-guided whole-body tasks. *ACM. Trans. Graph.*, 2020.

Eric Mitchell, Rafael Rafailov, Xue Bin Peng, Sergey Levine, and Chelsea Finn. Offline meta-reinforcement learning with advantage weighting. In *International Conference on Machine Learning*, 2021.

Anusha Nagabandi, Chelsea Finn, and Sergey Levine. Deep online learning via meta-learning: Continual adaptation for model-based rl. In *International Conference on Learning Representations*, 2019.

Karl Pertsch, Youngwoon Lee, and Joseph J. Lim. Accelerating reinforcement learning with learned skill priors. In *Conference on Robotic Learning*, 2020.

Karl Pertsch, Youngwoon Lee, Yue Wu, and Joseph J. Lim. Demonstration-guided reinforcement learning with learned skills. In *Conference on Robotic Learning*, 2021.

Vitchyr H Pong, Ashvin Nair, Laura Smith, Catherine Huang, and Sergey Levine. Offline meta-reinforcement learning with online self-supervision. *arXiv preprint arXiv:2107.03974*, 2021.

Kate Rakelly, Aurick Zhou, Chelsea Finn, Sergey Levine, and Deirdre Quillen. Efficient off-policy meta-reinforcement learning via probabilistic context variables. In *International Conference on Machine Learning*, 2019.

Stefan Schaal, Jan Peters, Jun Nakanishi, and Auke Ijspeert. Learning movement primitives. In Paolo Dario and Raja Chatila (eds.), *Robotics Research*. Springer Berlin Heidelberg, 2005.

John Schulman, Filip Wolski, Prafulla Dhariwal, Alec Radford, and Oleg Klimov. Proximal policy optimization algorithms. *arXiv preprint arXiv:1707.06347*, 2017.

Archit Sharma, Shixiang Gu, Sergey Levine, Vikash Kumar, and Karol Hausman. Dynamics-aware unsupervised discovery of skills. *International Conference on Learning Representations*, 2020.

Noah Y Siegel, Jost Tobias Springenberg, Felix Berkenkamp, Abbas Abdolmaleki, Michael Neunert, Thomas Lampe, Roland Hafner, and Martin Riedmiller. Keep doing what worked: Behavioral modelling priors for offline reinforcement learning. *International Conference on Learning Representations*, 2020.

Yee Teh, Victor Bapst, Wojciech M. Czarnecki, John Quan, James Kirkpatrick, Raia Hadsell, Nicolas Heess, and Razvan Pascanu. Distral: Robust multitask reinforcement learning. In *Neural Information Processing Systems*, 2017.

Hado Van Hasselt, Arthur Guez, and David Silver. Deep reinforcement learning with double q-learning. In *AAAI Conference on Artificial Intelligence*, 2016.

Risto Vuorio, Shao-Hua Sun, Hexiang Hu, and Joseph J Lim. Toward multimodal model-agnostic meta-learning. *arXiv preprint arXiv:1812.07172*, 2018.

Risto Vuorio, Shao-Hua Sun, Hexiang Hu, and Joseph J Lim. Multimodal model-agnostic meta-learning via task-aware modulation. In *Neural Information Processing Systems*, 2019.

Tianhe Yu, Chelsea Finn, Annie Xie, Sudeep Dasari, Tianhao Zhang, Pieter Abbeel, and Sergey Levine. One-shot imitation from observing humans via domain-adaptive meta-learning. *arXiv preprint arXiv:1802.01557*, 2018.

Tianhe Yu, Aviral Kumar, Rafael Rafailov, Aravind Rajeswaran, Sergey Levine, and Chelsea Finn. Combo: Conservative offline model-based policy optimization. *arXiv preprint arXiv:2102.08363*, 2021.

Luisa Zintgraf, Kyriacos Shiarlis, Maximilian Igl, Sebastian Schulze, Yarin Gal, Katja Hofmann, and Shimon Whiteson. Varibad: A very good method for bayes-adaptive deep rl via meta-learning. In *International Conference on Learning Representations*, 2020.

 Appenfix

 # Table of Contents

A   Implementation Details on Our Method

In this section, we describe the additional implementation details on our proposed method. The details on model architecture is presented in Section A.1, followed by the training detailed described in Section A.2.

A.1   Model architecture

We describe the details on our model architecture in this section.

A.1.1   Skill Prior

We followed architecture and learning procedure of Pertsch et al. (2020) for learning a low-level skill policy and a skill prior. Please refer to Pertsch et al. (2020) for more details on the architectures for learning skills and skill priors from offline datasets.

A.1.2   Task Encoder

Following Rakelly et al. (2019), our task encoder is a permutation invirient neural network. Specifically, we adopt Set Transformer (Lee et al., 2019) that consists of layers $[2 \times \text{ISAB}_{32} \to \text{PMA}_1 \to 3 \times \text{MLP}]$ for expressive and efficient set encoding. All the hidden layers are 128-dimensional and all attention layers have 4 attention heads. The encoder takes a set of high-level transitions as input, where each transition is a vector concatenation of high-level transition tuple. The output of the encoder is $(\mu_{z_T}, \sigma_{z_T})$ which are the parameters of Gaussian task posterior $p(z_T|\tau) = \mathcal{N}(z_T; \mu_{z_T}, \sigma_{z_T})$. We $\dim(z_s) = 6$ only for the main Maze Navigation experiment with 40 meta-training tasks, where a larger model capacity is required, and $\dim(z_s) = 5$ for other experiments.

A.1.3   Policy

We employ 4-layer MLPs with 256 hidden units for the policy network. Instead of direct parameterization of policy, the network output is added to skill-prior to make learning more stable. Specifically, the policy network takes concatenation of (s, z_T) as input, and then outputs (μ_s, σ_s), which is used as the parameters of a Gaussian distribution. From this predicted Gaussian distribution $\mathcal{N}(z_s|\mu_p + \mu_s, \sigma_s)$, we sample to obtain the input to control the low-level skill policy.

Here μ_p is, the mean of skill-prior for given state, $\mathbb{E}[p(z_s|s)]$.

A.1.4   Critic

The critic network takes concatenation of s, z_T, and skill z_s as input and outputs an estimation of task-conditioned Q-value $Q(s, z_s, z_t)$. We employ double Q networks (Van Hasselt et al., 2016) to mitigate Q-value overestimation.

A.2 Training details

For all the network updates, we used Adam optimizer (Kingma & Ba, 2015) with a learning rate of $3e - 4$, $\beta_1 = 0.9$, and $\beta_2 = 0.999$. We describe the training details of the skill-based meta-training phase in Section A.2.1 and the target task learning phase Section A.2.2.

A.2.1 Skill-based Meta-training

Our meta-training procedure is similar to the procedure adopted in (Rakelly et al., 2019). We update the networks with the average gradients of 20 randomly sampled tasks. Each batch of gradients is computed from 1024 transitions of the high-level meta-learned policy. We retain transitions in a per-task experience buffer with size 20000. We train our tasks for 10000, 18000, and 16000 episodes for the experiments with 10, 20, 40 meta-training tasks, respectively. The horizon of each episode is 2000 time steps.

As stated in Section 4.2, we apply different regularization coefficients depending on the size of the conditioning transitions. We set target the KL divergence to 0.1 for transitions with a size of 4, while 0.3 is used for transitions with a size of 8192. For each policy update, we use the same number of transitions with a size of 4 and transitions with a size of 8192.

We auto-tuned task encoding KL constraint for better convergence, as proposed in (Pertsch et al., 2020), with an initial KL coefficient of $3e - 6$ and a target KL of 7 for all experiments, except for the experiment with 40 meta-training tasks, where a target KL of 10 is used.

A.2.2 Target Task Learning

We initialize the Q function and the auto-tuning value α with the values that learned in the skill-based meta-training phase. The policy is initialized after observing and encoding 20 episodes obtained from the task unconditioned policy rollouts. For the target task learning phase, the target KL δ is fixed to 1 for all experiments, including the baselines that use SPiRL fine-tuning (*i.e.* SPiRL and MTRL). To compute a gradient step, 256 high-level transitions are sampled from a replay buffer with size 20000.

B   Implementation Details on Baselines

In this section, we describe the additional implementation details on producing the results of the baselines.

B.1   SAC

The SAC (Haarnoja et al., 2018) baseline learns to solve a target task from scratch without leveraging the offline dataset nor the meta-training tasks.

We initialize α to 0.1 and set the target entropy to $\mathcal{H} = -\dim(\mathcal{A}) = -2$. To compute a gradient step, 256 environment transitions are sampled from a replay buffer with size 100000.

B.2   SPiRL

Similar to our method, we initialize the high-level policy to skill-prior while fixing low-level policy for target task learning for SPiRL. α is initialized to 0.01 and we use the same hyperparameters for the SPiRL models as our method.

B.3   PEARL and PEARL-ft

PEARL (Rakelly et al., 2019) learns from the meta-training tasks but does not use the offline dataset. Therefore, we directly train PEARL models on the meta-training tasks without the phase of learning from offline datasets. We use gradients averaged from 20 randomly sampled tasks where each task gradient is computed from 2048 transitions, which are sampled from a per-task buffer with size 100000. Note that PEARL requires a much bigger buffer and transition sizes since learning from sparse reward signals without learned skills is difficult. The target entropy is set to $\mathcal{H} = -\dim(\mathcal{A}) = -2$ and α is initialized to 0.1.

While the method proposed in Rakelly et al. (2019) does not fine-tune on target/meta-testing tasks, we extend PEARL to be fine-tuned on target tasks for a fair comparison, called PEARL-ft. Since PEARL does not use learned skills or a skill prior, the target task learning of PEARL is simply running SAC with task-encoded initialization. Similar to the target task learning of our method, we initialize the Q function and entropy coefficient α to the valued learned during the meta-training phase. Also, we initialize the policy to the task conditioned policy after observing 20 episodes of experience from the task unconditioned policy rollouts. The hyperparmeters used for fine-tuning are the same as SAC.

B.4 Multi-task RL (MTRL)

Inspired by Distral (Teh et al., 2017), our multi-task RL baseline is designed to first learns a set of individual policies, where each of them is specialized in one task; then, a shared/multi-task policy is learned by distilling the individual polices. Since it is inefficient to learn an individual policy from scratch, we learn each individual policy using SPiRL with learned skills and a skill prior. Then, we distill the individual policies using the following objective :

$$\max_{\pi_0} \mathbb{E}_T \left[\sum_{t=0}^{H-1} r_T(s_t, z_s) - \alpha D_{\text{KL}}\big(\pi_0(z_{s,t}|s_t), p(z_s|s_t)\big) \right],$$ (6)

where the KL constraint is now with skill-prior instead of task expert policy. We use the same setup for α as our method, where α is auto-tuned to satisfy a target KL $\delta = 0.1$.

While the target task learning phase for MTRL is similar ours, except that MTRL is not initialized with a meta-trained Q function and learned α.