Data Models for Dataset Drift Controls in Machine Learning With Images

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Abstract

Camera images are ubiquitous in machine learning research. They also play a central role in the delivery of important services spanning medicine and environmental surveying. However, the application of machine learning models in these domains has been limited because of robustness concerns. A primary failure mode are performance drops due to differences between the training and deployment data. While there are methods to prospectively validate the robustness of machine learning models to such dataset drifts, existing approaches do not account for explicit models of the primary object of interest: the data. This makes it difficult to create physically faithful drift test cases or to provide precise specifications of data models that should be avoided during the deployment of a machine learning model. In this study, we demonstrate how these shortcomings can be overcome by pairing machine learning robustness validation with physical optics. We examine the role raw sensor data and differentiable data models can play in controlling performance risks related to image dataset drift. The findings are distilled into three applications. First, drift synthesis enables the controlled generation of physically faithful drift test cases. (Revision#:2, Requested change #:3.) The results for absolute and relative changes in task model performance obtained with our method diverge markedly from an augmentation testing alternative that is not physically faithful. Second, the gradient connection between machine learning model and our data models allows for drift forensics that can be used to specify performance-sensitive data models which should be avoided during deployment of a machine learning model. Third, drift adjustment opens up the possibility for processing adjustments in the face of drift. This can lead to speed up and stabilization of classifier training at a margin of up to 20% in validation accuracy. Alongside our data model code we release two datasets to the public that we collected as part of this work. In total, the two datasets, Raw-Microscopy and Raw-Drone, comprise 1,488 scientifically calibrated reference raw sensor measurements, 8,928 raw intensity variations as well as 17,856 images processed through our data models with twelve different configurations. A guide to access the open code and datasets is available at `https://anonymous.4open.science/r/tmlr/README.md`.

1 Introduction

In this study we demonstrate how explicit data models for images can be constructed to enjoy advanced controls in the validation of machine learning model robustness to dataset drift. We connect raw image data, differentiable data models and the standard machine learning pipeline. This combination enables three novel, physically faithful validation protocols that can be used towards intended use specifications of machine learning systems, a necessary pre-requisite for the use of any technology in many application domains such as medicine or autonomous vehicles.

Camera image data are a staple of machine learning research, from the early proliferation of neural networks on MNIST [1–4] to leaps in deep learning on CIFAR and ImageNet [5–7] or high-dimensional generative models [8, 9]. Camera images also play an important role in the delivery of various high-impact public and commercial services. Unsurprisingly, the exceptional capacity of deep supervised learning has inspired great imagination to automate or enhance such services. During the 2010s, "deep learning for ..." it rang loud in

most application domains under the sun, and beyond [10], spanning medicine and biology (microscopy for cell detection [11–14], histopathology [15, 16], opthalmology [17–19], malaria detection [20–23]), geospatial modelling (climate [24–26], precision farming [27–29], pollution detection [30–32]) and more.

However, the excitement has been reined in by calls for caution. Machine learning systems exhibit particular failure modes that are contingent on the makeup of their inputs [33–35]. Many findings from the machine learning robustness literature confirm supervised learning's tremendous capacity for identifying features in the training inputs that are correlated with the true labels [36–40]. But these findings also point to a flipside of this capacity: the sensitivity of the resulting machine learning model's performance to changes - both large and small - in the input data. Because this dependency touches on generalization, a *summum bonum* of machine learning, the implications have been studied across most of its many sub-disciplines including robustness validation [41–54], formal model verification [55–71], uncertainty quantification [72–82], out-of-distribution detection [34, 83–87], semi- [88–90] and self-supervised learning [91, 92], learning theory and optimization [93–96], federated learning [97–99], or compression [100–102], among others.

We refer to the mechanism underlying changes in the input data as dataset drift[1]. Formally, we characterize it as follows. Let $(\boldsymbol{X}_{RAW}, Y) : \Omega \to \mathbb{R}^{H,W} \times \mathcal{Y}$ be the raw sensor data generating random variable [2] on some probability space $(\Omega, \mathcal{F}, \mathbb{P})$, for example with $\mathcal{Y} = \{0, 1\}^K$ for a classification task. Raw inputs $\boldsymbol{x}_{\text{RAW}}$ are in a data state before further processing is applied, in our case photons captured by the pixels of a camera sensor as displayed in the outputs of the "Measurement" block in Figure 1. The raw inputs $\boldsymbol{x}_{\text{RAW}}$ are then further processed by a *data model* $\boldsymbol{\Phi}_{\text{Proc}} \colon \mathbb{R}^{H,W} \to \mathbb{R}^{C,H,W}$, in our case the measurement hardware like a camera itself or other downstream data processing pipelines, to produce a processed view $\boldsymbol{v} = \boldsymbol{\Phi}_{\text{Proc}}(\boldsymbol{x}_{\text{RAW}})$ of the data as illustrated in the output of the "Data model" block in Figure 1. This processed view $\boldsymbol{v}$ could for example be the finished RGB image, the image data state that most machine learning researchers typically work with to train a *task model* $\boldsymbol{\Phi}_{\text{Task}} : \mathbb{R}^{C,H,W} \to \mathcal{Y}$. Thus, in the conventional machine learning setting we obtain $\boldsymbol{V} = \boldsymbol{\Phi}_{\text{Proc}}(\boldsymbol{X}_{RAW})$ as the image data generating random variable with the target distribution $\mathcal{D}_t = \mathbb{P} \circ (\boldsymbol{V}, Y)^{-1}$. A different data model $\tilde{\boldsymbol{\Phi}}_{\text{Proc}}$ generates a different view $\tilde{\boldsymbol{V}} = \tilde{\boldsymbol{\Phi}}_{\text{Proc}}(\boldsymbol{X}_{RAW})$ of the same underlying raw sensor data generating random variable $\boldsymbol{X}_{RAW}$, resulting in the *dataset drift*

$$\mathcal{D}_s = \mathbb{P} \circ (\tilde{\boldsymbol{V}}, Y)^{-1} \neq \mathcal{D}_t. \tag{1}$$

This characterization of dataset drift is closely related to the concept of distributional robustness in the sense of Huber where "the shape of the true underlying distribution deviates slightly from the assumed model" [104]. In practice, a possible reason for such a dataset drift to occur in images is a change in the camera types or settings, for example different acquisition microscopes across different lab sites s and t that lead to drifted distributions $\mathcal{D}_s \neq \mathcal{D}_t$. Anticipating and validating the robustness of a machine learning model to these variations in a realistic way is not just an engineering concern but also mandated by quality standards in many industries [105–107]. Omissions to perform physically accurate robustness validations has, among other reasons, slowed or prevented the rollout of machine learning technology in impactful applications such as large-scale automated retinopathy screening [108], machine learning melanoma detection [109, 110] or yield prediction [111] from drone cameras.

Hence, the calls for realistic robustness validation of image machine learning systems are not merely an exercise in intellectual novelty but a matter of integrating machine learning research with real world infrastructures and performance expectations around its core ingredient: the data.

1.1 Dataset drift validation for images: status quo

How can one go about validating a machine learning model's performance under image dataset drift? The dominant empirical techniques can broadly be categorized into augmentation and catalogue testing approaches,

[1] Note that the nomenclature around dataset drift is as heterogenous as the disciplines in which it is studied. See [103] for a good discussion of cross-disciplinary terminological ambiguity. Here we are concerned with dataset drift as defined in Equation (1), that is changes in V that are induced by changes in $\boldsymbol{\Phi}_{\text{Proc}}$ which some works also refer to as covariate shift or more generally as distribution shift.

[2] We write an uppercase letter A for a real valued random variable and a lowercase letter a for its realization. A bold uppercase letter $\boldsymbol{A}$ denotes a random vector and a bold lowercase letter $\boldsymbol{a}$ its realization. For $N \in \mathbb{N}$ realizations of the random vector $\boldsymbol{A}$ we write $\boldsymbol{a}_1, ..., \boldsymbol{a}_N$. The state space of the random vector $\boldsymbol{A}$ is denoted by $\boldsymbol{\mathcal{A}} = \{\boldsymbol{A}(\omega) \,|\, \omega \in \Omega\}$.

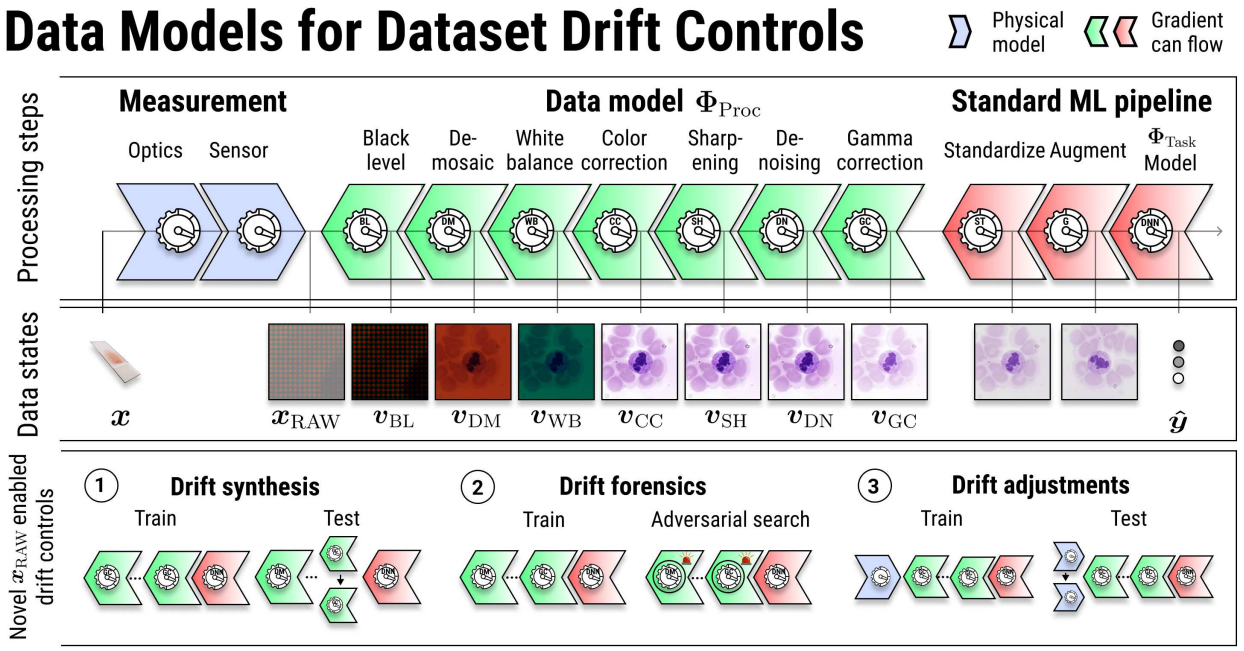


Figure 1: Schematic illustration of an optical imaging pipeline, the data states and novel, raw-enabled drift controls. Data $\boldsymbol{x}$ transitions through different representations. The measurement process yields metrologically accurate raw data $\boldsymbol{x}_{\text{RAW}}$, where the errors on each pixel are uncorrelated and unbiased. From the RAW sensor state data undergoes stages of image signal processing (ISP) $\boldsymbol{\Phi}_{\text{Proc}}$, the data model we consider here. Finally, the data is consumed by a machine learning task model $\boldsymbol{\Phi}_{\text{Task}}$ which outputs $\hat{\boldsymbol{y}}$. Combining raw data with the standard machine learning pipeline and a differentiable data model $\boldsymbol{\Phi}_{\text{Proc}}$ enables useful controls for dataset drift comprising ① drift synthesis, ② drift forensics, and ③ processing adjustments under drift.

each with their own benefits and limitations (see Table 1 for a conceptual comparison). Augmentation testing involves the application of perturbations, for example Gaussian noise, to already processed images [43, 112, 113] in order to approximate the effect of dataset drift. Given a processed dataset this allows for fast and easy generation of test samples. However, [114] point out that perturbations applied to an already processed image can produce drift artifacts that are unfaithful to the physics of camera processing. Results in optics further support the concern that the noise obtained from an image processing pipeline is distinct from noise added to an already processed image [115, 116]. For illustration, assume we carry out augmentation testing to test the robustness of the task model wrt. to the dataset drift (1). Let $\boldsymbol{\xi} \sim \mathcal{D}_{noise}$ be a noise sample additively applied to the the view resulting in $\boldsymbol{v} + \boldsymbol{\xi}$. Doing so, the task models robustness is tested wrt. the distribution $\mathbb{P} \circ (V + \Xi)^{-1}$ that might not approximate $\mathcal{D}_s$ well. Since $\mathbb{P}$ is unknown, this is difficult to resolve but at least we could require that a sample used for robustness testing is an element of the image $\tilde{\boldsymbol{\Phi}}_{\text{Proc}}[\boldsymbol{\mathcal{X}}_{RAW}]$ of $\boldsymbol{\mathcal{X}}_{RAW}$ under $\tilde{\boldsymbol{\Phi}}_{\text{Proc}}$. Following this argumentation, we define a *physically faithful* data point wrt. the dataset drift (1) as a view $\tilde{\boldsymbol{v}}$ that satisfies $\tilde{\boldsymbol{v}} \in \tilde{\boldsymbol{\Phi}}_{\text{Proc}}[\boldsymbol{\mathcal{X}}_{RAW}]$. In augmentation testing, the test samples are not restricted to physically faithful data points wrt. to any dataset drift, since $\boldsymbol{v} + \boldsymbol{\xi} \in \tilde{\boldsymbol{\Phi}}_{\text{Proc}}[\boldsymbol{\mathcal{X}}_{\text{RAW}}]$ might not hold true for any data model.

A physically faithful alternative to augmentation testing is what we call catalogue testing. It involves the collection of datasets from different cameras which are then used as hold-out robustness validation datasets [49, 117–119]. It does not allow for as flexible and fast in-silico simulation of test cases as augmentation testing because cataloguing requires expensive data collection after which the test cases are "locked-in". Notwithstanding, catalogue testing comes with the appealing guarantee that test samples conform to the processing physics of the different cameras they were gathered from, ensuring that only physically faithful data points are used for testing.

	Augmentation testing	Catalogue testing	Data models
Simulation of test samples	✓	✗	✓
Physically faithful test samples	✗	✓	✓
Differentiable data model	✗	✗	✓

Table 1: A conceptual comparison of different empirical approaches to dataset drift validation for machine learning task models. While augmentation testing allows the flexible, ad-hoc synthesis of test cases, they are, in contrast to catalogue testing, not guaranteed to be physically faithful. Pairing qualified raw data with explicit data models allows for flexible synthesis of physically faithful test cases. In addition, the differentiable data model opens up novel drift controls including drift forensics and drift adjustments.

However, the root of input data variations - the data model of images - has received little attention in machine learning robustness research to date. While machine learning practitioners are acutely aware of the dependency between data generation and downstream machine learning model performance, as 75% of respondents to a recent study confirmed [120], data models are routinely treated as a black-box in the robustness literature. This blind spot for explicit data models is particularly surprising since they are standard practice in other scientific communities, in particular optics and metrology [121–124], as well as advanced industry applications, including microscopy [125–127] or autonomous vehicles [128–130].

1.2 Our contributions

In this study we bridge the disconnect between machine learning model robustness research and explicit data models from physical optics. Combining raw image data, differentiable data models $\mathbf{\Phi}_{\text{Proc}}$ of image signal processing (ISP) and the standard machine learning pipeline enables us to go beyond what is possible with augmentation and catalogue testing. We provide explicit, differentiable models of the data generating process for flexible, physically faithful robustness validation of image machine learning models. Our core contributions are:

- We collected and publicly release two raw image datasets in the camera sensor state for advanced data models. These raw datasets come with full annotations and processing variations for both a classification (Raw-Microscopy, 17,860 total samples) and a regression (Raw-Drone, 10,412 total samples) task as well as precise calibration information. The data can be downloaded from the anonymized record `https://zenodo.org/record/5235536` as well as through the data loader integrated in our code base that is linked below.

- We provide modular PyTorch code for explicit and differentiable data models $\mathbf{\Phi}_{\text{Task}}$ from raw camera sensor data. All code is anonymized and accessible at `https://anonymous.4open.science/r/tmlr/README.md`.

- The combination of raw sensor data and modular $\mathbf{\Phi}_{\text{Proc}}$ data models enables three novel *dataset drift controls* for machine learning robustness validation:

  ① **Drift synthesis:** Controlled synthesis of physically faithful drift test cases across a range of possible data models. This is demonstrated for a classification and a regression task, showing that the change in absolute and (Revision#:2, Requested change #:3.) relative task model performance diverges markedly from what physically unfaithful alternatives like augmentation testing suggest (Section 5.1).

  ② **Drift forensics:** Given a particular data model $\mathbf{\Phi}_{\text{Proc}}$, the gradient from the upstream task model $\mathbf{\Phi}_{\text{Task}}$ can propagate to $\mathbf{\Phi}_{\text{Proc}}$, thus enabling precise data forensics: the gradient can be used to identify data model configurations of $\mathbf{\Phi}_{\text{Proc}}$ under which $\mathbf{\Phi}_{\text{Task}}$ should not be used (Section 5.2).

  ③ **Drift adjustments:** Lastly, the gradient connection between data $\mathbf{\Phi}_{\text{Proc}}$ and task model $\mathbf{\Phi}_{\text{Task}}$ opens up the possibility for processing adjustments in the face of drift. This can speed up and stabilize classifier training at a margin of up to 20% in validation accuracy (Section 5.3).

2 Related work

While physically sound data models of images have to the best of our knowledge not yet found their way into the machine learning robustness and dataset drift literature, they have been studied in other disciplines, in particular physical optics and metrology. Our ideas on data models and dataset drift controls we present in this manuscript are particularly indebted to the following works.

Raw image data Camera raw files contain the data captured by the camera sensors [121]. In contrast to processed formats such as `.jpeg` or `.png`, raw files contain the sensor data with minimal processing [115, 131, 132]. The processing of the raw data usually differs by camera manufacturer thus contributing to dataset drift. Existing raw data sets from the machine learning, computer vision and optics literature can be organized into two categories. First, datasets that are sometimes treated - usually not by the creators but by users of the data - as raw data but which are in fact not raw. Examples for this category can be found for both modalities considered here [133–143]. All of the preceding examples are processed and stored in formats including `.jpeg`, `.tiff`, `.svs`, `.png`, `.mp4` and `.mov`. Second, datasets that are labelled raw data which are raw. In contrast to the labelled and precisely calibrated raw data presented here, existing raw datasets [144–147] are collected from various sources for image enhancement tasks without full specification of the measurement conditions or labels for classification or segmentation tasks.

Data models for images [148, 149] employ deep convolutional neural networks for modelling a raw image data processing which is optimized jointly with the task model. In contrast, we employ a parametric data model with tunable parameters that enables the modular drift forensics and synthesis presented later. [150] propose a differentiable image processing pipeline for the purpose of camera lens manufacturing. Their goal, however, is to optimize a physical component (lens) in the image acquisition process and no code or data is publicly available. Existing software packages that provide low level image processing operations include Halide [151], Kornia [152] and the rawpy package [153] which can be integrated with our Python and PyTorch code.

Drift synthesis As detailed in Section 1, the synthesis of realistic drift test cases for a task model in computer vision is often done by applying augmentations directly to the input view $\boldsymbol{v}_{\mathrm{GC}}$, e.g. a processed `.jpeg` or `.png` image. Hendrycks et al. [43] have done foundational work in this direction developing a practical, standardized benchmark. However, as we explain in Section 1.1 there is no guarantee that noise added to a processed image will be physically faithful $\boldsymbol{v} + \boldsymbol{\xi} \in \tilde{\boldsymbol{\Phi}}_{\mathrm{Proc}}[\mathcal{X}_{\mathrm{RAW}}]$. This is problematic, as nuances matter [154] for assessing the cascading effects dataset drift has on the task model $\boldsymbol{\Phi}_{\mathrm{Task}}$ downstream [120, 155]. For the same reason, the use of generative models [47] like GANs has been limited for test data generation as they are known to hallucinate visible and less visible artifacts [156, 157]. Other approaches, like the WILDS data catalogue [158, 159], build on manual curation of so called natural distribution shifts, or, like [68], on artificial worst case constructions. These are important tools for the study of dataset drifts, especially those that are created outside the camera image signal processing. Absent raw sensor data, the shared limitation of catalogue approaches is that metrologically faithful drift *synthesis* is not possible.

Drift forensics Phan et al. [160] use a differentiable raw processing pipeline to propagate the gradient information back to the raw image. Similar to this work, the signal is used for adversarial search. However, Phan et al. optimize adversarial noise on a per-image basis in the raw space $\boldsymbol{x}_{\mathrm{RAW}}$, whereas our work modifies the parameters of the data model $\boldsymbol{\Phi}_{\mathrm{Proc}}$ itself in pursuit of harmful parameter configurations. The goal in this work is not simply to fool a classifier, but to discover failure modes and susceptible parameters in the data model $\boldsymbol{\Phi}_{\mathrm{Proc}}$ that will have the most influence on the task model's performance.

Drift adjustments An explicit and differentiable image processing data model allows joint optimization together with the task model $\boldsymbol{\Phi}_{\mathrm{Proc}}$. This has been done for radiology image data [161–163] though the measurement process there is different and the focus lies on finding good sampling patterns. For optical data, a related strand of work is modelling inductive biases in the image acquisition process which is explained and contrasted to this work above [116, 150].

3 Preliminaries: the image data model

Before proceeding with a description of the methods we use to obtain the data models $\mathbf{\Phi}_{\text{Proc}}$ in this study, let us briefly review the distinction between raw data $\boldsymbol{x}_{\text{RAW}}$, processed image $\boldsymbol{v}$ and the mechanisms $\mathbf{\Phi}_{\text{Proc}}\colon \mathbb{R}^{H,W} \to \mathbb{R}^{C,H,W}$ by which an image data transitions between these states. The *raw sensor image* $\boldsymbol{x}_{\text{RAW}}$ obtained from a camera differs substantially from the processed image that is used in conventional machine learning pipelines. The $\boldsymbol{x}_{\text{RAW}}$ state appears like a grey scale image with a grid structure (see $\boldsymbol{x}_{raw}$ in Figure 1). This grid is given by the Bayer color filter mosaic, which lies over sensors [121]. The final *RGB image* $\boldsymbol{v}$ is the result of a series of transformations applied to $\boldsymbol{x}_{\text{RAW}}$. For many steps in this process different possible algorithms exist. Starting from a single $\boldsymbol{x}_{\text{RAW}}$, all those possible combinations can generate an exponential number of possible images that are slightly different in terms of colors, lighting and blur - variations that contribute to dataset drift. In Figure 1 a conventional pipeline from $\boldsymbol{x}_{\text{RAW}}$ to the final RGB image $\boldsymbol{v}$ is depicted. Here, common and core transformations are considered. Note that depending on the application context it is possible to reorder or add additional steps. The symbol $\mathbf{\Phi}_{\text{i}}$ is used to denote the i^{th} transformation and $\boldsymbol{v}_{\text{i}}$ (*view*) for the output image of $\mathbf{\Phi}_{\text{i}}$. The first step of the pipeline is the *black level* correction $\mathbf{\Phi}_{\text{BL}}$, which removes any constant offset. The image $\boldsymbol{v}_{\text{BL}}$ is a grey image with a Bayer filter pattern. A *demosaicing* algorithm $\mathbf{\Phi}_{\text{DM}}$ is applied to construct the full RGB color image [164]. Given $\boldsymbol{v}_{\text{DM}}$, intensities are adjusted to obtain a neutrally illuminated image $\boldsymbol{v}_{\text{WB}}$ through a *white balance* transformation $\mathbf{\Phi}_{\text{WB}}$. By considering color dependencies, a *color correction* transformation $\mathbf{\Phi}_{\text{CC}}$ is applied to balance hue and saturation of the image. Once lighting and colors are corrected, a *sharpening* algorithm $\mathbf{\Phi}_{\text{SH}}$ is applied to reduce image blurriness. This transformation can make the image appear more noisy. For this reason a *denoising* algorithm $\mathbf{\Phi}_{\text{DN}}$ is applied afterwards [165, 166]. Finally, *gamma correction*, $\mathbf{\Phi}_{\text{GC}}$, adjusts the linearity of the pixel values. For a closed form description of these transformations see Section 4.2. Compression may also take place as an additional step. It is not considered here as the input image size is already small. Furthermore, the effect of compression on downstream task model performance has been thoroughly examined before [167–171]. However, users of our code can add this step or reorder the sequence of steps in the modular processing object class per their needs[3].

4 Methods

In order to perform advanced drift controls, raw sensor data and differentiable data models are required, both of which we will explain next.

4.1 Raw dataset acquisition

As public, scientifically calibrated and labelled raw data is, to the best of our knowledge, currently not available, we acquired two raw datasets as part of this study: Raw-Microscopy and Raw-Drone. We make both datasets publicly available at `https://zenodo.org/record/5235536`. Raw-Microscopy consists of expert annotated blood smear microscope images. Raw-Drone comprises drone images with annotations of cars. Our motivation behind the acquisition of these particular datasets was threefold. First, we wanted to ensure that the acquired datasets provide good coverage of representative machine learning tasks, including classification (Raw-Microscopy) and regression (Raw-Drone). Second, we wanted to collect data on applications that, to our minds, are disposed towards positive welfare impact in today's world, including medicine (Raw-Microscopy) and environmental surveying (Raw-Drone). Third, we wanted to ensure the downstream machine learning task models are such where errors can be costly, here patient safety (Raw-Microscopy) and autonomous vehicles (Raw-Drone), and hence where extensive robustness and dataset drift controls are particularly relevant. Since data collection is an expensive project in and of itself we did not aspire to provide extensive benchmark datasets for the respective applications, but to collect enough data to demonstrate the advanced data modelling and dataset drift controls that raw data enables.

[3]See `pipeline_torch.py` and `pipeline_numpy.py` in our code.

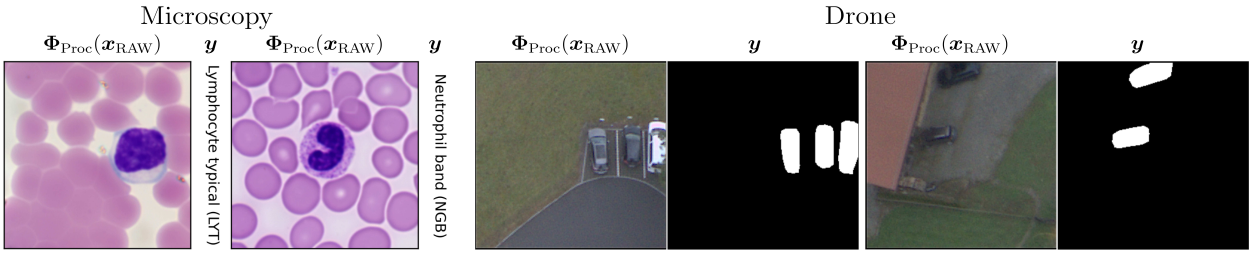


Figure 2: Processed samples and labels of the two datasets, Raw-Microscopy (columns one to four) and Raw-Drone (columns five and eight), that were acquired for the dataset drift study presented here.

In the following we provide detailed information on the two datasets and, following good metrological practices, the calibration setups of the acquisition process. Samples of both datasets can be inspected in Figure 2 and Appendix A.3. Full datasheet documentation following [172] is also available in Appendix A.5.

Raw-Microscopy Assessment of blood smears under a light microscope is a key diagnostic technique [173]. The creation of image datasets and machine learning models on them has received wide interest in recent years [13, 174, 175]. Variations in the image processing can affect the downstream task model performance [176]. Dataset drift controls can thus help to specify the perimeter of safe application for a task model. A raw dataset was collected for that purpose. A bright-field microscope was used to image blood smear cytopathology samples. The light source is a halogen lamp equipped with a 0.55 NA condenser, and a pre-centred field diaphragm unit. Filters at 450 nm, 525 nm and 620 nm were used to acquire the blue, green and red channels respectively. The condenser is followed by a 40× objective with 0.95 NA (Olympus UPLXAPO40X). Slides can be moved via a piezo with 1 nm spatial resolution, in three directions. Focus was achieved by maximizing the variance of the pixel values. Images are acquired is 16 bit, with a 2560 × 2160 pixels CMOS sensor (PCO edge 5.5). The point-spread function (PSF) was measured to be 450 nm with 100 nm nanospheres. Mechanical drift was measured at 0.4 pixels per hour. Imaging was performed on de-identified human blood smear slides (Ma190c Lieder, J. Lieder GmbH & Co. KG, Ludwigsburg/Germany). All slides were taken from healthy humans without known hematologic pathology. Imaging regions were selected to contain single leukoytes in order to allow unique labelling of image patches, and regions were cropped to 256 × 256 pixels. All images were annotated by a trained hematological cytologist using the standard scheme of normal leukocytes comprising band and segmented neutrophils, typical and atypical lymphocytes, monocytes, eosinophils and basophils [177]. To soften class imbalance, candidates for rare normal leukocyte types were preferentially imaged, and enrich rare classes. Additionally, two classes for debris and smudge cells, as well as cells of unclear morphology were included. Labelling took place for all imaged cells from a particular smear at a time, with single-cell patches shown in random order. RI were extracted using JetRaw Data Suite features. Blue, red and green channels are metrologically rescaled independently in intensity to simulate a standard RGB camera condition. Some pixels are discarded complementary on each channel in order to obtain a Bayer filter pattern.

Raw-Drone Automated processing of drone data has useful applications including precision agriculture [178] or environmental protection [179]. Variation in image processing has been shown to affect task model performance [111, 115], underlining the need for drift controls. For the purposes of this study, a raw car segmentation dataset was created for the drone image modality. A DJI Mavic 2 Pro Drone was used, equipped with a Hasselblad L1D-20c camera (Sony IMX183 sensor) having 2.4 µm pixels in Bayer filter array. The objective has a focal length of 10.3 mm. The f-number was set to $N = 8$, to emulate the PSF circle diameter relative to the pixel pitch and ground sampling distance (GSD) as would be found on images from high-resolution satellites. The PSF was measured to have a circle diameter of 12.5 µm. This corresponds to a diffraction-limited system, within the uncertainty dominated by the wavelength spread of the image. Images were taken at 200 ISO, a gain of 0.528 DN/e^-. The 12-bit pixel values are however left-justified to 16-bits, so that the gain on the 16-bit numbers is 8.448 DN/e^-. The images were taken at a height of 250 m, so that the GSD is 6 cm. All images were tiled in 256 × 256 patches. Segmentation color masks were created to identify cars for each patch. From this mask, classification labels were generated to detect if there is a car in the image. The dataset is constituted by 548 images for the segmentation task.

Both datasets include six additional raw variations at different intensity scales, augmented with JetRaw Data Suite.

4.2 Data models: Image signal processing $\mathbf{\Phi_{Proc}}$

The second ingredient to this study are the data models of image processing which form the basis for the drift controls presented later. Let $(\boldsymbol{X}_{RAW}, Y) : \Omega \to \mathbb{R}^{H,W} \times \mathcal{Y}$ be the raw sensor data generating random variable on some probability space $(\Omega, \mathcal{F}, \mathbb{P})$, with $\mathcal{Y} = \{0,1\}^K$ for classification and $\mathcal{Y} = \{0,1\}^{H,W}$ for segmentation. Let $\mathbf{\Phi}_{\text{Task}} : \mathbb{R}^{C,H,W} \to \mathcal{Y}$ be the task model determined during training. The inputs that are given to the task model $\mathbf{\Phi}_{\text{Task}}$ are the outputs of the data model $\mathbf{\Phi}_{\text{Proc}}$. We distinguish between the raw sensor image $\boldsymbol{x}_{\text{RAW}}$ and a *view* $\boldsymbol{v} = \mathbf{\Phi}_{\text{Proc}}(\boldsymbol{x}_{\text{RAW}})$ of this image, where $\mathbf{\Phi}_{\text{Proc}} \colon \mathbb{R}^{H,W} \to \mathbb{R}^{C,H,W}$ models the transformation steps applied to the raw sensor image during processing.

The objective in supervised machine learning is to learn a task model $\mathbf{\Phi}_{\text{Task}} : \mathbb{R}^{C,H,W} \to \mathcal{Y}$ within a fixed class of task models $\mathcal{H}$ that minimizes the expected loss wrt. the loss function $\mathcal{L} : \mathcal{Y} \times \mathcal{Y} \to [0, \infty)$, that is to find $\mathbf{\Phi}^{\star}_{\text{Task}}$ such that

$$\inf_{\mathbf{\Phi}_{\text{Task}} \in \mathcal{H}} \mathbb{E}[\mathcal{L}\left(\mathbf{\Phi}_{\text{Task}}(\boldsymbol{V}), Y\right)]$$

is attained. Towards that goal, $\mathbf{\Phi}_{\text{Task}}$ is determined during training such that the empirical error

$$\frac{1}{N} \sum_{n=1}^{N} \mathcal{L}\left(\mathbf{\Phi}_{\text{Task}}(\boldsymbol{v}_n), y_n\right)$$

is minimized over the sample $\mathcal{S} = ((\boldsymbol{v}_1, y_1), ..., (\boldsymbol{v}_N, y_N))$ of views. Modelling in the conventional machine learning setting begins with the image data generating random variable $(\boldsymbol{V}, Y) = (\mathbf{\Phi}_{\text{Proc}}(\boldsymbol{X}_{RAW}), Y)$ and the target distribution $\mathcal{D}_t = \mathbb{P} \circ (\boldsymbol{V}, Y)^{-1}$. Given a dataset drift, as specified in Equation (1), without a data model we have little recourse to disentangle reasons for performance drops in $\mathbf{\Phi}_{\text{Task}}$. To alleviate this underspecification, an explicit data model is needed. We consider two such models in this study: a static model $\mathbf{\Phi}^{\text{stat}}_{\text{Proc}}$ and a parametrized model $\mathbf{\Phi}^{\text{para}}_{\text{Proc}}$.

In the following, we denote by $\boldsymbol{x}_{\text{RAW}} \in [0,1]^{H,W}$ the normalized raw image, that is a grey scale image with a Bayer filter pattern normalized by $2^{16} - 1$, i.e.

$$\boldsymbol{x}_{\text{RAW}} = \begin{bmatrix} \boldsymbol{A}_{1,1} & . & . & . & \boldsymbol{A}_{1,\frac{W}{2}} \\ . & . & & & . \\ . & & . & & . \\ . & & & . & . \\ \boldsymbol{A}_{\frac{H}{2},1} & . & . & . & \boldsymbol{A}_{\frac{H}{2},\frac{W}{2}} \end{bmatrix} \quad \textit{with} \quad \boldsymbol{A}_{h,j} = \begin{bmatrix} r_{2h+1,2w+1} & g_{2h+1,2w} \\ g_{2h,2w+1} & b_{2h,2w} \end{bmatrix},$$

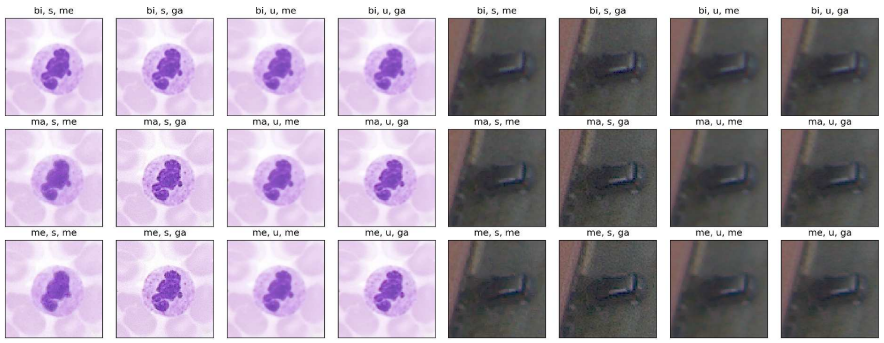
where the values $r_{2h+1,2w+1}, g_{2h+1,2w}, g_{2h,2w+1}, b_{2h,2w}$ correspond to the values measured through the different sensors and normalized by $2^{16} - 1$. We provide here a precise description of the transformations that we consider in our static model, followed by a description how to convert this static model into a differentiable model.

4.2.1 The static data model $\mathbf{\Phi}^{\text{stat}}_{\text{Proc}}$

Following common steps in ISP, the *static data model* is defined as the composition

$$\mathbf{\Phi}^{\text{stat}}_{\text{Proc}} = \mathbf{\Phi}_{\text{GC}} \circ \mathbf{\Phi}_{\text{DN}} \circ \mathbf{\Phi}_{\text{SH}} \circ \mathbf{\Phi}_{\text{CC}} \circ \mathbf{\Phi}_{\text{WB}} \circ \mathbf{\Phi}_{\text{DM}} \circ \mathbf{\Phi}_{\text{BL}}, \tag{2}$$

mapping a raw sensor image to a RGB image. We note that other data model variations, for example by reordering or adding steps, are feasible. The static data models allow the controlled synthesis of different, physically faithful views from the same underlying raw sensor data by manually changing the configurations of the intermediate steps. Fixing the continuous features, but varying $\mathbf{\Phi}_{\text{DM}}$, $\mathbf{\Phi}_{\text{SH}}$ and $\mathbf{\Phi}_{\text{DN}}$ results in twelve different views for the configurations considered here. Samples for each of the twelve data models are provided in 3a. The individual functions of the composition $\mathbf{\Phi}^{\text{stat}}_{\text{Proc}}$ are specified as follows. If not stated otherwise, writing the equation $v_{c,h,w} = a_{c,h,w} + b_{c,h,w}$ defines $v_{c,h,w}$ for all $1 \leq c \leq 3$, $1 \leq h \leq H$ and $1 \leq h \leq W$.



(a) Samples for both datasets, Raw-Microscopy and Raw-Drone, from all twelve static data models $\mathbf{\Phi}^{\text{stat}}_{\text{Proc}}$ used for the drift synthesis experiments in Section 5.1. A version with higher resolution is omitted here to save space and can instead be found in Figure 8 in the appendices.

Data models	Used functions		
bi,s,me	$\mathbf{\Phi}^{\text{Bil}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{SF}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{MD}}_{\text{DN}}$
bi,s,ga	$\mathbf{\Phi}^{\text{Bil}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{SF}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{GD}}_{\text{DN}}$
bi,u,me	$\mathbf{\Phi}^{\text{Bil}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{UM}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{MD}}_{\text{DN}}$
bi,u,ga	$\mathbf{\Phi}^{\text{Bil}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{UM}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{GD}}_{\text{DN}}$
me,s,me	$\mathbf{\Phi}^{\text{Men}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{SF}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{MD}}_{\text{DN}}$
me,s,ga	$\mathbf{\Phi}^{\text{Men}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{SF}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{GD}}_{\text{DN}}$
me,u,me	$\mathbf{\Phi}^{\text{Men}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{UM}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{MD}}_{\text{DN}}$
me,u,ga	$\mathbf{\Phi}^{\text{Men}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{UM}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{GD}}_{\text{DN}}$
ma,s,me	$\mathbf{\Phi}^{\text{Mal}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{SF}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{MD}}_{\text{DN}}$
ma,s,ga	$\mathbf{\Phi}^{\text{Mal}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{SF}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{GD}}_{\text{DN}}$
ma,u,me	$\mathbf{\Phi}^{\text{Mal}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{UM}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{MD}}_{\text{DN}}$
ma,u,ga	$\mathbf{\Phi}^{\text{Mal}}_{\text{DM}}$	$\mathbf{\Phi}^{\text{UM}}_{\text{SH}}$	$\mathbf{\Phi}^{\text{GD}}_{\text{DN}}$

(b) Abbreviations of the twelve configurations of the static data model $\mathbf{\Phi}^{\text{stat}}_{\text{Proc}}$ used in the drift synthesis experiments.

Figure 3

Black level correction (BL) removes thermal noise and readout noise generated from the camera sensor. The transformation is given by

$$\mathbf{\Phi}_{\text{BL}} : [0,1]^{H,W} \to [0,1]^{H,W}, \boldsymbol{x}_{\text{RAW}} \mapsto \boldsymbol{v}_{\text{BL}},$$

with

$$\begin{aligned}(v_{\text{BL}})_{2h+1,2w+1} &= x_{2h+1,2w+1} - bl_1\\ (v_{\text{BL}})_{2h,2w+1} &= x_{2h,2w+1} - bl_2\\ (v_{\text{BL}})_{2h+1,2w} &= x_{2h+1,2w} - bl_3\\ (v_{\text{BL}})_{2h,2w} &= x_{2h,2w} - bl_4,\end{aligned}$$

By design of $\boldsymbol{bl} \in \mathbb{R}^4$, black level correction ensures that $\boldsymbol{v}_{\text{BL}}$ is again an element of $[0,1]^{H,W}$.

Demosaicing (DM) is applied to reconstruct the full RGB color image, by applying a certain interpolation rule. We use one out of the three demosaicing algorithms BayerBilinear ($\mathbf{\Phi}^{\text{Bil}}_{\text{DM}}$), Menon2007 ($\mathbf{\Phi}^{\text{Men}}_{\text{DM}}$) and Malvar2004 ($\mathbf{\Phi}^{\text{Mal}}_{\text{DM}}$) from the python package color-demosaicing and denote this transformation by the map

$$\mathbf{\Phi}_{\text{DM}} : [0,1]^{H,W} \to [0,1]^{3,H,W}, \boldsymbol{v} \mapsto \boldsymbol{v}_{\text{DM}}.$$

White balance (WB) is applied to obtain a neutrally illuminated image. The transformation is given by

$$\mathbf{\Phi}_{\text{WB}} : [0,1]^{3,H,W} \to [0,1]^{3,H,W}, \boldsymbol{v} \mapsto \boldsymbol{v}_{\text{WB}},$$

where $\boldsymbol{wb} \in [0,1]^3$ adjusts the intensities by

$$(v_{\text{WB}})_{c,h,w} = wb_c \cdot (v_{\text{DM}})_{c,h,w}.$$

Color correction (CC) balances the saturation of the image by considering color dependencies. Let $\boldsymbol{M} \in \mathbb{R}^{3,3}$ be the color matrix. The transformation is defined by

$$\mathbf{\Phi}_{\text{CC}} : [0,1]^{3,H,W} \to \mathbb{R}^{3,H,W}, \boldsymbol{v} \mapsto \boldsymbol{v}_{\text{CC}},$$

where

$$\boldsymbol{v}_{\text{CC}} = \begin{bmatrix}(v_{\text{CC}})_{1,h,w}\\ (v_{\text{CC}})_{2,h,w}\\ (v_{\text{CC}})_{3,h,w}\end{bmatrix} = \boldsymbol{M} \begin{bmatrix}(v_{\text{WB}})_{1,h,w}\\ (v_{\text{WB}})_{2,h,w}\\ (v_{\text{WB}})_{3,h,w}\end{bmatrix}.$$

The entries of the resulting $\boldsymbol{v}_{\text{CC}}$ are no longer restricted to $[0,1]$.

Sharpening (SH) reduces the blurriness of an image. We use the two methods sharpening filter ($\mathbf{\Phi}^{\text{SF}}_{\text{SH}}$) and unsharp masking ($\mathbf{\Phi}^{\text{UM}}_{\text{SH}}$) that are applied after a transformation of the view $\boldsymbol{v}_{\text{CC}}$ to the YUV-color space. To convert the view to the YUV-color space we use the skimage.color function rgb2yuv ($\mathbf{\Phi}_{YUV}$). The sharpening filter

$$SF : \mathbb{R}^{3,H,W} \to \mathbb{R}^{3,H,W},$$

is defined by a channel-wise convolution

$$(SF(\boldsymbol{v}))_{c,h,w} = ((\boldsymbol{v}_c \star \boldsymbol{k})_{h,w})_c \quad \textit{with} \quad \boldsymbol{k} := \begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix} \tag{3}$$

of the view

$$\boldsymbol{v} = \boldsymbol{\Phi}_{YUV}(\boldsymbol{v}_{\mathrm{CC}}).$$

For unsharp masking we use the ski.filters function unsharp_mask modeled by UM. To formally define the sharpening we write

$$\boldsymbol{\Phi}_{\mathrm{SH}} : \mathbb{R}^{3,H,W} \to \mathbb{R}^{3,H,W}, \boldsymbol{v} \mapsto \boldsymbol{v}_{\mathrm{SH}}$$

where

$$\boldsymbol{v}_{\mathrm{SH}} = algo \circ \boldsymbol{\Phi}_{YUV}(\boldsymbol{v}_{\mathrm{CC}}) \quad \textit{with} \quad algo \in \{SH, UM\}.$$

Denoising (DN) reduces the noise in an image that is (partly) introduced by SH and transforms the YUV-color space view back to the RGB-color space. For the latter transformation, the skimage.color function yuv2rgb ($\boldsymbol{\Phi}_{YUV}^{-1}$) is used. We apply one out of the two methods Gaussian denoising ($\boldsymbol{\Phi}_{\mathrm{DN}}^{\mathrm{GD}}$) and Median denoising ($\boldsymbol{\Phi}_{\mathrm{DN}}^{\mathrm{GD}}$). For Gaussian denoising, we apply a Gaussian filter (GF) with standard deviation of $\sigma = 0.5$ from the scipy.ndimage package. For median denoising we apply a median filter (MF of size 3 from the scipy.ndimage package. Formally, this reads as

$$\boldsymbol{\Phi}_{\mathrm{DN}} : \mathbb{R}^{3,H,W} \to \mathbb{R}^{3,H,W}, \boldsymbol{v} \mapsto \boldsymbol{v}_{\mathrm{DN}}$$

where

$$\boldsymbol{v}_{\mathrm{DN}} = \boldsymbol{\Phi}_{YUV}^{-1} \circ algo(\boldsymbol{v}_{\mathrm{SH}}) \quad \textit{with} \quad algo \in \{GF, UM\}.$$

Gamma correction (GC) equilibrates the overall brightness of the image. First, the entries of the view $\boldsymbol{v}_{\mathrm{DN}}$ are clipped to $[0, 1]$ leading to

$$(v_{CP})_{c,h,w} = (v_{\mathrm{DN}})_{c,h,w} \, \mathbb{1}_{\{0 \leq (v_{\mathrm{DN}})_{c,h,w} \leq 1\}} + \mathbb{1}_{\{(v_{\mathrm{DN}})_{c,h,w} > 1\}}.$$

Second, the brightness adjusting transformation is defined by

$$\boldsymbol{\Phi}_{\mathrm{GC}} : \mathbb{R}^{3,H,W} \to [0,1]^{3,H,W}, \boldsymbol{v} \mapsto \boldsymbol{v}_{\mathrm{GC}} = (\boldsymbol{v}_{CP})^{\frac{1}{\gamma}}$$

for some $\gamma > 0$ applied element-wise. Note that zero-clipping is necessary for $\boldsymbol{v}_{\mathrm{GC}}$ to be well-defined.

In total, we define the composition

$$\boldsymbol{\Phi}_{Proc}^{\mathrm{stat}} : [0,1]^{H,W} \mapsto [0,1]^{3,H,W}$$

of the above steps

$$\boldsymbol{\Phi}_{\mathrm{Proc}}^{\mathrm{stat}} := \boldsymbol{\Phi}_{\mathrm{GC}} \circ \boldsymbol{\Phi}_{\mathrm{DN}} \circ \boldsymbol{\Phi}_{\mathrm{SH}} \circ \boldsymbol{\Phi}_{\mathrm{CC}} \circ \boldsymbol{\Phi}_{\mathrm{WB}} \circ \boldsymbol{\Phi}_{\mathrm{DM}} \circ \boldsymbol{\Phi}_{\mathrm{BL}} \tag{4}$$

and call $\boldsymbol{\Phi}_{\mathrm{Proc}}^{\mathrm{stat}}$ the *static pipeline*.

To test the effect of different static data models on the performance of two task models, we fix the continuous features $\boldsymbol{bl}, \boldsymbol{wb}, \boldsymbol{M}$ and γ, but vary the demosaicing method, the sharpening method and the denoising method, resulting in twelve different views, generated by different configurations of $\boldsymbol{\Phi}_{\mathrm{Proc}}^{\mathrm{stat}}$. An overview of the data model configurations and their corresponding abbreviations can be found alongside processed samples in Figures 3a and 3b.

4.2.2 The parametrized data model $\boldsymbol{\Phi}_{\mathrm{Proc}}^{\mathrm{para}}$

For a fixed raw sensor image, the *parametrized data model* $\boldsymbol{\Phi}_{\mathrm{Proc}}^{\mathrm{para}}$ maps from a parameter space Θ to a RGB image. It is similar to the static data model with the notable difference that each processing step is differentiable wrt. its parameters $\boldsymbol{\theta}$. This allows for backpropagation of the gradient from the output of the task model $\boldsymbol{\Phi}_{\mathrm{Task}}$ through the data model $\boldsymbol{\Phi}_{\mathrm{Proc}}$ all the way back to the raw sensor image $\boldsymbol{x}_{\mathrm{RAW}}$ to perform drift forensics and drift adjustments. Hence, we aim to design a data model $\boldsymbol{\Phi}_{\mathrm{Proc}}^{\mathrm{para}} : \mathbb{R}^{H,W} \times \Theta \to \mathbb{R}^{C,H,W}$ that is differentiable in $\boldsymbol{\theta} \in \Theta$ satisfying

$$\boldsymbol{\Phi}_{\mathrm{Proc}}^{\mathrm{stat}} = \boldsymbol{\Phi}_{\mathrm{Proc}}^{\mathrm{para}}\left(\cdot, \boldsymbol{\theta}^{\mathrm{stat}}\right)$$

for some choice of parameters $\boldsymbol{\theta}^{\mathrm{stat}}$ and some fixed configuration of the static pipeline $\boldsymbol{\Phi}_{\mathrm{Proc}}^{\mathrm{stat}}$.

Black level correction (BL) For the parametrized black level correction define the map

$$\boldsymbol{\Phi}_{\mathrm{BL}}^{\mathrm{stat}} : [0,1]^{H,W} \times \mathbb{R}^4 \to \mathbb{R}^{H,W}, (\boldsymbol{x}_{\mathrm{RAW}}, \boldsymbol{\theta}_1) \mapsto \boldsymbol{v}_{\mathrm{BL}} = \boldsymbol{\Phi}_{\mathrm{BL}}(\boldsymbol{x}_{\mathrm{RAW}})|_{\boldsymbol{bl}=\boldsymbol{\theta}_1}.$$

and set $\Theta_1 := \mathbb{R}^4$.

Demosaicing (DM) We first convert $\boldsymbol{v}_{\mathrm{BL}}$ to a three channel image $[\boldsymbol{R}, \boldsymbol{G}, \boldsymbol{B}] \in \mathbb{R}^{3,H,W}$ where the entries of $\boldsymbol{R}, \boldsymbol{G}$ and $\boldsymbol{B}$ are zero except

$$R_{2h+1,2w+1} = \boldsymbol{v}_{BL_{2h+1,2w+1}}, \quad B_{2h,2w} = v_{BL_{2h,2w}},$$
$$G_{2h+1,2w} = v_{BL_{2h+1,2w}}, \quad G_{2h,2w+1} = v_{BL_{2h,2w+1}}.$$

To parametrize $\boldsymbol{\Phi}^{\mathrm{Bil}}_{\mathrm{DM}}$ define the map

$$\boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{DM}} : [0,1]^{H,W} \times \mathbb{R}^{3,3,3} \to \mathbb{R}^{3,H,W}, (\boldsymbol{v}_{\mathrm{BL}}, \boldsymbol{\theta}_2) \mapsto \boldsymbol{v}_{\mathrm{DM}}$$

with $\boldsymbol{\theta}_2 = [\boldsymbol{k}_1, \boldsymbol{k}_2, \boldsymbol{k}_3]$, where the kernels $\boldsymbol{k}_1, \boldsymbol{k}_2, \boldsymbol{k}_3 \in \mathbb{R}^{3,3}$ are separately applied to each color channel resuling in

$$\boldsymbol{v}_{DM_{1,h,w}} = (R \star \boldsymbol{k}_1)_{h,w}$$
$$\boldsymbol{v}_{DM_{2,h,w}} = (G \star \boldsymbol{k}_2)_{h,w}$$
$$\boldsymbol{v}_{DM_{3,h,w}} = (B \star \boldsymbol{k}_3)_{h,w}\,.$$

The source code of BayerBilinear shows that the parameter choice

$$\boldsymbol{k}_1 = \boldsymbol{k}_3 = \begin{bmatrix} 0 & 0.25 & 0 \\ 0.25 & 1 & 0.25 \\ 0 & 0.25 & 0 \end{bmatrix} \quad \textit{and} \quad \boldsymbol{k}_2 = \begin{bmatrix} 0.25 & 0.5 & 0.25 \\ 0.5 & 1 & 0.5 \\ 0.25 & 0.5 & 0.25 \end{bmatrix}$$

leads to

$$\boldsymbol{\Phi}^{\mathrm{Bil}}_{\mathrm{DM}} = \boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{DM}}(\cdot, \boldsymbol{\theta}_2).$$

Towards the definition of the parameter space set $\Theta_2 := \mathbb{R}^{3,3,3} \times \Theta_1$.

White balance (WB) For the parametrized white balance define the map

$$\boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{WB}} : \mathbb{R}^{3,H,W} \times \mathbb{R}^3 \to \mathbb{R}^{3,H,W}, (\boldsymbol{v}_{\mathrm{DM}}, \boldsymbol{\theta}_3) \mapsto \boldsymbol{v}_{\mathrm{WB}} = \boldsymbol{\Phi}_{\mathrm{WB}}(\boldsymbol{v}_{\mathrm{DM}})|_{\boldsymbol{wb}=\boldsymbol{\theta}_3}$$

and set $\Theta_3 := \mathbb{R}^3 \times \Theta_2$.

Color correction (CC) For the parametrized color correction define the map

$$\boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{CC}} : \mathbb{R}^{3,H,W} \times \mathbb{R}^{3,3} \to \mathbb{R}^{3,H,W}, (\boldsymbol{v}_{\mathrm{WB}}, \boldsymbol{\theta}_4) \mapsto \boldsymbol{v}_{\mathrm{CC}} = \boldsymbol{\Phi}_{\mathrm{CC}}(v_{\mathrm{WB}})|_{\boldsymbol{M}=\boldsymbol{\theta}_4}$$

and set $\Theta_4 := \mathbb{R}^{3,3} \times \Theta_3$

Sharpening (SH) We parametrize the sharpening filter configuration of the static pipeline, by using the entries of $\boldsymbol{k} \in \mathbb{R}^{3,3}$ defined in (3) as parameters leading to

$$\boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{SH}} : \mathbb{R}^{3,H,W} \times \mathbb{R}^{3,3} \to \mathbb{R}^{3,H,W}, (\boldsymbol{v}_{\mathrm{CC}}, \boldsymbol{\theta}_5) \mapsto \boldsymbol{v}_{\mathrm{SH}} = \boldsymbol{\Phi}_{\mathrm{SH}}(v_{\mathrm{CC}})|_{\boldsymbol{k}=\boldsymbol{\theta}_5}$$

and $\Theta_5 := \mathbb{R}^{3,3} \times \theta_4$.

Denoising (DN) We parametrize the configuration where the Gaussian denoising method is applied. Applying the Gaussian filter from scipy.ndimage with $\sigma = 0.5$ is equivalent to a convolution of the view in the YUV-color space with a specific $\boldsymbol{k}_{gauss} \in \mathbb{R}^{5,5}$. For the specific values of $\boldsymbol{k}_{gauss}$ see K_BLUR at the code of the parametrized pipeline. Therefore, to parametrize DN we define the map

$$\boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{DN}} : \mathbb{R}^{3,H,W} \times \mathbb{R}^{5,5} \to \mathbb{R}^{3,H,W}, (\boldsymbol{v}_{\mathrm{SH}}, \boldsymbol{\theta}_6) \mapsto \boldsymbol{v}_{\mathrm{DN}} = \boldsymbol{\Phi}_{\mathrm{DN}}(v_{\mathrm{SH}})|_{\boldsymbol{k}_{gauss}=\boldsymbol{\theta}_6}$$

and set $\Theta_6 := \mathbb{R}^{5,5} \times \Theta_5$

Gamma correction (GC) Define the parametrized gamma correction by

$$\boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{GC}} : \mathbb{R}^{3,H,W} \times \mathbb{R} \to [0,1]^{3,H,W}, (\boldsymbol{v}_{\mathrm{DN}}, \boldsymbol{\theta_7}) \mapsto \boldsymbol{v} = \boldsymbol{v}_{\mathrm{GC}} = \boldsymbol{\Phi}_{\mathrm{GC}}(\boldsymbol{v}_{\mathrm{DN}})|_{\gamma=\boldsymbol{\theta}_7}.$$

Using all the above steps, we define for $\boldsymbol{\theta} = (\boldsymbol{\theta}_1, ..., \boldsymbol{\theta}_7) \in \Theta$ the parametrized processing model

$$\boldsymbol{\Phi}^{\mathrm{para}}_{Proc} : [0,1]^{3,H,W} \times \Theta \to [0,1]^{3,H,W}, (\boldsymbol{x}_{\mathrm{RAW}}, \boldsymbol{\theta}) \mapsto \boldsymbol{v}$$

by the composition

$$\boldsymbol{v} = \left(\boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{GC}}(\cdot, \boldsymbol{\theta_7}) \circ \boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{DN}}(\cdot, \boldsymbol{\theta_6}) \circ \boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{SH}}(\cdot, \boldsymbol{\theta_5}) \circ \boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{CC}}(\cdot, \boldsymbol{\theta_4}) \circ \boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{WB}}(\cdot, \boldsymbol{\theta_3}) \circ \boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{DM}}(\cdot, \boldsymbol{\theta_2}) \circ \boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{BL}}(\cdot, \boldsymbol{\theta_1})\right)(\boldsymbol{x}_{\mathrm{RAW}})\,. \tag{5}$$

We call $\boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{Proc}}$ the *parametrized data model*. The operations used above are differentiable except for the clipping operation in the GC that is *a.e.*-differentiable, since the set $\{0,1\}$ of non-differentiable points has measure zero. Assuming in addition that $\mathbb{P}\left((v_{\mathrm{DN}})_{c,h,w} \in \{0,1\}\right) = 0$ holds true for the entries of $\boldsymbol{v}_{\mathrm{DN}}$ results in an *a.e.*-differentiable processing model. We further say that $\boldsymbol{\Phi}^{\mathrm{para}}_{\mathrm{Proc}}$ is differentiable, noting that this holds only *a.e.* under the aforementioned assumption.

4.3 Task models Φ_{Task}

Finally, with the data models in place, we also employ two task models in the experiments. For the classification task on the Raw-Microscopy dataset a 18-layer residual net (ResNet18) [180] was used as reference task model. To segment cars from the Raw-Drone dataset the convolutional neural network proposed in [181] (U-Net) was used. Both task models were trained using data augmentation to avoid naive robustness failures. A detailed description of the task models and their hyperparameters is given in A.2.

5 Applications

With raw data, data models and task models in place we are now in a position to perform advanced controls for dataset drift validation comprising ① drift synthesis, ② modular drift forensics and ③ processing adjustments under drift.

5.1 Drift synthesis

The static data model enables physically faithful synthesis of drift test cases: individual components of the data model can be swapped out, allowing the controlled creation of different, physically faithful processed views from one raw reference dataset. A usage scenario of drift synthesis for machine learning researchers and practitioners is the prospective validation of their task model to drift from different camera devices, for example microscopes across different labs, without having to collect measurements from the different devices.

For each data configuration laid out in Section 4.2, the task models were trained for 100 epochs on image data processed through the training data model. Hyperparameters were kept constant across all runs to isolate the effect of varying the data models. Then, dataset drift test cases were synthesized by processing the raw test data through the remaining eleven data models. The task models were then evaluated on test data from all twelve data models. All results that follow are reported as the mean with error bars over a 5-fold cross-validation[4]. The metrics used to evaluate the task models are accuracy for classification and IoU for segmentation.

The leukocyte classification model, as displayed in the left matrix of Figure 4, has a critical drop for few configurations, suggesting that it is relatively robust to processing induced dataset drift except for the (ma,s,me) configuration. Note that diagonal elements serve as reference corresponding to test data that was processed in the same way as the training data. The segmentation task model (left matrix in Figure 5) displays a more heterogeneous pattern with symmetries for certain combinations of data models, such as (bi, u, me/ga) and (me, s, me/ga), which are mutually destructive to the task model performance. The average performance drop of the task models between train and test data models is from 0.82 to 0.8 for classification and from 0.71 to 0.65 for segmentation.

Under augmentation testing with the Common Corruptions Benchmark [43] corruptions such as Gaussian blur are applied to already processed images $\boldsymbol{v}$. (Revision#:2, Requested change #:3) Only those corruptions that can plausibly be related to the ISP were used in this comparison. Others, such as Fog, Spatter, Motion, Snow, Frost were excluded[5]. In contrast to physically faithful test data, the performance drops under corruptions are more severe across the board: from 0.82 to 0.55 for classification and from 0.71 to 0.49 for segmentation[6]. This is more than thirteen and four times as much as for the physically faithful drifts synthesized with the data models considered here. (Revision#:2, Requested change #:3.) Similarly, the conclusions for model selection diverge depending on whether physically faithful data or corruptions are used. In terms of the average performance across all test conditions, none of the top-3 ranking training data models overlap between ISP and common corruptions on the classification task. For segmentation, only one of the training data models (bi,s,ga) overlaps in the top-3 under ISP and common corruptions. Similarly, the training data models under which task models perform best in individual testing conditions vary widely between ISP and common corruptions, both for classification and segmentation. (Revision#:2, Requested change #:3.) We

[4]You can find a full description of task model hyperparameters and experimental setup in Appendix A.2.
[5]A comparative overview of included and excluded corruptions can be found in Figure 10 of Appendix A.4
[6]Results at additional severity levels for the common corruptions can be found in Appendix A.4.

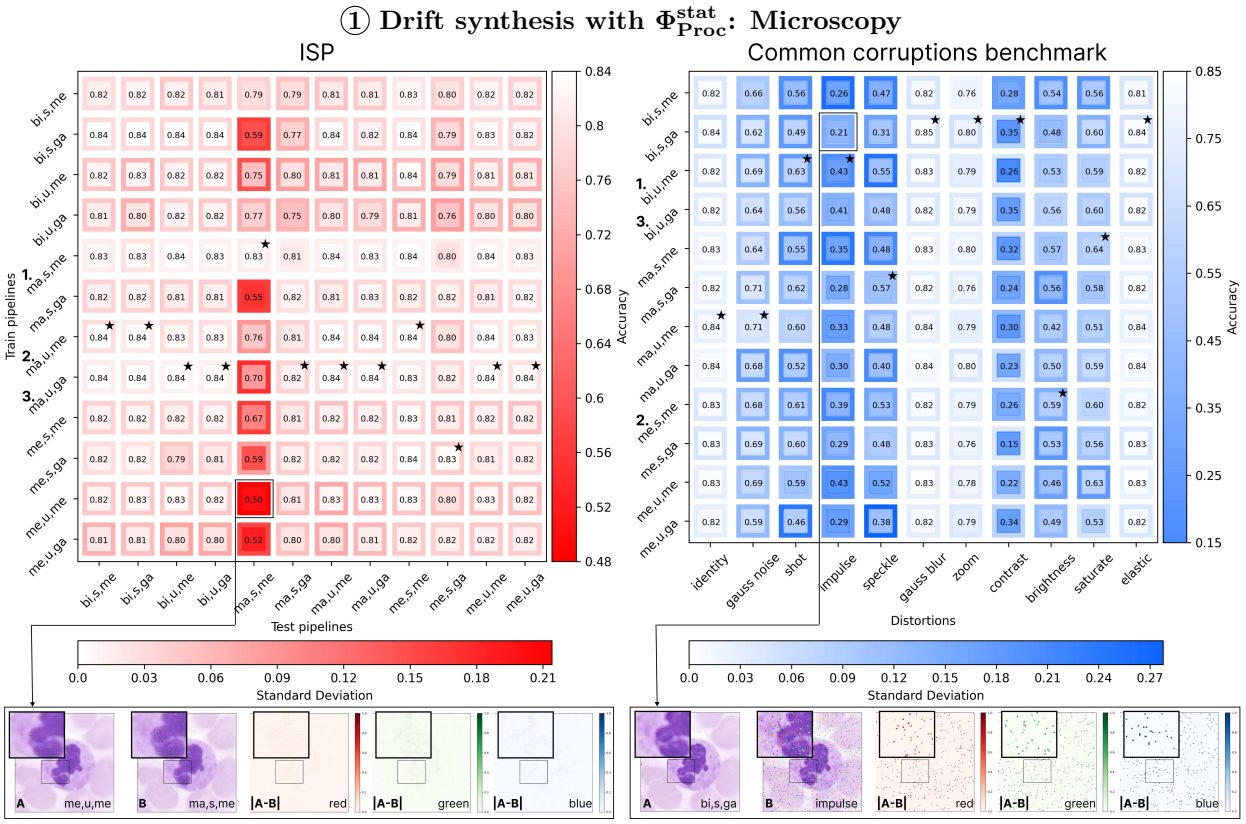


Figure 4: Top: 5-fold cross-validation results of the Raw-Microscopy drift synthesis experiments. Each cell contains the average accuracy with a color coded border for the standard deviation. Task models were trained on the data models on the vertical axis and then tested on processed data as indicated on the horizontal axis. (Revision#:2, Requested change #:3.) Numbers 1-3 left to the vertical axis denote the ranking of task models according to their average accuracy across all test pipelines respective corruptions. Stars denote the train pipeline under which the task model performed best on the respective test pipeline/corruption. Full ranking results can be found in Tables 7 to 9 of Appendix A.4. Left: Varying the data model leads to mild performance drops except (ma,s,me). Diagonal is $\mathbf{\Phi}_{\text{Proc}} = \tilde{\mathbf{\Phi}}_{\text{Proc}}$. Right: Comparison to the corruption benchmark at medium severity (level 3). The average performance drop is more than thirteen times higher compared to data model variations. First column is $\mathbf{\Phi}_{\text{Proc}} = \tilde{\mathbf{\Phi}}_{\text{Proc}}$. Bottom: Visual inspection of worst case train/test pipelines from the results in (a). Small, local changes caused by the data model induced drift lead to performance drops. Top: A Raw-Microscopy sample with (me, u, me) and (ma, s, me) data models. Bottom: A Raw-Drone sample with (ma, s, ga) and (bi, u, me) data models.

argue under such circumstances, when the conclusions we arrive at diverge between two synthetic robustness testing protocols, data models are preferable because the data generating process is physically faithful. It is transparent and explicit what steps in this process change between shift views that are used for testing, allowing extrapolation to real-world deployment environments matching these data models. As common corruptions have no metrological specification it is challenging to relate them to physically faithful data synthesis in one-to-one comparison. We do however provide a purely qualitative matching heuristic based on visual perception of the drift artifacts in Figure 10 (Appendix A.4).

The qualitative difference between physically faithful drift test cases and augmentation testing can also be appreciated in the samples of the bottom rows of Figures 4 and 5. For each task we display a sample from the drift test configuration with the worst case performance drop between train and test data conditions. We show the sample viewed from training data model (**A**), the test data model (**B**), and the difference between both (|**A-B**|) along the red, green and blue channel. For both tasks, the drift artifacts (|**A-B**|) are

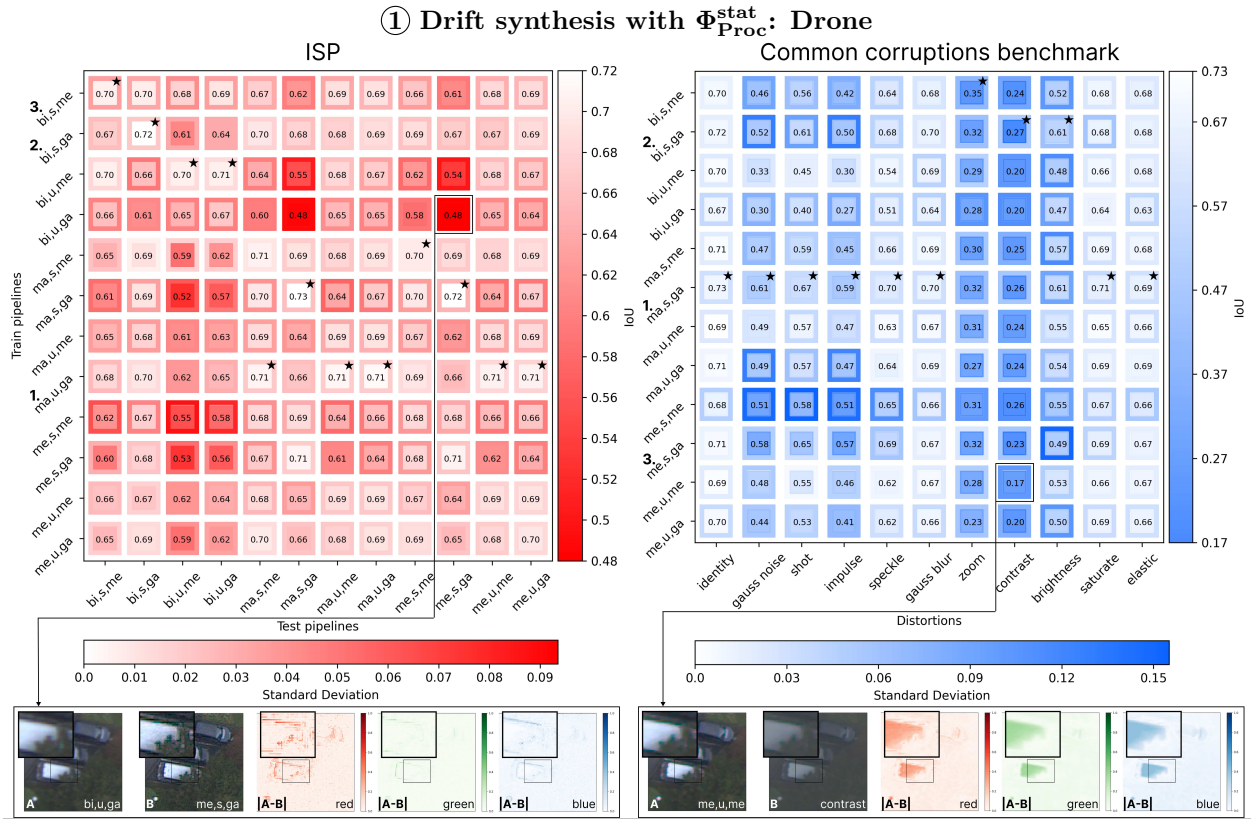


Figure 5: 5-fold cross-validation results of the Raw-Drone drift synthesis experiments. Each cell contains the average IoU with a color coded border for the standard deviation. Task models were trained on the data model on the vertical axis and then tested on processed data as indicated on the horizontal axis. (Revision#:2, Requested change #:3.) Numbers 1-3 left to the vertical axis denote the ranking of task models according to their average IoU across all test pipelines respective corruptions. Stars denote the train pipeline under which the task model performed best on the respective test pipeline/corruption. Full ranking results can be found in Tables 7, 10 and 11 of Appendix A.4. Left: Varying the data model leads to mixed performance drops. Diagonal is $\mathbf{\Phi}_{\text{Proc}} = \tilde{\mathbf{\Phi}}_{\text{Proc}}$. Right: Comparison to the corruption benchmark at medium severity (level 3). The average performance drop is more than four times higher compared to data model variations. First column is $\mathbf{\Phi}_{\text{Proc}} = \tilde{\mathbf{\Phi}}_{\text{Proc}}$.

more localized than the artifacts obtained from augmentation testing. This makes sense, as changes in the composition of the test data models $\mathbf{\Phi}_{\text{Proc}}$ maintain the physical faithfulness of the remaining data model, whereas augmentation testing spreads noise globally across all pixels which is not guaranteed to be physically faithful.

Why does physically faithful matter for dataset drift testing? A test result is only as reliable as its constituting parts. If we are to rely on robustness test results to decide whether to use a task model in a certain data environment or not, we need to ensure the test cases represent real-world data models. If the test cases are not physically faithful, the results based on them are of limited use to make decisions.

5.2 Drift forensics

Similarly, clear specification of the limitations of use is a mandated requirement for many products that can potentially contain machine learning components, such as software as a medical device [105, 106] or autonomous vehicles [182]. Without knowledge and control over the data acquisition process in practice this can be difficult to achieve. Raw data combined with a differentiable data model mitigates that challenge. $\mathbf{\Phi}^{\text{para}}_{\text{Proc}}$ enables the analysis of the task model's susceptibility to dataset drift in an interpretable manner

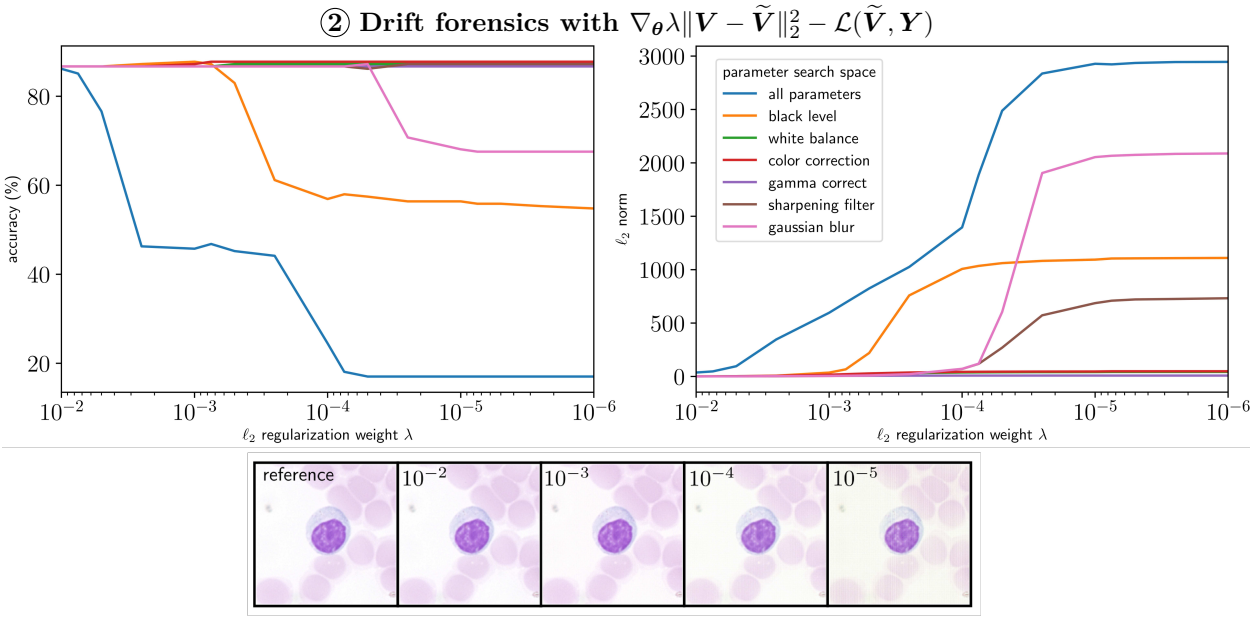


Figure 6: Top left: Test accuracy on the Raw-Microscopy test set after 20 epochs of adversarial search in the data model for varying regularization weight parameters λ. The individual plots depict the various pipeline parameter selections. Top right: Plot showing ℓ_2-norm (of processed images between the adversarially trained $\widetilde{\boldsymbol{\Phi}}^{\text{para}}_{\text{Proc}}$ and the default $\boldsymbol{\Phi}^{\text{para}}_{\text{Proc}}$) versus attained accuracy of the task model. The metrics are evaluated on the test set after 20 epochs of adversarial optimization for varying regularization weight parameter λ. The individual plots depict the various data model parameter selections. A lower regularization results in a bigger search space for adversarial optimization. Bottom: Processed samples from the drift forensics after 20 epochs with varying regularization weights λ.

using adversarial search. Related work, such as [160] also uses a differentiable raw processing pipeline to propagate the gradient information back to the raw image. There, however, the signal is used in a classical adversarial setup, to optimize adversarial noise on a per-image basis. Here, gradient updates are not applied to individual images, but to the data model parameters. The goal of such an analysis is to identify the parameter configurations of the data model under which the task model should not be operated. The resulting adjustments correspond to plausible changes which reflect changes in data model, for example due to changing camera ISPs. In order to limit the parameter ranges, we chose an explicit constraint in the RGB space.

$$\underset{\widetilde{\boldsymbol{\theta}}\in\Theta}{\text{minimize}} \quad \lambda\|\boldsymbol{V}-\widetilde{\boldsymbol{V}}\|_2^2-\mathcal{L}(\widetilde{\boldsymbol{V}},\boldsymbol{Y})\,, \tag{6}$$

where $\boldsymbol{V} = \boldsymbol{\Phi}^{\text{para}}_{\text{Proc}}(\boldsymbol{X}_{\text{RAW}},\boldsymbol{\theta})$ are the RGB images obtained from the original data model and $\widetilde{\boldsymbol{V}} = \boldsymbol{\Phi}^{\text{para}}_{\text{Proc}}(\boldsymbol{X}_{\text{RAW}},\widetilde{\boldsymbol{\theta}})$ are the RGB images obtained from adversarial search on the data model parameters. Equation (6) maximizes the classification loss under a relaxed ℓ_2-constraint controlled by the hyperparameter $\lambda \geq 0$. This procedure yields data model parameters that deteriorate the task model performance while keeping the measured distortion minimal and the within constraints of physical faithfulness. All of the pipeline's parameters are optimized jointly to search for a task model's overall data model related weaknesses. Targeting select parameters is also possible and provides insight into a parameter's effect on the task model's performance.

The plot in the top left of Figure 6 shows sensitivities of the task model accuracy to a variety of targeted parameter choices. With increased relaxation of the ℓ_2-regularization, the accuracy declines exposing configurations under which the task model deteriorates. As to be expected, the setting allowing for all parameters to be altered shows the biggest effect on the resulting performance. Individually, changes in the

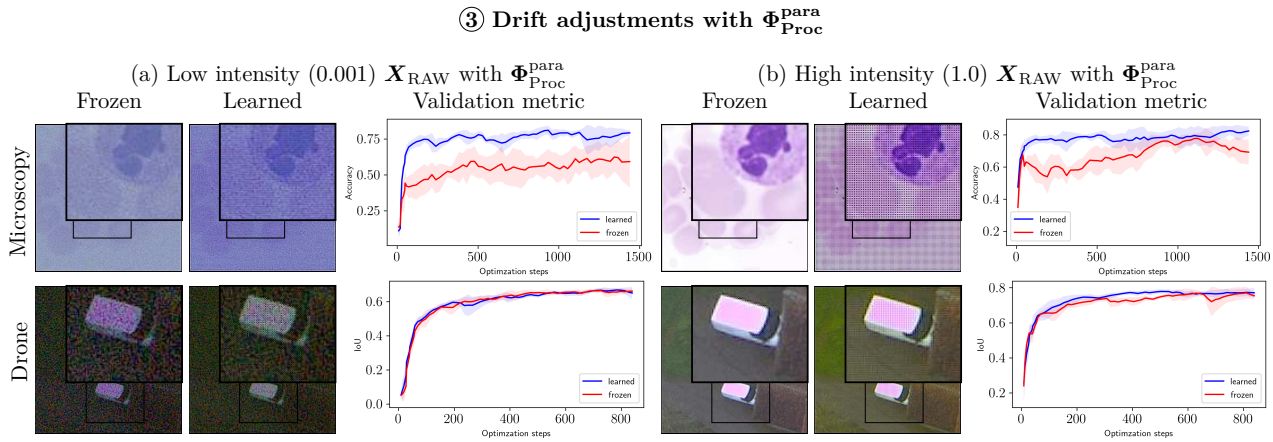


Figure 7: Low (a) and high (b) intensity images processed by a *frozen* and a *learned* pipeline. This type of drift adjustment would not be possible with processed data that is typically used for machine learning experiments. The plots in the rightmost column of each block display the mean of validation metrics over five cross validation runs. Error bars are reported as one standard deviation. Optimization step 1439 and 915 correspond to epoch 60 into training.

black level configuration Φ^{para}_{BL} and the denoising parameters Φ^{para}_{DN} pose the greatest risk for task model performance, requiring a higher relaxation weight in order to be able to affect the outcome of the task.

For comparison, the plot in the top right of Figure 6 shows the regularization weight λ against the resulting ℓ_2. Interestingly, a higher norm in the resulting RGB images does not directly translate to the most severe performance degradation of the task model. At $\ell_2 = 10^{-5}$, changes in the Gaussian blur parameters induce a norm almost twice as large as the changes in the black level parameters. However, the corresponding drop in accuracy caused by Gaussian blur is around one third less relative to black level. Similarly, at $\ell_2 = 10^{-5}$, the sharpening filter parameters incur a norm but do not lead to accuracy drops of the task model. This underscores the importance of precise data models for dataset drift validation. Physically faithful yet small changes, as visible in the samples in bottom row of Figure 6, in processed images can have larger impact on the performance than large changes.

(Revision#:1, Requested change #:2.) Here we demonstrated drift forensics on the classification task because we suspect it is the setting where forensics can be particularly useful. This is because regression models, in contrast to classification models, are less susceptible to instabilities. Classification problems are inherently discontinuous while inverse problems inherently allow for more stable solutions [183]. Additional drift forensic results on the segmentation task model with Raw-Drone data can be found in Appendix A.4.2. However, the performance drops are, as expected, less severe.

(Revision#:2, Requested change #:4.) A practical use-case of drift forensics looks follows: party A develops and trains a model and then licenses it to party B for use. Party B wants to know what the data conditions are under which the model performs well and under which conditions it should not be used. Party A runs drift forensics and provides party B with a forensic signature, as in Figure 6, detailing which parameters in the processing can be changed and which should not be touched. Party B can use this information to calibrate their data processing and knows which data settings to avoid for the specific task model.

5.3 Drift adjustments

In the previous two experiments we demonstrated how raw data and a differentiable data model can be used to identify and then modularly test for unfavorable data models that should be avoided during deployment of the machine learning task model. The same mechanics can also be exploited to adjust the task model under dataset drift. In the drift adjustment setting, the gradient from the task model $\boldsymbol{\Phi}_{\text{Task}}$ is propagated into the data model $\boldsymbol{\Phi}_{\text{Proc}}$ to jointly optimize both of them.

In the drift adjustment experiment, a parametrized data model $\mathbf{\Phi}^{\text{para}}_{\text{Proc}}$ is paired with a task model. As a form of drift, the task model is trained with very *low intensity* (0.001) raw data $\boldsymbol{x}_{\text{RAW}}$ that is being processed through $\mathbf{\Phi}^{\text{para}}_{\text{Proc}}$. In the *learned* setting, the data model parameters are jointly optimized with the task model parameters. In the *frozen* setting, only the task model parameters are optimized and the data model parameters are kept fixed[7].

In the left column (a) of Figure 7 these two scenarios are compared. The *learned* data model is better able to accommodate the dataset drift as visible in the improved stability of the learning trajectory. This is indicated by the blue line which displays the validation accuracy against optimization steps for the first half of training (step 1439 corresponds to epoch 60). It exceeds that of the *frozen* data model (red line) by up to 25 percentage points in accuracy at a lower variance. In fact, the processed image from a *learned* data model (see *learned* column in block (a) of Figure 7 for an example) can contain visible artifacts that *aid* stability and generalization vis-a-vis the image from the *frozen* baseline data model which, arguably, looks cleaner to the human eye. A possible explanation for the improved learning trajectory could be that a varying processing pipeline automatically generates samples akin to data augmentation. Such uses could further be explored in scarce data settings like fine tuning, semi-supervised or few-shot learning. Having gradient access to the data model thus offers the opportunity to optimize data generation itself for a given machine learning task.

For the segmentation task (bottom row Figure 7) the stabilization effect is not observable. This could be due to the low resolution of the problem itself as the processing may not have a large effect on enhancing the solid blocks of cars in the raw data as well as evidence suggesting that inverse problems are inherently less unstable [183].

Similar outcomes for stability and artifacts can also be observed for the reverse situation (high intensity 1.0 $\boldsymbol{x}_{\text{RAW}}$) in the right column (b) of Figure 7. (Revision#:1, Requested change #:2.) We demonstrated how parametrized data models can be used to control drift under physically faithful constraints. Going beyond physically faithful drift controls, an interesting future extensions to these experiments includes training directly on raw data to optimize task model performance. Additional results illustrating two learning trajectories in this setting can be found in Appendix A.4.3.

6 Discussion

The main message we hope to to convey in this manuscript is this: black-box data models for images do not have to be the norm in machine learning research and engineering. Leveraging established knowledge from physical optics enables us to push the modelling goalpost further towards machine learning's core ingredient: the data. Paired with raw data, precise differentiable data models for images allow for advanced controls of dataset drift, a common and far reaching challenge across many machine learning disciplines. Interesting uses beyond robustness validation in areas of machine learning that are held back by black-box data also appear opportune.

Drift synthesis allows the physically faithful synthesis of drift test cases. In contrast to augmentation testing, the performance drops for physically faithful test cases are less severe across the board for both uses cases in our experiments. The difference between physically faithful and augmentation drift test cases can also be appreciated qualitatively where the former maintains the noise structure of the data model composition while the latter spreads noise globally across all pixels which is not guaranteed to adhere to real-world measurements and their processing. A plausible practical application scenario of drift synthesis for machine learning researchers and practitioners is the prospective validation of their task model to drift from different camera devices, for example microscopes across different lab sites or autonomous vehicles, without having to collect measurements from the different devices. Drift synthesis could also be interesting for other application domains that rely on data synthesis (semi- [88–90] and self-supervised learning [91, 92]) or on precise data models (aleatoric uncertainty quantification [72–82], out-of-distribution detection [34, 83–87]). While we cross-validated a substantial number of data model variations in our experiments, it should be noted that further variations, for example by reordering or adding steps, are possible. Furthermore, it should not be

[7]The initialization of $\mathbf{\Phi}^{\text{para}}_{\text{Proc}}$ (both *frozen* and *learned*) is set to standard values which can be found in Appendix A.1 as well as in `pipeline_torch.py` of the code.

overlooked that dataset drift can also be caused by factors outside the ISP data model, for example the optical components of a camera. Our current data models are not yet capable of capturing factors that go beyond the ISP. Integrating work from lens manufacturing [150] to expand the reach explicit data models offers a promising next step for drift synthesis.

Drift forensics allow the precise specification of data model limitations of use for a given machine learning task model. Data models under which the task model should not be operated can be identified by gradient search and then documented. In our demonstration, the setting allowing for all parameters to be altered shows the biggest effect on the resulting performance. Individually, changes in the black level configuration and the denoising parameters pose the greatest risk for performance of the task model at hand. Interestingly, a higher norm in the resulting RGB images does not directly translate to the most severe performance degradation of the task model. This underscores the importance of precise data models for dataset drift validation. In practice, clear specification of the limitations of use is a mandated requirement for many products that can potentially contain machine learning components, such as software as a medical device [105, 106] or autonomous vehicles [182]. Drift forensics with explicit data models can help to align machine learning and data engineering with such regulatory constraints. Explicit data models combined with gradient search may also be interesting to explore in areas such as formal model verification [55–71] to obtain tighter error bounds. A caveat to be noted is that our experiments were carried out only under an ℓ_2-constraint. Other constraints are feasible, depending on the particular use case to be analyzed, and can be plugged into our code[8]

We also showed how differentiable data models can be used for drift adjustments where the data model parameters are jointly optimized with the task model parameters. It leads to improved stability of the learning trajectory on the classification task in both directions (low and high intensity measurements). Interestingly, the processed image from a *learned* data model can contain visible artifacts that *aid* stability and generalization vis-a-vis the image from the *frozen* baseline data model which arguably looks cleaner to the human eye. In practice, the extension of the gradient connection from the task model $\mathbf{\Phi}_{\text{Task}}$ to the data model $\mathbf{\Phi}_{\text{Proc}}$ enables the extension of machine learning right into the data generating process. Thus, data generation itself can be optimized to best suit the task model at hand. Furthermore, the stabilization effect could prove useful for learning problems where training is costly and speedup precious (for example large models or large datasets). This capacity could also be exploited in other areas that deal with heterogenous training or deployment environments, such as different clients in federated learning [97–99] or domain adaptation techniques [184]. However, the above drift adjustment benefits could only be observed for the classification task, not the regression task, possibly due to the low resolution of the segmentation problem. How far we can push the gradient into the real world is an interesting future direction for data modelling. Including more parts of the data acquisition hardware into the data model and consequently the machine learning optimization pipeline appears feasible [185] and represents an important next step in aligning machine learning with real world data infrastructures.

Finally, raw data, which is already routinely used in optical industries [125–130], for representative machine learning tasks has to become more accessible to researchers to align robustness research with physically faithful data models and infrastructures. (Revision#:1, Requested change #:1.) While most optical imaging devices support the extraction of raw data and this procedure is well established in industry and physics, data collection procedures for machine learning robustness research still have to catch up in order to make raw datasets and their benefits more widely available. Norms around established benchmarking datasets of processed images, such as CIFAR or ImageNet, can slow down this progress. To that end, we collected and publicly release two raw image datasets in the camera sensor state. Granted, the size of Raw-Microscopy and Raw-Drone is still limited because data collection is expensive in both time and money. Better APIs to optical hardware would allow more researchers and industries to make their raw data accessible.

Use of Personal Data and Human Subjects The microscopy slides were purchased from a commercial lab vendor (J. Lieder GmbH & Co. KG, Ludwigsburg/Germany) who attained consent. The drone dataset does not directly relate to people. Instances with potential PIIs such as faces or license plates were removed. Full datasheet documentation following [172] can be found in Appendix A.5.

[8] Argument `args.adv_aux_loss` in `train.py`

Negative Societal Impact Machine learning risk management, such as the drift controls, can make ML deployment possible and safer. More deployment translates to increases in automation. A net risk-benefit analysis of automation is beyond the scope of this manuscript. What we do know is that steel can be cast into ploughs and swords. We are against the use of our findings for the latter purpose.

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A Appendices

A.1 Data model samples and initialization

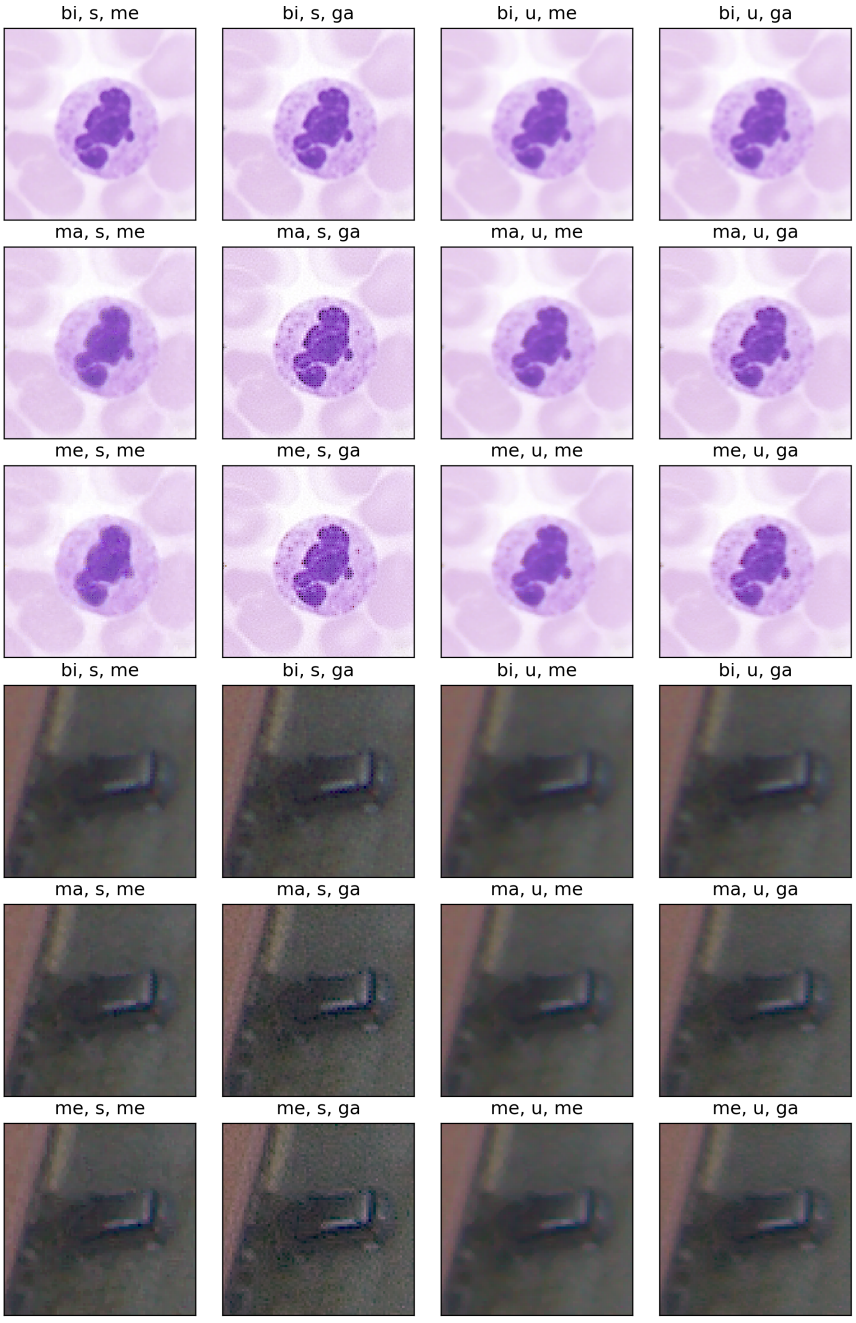

Figure 8: Samples for both datasets, Raw-Microscopy and Raw-Drone, from all twelve pipelines used in the drift synthesis experiments. The legend for abbreviations can be found in Figure 3b.

The following values were used to initialize the parametrized pipeline (both "Frozen" and "Learned") in experiment Section 5.3:

```
class ParametrizedProcessing(nn.Module):
    """Differentiable processing pipeline via torch transformations

    Args:
        camera_parameters (tuple(list), optional): applies given camera parameters in processing
        track_stages (bool, optional): whether or not to retain intermediary steps in processing
        batch_norm_output (bool, optional): adds a BatchNorm layer to the end of the processing
    """

    def __init__(self, camera_parameters=None, track_stages=False, batch_norm_output=True):
        super().__init__()
        self.stages = None
        self.buffer = None
        self.track_stages = track_stages

        if camera_parameters is None:
            camera_parameters = DEFAULT_CAMERA_PARAMS

        black_level, white_balance, colour_matrix = camera_parameters

        self.black_level = nn.Parameter(torch.as_tensor(black_level))
        self.white_balance = nn.Parameter(torch.as_tensor(white_balance).reshape(1, 3))
        self.colour_correction = nn.Parameter(torch.as_tensor(colour_matrix).reshape(3, 3))

        self.gamma_correct = nn.Parameter(torch.Tensor([2.2]))

        self.debayer = Debayer()

        self.sharpening_filter = nn.Conv2d(1, 1, kernel_size=3, padding=1, bias=False)
        self.sharpening_filter.weight.data[0][0] = K_SHARP.clone()

        self.gaussian_blur = nn.Conv2d(1, 1, kernel_size=5, padding=2, padding_mode='reflect', bias=False)
        self.gaussian_blur.weight.data[0][0] = K_BLUR.clone()

        self.batch_norm = nn.BatchNorm2d(3, affine=False) if batch_norm_output else None

        self.register_buffer('M_RGB_2_YUV', M_RGB_2_YUV.clone())
        self.register_buffer('M_YUV_2_RGB', M_YUV_2_RGB.clone())

        self.additive_layer = None
```

where

```
K_G = torch.Tensor([[0, 1, 0],
                    [1, 4, 1],
                    [0, 1, 0]]) / 4

K_RB = torch.Tensor([[1, 2, 1],
                     [2, 4, 2],
                     [1, 2, 1]]) / 4

M_RGB_2_YUV = torch.Tensor([[0.299, 0.587, 0.114],
                            [-0.14714119, -0.28886916, 0.43601035],
                            [0.61497538, -0.51496512, -0.10001026]])
M_YUV_2_RGB = torch.Tensor([[1.0000000000e+00, -4.1827794561e-09, 1.1398830414e+00],
                            [1.0000000000e+00, -3.9464232326e-01, -5.8062183857e-01],
                            [1.0000000000e+00, 2.0320618153e+00, -1.2232658220e-09]])

K_BLUR = torch.Tensor([[6.9625e-08, 2.8089e-05, 2.0755e-04, 2.8089e-05, 6.9625e-08],
                       [2.8089e-05, 1.1332e-02, 8.3731e-02, 1.1332e-02, 2.8089e-05],
                       [2.0755e-04, 8.3731e-02, 6.1869e-01, 8.3731e-02, 2.0755e-04],
```

```
                        [2.8089e-05, 1.1332e-02, 8.3731e-02, 1.1332e-02, 2.8089e-05],
                        [6.9625e-08, 2.8089e-05, 2.0755e-04, 2.8089e-05, 6.9625e-08]])
K_SHARP = torch.Tensor([[0, -1, 0],
                        [-1, 5, -1],
                        [0, -1, 0]])
DEFAULT_CAMERA_PARAMS = (
    [0., 0., 0., 0.],
    [1., 1., 1.],
    [1., 0., 0., 0., 1., 0., 0., 0., 1.],
)
```

Note that the camera parameters are camera, and conversely in our case dataset, dependent and defined in the dataset classes.

A.2 Description of the task models Φ_{Task}

ResNet18 This model is designed to classify images from ImageNet [186] and has therefore an output dimension of 1000. In order to use the model to classify images from Raw-Microscopy, we changed the output dimension of the fully-connected layer to nine. The model was trained for 100 epochs using pre-trained ResNet features. Hyperparameters were kept constant across all runs to isolate the effect of varying image processing pipelines. For implementation the code provided at `https://pytorch.org/hub/pytorch_vision_resnet/` was used. The model consists of 34 layers with approximately 11.2 million trainable parameters. The storage size of the model is 44.725 MB.

U-Net++ The model was trained for 100 epochs using pretrained ResNet features as the encoder of the U-Net++. Hyperparameters were kept constant across all runs to isolate the effect of varying image processing pipelines. For implementation we used the code provided at `https://github.com/qubvel/segmentation_models.pytorch`. The model has approximately 26.1 million trainable parameters. The storage size of the model is 104.315 MB.

For a summary of the training procedure see Table 2.

	Classification	**Segmentation**
Φ_{Task}	ResNet18 based on [180] trained with Adam [187] for 100 epochs learning rate: 10^{-4} mini-batch size: 128	U-Net++ based on [181] trained with Adam for 100 epochs learning rate: $7.5 \cdot 10^{-5}$ mini-batch size: 12

Table 2: Summary of the training procedure for both task models.

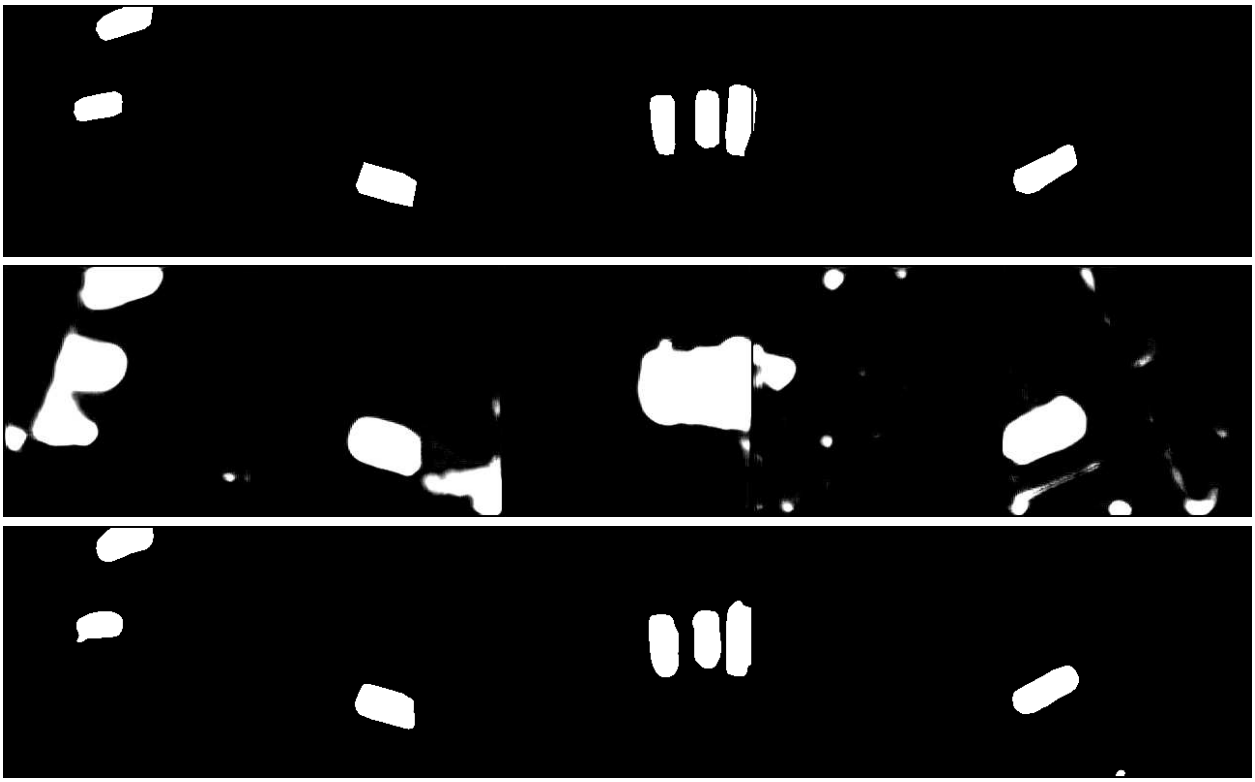

Table 3: A set of random test samples for the segmentation task under learned processing. Top row: Targets, middle row: predictions of the task model after the first epoch, last row: predictions of the task model after the last epoch.

A.3 Dataset information

In the following, core information on the two acquired datasets is provided. In Appendix A.5 you can also find detailed datasheets for both datasets, following the documentation good practices introduced by [172].

A.3.1 Raw-Microscopy

Raw-Microscopy for segmentation comes with 940 raw images, twelve differently processed variants totaling 11280 images and six additional raw intensity levels totaling 5640 samples.

Class	**Proportion in %**
Basophil (BAS)	1.91
Eosinophil (EOS)	5.74
Smudge cell / debris (KSC)	17.34
atypical Lymphocyte (LYA)	3.19
typical Lymphocyte (LYT)	24.47
Monocyte (MON)	20.32
Neutrophil (band) (NGB)	0.85
Neutrophil (segmented) (NGS)	22.98
Image that could not be assigned a class (UNC)	3.19

Table 4: The proportion of the classes in Raw-Microscopy.

Composition of Raw-Microscopy	
Type of instances	Image and label
Objects on images	White blood cells
Type of classes	Morphological classes
Number of instances	940
Number of classes	9
Image size	256 by 256 pixels
Image format	`.tif`
Raw image format	Please see Section 4.1

Table 5: A summary of the composition of Raw-Microscopy.

A.3.2 Raw-Drone

Raw-Drone for segmentation comes with 548 raw images, twelve differently processed variants totaling 6576 images and six additional raw intensity levels totaling 3288 samples.

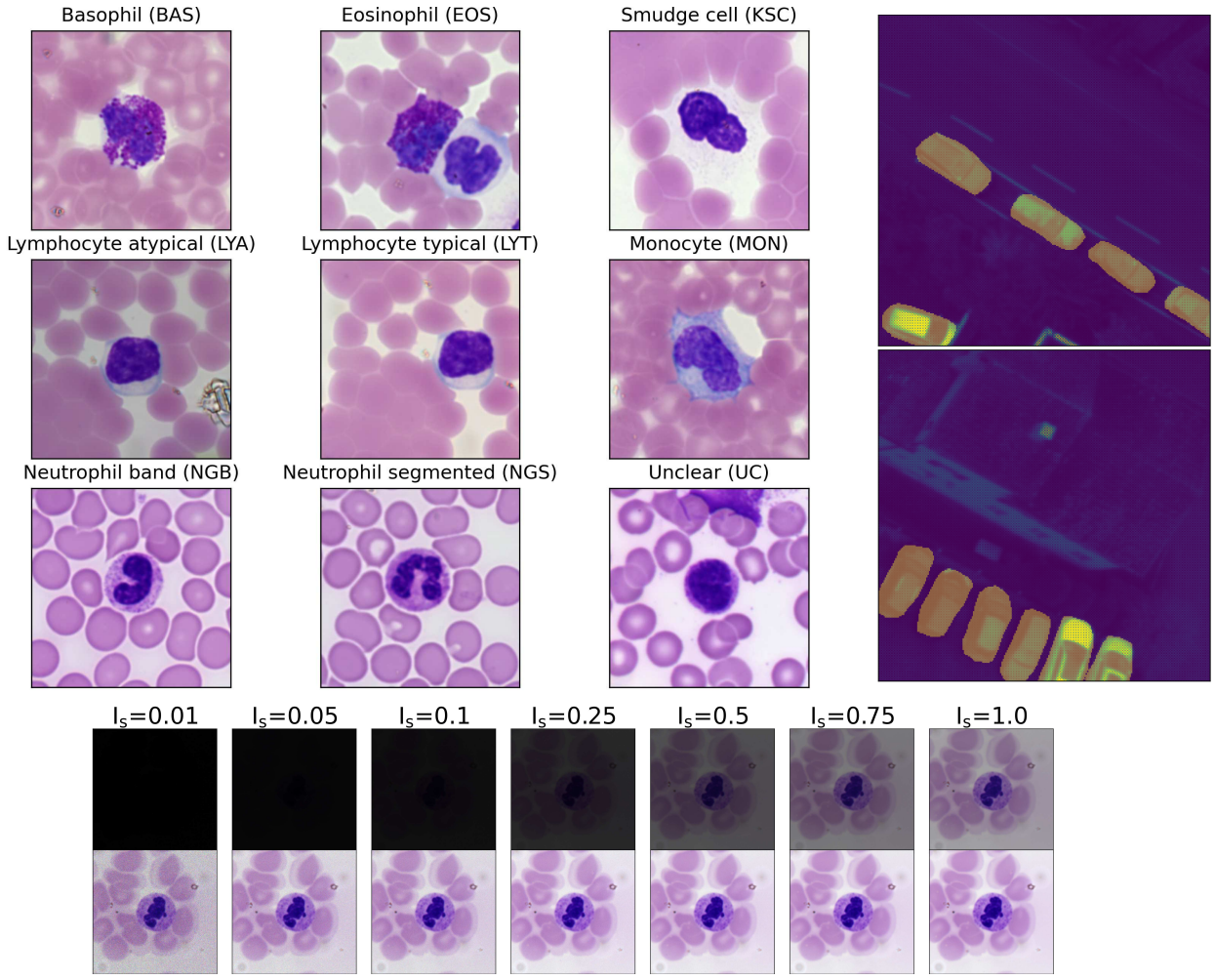

Figure 9: Datasets visualization. (Top-left) RGB raw microscopy classes are shown. (Top-right) Drone raw images are shown with the segmentation mask applied over it. (Bottom) Different intensity realizations are shown for the microscopy case. Images on the top are directly print out in the same scale of the original image. Images in the bottom row are normalized on their own min and max values to highlight the role of noise levels on low intensity images.

Composition of Raw-Drone	
Type of instances	Image and mask
Objects on images	Landscape shots from above
Number of instances	548
Number of original images	12
Image size	256 by 256 pixels
Mask size	256 by 256 pixels
Original image size	3648 by 5472
Image format	`.tif`
Mask format	`.png`
Raw image format	`.DNG`

Table 6: A summary of the composition of Raw-Drone.

A.4 Additional results

A.4.1 Drift synthesis

(Revision#:2, Requested change #:3. (see revision tracker)) Relative ranking results requested by reviewer Yyad.

	Microscopy-ISP		Microscopy-CC		Drone-ISP		Drone-CC	
Rank	Train pipeline	Avg. score	Train pipeline	Avg. score	Train pipeline	Avg. score	Train pipeline	Avg. score
1	ma,s,me	0.83	bi,u,me	0.63	ma,u,ga	0.68	ma,s,ga	0.60
2	ma,u,me	0.83	me,s,me	0.63	bi,s,ga	0.68	bi,s,ga	0.57
3	ma,u,ga	0.82	bi,u,ga	0.62	bi,s,me	0.67	me,s,ga	0.57
4	bi,s,me	0.81	ma,s,me	0.62	ma,s,me	0.67	ma,s,me	0.55
5	bi,u,me	0.81	me,u,me	0.62	me,u,ga	0.67	me,s,me	0.55
6	me,s,me	0.81	ma,s,ga	0.62	me,u,me	0.67	ma,u,ga	0.55
7	bi,s,ga	0.81	ma,u,me	0.61	ma,u,me	0.66	bi,s,me	0.54
8	me,s,ga	0.80	me,s,ga	0.60	ma,s,ga	0.66	ma,u,me	0.54
9	me,u,me	0.80	bi,s,me	0.59	bi,u,me	0.65	me,u,me	0.53
10	ma,s,ga	0.80	ma,u,ga	0.59	me,s,me	0.65	me,u,ga	0.51
11	bi,u,ga	0.79	bi,s,ga	0.58	me,s,ga	0.64	bi,u,me	0.48
12	me,u,ga	0.79	me,u,ga	0.58	bi,u,ga	0.61	bi,u,ga	0.46

Table 7: Rankings of task models from Section 5.1 trained on different data models (columns 2, 4, 6, 8) according to their average accuracy or IoU (columns 3, 5, 7, 9) across all test pipelines respective corruptions. ISP corresponds to drift synthesis with physically faithful data models, CC corresponds to common corruptions.

	Microscopy-ISP											
Rank	bi,s,me	bi,s,ga	bi,u,me	bi,u,ga	ma,s,me	ma,s,ga	ma,u,me	ma,u,ga	me,s,me	me,s,ga	me,u,me	me,u,ga
1	ma,u,me	ma,u,me	ma,u,ga	ma,u,ga	ma,s,me	ma,u,ga	ma,u,ga	ma,u,ga	ma,u,me	me,s,ga	ma,u,ga	ma,u,ga
2	ma,u,ga	ma,u,ga	bi,s,ga	bi,s,ga	bi,s,me	me,s,ga	ma,s,me	ma,u,me	ma,s,me	ma,u,ga	ma,u,me	ma,u,me
3	bi,s,ga	bi,s,ga	ma,s,me	ma,s,me	bi,u,ga	ma,s,ga	ma,u,me	ma,s,me	bi,s,ga	ma,s,ga	ma,s,me	ma,s,me
4	ma,s,me	ma,s,me	ma,u,me	ma,u,me	ma,u,me	ma,s,me	bi,s,ga	me,u,me	me,s,ga	me,u,ga	me,u,me	me,u,me
5	bi,s,me	bi,u,me	me,u,me	me,u,me	bi,u,me	ma,u,me	me,u,me	ma,s,ga	bi,u,me	me,s,me	bi,s,ga	bi,s,ga
6	bi,u,me	me,u,me	bi,u,me	bi,u,me	ma,u,ga	me,s,me	me,s,ga	bi,s,ga	ma,u,ga	ma,u,me	me,u,ga	me,u,ga
7	me,s,me	bi,s,me	bi,s,me	me,s,me	me,s,me	me,u,me	me,s,me	me,s,ga	me,u,me	ma,s,me	me,s,me	me,s,me
8	me,s,ga	me,s,me	me,s,me	bi,u,ga	bi,s,ga	bi,u,me	ma,s,ga	me,s,me	me,s,me	me,u,me	bi,s,me	bi,s,me
9	me,u,me	me,s,ga	bi,u,ga	bi,s,me	me,s,ga	me,u,ga	bi,u,me	bi,u,me	bi,s,me	bi,s,me	me,s,ga	me,s,ga
10	ma,s,ga	ma,s,ga	ma,s,ga	ma,s,ga	ma,s,ga	bi,s,me	bi,s,me	bi,s,me	ma,s,ga	bi,s,ga	ma,s,ga	ma,s,ga
11	bi,u,ga	me,u,ga	me,u,ga	me,s,ga	me,u,ga	bi,s,ga	me,u,ga	me,u,ga	me,u,ga	bi,u,me	bi,u,me	bi,u,me
12	me,u,ga	bi,u,ga	me,s,ga	me,u,ga	me,u,me	bi,u,ga	bi,u,ga	bi,u,ga	bi,u,ga	bi,u,ga	bi,u,ga	bi,u,ga

Table 8: Ranking of task models from Section 5.1 trained under different train pipelines (rows) for each individual test pipeline (columns 2 - 13).

	Microscopy-CC										
Rank	identity	gauss noise	shot	impulse	speckle	gauss blur	zoom	contrast	brightness	saturate	elastic
1	ma,u,me	ma,u,me	bi,u,me	bi,u,me	ma,s,ga	bi,s,ga	bi,s,ga	bi,s,ga	me,s,me	ma,s,me	bi,s,ga
2	ma,u,ga	ma,s,ga	ma,s,ga	me,u,me	bi,u,me	ma,u,me	ma,u,ga	bi,u,ga	ma,s,me	me,u,me	ma,u,ga
3	bi,s,ga	me,u,me	me,s,me	bi,u,ga	me,s,me	ma,u,ga	ma,s,me	me,u,ga	bi,u,ga	me,s,me	ma,u,me
4	me,s,me	me,s,ga	ma,u,me	me,s,me	me,u,me	bi,u,me	ma,u,me	ma,s,me	ma,s,ga	bi,u,ga	ma,s,me
5	ma,s,me	bi,u,me	me,s,ga	ma,s,me	bi,u,ga	me,u,me	bi,u,me	ma,u,me	bi,s,me	bi,s,ga	me,u,me
6	me,u,me	ma,u,ga	me,u,me	ma,u,me	ma,s,me	ma,s,me	me,s,me	bi,s,me	bi,u,me	bi,u,me	me,s,ga
7	me,s,ga	me,s,me	bi,s,me	ma,u,ga	ma,u,me	me,s,ga	bi,u,ga	bi,u,me	me,s,ga	ma,u,ga	me,s,me
8	bi,u,me	bi,s,me	bi,u,ga	me,s,ga	me,s,ga	ma,s,ga	me,u,ga	me,s,me	ma,u,ga	ma,s,ga	bi,u,ga
9	bi,u,ga	ma,s,me	ma,s,me	me,u,ga	bi,s,me	me,s,me	me,u,me	ma,s,ga	me,u,ga	bi,s,me	bi,u,me
10	ma,s,ga	bi,u,ga	ma,u,ga	ma,s,ga	ma,u,ga	bi,u,ga	me,s,ga	ma,u,ga	bi,s,ga	me,s,ga	ma,s,ga
11	bi,s,me	bi,s,ga	bi,s,ga	bi,s,me	me,u,ga	bi,s,me	ma,s,ga	me,u,me	me,u,me	me,u,ga	me,u,ga
12	me,u,ga	me,u,ga	me,u,ga	bi,s,ga	bi,s,ga	me,u,ga	bi,s,me	me,s,ga	ma,u,me	ma,u,me	bi,s,me

Table 9: Ranking of task models from Section 5.1 trained under different train pipelines (rows) for each individual test corruptions (columns 2 - 12).

(Revision#:1, Requested change #:1.) Additional results for reviewer jubj

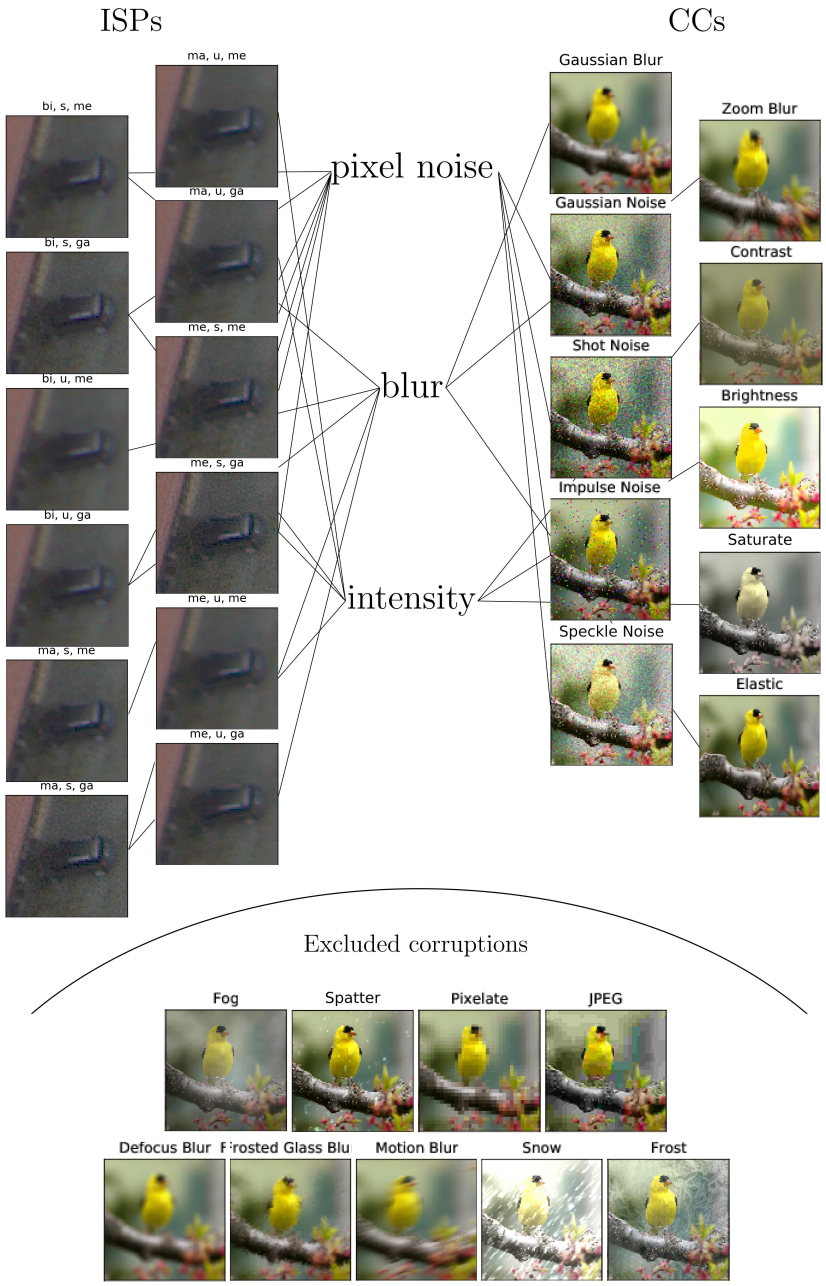

Figure 10: (Revision#:2, Requested change #:3. (see revision tracker) A comparative overview of the physically faithful data models (ISPs, top-left) and the Common Corruptions (CC, top-right) used in the the drift synthesis experiments of Section 5.1. A matching heuristic based on possible visual perception of the drift artifacts (top-middle) is provided for readers who would like to relate specific data models to specific corruptions. However, we emphasize that this is a *purely qualitative heuristic* and has no metrological basis. Since CCs are not physically faithful it is not clear how to relate them to actual variations in the optical data generating process. Finally, corruptions that were excluded from the experiments in Section 5.1 are displayed (bottom). The CC examples where stitched from the original paper [188] for authenticity.

	Drone-ISP											
Rank	bi,s,me	bi,s,ga	bi,u,me	bi,u,ga	ma,s,me	ma,s,ga	ma,u,me	ma,u,ga	me,s,me	me,s,ga	me,u,me	me,u,ga
1	bi,s,me	bi,s,ga	bi,u,me	bi,u,me	ma,u,ga	ma,s,ga	ma,u,ga	ma,u,ga	ma,s,me	ma,s,ga	ma,u,ga	ma,u,ga
2	bi,u,me	bi,s,me	bi,s,me	bi,s,me	ma,s,me	me,s,ga	me,u,me	me,u,me	ma,s,ga	me,s,ga	me,u,me	me,u,ga
3	ma,u,ga	ma,u,ga	bi,u,ga	bi,u,ga	bi,s,ga	ma,s,me	ma,u,me	ma,u,me	ma,u,ga	ma,s,me	ma,s,me	me,u,me
4	bi,s,ga	ma,s,me	ma,u,ga	ma,u,ga	me,u,ga	me,s,me	bi,s,me	bi,s,me	bi,s,ga	me,s,me	me,u,ga	ma,s,me
5	me,u,me	me,u,ga	me,u,me	me,u,me	ma,s,ga	bi,s,ga	ma,s,me	ma,s,me	me,u,ga	bi,s,ga	ma,u,me	ma,u,me
6	bi,u,ga	ma,s,ga	bi,s,ga	bi,s,ga	ma,u,me	ma,u,ga	bi,s,ga	bi,s,ga	me,s,me	ma,u,ga	bi,s,me	bi,s,me
7	ma,s,me	ma,u,me	ma,u,me	ma,u,me	me,u,me	me,u,ga	me,u,ga	me,u,ga	me,s,ga	me,u,ga	bi,u,me	bi,s,ga
8	me,u,ga	me,s,ga	ma,s,me	ma,s,me	me,s,me	me,u,me	bi,u,me	bi,u,me	me,u,me	me,u,me	bi,s,ga	bi,u,me
9	ma,u,me	me,u,me	me,u,ga	me,u,ga	bi,s,me	ma,u,me	bi,u,ga	ma,s,ga	ma,u,me	ma,u,me	me,s,me	ma,s,ga
10	me,s,me	me,s,me	me,s,me	me,s,me	me,s,ga	bi,s,me	ma,s,ga	me,s,me	bi,s,me	bi,s,me	bi,u,ga	me,s,me
11	ma,s,ga	bi,u,me	me,s,ga	ma,s,ga	bi,u,me	bi,u,me	me,s,me	bi,u,ga	bi,u,me	bi,u,me	ma,s,ga	bi,u,ga
12	me,s,ga	bi,u,ga	ma,s,ga	me,s,ga	bi,u,ga	bi,u,ga	me,s,ga	me,s,ga	bi,u,ga	bi,u,ga	me,s,ga	me,s,ga

Table 10: Ranking of task models from Section 5.1 trained under different train pipelines (rows) for each individual test pipeline (columns 2 - 13).

	Drone-CC										
Rank	identity	gauss noise	shot	impulse	speckle	gauss blur	zoom	contrast	brightness	saturate	elastic
1	ma,s,ga	ma,s,ga	ma,s,ga	ma,s,ga	ma,s,ga	ma,s,ga	bi,s,me	bi,s,ga	bi,s,ga	ma,s,ga	ma,s,ga
2	bi,s,ga	me,s,ga	me,s,ga	me,s,ga	me,s,ga	bi,s,ga	ma,s,ga	ma,s,ga	ma,s,ga	ma,s,me	ma,u,ga
3	me,s,ga	bi,s,ga	bi,s,ga	me,s,me	bi,s,ga	ma,s,me	bi,s,ga	me,s,me	ma,s,me	ma,u,ga	ma,s,me
4	ma,s,me	me,s,me	ma,s,me	bi,s,ga	ma,s,me	ma,u,ga	me,s,ga	ma,s,me	me,s,me	me,u,ga	bi,s,ga
5	ma,u,ga	ma,u,ga	me,s,me	ma,u,ga	me,s,me	bi,u,me	ma,u,me	bi,s,me	ma,u,me	me,s,ga	bi,s,me
6	bi,s,me	ma,u,me	ma,u,ga	ma,u,me	ma,u,ga	bi,s,me	me,s,me	ma,u,me	ma,u,ga	bi,s,ga	bi,u,me
7	me,u,ga	me,u,me	ma,u,me	me,u,me	bi,s,me	me,s,ga	ma,s,me	ma,u,ga	me,u,me	bi,s,me	me,s,ga
8	bi,u,me	ma,s,me	bi,s,me	ma,s,me	ma,u,me	ma,u,me	bi,u,me	me,s,ga	bi,s,me	me,s,me	me,u,me
9	ma,u,me	bi,s,me	me,u,me	bi,s,me	me,u,me	me,u,me	me,u,me	me,u,me	me,u,ga	me,u,me	me,u,ga
10	me,u,me	me,u,ga	me,u,ga	me,u,ga	me,u,ga	me,s,me	bi,u,ga	bi,u,ga	me,s,ga	bi,u,me	me,s,me
11	me,s,me	bi,u,me	bi,u,me	bi,u,me	bi,u,me	me,u,ga	ma,u,ga	me,u,ga	bi,u,me	ma,u,me	ma,u,me
12	bi,u,ga	bi,u,ga	bi,u,ga	bi,u,ga	bi,u,ga	bi,u,ga	me,u,ga	me,u,me	bi,u,ga	bi,u,ga	bi,u,ga

Table 11: Ranking of task models from Section 5.1 trained under different train pipelines (rows) for each individual test corruptions (columns 2 - 12).

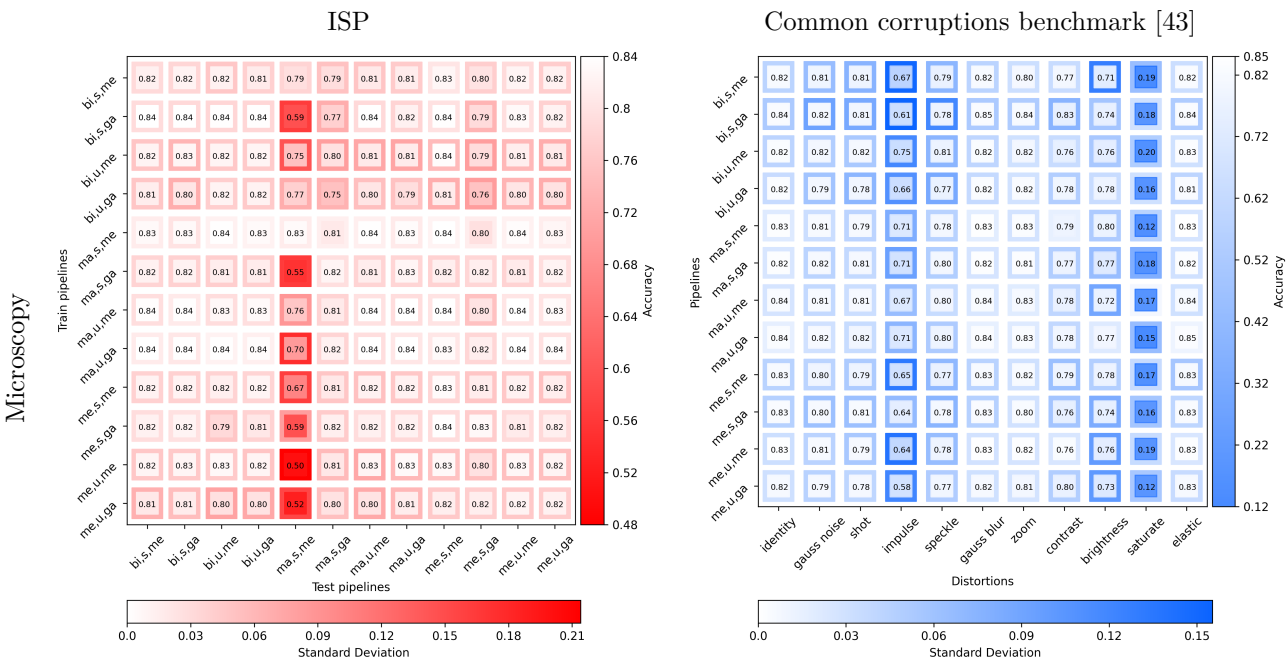

Figure 11: Experiment from Section 5.1 with weak severity (level 1) for the Common corruptions benchmark.

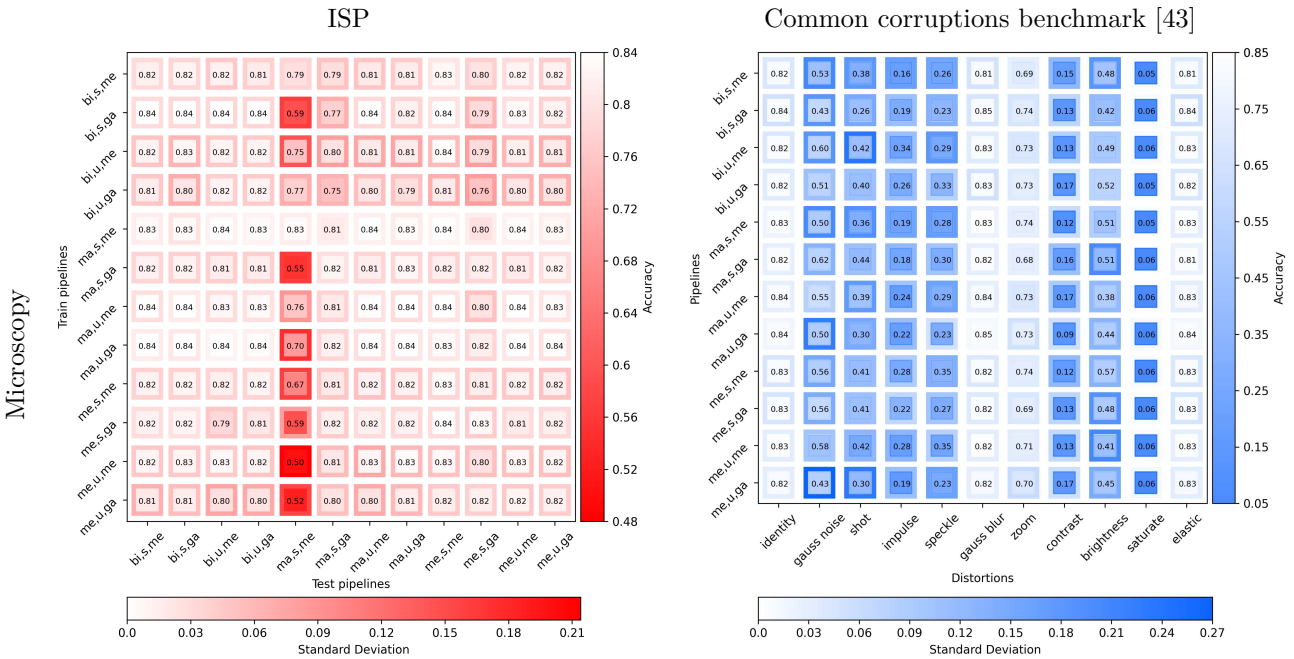

Figure 12: Experiment from Section 5.1 with strong severity (level 5) for the Common corruptions benchmark.

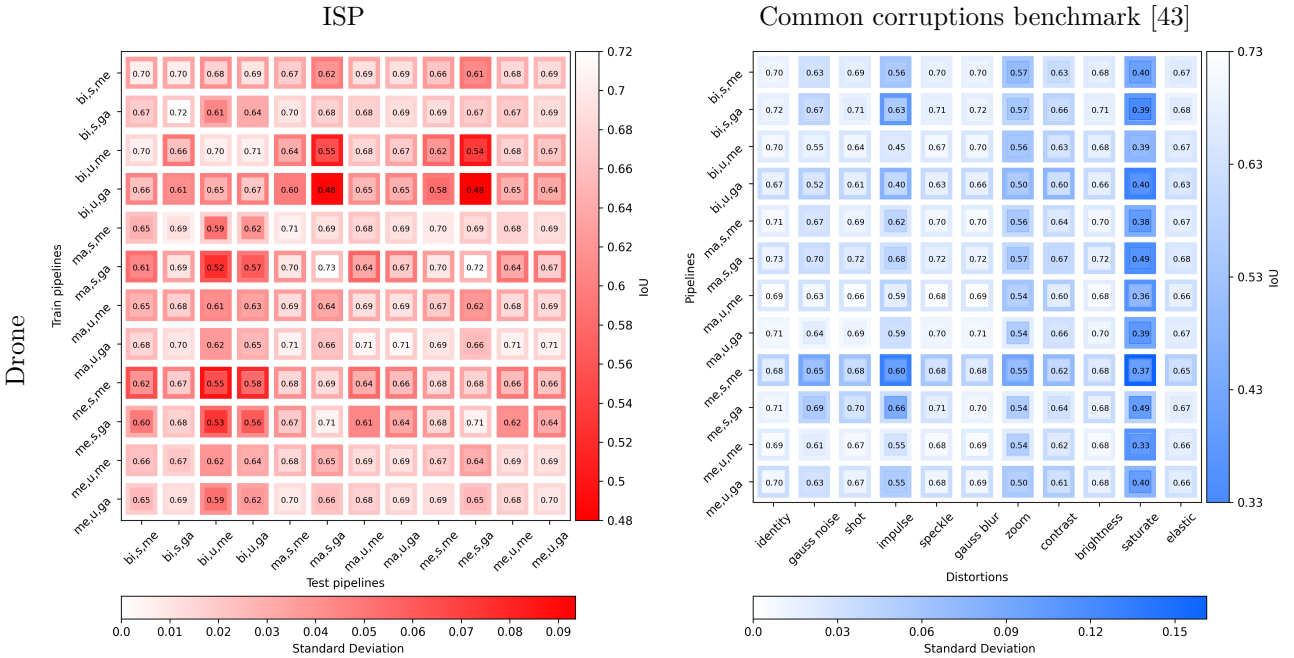

Figure 13: Experiment from Section 5.1 with weak severity (level 1) for the Common corruptions benchmark.

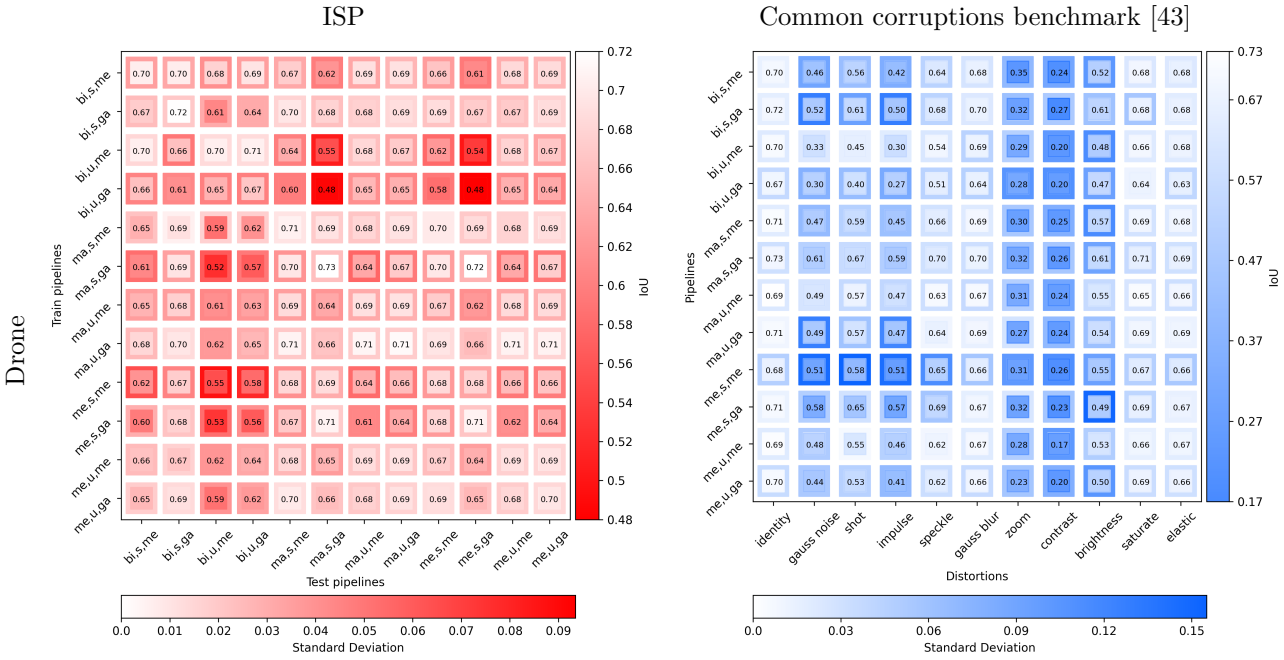

Figure 14: Experiment from Section 5.1 with strong severity (level 5) for the Common corruptions benchmark.

A.4.2 Drift forensics

(Revision#:1, Requested change #:2.) Additional results for reviewer jubj

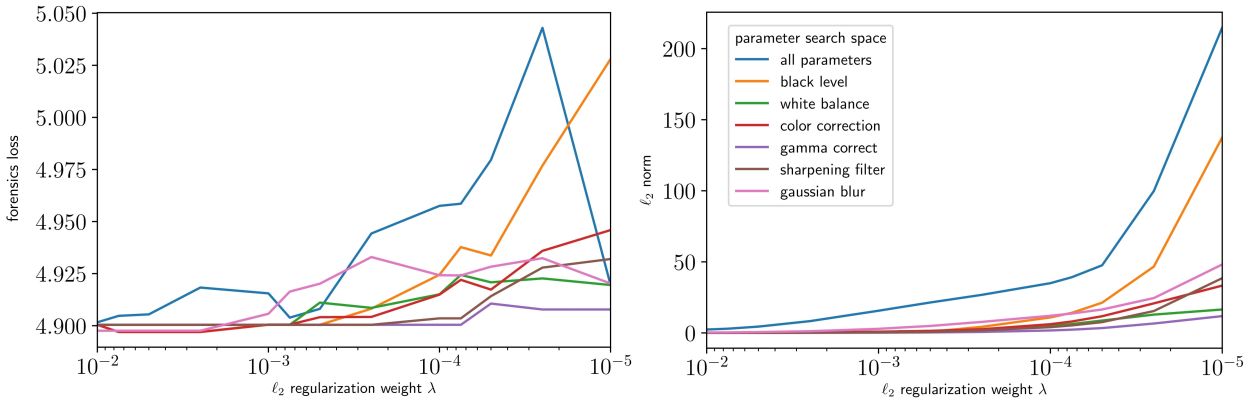

Figure 15: Drift forensics experiment from Section 5.2 with the Raw-Drone dataset.

A.4.3 Drift adjustments

(Revision#:1, Requested change #:2.) Additional results for reviewer Yyad

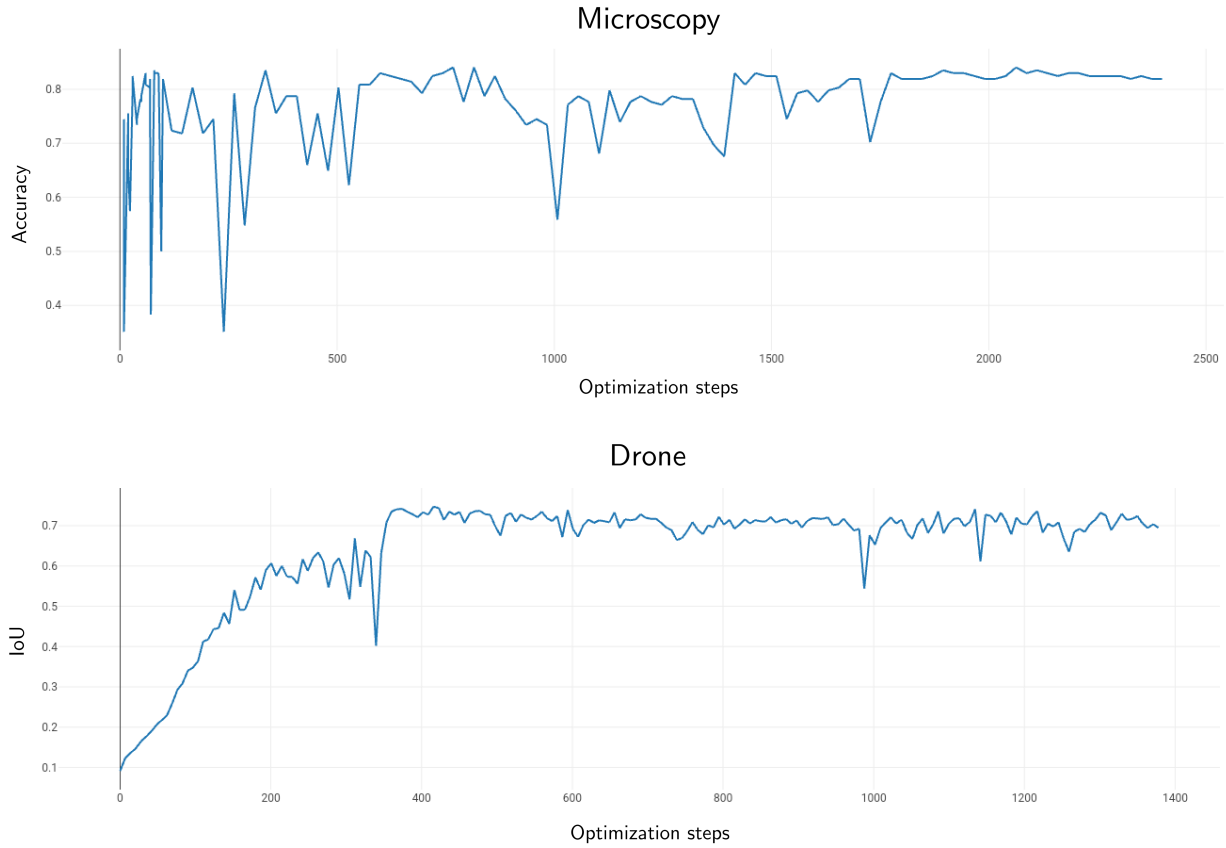

Figure 16: Two training runs where tasks models are trained directly on Raw-Microscopy (top) and Raw-Drone (bottom) data. The classification model (top) achieves similar accuracy as the *learned* setting in Section 5.3. However, the learning trajectory is more volatile. Despite stabilizing quicker, the segmentation model (bottom) does not reach the same IoU as compared to the data models (both *learned* and *frozen*).

A.5 Dataset documentation

We follow the datasheets documentation framework proposed in [172], using the template `https://de.overleaf.com/latex/templates/datasheet-for-dataset-template/jgqyyzyprxth` from Christian Garbin.

A.5.1 Datasheet for Raw-Microscopy

Motivation

For what purpose was the dataset created?

With Raw-Microscopy we provide a publicly available raw image dataset in order to examine the effect of the image signal processing on the performance and the robustness of machine learning models. This dataset enables to study these effects for a supervised multiclass classification task: the classification of white blood cells (WBCs).

Who created this dataset (e.g., which team, research group) and on behalf of which entity (e.g., company, institution, organization)?

This dataset has been created by ANONYMIZED. Single-cell images were annotated by a trained cytologist.

Who funded the creation of the dataset?

The creation of the dataset has been funded by ANONYMIZED.

Composition

What do the instances that comprise the dataset represent (e.g., documents, photos, people, countries)?

An instance is a tuple of an image and a label. The image shows a human WBCs and the label indicates the morphological class of this cell. The following eight morphological classes appear in the dataset: Basophil (BAS), Eosinophil (EOS), Smudge cell / debris (KSC), atypical Lymphocyte (LYA), typical Lymphocyte (LYT), Monocyte (MON), Neutrophil (band) (NGB), Neutrophil (segmented) (NGS). The nith class consists of images that could not be assigned a class (UNC) during the labeling process.

How many instances are there in total (of each type, if appropriate)?

The data set consists of 940 instances. For the proportion of each class in the dataset see table 12.

Does the dataset contain all possible instances or is it a sample (not necessarily random) of instances from a larger set?

The dataset does not contain all possible instances. It is limited to WBC classes normally present in the peripheral blood of healthy humans. In order to cope with intrinsic class imbalance in cell distribution, rare cell class candidates such as Basophils were preferentially imaged.

What data does each instance consist of? "Raw" data (e.g., unprocessed text or images) or features?

Each instance consists of an image of 256 by 256 pixels. The image is a raw image in .tiff format.

Is there a label or target associated with each instance?

Each instance is associated to a label, that indicates the morphological class of the image.

Is any information missing from individual instances?

No information is missing.

Are relationships between individual instances made explicit (e.g., users' movie ratings, social network links)?

No, relationships between individuals are not made explicit.

Are there recommended data splits (e.g., training, development/validation, testing)?

There are no recommended data splits. All the data splits that we used for our experiments were randomly picked.

Are there any errors, sources of noise, or redundancies in the dataset?

To the best of our knowledge, there are no errors in the dataset. However, a key source of variability between slides from different laboratories and processing times is stain intensity. The samples used in this work all come from the same source, hence we assume the preanalytic treatment and staining protocol to be similar. As all images were obtained on the same microscopy equipment, focus handling and illumination are identical for all samples. Image labelling was performed by one trained morphologist with experience in hematological routine diagnostics. It is known that morphology annotations are subject to inter- and intra-rater variability. However, as we limit ourselves to normal WBCs the labeling is expected to be stable.

Is the dataset self-contained, or does it link to or otherwise rely on external resources (e.g., websites, tweets, other datasets)?

The dataset is self-contained.

Does the dataset contain data that might be considered confidential (e.g., data that is protected by legal privilege or by doctor-patient confidentiality, data that includes the content of individuals non-public communications)?

The dataset consist of medical data, disclosing the morphological classes of single human WBCs. In principle, the distribution of cell types conveys information on the health state of a patient.
However, the subjects in this dataset are fully deidentified, so that the image data cannot be linked back to the healthy donors of the scanned blood smears. Furthermore, it is not disclosed which cell image was taken from which blood smear, so that no frequencies of individual cell types can be determined. Additionally, we only consider cell types present in normal blood, so that no specific hematologic pathology can be deduced from cell morphologies.

Does the dataset contain data that, if viewed directly, might be offensive, insulting, threatening, or might otherwise cause anxiety?

No. The dataset does not contain data with any of the above properties.

Does the dataset relate to people?

Yes. The dataset consist of images of human WBCs.

Does the dataset identify any subpopulations (e.g., by age, gender)?

The donors of the blood smears used in this dataset are fully deidentified, and no information on subpipulation composition is provided.

Is it possible to identify individuals (i.e., one or more natural persons), either directly or indirectly (i.e., in combination with other data) from the dataset?

No. It is not possible to identify individuals from an image of their white blood cells or visa versa.

Does the dataset contain data that might be considered sensitive in any way (e.g., data that reveals racial or ethnic origins, sexual orientations, religious beliefs, political opinions or union memberships, or locations; financial or health data; biometric or genetic data; forms of government identification, such as social security numbers; criminal history)?

No. While the distribution of cell types for a specific patient could reveal information about that patient's health status, isolated single-cell images of normal leukocytes do not allow for this inference.

Any other comments?

See table 13 for a summary of the composition of Raw-Microscopy.

Class	Proportion in %
Basophil (BAS)	1.91
Eosinophil (EOS)	5.74
Smudge cell / debris (KSC)	17.34
atypical Lymphocyte (LYA)	3.19
typical Lymphocyte (LYT)	24.47
Monocyte (MON)	20.32
Neutrophil (band) (NGB)	0.85
Neutrophil (segmented) (NGS)	22.98
Image that could not be assigned a class (UNC)	3.19

Table 12: The proportion of the classes in Raw-Microscopy.

Collection Process

How was the data associated with each instance acquired?

Images of the dataset have been acquired directly from a CMOS imaging sensor. They are in a raw unprocessed format.

What mechanisms or procedures were used to collect the data (e.g., hardware apparatus or sensor, manual human curation, software program, software API)?

Imaging data have been obtained via a custom bright-field microscope.

If the dataset is a sample from a larger set, what was the sampling strategy (e.g., deterministic, probabilistic with specific sampling probabilities)?

Images have 256×256 pixel size and have been cropped from larger images. The dataset corresponds to a selection of white blood cells in the acquired large images. A sampling strategy aimed at increasing the proportion of rare classes of white blood cells has been used.

Who was involved in the data collection process (e.g., students, crowdworkers, contractors) and how were they compensated (e.g., how much were crowdworkers paid)?

A research assistant has been involved in the data collection process and has been compensated with a monthly salary.

Over what timeframe was the data collected? Does this timeframe match the creation timeframe of the data associated with the instances (e.g., recent crawl of old news articles)?

Data have been collected on a timeframe of two months, corresponding to the availability of the physical samples to image. Data have been collected on purpose for this work.

Were any ethical review processes conducted (e.g., by an institutional review board)?

The microscopy data was purchased from a commercial lab vendor (J. Lieder GmbH & Co. KG, Ludwigsburg/Germany) who attained consent from the subjects included.

Does the dataset relate to people?

Yes. The dataset consists of microscopic images of human white blood cells.

Did you collect the data from the individuals in question directly, or obtain it via third parties or other sources (e.g., websites)?

Data have not been obtained via third parties.

Were the individuals in question notified about the data collection?

As the blood smear slides were bought from a company, notification to individuals of the data collection has been performed by the company.

Did the individuals in question consent to the collection and use of their data?

Yes, they did.

If consent was obtained, were the consenting individuals provided with a mechanism to revoke their consent in the future or for certain uses?

We do not know the conditions of consent adopted by the selling company. However, we believe the company provided the individuals a complete freedom in revoking their consent in the future, if desired.

Has an analysis of the potential impact of the dataset and its use on data subjects (e.g., a data protection impact analysis) been conducted?

No, this kind of analysis has not been conducted.

Preprocessing/cleaning/labeling

Was any preprocessing/cleaning/labeling of the data done (e.g., discretization or bucketing, tokenization, part-of-speech tagging, SIFT feature extraction, removal of instances, processing of missing values)?

Intensity scaled images are generated with Jetraw Data Suite for both datasets, which applies a physical model based on sensor calibration to accurately simulate intensity reduction. Microscopy Raw images are extracted from RGB Microscopy data through a pixel selection from images taken with three filters, in order to have a Bayer Pattern. Pixels intensities are rescaled with Jetraw Data Suite to match the measured transmissivities of a Bayer colour filters array.

Was the "raw" data saved in addition to the preprocessed/cleaned/labeled data (e.g., to support unanticipated future uses)?

Raw images are available in the dataset.

Is the software used to preprocess/clean/label the instances available?

All code used in the experiments of this manuscript is publicly available. Jetraw products that were used for acquiring the data are commercially available.

Uses

Has the dataset been used for any tasks already?

The dataset has not yet been used.

Is there a repository that links to any or all papers or systems that use the dataset?

The repository at `https://anonymous.4open.science/r/tmlr/README.md` associated to this work, maintained by ANONYMIZED.

What (other) tasks could the dataset be used for?

The dataset can be used to study the effect of image signal processing on the performance and robustness of any other machine learing model implemented in PyTorch, designed for a supervised multiclass classification task.

Is there anything about the composition of the dataset or the way it was collected and preprocessed/cleaned/labeled that might impact future uses?

To the best of our knowledge, we do not recognize such impacts.

Are there tasks for which the dataset should not be used?

To the best of our knowledge, there are no such tasks.

Distribution

Will the dataset be distributed to third parties outside of the entity (e.g., company, institution, organization) on behalf of which the dataset was created?

Yes. The dataset will be publicly available.

How will the dataset will be distributed (e.g., tarball on website, API, GitHub)

A guide to access the dataset is available at `https://anonymous.4open.science/r/tmlr/README.md`. Moreover, the dataset can be downloaded anonymously and directly at `https://zenodo.org/record/5235536` under the doi: 10.5281/zenodo.5235536.

When will the dataset be distributed?

The dataset is already publicly available.

Will the dataset be distributed under a copyright or other intellectual property (IP) license, and/or under applicable terms of use (ToU)?

The dataset will be distributed under the Creative Commons Attribution 4.0 International.

Have any third parties imposed IP-based or other restrictions on the data associated with the instances?

No.

Do any export controls or other regulatory restrictions apply to the dataset or to individual instances?

There are no such restrictions.

Maintenance

Who will be supporting/hosting/maintaining the dataset?

ANONYMIZED on behalf of ANONYMIZED.

How can the owner/curator/manager of the dataset be contacted (e.g., email address)?

By email address via
ANONYMIZED.

Is there an erratum?

At the time of submission, there is no such erratum. If an erratum is needed in the future it will be accessible at ANONYMIZED

Will the dataset be updated (e.g., to correct labeling errors, add new instances, delete instances)?

Yes. The dataset will be enlarged wrt. the number of instances.

If the dataset relates to people, are there applicable limits on the retention of the data associated with the instances (e.g., were individuals in question told that their data would be retained for a fixed period of time and then deleted)?

To the best of our knowledge, there are no such limits.

Will older versions of the dataset continue to be supported/hosted/maintained?

Older versions will be supported and maintained in the future. The dataset will continue to be hosted as long as `https://zenodo.org/` exists.

If others want to extend/augment/build on/contribute to the dataset, is there a mechanism for them to do so?

For any of these requests contact either ANONYMIZED or ANONYMIZED. For now, we do not have an established mechanism to handle these requests.

Composition of Raw-Microscopy	
Type of instances	Image and label
Objects on images	White blood cells
Type of classes	Morphological classes
Number of instances	940
Number of classes	9
Image size	256 by 256 pixels
Image format	`.tif`
Raw image format	Please see Section 4.1

Table 13: A summary of the composition of Raw-Microscopy.

A.5.2 Datasheet for Raw-Drone

Motivation

For what purpose was the dataset created?

With Raw-Drone we provide a publicly available raw dataset in order to examine the effect of the image data processing on the performance and the robustness of machine learning models. This dataset enables to study these effects for a segmentation task: the segmentation of cars. The dataset was taken with specified parameters: sensor gain, point-spread function and ground-sampling distance, so that physical models may be used to process the data. It also was taken with a easily accessible and affordable system, so that it may be reproduced.

Who created this dataset (e.g., which team, research group) and on behalf of which entity (e.g., company, institution, organization)?

The dataset was created by ANONYMIZED on behalf of ANONYMIZED.

Who funded the creation of the dataset?

The data collection was funded by ANONYMIZED. The calibration of the image characteristics was jointly funded by ANONYMIZED.

Composition

What do the instances that comprise the dataset represent (e.g., documents, photos, people, countries)?

An instance is a tuple of an image and a segmentation mask. The image shows a landscape shot from above. The segmentation mask is a binary image. A white pixel in this mask corresponds to a pixel within a region in the image where a car is displayed. A black pixel in this mask corresponds to a pixel within a region in the image where no car is displayed.

How many instances are there in total (of each type, if appropriate)?

The dataset consists of 548 instances.

Does the dataset contain all possible instances or is it a sample (not necessarily random) of instances from a larger set?

The dataset does not contain all possible instances. Only images with at least one white pixel in the associated segmentation mask are considered.

What data does each instance consist of? "Raw" data (e.g., unprocessed text or images) or features?

Both, the image and the segmentation mask consist of 256 by 256 pixels. The image is a raw image in `.tif` format and the the segmentation mask is in `.png` format. The images are cropped sub-images of 12 raw images in `.DNG` format, consisting of 3648 by 5472 pixels.

Is there a label or target associated with each instance?

Each instance is associated to a binary segmentation mask.

Is any information missing from individual instances?

No information is missing.

Are relationships between individual instances made explicit (e.g., users' movie ratings, social network links)?

Since every image is a cropped sub-image of an original image, several of these sub-images belong to the same original image. All sub-images are disjoint, i.e. no different images share a pixel from the original image.

Are there recommended data splits (e.g., training, development/validation, testing)?

There are no recommended data splits. All the data splits that we used for our experiments were randomly picked.

Are there any errors, sources of noise, or redundancies in the dataset?

To the best of our knowledge, there are no errors in the dataset. The segmentation mask is created by hand and hence noisy, especially at the boundaries between a region with a car and a region without a car.

Is the dataset self-contained, or does it link to or otherwise rely on external resources (e.g., websites, tweets, other datasets)?

The dataset is self-contained.

Does the dataset contain data that might be considered confidential (e.g., data that is protected by legal privilege or by doctor-patient confidentiality, data that includes the content of individuals non-public communications)?

No. The dataset does not contain data of any of the above types.

Does the data set contain data that, if viewed directly, might be offensive, insulting, threatening, or might otherwise cause anxiety?

No. The dataset does not contain data with any of the above properties.

Does the dataset relate to people?

The dataset does not relate to people. The drone data was screened for PIIs such as faces or license plates on cars and removed by the data collection team.

Any other comments?

See table 14 for a summary of the composition of the Raw-Drone.

Collection Process

How was the data associated with each instance acquired?

The data was collected by flying a drone and saving the raw data. The calibration data for the drone's imager was acquired both under laboratory conditions and using a ground-based calibration target, so that it could be acquired under operating conditions.

What mechanisms or procedures were used to collect the data (e.g., hardware apparatus or sensor, manual human curation, software program, software API)?

To acquire the drone images, we used a DJI Mavic 2 Pro Drone, equipped with a Hasselblad L1D-20c camera (Sony IMX183 sensor). This system has 2.4 µm pixels in Bayer filter array. Images were taken with the drone hovering for maximum stability. This stability was verified to be better than a single pixel by calculating the correlation of subsequent images. The objective has a focal length of 10.3 mm. We operated this objective at an f-number of $N = 8$, to emulate the PSF circle diameter relative to the pixel pitch and ground sampling distance (GSD) as would be found on images from high-resolution satellites. Operating at $N = 8$ also minimises vignetting, aberrations, and increases depth of focus. The point-spread function (PSF) was measured to have a circle diameter of 12.5 µm using the edge-spread function technique and a ground calibration target.This corresponds to $\sigma = 2.52$ px, which also corresponds to a diffraction-limited system, within the uncertainty dictated by the wavelength spread of the image. Images were taken at 200 ISO, corresponding to a gain of $0.528\,\mathrm{DN}/e^-$. The 12-bit pixel values are however left-justified to 16-bits, so that the gain on the 16-bit numbers is $8.448\,\mathrm{DN}/e^-$. The images were taken at a height of 250 m, so that the GSD is 6 cm. All images were tiled in 256x256 patches. Segmentation color masks were created to identify cars for each patch. From this mask, classification labels were generated to detect if there is a car in the image. The dataset is constituted by 548 images for the segmentation task, and 930 for classification. Six additional intensity scales were created with Jetraw.

If the dataset is a sample from a larger set, what was the sampling strategy (e.g., deterministic, probabilistic with specific sampling probabilities)?

The entire dataset is presented.

Who was involved in the data collection process (e.g., students, crowdworkers, contractors) and how were they compensated (e.g., how much were crowdworkers paid)?

The dataset was taken by a company employee, compensated by his salary. Labeling was performed by both a company employee and a PhD student, who's PhD is funded by the company.

Over what timeframe was the data collected? Does this timeframe match the creation timeframe of the data associated with the instances (e.g., recent crawl of old news articles)?

The dataset was taken as the initial step of writing this article.

Were any ethical review processes conducted (e.g., by an institutional review board)?

The dataset does not contain any elements requiring an ethical review process.

Does the dataset relate to people?

The dataset does not relate to people. There are individuals on the images, but it is not possible to identify these individuals.

Preprocessing/cleaning/labeling

Was any preprocessing/cleaning/labeling of the data done (e.g., discretization or bucketing, tokenization, part-of-speech tagging, SIFT feature extraction, removal of instances, processing of missing values)?

No further processing was applied to the Raw-Drone data.

Was the "raw" data saved in addition to the preprocessed/cleaned/labeled data (e.g., to support unanticipated future uses)?

Raw images are available in the dataset.

Is the software used to preprocess/clean/label the instances available?

All code used in the experiments of this manuscript is publicly available. Jetraw products that were used for acquiring the data are commercially available.

Uses

Has the dataset been used for any tasks already?

The dataset has not yet been used.

Is there a repository that links to any or all papers or systems that use the dataset?

The repository at `https://anonymous.4open.science/r/tmlr/README.md` associated to this work, maintained by ANONYMIZED.

What (other) tasks could the dataset be used for?

The dataset can be used to study the effect of image signal processing on the performance and robustness of any other machine learing model implemented in PyTorch, designed segmentation task.

Is there anything about the composition of the dataset or the way it was collected and preprocessed/cleaned/labeled that might impact future uses?

To the best of our knowledge, we do not recognize such impacts.

Are there tasks for which the dataset should not be used?

To the best of our knowledge, there are no such tasks.

Distribution

Will the dataset be distributed to third parties outside of the entity (e.g., company, institution, organization) on behalf of which the dataset was created?

Yes. The dataset will be publicly available.

How will the dataset will be distributed (e.g., tarball on website, API, GitHub)

A guide to access the dataset is available at `https://anonymous.4open.science/r/tmlr/README.md`. Moreover, the dataset can be downloaded anonymously and directly at `https://zenodo.org/record/5235536` under the doi: 10.5281/zenodo.5235536.

When will the dataset be distributed?

The dataset is already publicly available.

Will the dataset be distributed under a copyright or other intellectual property (IP) license, and/or under applicable terms of use (ToU)?

The dataset will be distributed under the Creative Commons Attribution 4.0 International.

Have any third parties imposed IP-based or other restrictions on the data associated with the instances?

No.

Do any export controls or other regulatory restrictions apply to the dataset or to individual instances?

There are no such restrictions.

Maintenance

Who will be supporting/hosting/maintaining the dataset?

ANONYMIZED on behalf of ANONYMIZED.

How can the owner/curator/manager of the dataset be contacted (e.g., email address)?

By email address via
ANONYMIZED.

Is there an erratum?

At the time of submisson, there is no such erratum. If an erratum is needed in the future it will be accessible at ANONYMIZED

Will the dataset be updated (e.g., to correct labeling errors, add new instances, delete instances)?

Yes. The dataset will be enlarged wrt. the number of instances.

If the dataset relates to people, are there applicable limits on the retention of the data associated with the instances (e.g., were individuals in question told that their data would be retained for a fixed period of time and then deleted)?

Composition of Raw-Drone	
Type of instances	Image and mask
Objects on images	Landscape shots from above
Number of instances	548
Number of original images	12
Image size	256 by 256 pixels
Mask size	256 by 256 pixels
Original image size	3648 by 5472
Image format	`.tif`
Mask format	`.png`
Raw image format	`.DNG`

Table 14: A summary of the composition of Raw-Drone.

To the best of our knowledge, there are no such limits.

Will older versions of the dataset continue to be supported/hosted/maintained?

Older versions will be supported and maintained in the future. The dataset will continue to be hosted as long as `https://zenodo.org/` exists.

If others want to extend/augment/build on/contribute to the dataset, is there a mechanism for them to do so?

For any of these requests contact either ANONYMIZED or ANONYMIZED. For now, we do not have an established mechanism to handle these requests.