MULTI-AGENT COMPOSITIONAL COMMUNICATION LEARNING FROM RAW VISUAL INPUT

Anonymous authors
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ABSTRACT

One of the distinguishing natures of human language is its compositionality. Compositional language enables us to describe the world with limited vocabulary. Previously, it has been shown that neural network agents can communicate in compositional language based on disentangled input (*e.g.* binary vectors). In this work, we motivate neural network agents to communicate in compositional language based only on raw visual input, namely image pixels. The agents play an image description game where the image contains compositional factors such as colors and shapes. We train the agents using the *obverter* technique where an agent introspects to generate a message that best makes sense to itself. The experiments provide evidence that, even without disentangled input, neural network agents are capable of learning compositional language, requiring however a proper pressure from the environment.

1 INTRODUCTION

One of the key requirements for artificial general intelligence (AGI) to thrive in the real world is its ability to communicate with humans in natural language. The recent natural language processing (NLP) research, especially after the introduction of deep learning methods (LeCun et al., 2015), have shown great progress in training machines to process and generate natural language (Cho et al., 2014; Bahdanau et al., 2014; Vinyals et al., 2015; Karpathy & Fei-Fei, 2015; Hu et al., 2017; Serban et al., 2016; Lewis et al., 2017). However, training machines in a supervised manner with a large dataset has its limits when it comes to communication. Supervised methods are effective for capturing statistical associations between discrete symbols (*i.e.* words, letters). However, the essence of communication is more than just predicting the most likely word to come next. It is a means to coordinate with others and potentially achieve a common goal (Austin, 1975; Clark, 1996; Wittgenstein, 2010).

An alternative path to teaching machines the art of communication is to give them a specific task and encourage them to learn how to communicate on their own, often using reinforcement learning. This approach will encourage the artificial agents to use symbols grounded to task-related entities as well as learn to communicate with other agents, which resembles how humans learn to communicate (Bruner, 1981). Recently, there have been several notable works that showed the emergence of communication between neural network agents in one way or another (Sukhbaatar et al., 2016; Foerster et al., 2016; Jorge et al., 2016; Lazaridou et al., 2017). Even though each work produced very interesting results of its own, in all works, the communication was either achieved with a single discrete symbol (as opposed to a sequence of discrete symbols) or via a continuous value. Needless to mention the latter, even using a single discrete symbol is quite far from natural language communication. One of the key features of human language is its compositional nature (Hurford, 2004); we do not express our intentions with a single word, but rather use words as building blocks to compose a complex meaning.

More recently, there was some success in demonstrating emergence of grounded, compositional language by encouraging neural agents in a virtual 2D world to move another agent to a specific location (*i.e.* landmark) (Mordatch & Abbeel, 2017), or encouraging an agent to guess the correct property of an unknown object by communicating with another agent that has access to the object (Kottur et al., 2017). Both studies, however, were conducted under some strong assumptions, such as sharing the model parameters between agents (Mordatch & Abbeel, 2017), or enforcing *one symbol, one meaning* policy by fixing the message length (Kottur et al., 2017). Most importantly, in both studies, inputs given to the agents were *disentangled*. For example, in Kottur et al. (2017) goals and objects were given to the agents as one-hot vectors explicitly conveying compositional information. The observation vector (including the goal vector) in Mordatch & Abbeel (2017) was a combination

of one-hot and real-values where each dimension conveyed explicit information such as the type of action, the position of another agent or the position of some landmark. It should be noted that emergence of compositional language based on disentangled input has also been demonstrated by the pioneering work of Batali (1998). In his work, neural agents were trained to use a sequence of symbols to convey binary vectors, namely *meaning vectors*. The emerged messages showed a strong compositional characteristic.

In this work, building upon Batali's work, we motivate neural agents to develop compositional language based on raw input, specifically image pixels. This is especially difficult for the agent since it has to learn at the same time to disentangle visual input and use discrete symbols to describe it unequivocally. We place the agents in a two-person image description game, and train the agents using the *obverter* technique (Oliphant & Batali, 1997; Batali, 1998; Smith, 2001) that has its root in the theory of mind (Premack & Woodruff, 1978), which in turn is closely intertwined with the language development in humans (Milligan et al., 2007). Batali's work and the obverter technique will be introduced in the next section, followed by the description of the two-person image game and the model architecture. Then we analyze the experiment results in depth, and conclude this paper with a discussion.

Analysis of the messages and the zero-shot test all suggested that the agents could develop, out of raw input, a compositional language similar to the one seen in Batali (1998). However, we found that the emergence of a complex language required a proper pressure from the environment. We also experienced the difficulty of quantitatvely measuring the compositionality of a language, and address this issue in the discussion.

2 BACKGROUND

2.1 EMERGENCE OF GRAMMAR

In Batali (1998), the author successfully trained neural agents to develop a compositional language using disentangled meaning vectors as the input. Using 10 subject vectors and 10 predicate vectors, all represented as explicit binary vectors, total 100 meaning vectors could be composed (see Table 5 in Appendix A). The author used twenty neural agents for the experiment. Each agent was implemented with the vanilla recurrent neural networks (RNN), where the hidden vector $\mathbf{h}$'s size was 10, same as the size of the meaning vector $\mathbf{m}$ in order to treat $\mathbf{h}$ as the agent's *understanding* of $\mathbf{m}$.

In each training round a single learner (*i.e.* listener) and ten teachers (*i.e.* speaker) were randomly chosen. Each teacher, given all 100 $\mathbf{m}$'s in random order, generates a message $\mathbf{s}$[1] for each $\mathbf{m}$ and sends it to the learner. The learner is trained to minimize the mean squared error (MSE) between $\mathbf{h}$ (after consuming the $\mathbf{s}$) and $\mathbf{m}$. After the learner has learned from all ten teachers, the next round begins, repeating the process until the error goes below some threshold. When the training was complete, the author was able to find compositional patterns in the messages used by the agents (see Table 6 in Appendix A).

Note that we have not mentioned how the teacher generates a message $\mathbf{s}$ given a meaning vector $\mathbf{m}$. In fact, next subsection is dedicated to describing how messages are generated using the simple yet elegant technique named *obveter*.

2.2 OBVERTER TECHNIQUE

Batali (1998) used the *obverter* technique to train the neural agents, which has its root in the theory of mind. Theory of mind (Premack & Woodruff, 1978) observes that a human has direct access only to one's own mind and not to the others'. Therefore we typically assume that the mind of others is analogous to ours, and such assumption is reflected in the functional use of language (Bruner, 1981). For example, if we want to convey a piece of information to the listener[2], it is best to speak in a way that maximizes the listener's understanding. However, since we cannot directly observe the listener's state of mind, we cannot exactly solve this optimization problem. Therefore we posit that the listener's mind operates in a similar manner as ours, and speak in a way that maximizes *our understanding*, thus approximately solving the optimization problem. This is exactly what the obverter technique tries to achieve.

[1] A message is a sequence of alphabets chosen from *a, b, c* or *d*. The maximum length was set to 20.

[2] We assume the listener cannot speak but only listen. Therefore the listener's mind is completely hidden.

In each round, the teacher (*i.e.* speaker), given a meaning vector $\mathbf{m}$, generates a message using its own RNN. After initializing its hidden vector $\mathbf{h}$ to zeros, the teacher greedily selects a symbol at each timestep that modifies $\mathbf{h}$ as close to $\mathbf{m}$ as possible. The selected symbol is appended to the message, and the hidden vector induced by that symbol is used in the next timestep. This continues until either the message length reaches the predefined maximum, or the difference between $\mathbf{h}$ and $\mathbf{m}$ goes below some threshold (see the detailed algorithm in Appendix B). Therefore the teacher, through introspection, generates a message that maximizes its own understanding (*i.e.* $\mathbf{h}$ becomes similar to $\mathbf{m}$), hoping that the same message will also make sense to the learner. We remind the reader that only the learner's RNN parameters are updated, and the teacher uses its fixed RNN. Therefore an agent uses only one RNN for both speaking and listening, guaranteeing self-consistency (see Appendix C for a detailed comparison between the obverter technique and the RL-based approach).

Another feature of the obverter technique is that it observes the *principle of least effort* (Zipf, 2016). Because the teacher stops generating symbols as soon as $\mathbf{h}$ and $\mathbf{m}$ become similar enough, it does not waste any more effort trying to *perfect* the message. The same principle was implemented in one way or another in previous works, such as choosing the shortest among the generated strings (Kirby & Hurford, 2002) or imposing a small cost for generating a message (Mordatch & Abbeel, 2017).

3 METHOD

3.1 THE TWO-PERSON IMAGE DESCRIPTION GAME

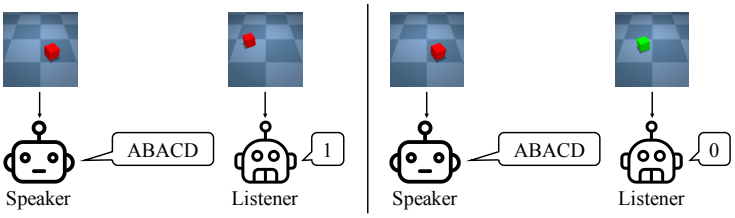

Figure 1: The two-person image description game. Speaker observes an image and generates a message (*i.e.* a sequence of discrete symbols). The listener, after observing a separate image and the message, must correctly decide whether it is seeing the same object as the speaker (left side; output 1) or not (right side; output 0).

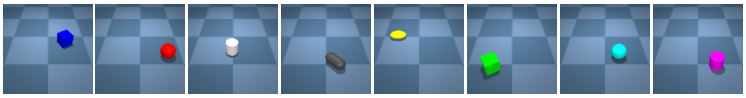

Figure 2: Example images of the dataset. There are eight colors (*blue, red, white, gray, yellow, green, cyan, magenta*), and five shapes (*box, sphere, cylinder, capsule, ellipsoid*), giving us total 40 combinations.

In a single round of the two-person image description game, one agent becomes the speaker and the other the listener. The speaker is given a random image, and generates a message to describe it. The listener is also given a random image, possibly the same image as the speaker's. After hearing the message from the speaker, the listener must decide if it is seeing the same image as the speaker (Figure 1). In other words, the listener decides whether the message and the given image are consistent or not. In each round the agents change roles of being the speaker and the listener.

In order to develop a compositional language, there must be compositional features to the images. We generated synthetic images using Mujoco physics simulator[3]. The example images are shown in Figure 2. Each image depicts a single object with a specific color and shape in 128×128 resolution. There are eight colors (*blue, red, white, gray, yellow, green, cyan, magenta*) and five shapes (*box, sphere, cylinder, capsule, ellipsoid*), giving us 40 combinations. We generated 100 variations for each of the 40 object type. Note that the position of the object varies in each image, changing the object size and the orientation. Therefore even if the speaker and the listener are given the same object type, the actual images are very likely to be different, preventing the agents from using pixel-specific information, rather than object-related information to win the game.

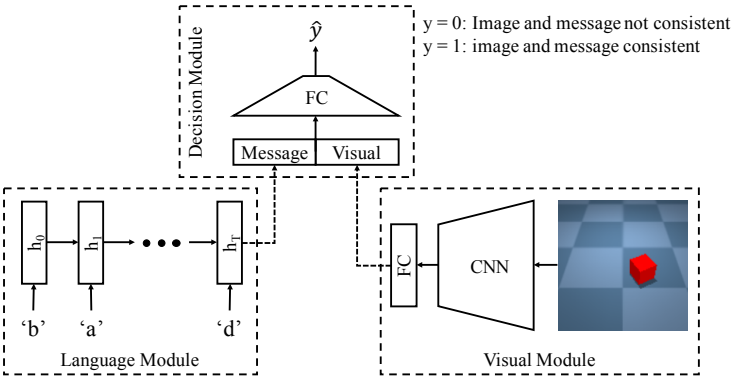

Figure 3: Agent model architecture. The visual module processes the image, and the language module generates or consumes messages. The decision module accepts embeddings from both modules and produces the output. The solid arrows indicate modifying the output from the previous layer. The dotted arrows indicate copying the output from the previous layer.

3.2 Model architecture

Aside from using disentangled input, another strong assumption made in previous works(Batali, 1998; Mordatch & Abbeel, 2017) was that the agents had access to the true intention of the speaker. In Batali (1998), the listener was trained to modify its RNN hidden vector as closely to the meaning vector as possible. In Mordatch & Abbeel (2017), each agent had an auxiliary task to predict the goals of all other agents. In both cases, the true meaning/goal vector was used to update the model parameters, exposing the disentangled information to the agents. In order to relax this assumption and encourage the agents to develop compositional language with minimal guidance, our model uses no other signal than whether the listener made a correct decision.

Figure 3 depicts the agent model architecture. We use a convolutional neural network followed by a fully-connected layer to process the image. Messages are generated and consumed by the RNN, specifically, gated recurrent units (GRU) (Cho et al., 2014). Then the image embedding from the visual module and the message embedding from the language module are concatenated and processed by another fully-connected layers (*i.e.* decision module) with the sigmoid output $\hat{y}$, 0 being "My (listener) image is different from the speaker's" and 1 being "My image is the same as the speaker's". Further details of the model architecture (*e.g.* number of layers) are described in Appendix D.

We employ the obverter philosophy to train the agents. When an agent becomes the speaker (*i.e.* teacher), the model parameters are fixed. The image is converted to an embedding via the visual module. After initializing its RNN hidden layer to zeros, the teacher at each timestep evaluates $\hat{y}$ for all possible symbols and selects the one that maximizes $\hat{y}$. The RNN hidden vector induced by the chosen symbol is used in the next timestep. This is repeated until $\hat{y}$ becomes bigger than the predefined threshold, or the maximum message length is reached (see Appendix E for algorithm). In summary, the teacher speaks such that the consistency between the image and the message is as clear to itself as possible. When an agent becomes the listener (*i.e.* learner), its parameters are updated via back-propagating the cross entropy loss between its output $\hat{y}$ and the true label y. Therefore the agents must learn to communicate only from the true label indicating whether the teacher and the learner are seeing the same object.

3.3 Environmental pressure for compositional communication

During the early stages of research, we noticed that randomly sampling object pairs (one for the teacher, one for the learner) lead to agents focusing only on colors and ignoring shapes. When the teacher's object is fixed, there are 40 (8 colors $\times$ 5 shapes) possibilities on the learner's side. If the teacher only talks about the color of the object, the learner can correctly decide for 36 out of 40 possible object types. The learner makes incorrect decisions only when the teacher and the learner are given objects of the same color but different shapes, resulting in 90% accuracy on average. This is actually what we observed; the accuracy plateaued between 0.9 and 0.92 during the training, and the messages were more or less the same for objects with the same color. Therefore when constructing a mini-batch of images, we set 25% to be the object pairs of the same color and shape, 30% the same

[3] http://www.mujoco.org/index.html

shape but different colors, 20% the same color but different shapes. The remaining 25% object pairs were picked randomly[4]. This suggests that the environment plays as important, if not more, role as the model architecture in the emergence of complex communication, and should be a main consideration for future efforts. Further details regarding hyperparameters are described in Appendix F.

4 EXPERIMENTS

In this section, we first study the convergence behavior during the training phase. Then we analyze the language used by the agents and evaluate its compositionality. Lastly, we conduct a zero-shot test to see if the agents can compose consistent messages for objects they have not seen during the training. We also visualize the image embeddings from the visual modules of both agents to understand how they are recognizing colors and shapes, the results of which, for a better view of the figures, are provided in Appendix I.

4.1 CONVERGENCE BEHAVIOR

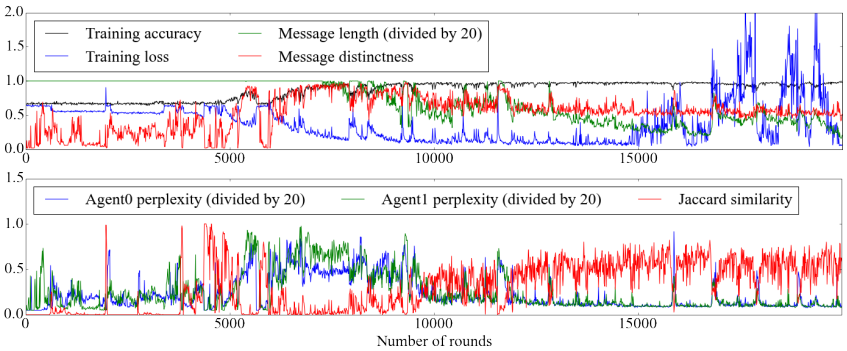

Figure 4: Progress during the training (best seen in color). (Top) We plot the training accuracy, training loss, average message length and average message distinctness in each round. (Bottom) We plot the perplexities and the Jaccard similarity of the messages spoken by both agents in each round. Note that the average message length and the perplexities are divided by 20 to match the y-axis range with other metrics.

Figure 4 shows the convergence behavior during the training. Training accuracy was calculated by rounding the learner's sigmoid output by 0.5. Message distinctness was calculated by dividing the number of unique messages in the mini-batch by the size of the mini-batch. Ideally there should be, on average, 40 distinct messages in the mini-batch of 50 images, therefore giving us 0.8 distinctness. Every 10 round, both agents were given the same $1,000$ randomly sampled images to generate $1,000$ message pairs. Then perplexity was calculated for each object type and averaged, thus indicating the average number of distinct messages used by the agents to describe a single object type (note that perplexities in the plot was divided by 20). Jaccard similarity between both agents' messages was also calculated for each object type and averaged.

At the beginning, the listener (*i.e.* learner) always decides it is not seeing the same object as the speaker, giving us 0.75 accuracy[5]. But after $7,000$ rounds, accuracy starts to go beyond 0.9. Loss is negatively correlated with accuracy until round $15,000$, where it starts to fluctuate. Accuracy, however, remains high due to how accuracy is measured; by rounding the learner's output by 0.5. Although we could occasionally observe some patterns in the messages when both accuracy and loss were high, a lower loss generally resulted in stronger compositionality and better zero-shot performance. The loss fluctuation also indicates some instability in the training process, which is a potential direction for future work. Message distinctness starts at near 0, indicating the agents are generating the same message for all object types. After round $7,000$, where both message distinctness and message length reach their maximum, both start to decrease. But message distinctness never goes as high as the ideal 0.8, meaning that the agents are occasionally using the same message for different object types, as will be shown in the following section.

[4]Other ratios could equally work well, but we empirically found this ratio to be effective in many experiments

[5]Note that we set 25% of the mini-batch to be objects of the same color and shape.

Both perplexities and Jaccard similarity show seemingly meaningless fluctuation at early rounds. After round $7,000$, perplexities and Jaccard similarity show negatively correlated behavior, meaning that not only is each agent using consistent messages to describe each object type, but also both agents are using very similar messages to describe each object type. We found perplexity and Jaccard similarity to be an important indicator of the compositionality of the messages. During rounds $7,000 \sim 8,000$, performance was excellent in terms of loss and accuracy, but perplexity was high and Jaccard similarity low, indicating the agents were assigning incoherent strings to each object type just to win the game. Similar behavior was observed in the early stages of language evolution simulation in Kirby & Hurford (2002) where words represented some meanings but had no structure (*i.e.* protolanguage). It seems that the compositional language comes after the emergence of protolanguage regardless of whether the input is entangled or disentangled.

4.2 COMPOSITIONALITY ANALYSIS

Round 40
(Training accuracy:66.1%, Agent0 perplexity:1.0, Agent1 perplexity:1.0, Jaccard similarity:0.0)

-	All objects described by Agent 0	All objects described by Agent 1
Message	dddddddddddddddddddd	bbbbbbbbbbbbbbbbbbbb

Round 6,940
(Training accuracy:93.1%, Agent0 perplexity:9.90, Agent1 perplexity:17.73, Jaccard similarity:0.0)

-	Red sphere described by agent 0	Red sphere described by agent 1
Messages	aeceaeaeaaaeeeeeeee	aaedacacaaaaaaaaaaaa
	aacceeaaaaeeeeeeeee	aaccdaacaaaaaaaaaaaa
	aaccceaaaaaaaeeeeee	aaabcdadaaaaaaaaaaaa
	aeeeeaaaaeeeeeeeeee	aaeeacaeaaaaaaaaaaaa
	aeaceeaeaeeeeeeeeee	aaccdadaaaaaaacaaaaa
	aceacacaaaaaaeeeeee	aaedaceaaaaaaaaaaaaa
	abeeeeaeeeeeeeeeeee	aaeaccaeaaaaaaaaaaaa
	aacceeaeeeeeeeeeeee	aaceacaacaaaaaaaaaaa
	aacceeaeaaeeeeeeeee	aacdacdaaaaaaaaaaaaa
	aeeacacaaaeeccceeeee	aaccdadaaaaacaaaaaaa

Round 16,760
(Training accuracy:98.0%, Agent0 perplexity:1.69, Agent1 perplexity:1.62, Jaccard similarity:0.82)

-	Box	Sphere	Cylinder	Capsule	Ellipsoid
Blue	bbbbbbb{b,d}	bb{b,d}	bbbbbb{b,d}	bbbbb{c,d}	bbbb{_,c,d}
Red	aaaa{c,e}	aa{c,e}	aaa{c,e}	a{c,e}	c,e
White	bbbbbb	b,d	bbbb{b,d}	bbb{b,d}	bb{_,c,d}
Gray	aaa	a	aa	c	b,bd
Yellow	aaaaaa	aaaa	aaaaa	aaa	a{a,e}
Green	aaaa{a,ad}	aa{a,ad}	aaa{a,ad}	a{a,ad}	a
Cyan	a×20	bbb{b,d}	bbbbbbb{b,d}	bbbbbbd	bbbbb{b,d}
Magenta	bbbbbbb	b{b,d}	bbbbb{b,d}	bbbbd	bbb{_,c,d}

Table 1: (Top) Messages used by both agents when speaking about any object in round 40. (Middle) Ten most frequent messages used by each agent to describe a *red sphere* in round $6,940$. (Bottom) Messages most often used by both agents for each object type in round $16,760$. Brackets indicate the variation often seen at the last character. Underscores indicate blanks.

We choose agents from different training rounds to highlight how the language becomes more structured over time. Table 1 shows agents' messages in the beginning (round 40), when the training accuracy starts pushing beyond 90% (round $6,940$), when agents settle on a common language (round $16,760$).

In round 40, both agents are respectively producing the same message for all object types as mentioned in section 4.1. We might say the messages are structured, but considering that the listener always answers 0 in early rounds, we cannot say the agents are *communicating*. In round $6,940$, which is roughly when the agents begin to communicate more efficiently, training accuracy is significantly higher than round 40. However, perplexities show that both agents are assigning many names to a single object type (40-80 names depending on the object type), indicating that the agents are focusing on pixel-level differences between images of the same object type. Table 1 shows, as an example, the messages used by both agents to describe the *red sphere*. Due to high perplexity, it is difficult to capture the underlying structure of the messages even with regular expression. Furthermore, as

-	Box	Sphere	Cylinder	Capsule	Ellipsoid
Blue	*bbbbb* bb{b,d}	bb{b,d}	*bbbb* bb{b,d}	*bbb* bb{c,d}	*bb* bb{_,c,d}
Red	*aaaa* {c,e}	*aa* {c,e}	*aaa* {c,e}	*a* {c,e}	{c,e}
White	*bbbbb* b	{b,d}	*bbbb* {b,d}	*bbb* {b,d}	*bb* {_,c,d}
Gray	*aaaa* āāa	*aa* āāa	*aaa* āāa	*a* āāc	āā{b,bd}
Yellow	*aaaa* aa	*aa* aa	*aaa* aa	*a* aa	a{a,e}
Green	*aaaa* {a,ad}	*aa* {a,ad}	*aaa* {a,ad}	*a* {a,ad}	a
Cyan	a×20	bbb{b,d}	*bbbb* bbb{b,d}	*bbb* bbbd	*bb* bbb{b,d}
Magenta	*bbbbb* bb	b{b,d}	*bbbb* b{b,d}	*bbb* bd	*bb* b{_,c,d}

Define *Blue, White, Cyan, Magenta* as color group 0, rest as color group 1.

-	Rule
Blue	End with bbb or bbd
Red	End with c or e
White	End with b or d
Gray	End with āāa
Yellow	End with aa
Green	End with a or ad
Cyan	End with bbbb or bbbd
Magenta	End with bb or bd
Box	Start with *bbbbb* for color group 0, start with *aaaa* for color group 1
Sphere	Start with *aa* for color group 1
Cylinder	Start with *bbbb* for color group 0, start with *aaa* for color group 1
Capsule	Start with *bbb* for color group 0, start with *a* for color group 1
Ellipsoid	Start with *bb* for color group 0

Table 2: (Top) Potential composition analysis of the messages from round 16,760 (bottom of Table 1). *Italic* symbols are used to specify shapes and roman symbols are used to specify colors. ā indicates a single deletion of *a*. (Bottom) Rules for each color and shape derived from the top table.

Jaccard similarity indicates, both agents are generating completely different messages for the same object type. In round $16,760$, as the perplexities and Jaccard similarity tell us, the agents came to share a very narrow set of names for each object type (1-4 names depending on the object type). Moreover, the names of the same-colored objects and same-shaped objects clearly seem to follow a pattern.

We found the messages in round $16,760$ could be decomposed in a similar manner as Table 6 in Appendix A. The top of Table 2 shows a possible decomposition of the messages from round $16,760$ and the bottom shows the rules for each color and shape derived from the decomposition. According to our analysis, the agents use the first part of the message (*i.e.* prefix) to specify a shape, and the second part (*i.e.* suffix) to specify a color. However, they use two different strings to specify a shape. For example, the agents use either *aaaa* or *bbbbb* to describe a *box*. The strings used for specifying colors show slightly weaker regularity. For example, *red* is always described by either the suffix c or suffix e, but *magenta* is described by the suffix bb, bd, and sometimes b or bc. ā used for *gray* objects represents deletion of prefix *a*. Note that removing prefixes beyond their length causes the pattern to break. For example, *Gray box, gray sphere* and *gray cylinder* use the same āāa to express the color, but *gray capsule* and *gray ellipsoid* use irregular suffixes.

Despite some irregularities and one exceptional case (*cyan box*), the messages follow a clear pattern, providing strong evidence that the agents learned to properly recognize color and shape from entangled input, mapped each color and shape to prefixes and suffixes, and are able to compose meaningful messages to describe a given image to one another. Communication accuracy for each object type is described in Appendix G. Communication examples and their analysis are given in Appendix H.

4.3 Zero-shot evaluation

If the agents have truly learned to compose a message that can be divided into a color part a shape part, then they should be able to accurately describe an object they have not seen before, which is one of the marks of compositional language. Therefore, we hold out five objects (the shaded cells in Table 3) from the dataset during the training and observe how agents describe five novel objects during the test phase. The agents were chosen from round $19,980$, which showed a high accuracy (97.8%), low perplexities ($1.48, 1.65$) and a high Jaccard similarity (0.75). Table 3 shows a potential decomposition of the messages used by the agents (original messages are described by Table 8 in

-	Box	Sphere	Cylinder	Capsule	Ellipsoid
Blue	*eeeeee* e{e,ee}	*eeee* ee	*eeeee* e{e,ed}	*eee* e{e,ed}	*ee* e{e,a}
Red	*eeeeee* ēēēē{e,a}	*eeee* ēēēē{e,ea}	*eeeee* ēēēē{e,a}	*eee* ēēēē{b,ba}	*ee* ēēēē{a,c}
White	*bbbb* b	*b* b	*bbb* {b,d}	*bb* {b,d}	*bbb* {d,c}
Gray	*eeeeee* ēēēe	*eeee* ēēē{_,a}	*eeeee* ēēē{e,a}	*eee* ēēē{e,a}	*ee* ēēē{b,d}
Yellow	*bbbb* b̄b̄{c,d}	*b* b̄be{e,ec}	*bbb* b̄b̄{c,d}	*bb* b̄b̄{a,c}	*bbb* b̄b̄{a,e}
Green	*eeeeee* {e,a}	*eeee* {e,a}	*eeeee* {e,a}	*eee* {e,a}	*ee* {e,a}
Cyan	*eeeeee* eeea	*eeee* ee{e,a}	*eeeee* ee{e,ea}	*eee* ee{e,a}	*ee* eea
Magenta	*bbbb* b̄{b,d}	*b* b̄{c,d}	*bbb* b̄{b,d}	*bb* b̄b	*bbb* b̄b̄{c,a}

Table 3: Potential analysis of the messages. *Italic* symbols are used to specify shapes and roman symbols to specify colors. b̄ indicates a single deletion of *b*. ē indicates a single deletion of *e*. Underscores indicate blanks

	Given to speaker	Given to listener	Given to both
Blue Box	0.9667	0.9714	1.0
Red Sphere	0.9205	0.9086	0.8
White Cylinder	0.9513	0.9486	0.9
Gray Capsule	0.9103	0.9057	1.0
Yellow Ellipsoid	0.9051	0.9000	1.0

Table 4: Communication accuracy when agents were given objects not seen during the training.

Appendix J). We can observe the overall compositional tendency, although some messages show somewhat weaker patterns compared to when the agents were trained with all object types (Table 2). Suffixes for specifying *yellow* and *magenta* are especially irregular, even when we consider the effects of b̄ and ē. However, the messages describing the held-out object types show clear compositional patterns with the exception of *yellow ellipsoid*.

In order to assess the communication accuracy when held-out objects are involved, we conducted another test with the agents from round $19,980$. Each held-out object was given to the speaker, the listener, or both. In the first two cases, the held-out object was paired with all 40 object types and each pair was tested 10 times. In the last case, the held-out object was tested against itself 10 times. In all cases, the agents switched roles after 5 times. Table 4 shows communication accuracies for each case. We can see the agents can successfully communicate most of the time even when given novel objects. The last column shows that the listener is not simply producing 0 to maximize its chance to win the game. It is also notable that the objects described without b̄ or ē show better performance in general. We noticed the communication accuracy for held-out objects seems relatively weak considering the messages used to describe them seem to strongly follow compositional patterns (Table 3). This, however, results from the compositional pattern itself being less clear than Table 2, especially with short messages (*i.e.* frequent use of b̄ and ē). The same tendency can be observed for non-held-out objects as described by the per-object communication accuracy Table 9 in Appendix K.

5 Discussion and Future Work

In this work, we trained the neural network agents to successfully communicate based on entangled visual input, using the obverter technique. The ultimate aim of this work was to motivate the agents to develop compositional language. Through qualitative analysis (Table 2), visualization and zero-shot test, we have shown that the emerged language had strong flavor of compositionality. However, we consider our evaluations as different ways to check if the necessary condition is met for the language to be considered compositional. Even passing the zero-shot test, which researchers typically use to evaluate compositionality, does not guarantee the communication is compositional, as some tasks can be still be achieved when agents are speaking partial truth or using negations. During the evaluation process we tried to design an evaluation method to undeniably confirm the compositionality of the emerged language. But we realized that the exact definition of compositional language is somewhat debatable, and, to the best of our knowledge, there was no reliable way to check for the compositionality of an arbitrary language.

Therefore, in order to encourage active research and discussion among researchers in this domain, we propose for future work, a quantitatively measurable definition of compositionality and ways to measure the compositionality of any language. We believe compositionality of language is not binary (*e.g.* language A is compositional/not compositional), but a spectrum. Even some aspect of

human language is compositional, but some are not (*e.g.* irregular verb tenses in English). It is also important to clearly define grounded language and compositional language. If one agent says *abc (eat red apple)* and another says *cba (apple red eat)*, and they both understand each other, are they speaking compositional language? We believe such questions should be asked and addressed to shape the definition of compositionality.

In addition to the definition/evaluation of compositional language, there are numerous directions of future work. Observing the emergence of compositional language among more than two agents is an apparent next step. Designing an environment to motivate the agents to disentangle more than two factors is also an interesting direction. Training agents to consider the context (*i.e.* pragmatics), such as giving each agent several images instead of one, is another exciting future work.

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A BATALI (1998) MEANING VECTORS AND MESSAGES

sp	*hr*	*ot*	*pl*	
1	0	0	0	*me*
1	0	0	1	*we*
1	0	1	1	*mip*
0	1	0	0	*you*
0	1	0	1	*yall*
0	1	1	1	*yup*
1	1	0	1	*yumi*
0	0	1	0	*one*
0	0	1	1	*they*
1	1	1	1	*all*

(a) Subject vectors

values	
011001	*happy*
011100	*sad*
101001	*angry*
100011	*tired*
110001	*excited*
100101	*sick*
100110	*hungry*
000111	*thirsty*
010101	*silly*
010011	*scared*

(b) Predicate vectors

values	
0010101001	*one angry*
1101010101	*yumi silly*
1111100101	*all sick*
0111100110	*yup hungry*
1011010101	*mip silly*
0111100101	*yup sick*
0100100011	*you tired*
0011000111	*they thirsty*
1001011100	*we sad*
1000110001	*me excited*

(c) Example meaning vectors

Table 5: Meaning vectors are composed of (a) subject vectors and (b) predicate vectors. (c) shows 10 out of 100 possible meaning vectors.

Each digit in the subject vector 5a serves a clear role, respectively representing speaker(*sp*), hearer(*hr*), other(*ot*), and plural(*pl*). The predicate vector values, on the other hand, are randomly chosen so that each predicate vector will have three 1's and three 0's. The combination of ten subject vectors and ten predicate vectors allows 100 meaning vectors.

-	**one**	**they**	**you**	**yall**	**yup**	**me**	**we**	**mip**	**yumi**	**all**
tired	cda	cdab	cdc	cdcb	cdba	cd	cdd	cddb	cdcd	cdb
scared	caa	caab	cac	cacb	caba	ca	cad	cadb	cacd	cab
sick	daa	daab	dac	dacb	daba	da	dad	dadb	dacd	dab
happy	baa	baab	bca	bcab	baac	ba	badc	bab	bac	babc
sad	aba	abab	ac	acb	abac	a	abdc	abb	abc	abbc
excited	cba	cbab	cca	cacb	cbca	c	ccdc	cb	ccb	cbc
angry	bb	bbb	bc	bcb	bbc	b	bddc	bdb	bdc	bdbc
silly	aa	aaab	aca	acab	adba	add	addc	adad	adc	adbc
thirsty	dbaa	dbab	dca	dcba	dbca	dda	ddac	dbad	dcad	dbacd
hungry	dbb	dbbd	dc	dcb	dbc	dd	ddc	dbd	dcd	dbcd

-	**one**	**they**	**you**	**yall**	**yup**	**me**	**we**	**mip**	**yumi**	**all**
tired	*cd*a	*cd*ab	*cd*c	*cd*cb	*cd*ba	*cd*	*cd*d	*cd*db	*cd*cd	*cd*b
scared	*ca*a	*ca*ab	*ca*c	*ca*cb	*ca*ba	*ca*	*ca*d	*ca*db	*ca*cd	*ca*b
sick	*da*a	*da*ab	*da*c	*da*cb	*da*ba	*da*	*da*d	*da*db	*da*cd	*da*b
happy	*ba*a	*ba*ab	b*ca*	b*ca*b	*ba*ac	*ba*	*ba*dc	*ba*b	*ba*c	*ba*bc
sad	*a*ba	*a*bab	*a*c	*a*cb	*a*bac	*a*	*a*bdc	*a*bb	*a*bc	*a*bbc
excited	*c*ba	*c*bab	*c*ca	*c*acb	*c*bca	*c*	*c*cdc	*c*b	*c*cb	*c*bc
angry	*c*b	*c*bb	*c*c	*c*cb	*c*bc	*c*	*c*ddc	*c*db	*c*dc	*c*dbc
silly	(aa)	(aaab)	(aca)	(acab)	*ad*ba	*ad*d	*ad*dc	*ad*ad	*ad*c	*ad*bc
thirsty	*d*b*a*a	*d*b*a*b	*d*c*a*	*d*cb*a*	*d*bc*a*	*d*d*a*	*d*d*a*c	*d*b*a*d	*d*c*a*d	*d*b*a*cd
hungry	*d*bb	*d*bbd	*d*c	*d*cb	*d*bc	*d*d	*d*dc	*d*bd	*d*cd	*d*bcd

Table 6: (Top) Messages used by a majority of the population for each of the given meanings. (Bottom) A potential analysis of the system in terms of a root plus modifications. *Italic* symbols are used to specify predicates and roman symbols are used to specify subjects. Messages in parentheses cannot be made to fit into this analysis.

Note that the messages using predicates *tired, scared, sick* and *happy* especially follow a very clear pattern. Batali also conducted a zero-shot test where the agents were trained without the diagonal elements in Table 6 and tested with all 100 meaning vectors. The agents were able to successfully communicate even when held-out meaning vectors were used, but the messages used for the held-out meaning vectors did not show as strong compositional patterns as the non-zero-shot case.

B MESSAGE GENERATION ALGORITHM IN BATALI (1998)

Algorithm 1: Message generation process used in Batali (1998).

$\mathbf{h}^{(0)} = \mathbf{0}$ //Initialize RNN hidden layer with zeros;
$\mathbf{s} = [\ ]$ //Initialize the message vector;
$t = 0$ //Timestep index;
$\mathbf{V} = \mathbf{I} \in \mathbb{R}^{4\times 4}$ //Each row $\mathbf{v}_0, \mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$ corresponds to a, b, c, d;
while $|\mathbf{s}| <$ *max message length* **do**
  $\mathbf{h}^{(t)}_{\mathbf{v}_i} = \sigma(\mathbf{v}_i \mathbf{W}_i + \mathbf{h}^{(t-1)}\mathbf{W}_h + \mathbf{b})$;
  $i = \text{argmin}_i \, ||\mathbf{m} - \mathbf{h}^{(t)}_{\mathbf{v}_i}||^2$;
  $\mathbf{h}^{(t)} = \mathbf{h}^{(t)}_{\mathbf{v}_i}$;
  Append i to $\mathbf{s}$;
  if $||\mathbf{m} - \mathbf{h}^{(t)}||^2 < threshold$ **then**
    Terminate;

C COMPARISON BETWEEN THE OBVERTER TECHINQUE AND THE REINFORCEMENT LEARNING-BASED APPROACH

The obverter technique allows us to generate messages that encourage the agents to use a shared language, even a compositional one, via using a single RNN for both speaking and listening.

This is quite different from other RL-based related works (Lazaridou et al., 2017; Mordatch & Abbeel, 2017; Foerster et al., 2016; Jorge et al., 2016; Kottur et al., 2017) where each agent has separate components (*e.g.* two RNNs) for generating messages and consuming messages. This is necessary typically because the message generation module and the message consumption module have different input/output requirements. The message generation module accepts some input related to the task (*e.g.* goal description vector, question embedding, or image embedding) and generates discrete symbols. The message consumption module, on the other hand, accepts discrete symbols (*i.e.* the message) and generates some output related to the task (*e.g.* some prediction or some action to take). Therefore, when a neural agent speaks in the RL-based approach, its message generation process is completely separated from its own listening process, but tied to the listening process of another agent (*i.e.* listener)[6]. This means an agent may not have internal consistency; what an agent speaks may not make sense to itself. However, agents in the RL-based setting do converge on common language because during the training the error signal flows from the listener to the speaker directly.

Obverter approach, on the other hand, requires that each agent has a single component for both message generation and message consumption. This single component accepts discrete symbols and generates some output related to the task. This guarantees internal consistency because an agent's message generation process is tied to its own message consumption process; it will only generate messages that make sense to itself. In the obverter setting, the error signal does not flow between agents directly, but agents converge on a common language by taking turns to be the listener; the listener tries to understand what the speaker says, so that when the listener becomes the speaker, it can generate messages that make sense to itself and, at the same time, will be understood by the former speaker (now listener).

The advantage of obverter approach over RL-based approach is that it is motivated by the theory of mind and more resembles the acquisition/development process of human language. Having a single mechanism for both speaking and listening, and training oneself to be a good listener leads to the emergence of self-consistent, shared language. However, obverter technique requires that all agents perform the same task, which means all agents must have identical model architectures. This is because, during the message generation process, the speaker internally simulates what the listener will go though when it hears the message. Therefore we cannot play an asymmetrical game such as where the speaker sees only one image and generates a message but the listener is given

[6]Note that this is still true even when using tricks to replace the message sampling process with some approximation functions (Gumbel-softmax or Concrete distribution)

multiple images and must choose one after hearing the message. RL-based approaches do not have this problem since there are separate modules for speaking and listening.

We believe obverter technique could be the better choice for certain tasks regarding human mind emulation. But it certainly is not the tool for every occasion. The RL-based approach is a robust tool for any general task that may or may not involve human-like communication. We conclude this section with a possible future research direction that combines the strengths of both approaches to enable communication in more interesting and complicated tasks.

D MODEL ARCHITECTURE DETAILS

We used TensorFlow and the Sonnet library for all implementation.

D.1 VISUAL MODULE

We used an eight-layer convolutional neural network. We used 32 filters with the kernel size 3 for every layer. The strides were $[2, 1, 1, 2, 1, 2, 1, 2]$ for each layer. We used rectified linear unit (ReLU) as the activation function for every layer. Batch normalization was used for every layer. We did not use the bias parameters since we used Batch normalization. For padding, we used the TensorFlow VALID padding option for every layer. The fully connected layer that follows the convolutional neural network was of 256 dimensions, with ReLU as the activation function.

D.2 LANGUAGE MODULE

We used a single layer Gated Recurrent Units (GRU) to implement the language module. The size of the hidden layer was 64.

D.3 DECISION MODULE

We used a two-layer feedforward neural network. The first layer reduces the dimensionality to 128 with ReLU as the activation function, then the second layer generates a scalar value with sigmoid as the activation function.

E MESSAGE GENERATION ALGORITHM USED IN OUR WORK

Algorithm 2: Message generation process used in our work.

$\mathbf{h}^{(0)} = \mathbf{0}$ //Initialize GRU hidden layer with zeros;
$\mathbf{s} = [\ ]$ //Initialize the message vector;
$t = 0$ //Timestep index;
$\mathbf{V} = \mathbf{I} \in \mathbb{R}^{5\times5}$ //Each row $\mathbf{v}_0, \mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \mathbf{v}_4$ corresponds to a, b, c, d, e;
$\mathbf{x} =$ image;
$\mathbf{z} = VisualModule(\mathbf{x})$;
while $|\mathbf{s}| <$ *max message length* **do**
  $\mathbf{h}^{(t)}_{\mathbf{v}_i} = GRU(\mathbf{v}_i, \mathbf{h}^{(t-1)})$;
  $i = \text{argmax}_i\, DecisionModule([\mathbf{h}^{(t)}_{\mathbf{v}_i}, \mathbf{z}])$;
  $\mathbf{h}^{(t)} = \mathbf{h}^{(t)}_{\mathbf{v}_i}$;
  Append i to $\mathbf{s}$;
  if $DecisionModule([\mathbf{h}^{(t)}_{\mathbf{v}_i}, \mathbf{z}]) > threshold$ **then**
    Terminate;

F TRAINING DETAILS

Both agents' model parameters are randomly initialized. The training process consists of rounds where teacher/learner roles are changed, and each round consists of multiple games where learner's model parameters are updated. In each game, the teacher, given a mini-batch of images, generates corresponding messages. The learner, given a separate mini-batch of images and the messages from the teacher, decides whether it is seeing the same object type as the teacher. Learner's model parameters are updated to minimize the cross entropy loss. After playing a predefined number of games, we move on to the next round where two agents change their roles.

We found twenty games per round, with fifty images per mini-batch to work well. We repeat the rounds for $20,000$ times. Further rounds did not improve the results, or even degraded the performance. For vocabulary size (*i.e.* number of unique symbols) and the maximum message length, we used 5 and 20 respectively, similar to what Batali (1998) used. We noticed that a larger vocabulary and a longer message length helped the agents achieve a high communication accuracy more easily. But the resulting messages were challenging to analyze for compositional patterns. Note that when generating a message using the obverter technique, the generation process stops as soon as the speaker's (*i.e.* teacher) output $\hat{y}$ becomes bigger than some threshold. In our work, we experimented with various values from 0.5 to 0.95, and found higher values to work better than lower values. We used 0.95 for all our final experiments.

G COMMUNICATION ACCURACY FOR EACH OBJECT TYPE

-	Box	Sphere	Cylinder	Capsule	Ellipsoid
Blue	97.75	97.00	95.00	93.75	93.50
Red	95.50	93.00	95.75	95.25	97.00
White	95.25	96.25	93.50	94.50	97.00
Gray	93.00	95.00	94.25	96.75	97.25
Yellow	98.00	95.00	95.50	94.25	94.25
Green	96.00	93.50	95.00	94.50	95.25
Cyan	97.50	94.50	97.00	94.00	94.75
Magenta	95.25	96.75	94.75	94.50	95.25

Table 7: Accuracy when each object type is given to the speaker.

We conducted a separate test with the agents from round $16,760$ to assess the communication accuracy for each object type. The agents were given $1,600$ total object pairs (40×40). Each object pair was tested 10 times, where after 5 times the agents switched speaker/listener roles. The average accuracy was 95.4%, and only 88 out of $1,600$ object pairs were communicated with accuracy lower than 0.8.

Table 7 describes the accuracy when each object type was given the speaker. We can observe that the accuracy is higher for objects that are described with less overlapping messages. For example, *yellow box* is communicated with the accuracy of 98%, and it is described with *aaaaaa*, which is not used for any other object types. *Gray box*, on the other hand, is communicated with accuracy 93%. It is described with *aaa*, which is also used for *yellow capsule* and *green sphere*, both of are communicated with low accuracies as well.

H COMMUNICATION EXAMPLE AND ANALYSIS

Figure 5 provides ten examples of communication when the speaker is given a *blue box* and the listener is given various object types. The listener's belief (*i.e.* score) that it is seeing the same image as the speaker changes each time it consumes a symbol. It is notable that most of the time the score jumps between 0 and 1, rather than gradually changing in between. This is natural given that messages that differ by only a single character can mean different objects (*e.g.* *blue box* and *blue cylinder*). This phenomenon can also be seen in human language. For example, *blue can* and *blue cat* differ by a single alphabet, but the semantics are completely different.

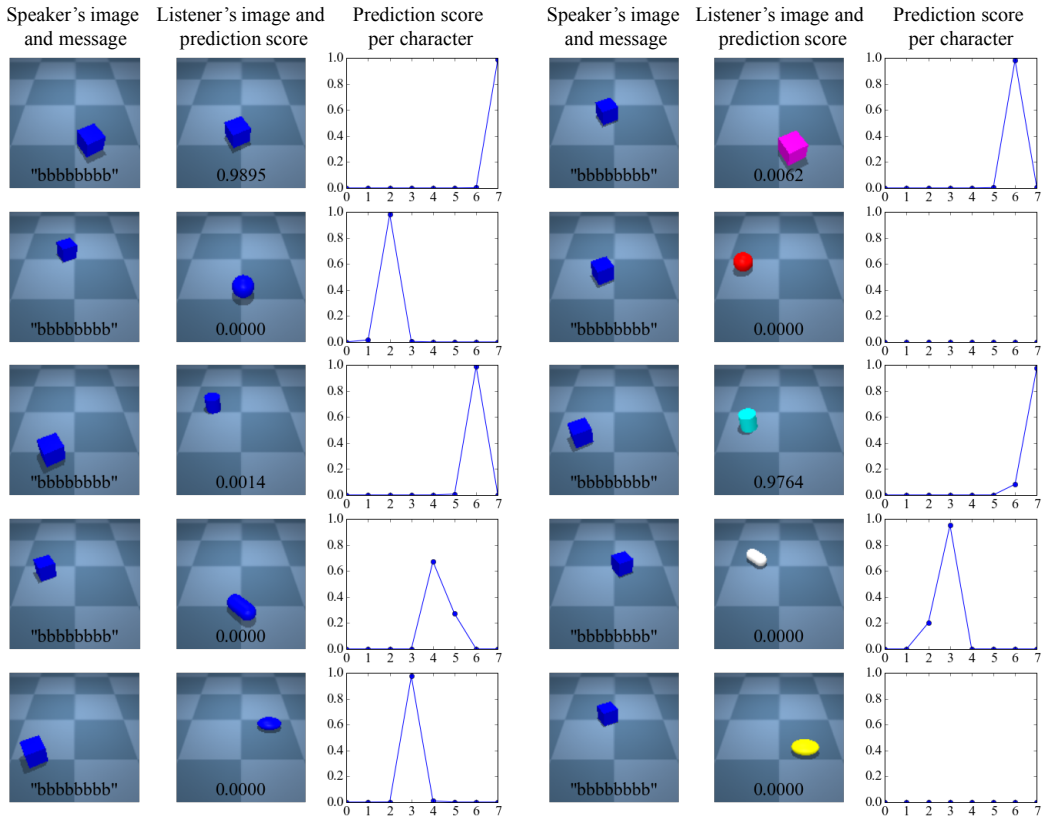


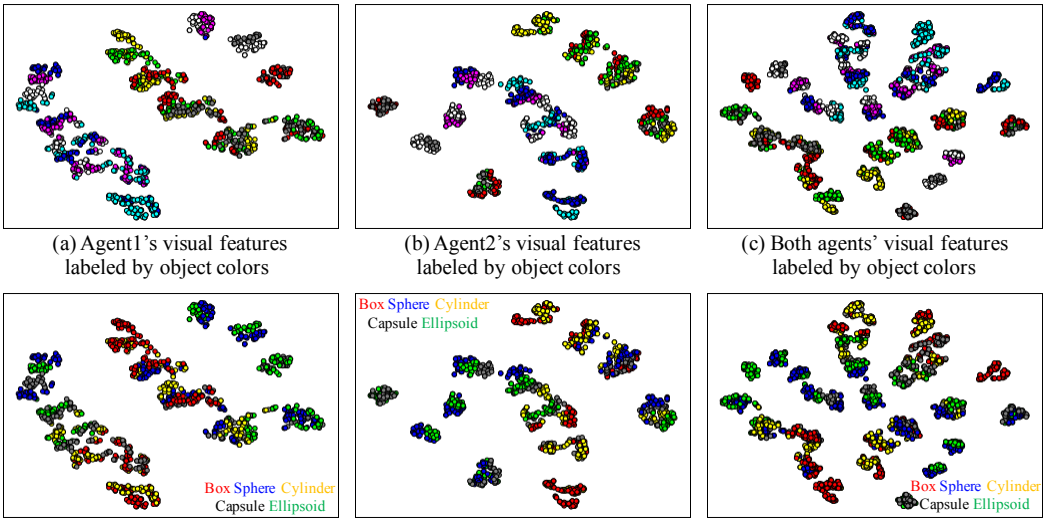
Figure 5: Ten communication examples when the speaker is given a blue box. Five examples on the left show when the listener is given blue objects. Five examples on the right show when the listener is given objects of different colors.

Object types that are described by similar messages as *blue box*, such as *blue cylinder* and *magenta box* cause marginal confusion to the listener such that prediction scores for both objects are not complete zeros. There are also cases where two completely different objects are described by the same message as mentioned in Section 4.1. From Table 2 we can see that *blue box* and *cyan cylinder* are described by the same message *bbbbbbb*{*b,d*}, although the underlying composition rules are different. Therefore the listener generates high scores for both objects, occasionally losing the game when the agents are given this specific object pair (1 out of 40 chance). This can be seen as a side effect coming from the *principle of least effort* which motivates the agents to win the game most of the time while minimizing the effort to generate messages. The same phenomenon is observed in human language in the form of homonyms[7].

I VISUALIZATION OF IMAGE EMBEDDINGS

Section 4.2 provides strong evidence the agents are properly recognizing the color and shape of an object. In this section, we study the visual module of both agents to study how they are processing the pixel input. We give each agent 1600 images, 40 per object type, and take their image embeddings (output of the fully-connected layer in the visual module). We use t-SNE (Maaten & Hinton, 2008) to reduce the dimensionality to 2D, and generate scatter plots as shown by Figure 6. The top row and the bottom row are the same scatter plots, but the dots are colored with different labels; the top row shows the color of each object, and the bottom row shows the shape of each object.

[7]Homograph is a more precise term. However, pronunciation does not exist in our work, rendering little difference between homonym and homograph.



(a) Agent1's visual features labeled by object colors

(b) Agent2's visual features labeled by object colors

(c) Both agents' visual features labeled by object colors

(d) Agent1's visual features labeled by object shapes

(e) Agent2's visual features labeled by object shapes

(f) Both agents' visual features labeled by object shapes

Figure 6: Scatter plots of the image embedding from the agents' visual module. T-SNE was used to reduce the dimension to 2D.

It is notable that the image embeddings form clear clusters, and all clusters are quite disentangled from one another. This means that the agents have learned to differentiate objects by their color and shape, and each color and shape have a specific place in the agents' mind. It is also impressive that not only both agents learned similar relationships between colors and shapes(Figure 6 (a) and (b) show similar clusters, as do (d) and (e)), but also they learned similar absolute values for colors and shapes. Even when we plot image embeddings from both agents together (Figure 6 (c) and (f)) the cluster qualities are kept almost identically (with slightly higher number of clusters) to when we plot them separately. Therefore when one agent thinks of color *red*, and utters a message to describe it, the other agent hears the message and think of something *red* as well. This is, of course, what we wanted to achieve by using the obverter technique.

The fact that a couple of color or shapes are occasionally in the same cluster suggests the agents have not perfectly disentangled colors and shapes. For perfect disentanglement, we believe some modifications to the image description game is required, which can be an important topic for future work. However, studying the cluster sheds some light on why the agents generate specific messages for each object type. For example, in Figure 6 (a) and (b), *blue, white, cyan* and *magenta* are often intertwined or their respective clusters are located nearby, as is the case for *red, gray, yellow* and *green*. This suggests the reason agents use prefix *b*'s to specify shapes for former color group and prefix *a*'s to specify shapes for the latter color group. Additionally, in Figure 6 (d) and (e), *Box* and *cylinder* are often located nearby, and *sphere* and *ellipsoid* show similar behavior[8]. We conjecture that this is the reason the messages describing *box* and *cylinder* are similarly long, and the messages describing *sphere* and *ellipsoid* tend to be similarly short.

[8]Incidentally, boxes and cylinders are of similar shape, which is also the case for spheres and ellipsoids.

J ORIGINAL MESSAGES FROM THE ZERO-SHOT TEST

-	Box	Sphere	Cylinder	Capsule	Ellipsoid
Blue	eeeeeee{e,ee}	eeeeee	eeeeee{e,ed}	eeee{e,ed}	eee{e,a}
Red	ee{e,a}	e,ea	e{e,a}	b,ba	a,c
White	bbbbb	bb	bbb{b,d}	bb{b,d}	bbb{d,c}
Gray	eeee	e,ea	ee{e,a}	e,a	b,d
Yellow	bb{c,d}	e{e,ec}	b{c,d}	a,c	a,e
Green	eeeeee{e,a}	eeee{e,a}	eeeee{e,a}	eee{e,a}	ee{e,a}
Cyan	eeeeeeeeea,a×20	eeeeee{e,a}	eeeeeee{e,ea}	eeeee{e,a}	eeeea
Magenta	bbb{b,d}	c,d	bb{b,d}	bb	b{c,a}

Table 8: Messages most often used by the two agents when speaking about a given object. Shaded cells indicate the objects not seen during the training. Brackets indicate the variation often seen at the last character.

K COMMUNICATION ACCURACY FOR EACH OBJECT TYPE IN ZERO-SHOT TEST

-	Box	Sphere	Cylinder	Capsule	Ellipsoid
Blue	96.75	95.00	94.75	95.75	96.50
Red	94.25	90.00	94.25	96.75	91.25
White	99.00	96.00	95.75	97.25	95.75
Gray	93.50	91.00	94.75	91.50	96.00
Yellow	98.75	94.75	95.75	92.00	91.50
Green	95.00	95.00	95.00	95.00	94.50
Cyan	92.00	95.00	97.50	95.00	95.00
Magenta	95.25	96.00	97.00	96.00	95.75

Table 9: Accuracy when each object type is given to the speaker. Shaded cells indicate the objects not seen during the training.

In the same manner as Appendix G, we conducted a separate test with the agents from round $19,980$ to assess the communication accuracy for each object type. The agents were given $1,600$ total object pairs (40×40). Each object pair was tested 10 times, where after 5 times the agents switched speaker/listener roles. The average accuracy was 94.73%, and 103 out of $1,600$ object pairs were communicated with accuracy lower than 0.8.

Table 9 describes the accuracy when each object type was given the speaker. Shaded cells indicate objects not seen during the training. Here we can observe the same tendency as the one seen in Appendix G; the accuracy is higher for objects that are described with less overlapping messages.