000
001
002
003
004
005
006
007
008
009
010
011
012
013
014
015
016
017
018
019
020
021
022
023
024
025
026
027
028
029
030
031
032
033
034
035
036
037
038
039
040
041
042
043
044
045
046
047
048
049
050
051
052
053
054

LAVA: Language Audio Vision Alignment for Pre-Training Transformers on Video Data

Abstract

Generating representations of video data is of key importance in advancing the field of machine perception. Most current techniques rely on hand-annotated data, which can be difficult to work with, expensive to generate, and hard to scale. In this work, we propose a novel learning approach based on contrastive learning, LAVA, which is capable of learning joint language, audio, and video representations in a self-supervised manner. We pre-train LAVA on the Kinetics 700 dataset using transformer encoders to learn representations for each modality. We then demonstrate that LAVA performs competitively with the current state-of-the-art self-supervised and weakly-supervised pre-training techniques on UCF-101 and HMDB-51 video action recognition while using a fraction of the unlabeled data.

1. Introduction

Supervised learning has generally driven the progress of video representation learning, however, labeling datasets is both time-consuming and expensive, making it especially hard to leverage large amounts of data using supervised learning. Moreover, while attention-based architectures such as (Dosovitskiy et al., 2020) (Arnab et al., 2021; Bertasius et al., 2021) have started to outperform CNNs on key benchmarks, they often require much larger amounts of training data than CNNs.

Self-supervised methods have emerged to answer the challenge; as powerful pre-training strategies for vision tasks, they can scale to larger training datasets without being constrained by labeling needs. One common approach is to use data augmentation to learn representational invariants for vision (Qian et al., 2021; Jing et al., 2018). Instead

---

[1]Anonymous Institution, Anonymous City, Anonymous Region, Anonymous Country. Correspondence to: Anonymous Author <anon.email@domain.com>.

Preliminary work. Under review by the First Workshop of Pre-training: Perspectives, Pitfalls, and Paths Forward at ICML 2022. Do not distribute.

$Video_i$ $Video_j$

Figure 1. For a given sample, the video, audio, and text data are all encoded into embeddings. LAVA's pre-training objective involves contrasting video embeddings from one sample to audio and text embeddings from different samples (dotted red lines) while aligning embeddings from the same sample (dotted green lines). Additionally, LAVA will calculate a centroid from audio, video, and text embeddings for each sample and contrast (solid red lines) or align (solid green lines) embeddings to these centroids accordingly.

of relying on hand-designed augmentations for the visual modality, another approach is to exploit the multi-modal nature of video and learn audio-visual correspondence, as seen with contrastive methods such as (Morgado et al., 2020b; Patrick et al., 2021; 2020a; Morgado et al., 2020a; Korbar et al., 2018). Similarly, other methods use text metadata from videos to learn joint visual-text representations in a self/weakly-supervised manner (Stroud et al., 2020; Patrick et al., 2020b; Li & Wang, 2020; Sun et al., 2019a; Miech et al., 2020; Sun et al., 2019b).

A less common but even more label-efficient strategy is to learn audio, visual and text representations together. While methods such as (Akbari et al., 2021; Alayrac et al., 2020; Chen et al., 2021) pre-train on these three modalities, they do so leveraging HowTo100M, which has a massive 15 years of unlabeled video data. Moreover, excepting Akbari et al. (Akbari et al., 2021), most of the above techniques use highly modality-specific encoders (e.g. only CNNs for vision), instead of exploring more generic, attention-based

Figure 2. LAVA's pre-training architecture includes modality-specific feature extraction, attention-based encoding, cross-modal contrastive losses and centroid contrastive loss. Implementation details are in Section 3.

backbones for all modalities.

These observations are the main motivation for LAVA, which introduces a more data and label-efficient method for pre-training transformer encoders on audio, visual, and text modalities for video data. To achieve this, our proposed method uses novel cross-modal and centroid-based contrastive objectives seen in Figure 1. We evaluate pre-trained LAVA on UCF-101 and HMDB-51 downstream video action recognition.

2. Pre-Training Approach

Overview: Given a set of n unlabelled videos X, each video $x_i \in X$ is decomposed into different modalities a_i, v_i and t_i, which are audio, visual and text features, respectively. Details regarding modality-specific extraction are in Section 3. Then, LAVA's three encoders f_a, f_v, and f_t produce output embeddings given their respective modality features m_i as inputs. LAVA then uses projection functions to project these embeddings to various multi-modal latent spaces (e.g. audio-video, video-text and audio-video-text spaces) in some $\mathbb{R}^d$. Let us denote z_m as the embedding for modality m. We define projection function $g_{m,m'}$ to project z_m and $z_{m'}$, to a multi-modal latent space m, m'. In these multi-modal latent spaces, we apply a contrastive framework to jointly compare embeddings using a similarity function s, such that $\forall i, m' \neq m$ we have high $s(g_{m,m'}(z_{m,i}), g_{m,m'}(z_{m',j}))$ and $\forall i \neq j, m' \neq m$ we have low $s(f_m(m_i), f_{m'}(m'_j))$.

Cross-Modal Contrastive Loss We use $exp(g_{m,m'}(z_{m,i})^T g_{m,m'}(z_{m',j})/\tau)$ as the similarity function, where τ denotes temperature. We use the noise

contrastive estimation (NCE) (Gutmann & Hyvärinen, 2010) as our contrastive loss; positive pairs are embeddings from the same instance and negatives are embeddings from difference instances. This objective is intended to make LAVA a dictionary, effectively mapping input features a_i, v_i, t_i to unified representations z_i in a multi-modal latent space. The NCE loss is formulated below (for simplicity the projection functions are omitted):

$$NCE(z_m, z_{m'}) = -log\left(\frac{\sum_{i=0}^{N} exp(z_{m,i}^T z_{m',i}/\tau)}{\sum_{i=0}^{N} exp(z_{m,i}^T z_{m',i}/\tau) + \sum_{i=0}^{N}\sum_{j\neq i}^{N} exp(z_{m,i}^T z_{m',j}/\tau)}\right) \quad (1)$$

Following (Miech et al., 2020; Alayrac et al., 2020; Akbari et al., 2021), we use NCE for audio-video and video-text pairs:

$$L_{AV}(z_a, z_v) = NCE(g_{av}(z_a), g_{av}(z_v)) \quad (2)$$

$$L_{VT}(z_v, z_t) = NCE(g_{vt}(z_v), g_{vt}(z_t)) \quad (3)$$

Centroid Contrastive Loss LAVA also enforces audio-video-text correspondence via a novel centroid contrastive loss. Following Chen et al. (Chen et al., 2021), for a given instance x_i, we calculate a centroid c_i by averaging projected LAVA embeddings: $c_i = (g_{avt}(z_{a,i}) + g_{avt}(z_{v,i}) + g_{avt}(z_{t,i}))/3$. g_{avt} projects modal embeddings to a joint, tri-modal latent space. However, unlike Chen et al. (Chen et al., 2021) we do not k-means cluster the centroids via k-means clustering and align embeddings to their centroid's cluster assignment. Thus, we avoid potential detriments of grouping representations into a fixed K clusters across all batches and the additional training time needed for clustering. Instead, we instead directly optimize for alignment

between embeddings and their centroid via the following loss:

$$L_{AVT}(c, z_a, z_v, z_t) = \sum_{m \in a,v,t} NCE(g_{avt}(z_m), c) \quad (4)$$

Combining all losses, we define the total loss for LAVA's pre-training:

$$L_{LAVA} = L_{AV} + L_{VT} + L_{AVT} \quad (5)$$

3. Experiments

Pre-training: We use the training set of Kinetics-700, which currently has 480k videos across 700 action categories, of which 300k videos have usable audio and web-crawled titles. To the best of our knowledge, LAVA is the first method to extract this text data for Kinetics-700. Given that Kinetics is among the most commonly used video pre-training datasets and that multi-modal pre-training in computer vision is becoming more common, we believe this is a meaningful contribution and intend to release this data for others to use. Video clips are [16 x 224 x 224 x 3] at 10 fps, with random h-flip and random space crop augmentations. Audio features are [80, 256] log mel-spectrograms augmented with Gaussian noise. Text sequences have a maximum length of 128 from a 48k vocab size using BPE tokenization. Most video transformers use ImageNet-pre-trained ViT (Arnab et al., 2021; Bertasius et al., 2021), pre-train on massive video datasets like HowTo100M as in (Akbari et al., 2021) or use convolutions followed transformers as in (Patrick et al., 2021). We follow the latter, except we freeze a ImageNet-pre-trained ResNet-18 backbone and use it to extract frame-wise patch features for each clip. Audio features are encoded by an MLP. Text tokens are mapped to embeddings. Besides the audio MLP and text embedding layer, all LAVA feature extraction is entirely off-line, meaning no gradients are propagated to it. As seen in Figure 2, audio, video and text features are then encoded by transformer encoders f_a, f_v, and f_t, each with 4 layers and a hidden size of 1024. Missing modalities in a batch are masked out during loss calculation. We use a batch size of 32, 0.07 temperature, $1e^{-5}$ learning rate, Adam optimizer, and a cosine-learning schedule. Pre-training is done for 25 epochs on a single Titan X GPU.

UCF-101 Downstream The UCF-101 dataset includes 13k videos across 101 action categories. We train a linear classifier on top of the frozen LAVA video encoder using a 1e-4 learning rate and a batch size of 32. Also, we compare the downstream performance of the classifier when using the frozen video encoder vs. frozen audio and video encoders. Logits are averaged across multiple clips per test set video. Top-1 accuracy is averaged across all 3 splits, unless otherwise specified.

HMDB-51 Downstream The HMDB-51 dataset includes 7k videos across 51 action categories and three splits. We follow the UCF-101 evaluation procedure, except we do not evaluate with audio+video mode as most HMDB-51 videos have no audio. Otherwise, our evaluation procedure follows that of UCF-101.

4. Results

4.1. Downstream Tasks

Table 1 compares LAVA linear evaluation performance on UCF-101 and HMDB-51 to various self-supervised benchmarks. LAVA outperforms all methods trained on datasets comparable to Kinetics-700 size besides (Li & Wang, 2020; Qian et al., 2021). This can be attributed to LAVA's increased data efficiency as it makes use of audio, video, and text for each sample, whereas these benchmarks rely on at most two modalities. Notably, LAVA significantly outperforms Sun et al. (Sun et al., 2019a), which omits audio and uses ASR-extracted captions as text, whereas LAVA pretrains on audio and video titles. LAVA also slightly outperforms Patrick et al. (Patrick et al., 2021), which has a R(2+1)-18+transformer video encoder and ResNet audio encoder, but does not pre-train on text. LAVA performs competitively with Li et al. (Li & Wang, 2020), which also uses video titles as text, and is outperformed by Qian et al. (Qian et al., 2021), which only uses the video modality, but makes use of extensive spatiotemporal augmentations and a large batch size of 1024, both of which add significantly higher computational cost. Moreover, (Li & Wang, 2020; Qian et al., 2021) both use ResNet3D-50 as their backbone, which is more performant when trained from scratch than transformer encoders trained with the same amount of data (Bertasius et al., 2021; Arnab et al., 2021). LAVA is pre-trained with much lower compute (e.g. single GPU) than these benchmarks, and LAVA's transformer encoders are pre-trained from scratch for all modalities as part of our goal to explore more general, attention-based mechanisms for processing video data. Given these differences in pre-training, LAVA's performance relative to (Li & Wang, 2020; Qian et al., 2021) is reasonably competitive.

Interestingly, Miech et al. (Miech et al., 2020), despite having been pre-trained on over 150X samples than Kinetics-700, only slightly outperforms LAVA on UCF-101 and HMDB-51. As Miech et al. (Miech et al., 2020) uses instructional captions for text and does not pre-train on audio, this indicates pre-training on audio and titles-based text can achieve comparable downstream performance while vastly increasing data efficiency. (Akbari et al., 2021; Alayrac et al., 2020) outperform LAVA using audio, video and caption-based text, while Stroud et al. (Stroud et al., 2020) uses video and titles-based text. This performance gap can be attributed to LAVA being pre-trained on less than 1% the

Method	Dataset	Years	Mod.	UCF	HMDB
RotNet3D (Jing et al., 2018)	K600	0.1	V	47.7	24.8
CBT (Sun et al., 2019a)	K600	0.1	VT	54.0	29.5
MemDPC (Han et al., 2020a)	K600	0.1	VF	54.1	30.5
AVSF (Xiao et al., 2020)	K400	0.1	AV	54.1	30.5
CoCLR (Han et al., 2020b)	K400	0.1	VF	77.4	44.1
STiCA* (Patrick et al., 2021)	K400	0.1	AV	77.0	48.2
CPD (Li & Wang, 2020)	IG300	0.1	VT	83.7	54.7
CVRL (Qian et al., 2021)	K600	0.1	V	90.8	59.7
LAVA* (video only)	K700	0.1	AVT	81.3	50.1
LAVA* (audio+video)	K700	0.1	AVT	84.3	-
MIL-NCE (Miech et al., 2020)	HT	15	VT	83.4	54.8
ELo (Piergiovanni et al., 2020)	Y8M	13	V	-	64.5
VATT* (Akbari et al., 2021)	HT	15	AVT	89.6	65.2
BraVe (Recasens et al., 2021)	AS	1	AV	93.6	70.8
MMV† (Alayrac et al., 2020)	AS+HT	16	AVT	95.2	75.0
WTS (Stroud et al., 2020)	WTS70M	22	VT	95.8	77.7

Table 1. * denotes that the vision backbone has a transformer encoder. † denotes that the vision backbone is fine-tuned downstream, rather than being frozen for linear evaluation.

amount of data as these benchmarks.

Given that LAVA uses Kinetics-700 video titles as text, it can be argued that this is a form of weak supervision as per (Li & Wang, 2020; Stroud et al., 2020). We found that while all HMDB-51 classes are fully covered by Kinetics-700 text, the following UCF-101 classes are not covered: IceDancing, PizzaTossing, PommelHorse, SkiJet, StillRings. The 3-split average top-1 accuracy for these classes is 79.9%, which is comparable to LAVA's overall 81.3% for UCF-101, indicating that LAVA's representations transfer well to unseen classes downstream.

We understand that as not all benchmarks are pre-trained on audio and video, the common evaluation procedure uses video embeddings only. However since LAVA is a multi-modal model, we use our novel evaluation strategy to quantify downstream performance improvements when using embeddings from multiple modalities. Table 1 shows that audio-video LAVA outperforms video-only by 3%, indicating that audio embeddings can provide additional information for action recognition.

4.2. Ablations

As shown in 3, we ablate pre-training duration by evaluating LAVA at 1, 10, and 25 epochs of pre-training; video-only increases from 73.1% to 80.0% to 81.3%, while audio+video increases from 76.0% to 82.3% to 84.3%.

Lastly, we ablate the various pre-training losses as seen in Table 2. As expected, LAVA pre-trained without text and centroid contrastive loss performs the worst. LAVA pre-trained with L_{AV} and L_{VT}, but no centroid loss performs worse than LAVA pre-trained with the centroid loss. This indicates that the text modality and the centroid loss, both of which increase data efficiency by making greater use of

Pre-train	Downstream	UCF-101	HMDB-51
AV	Video Only	68.6	41.5
AV	Audio+Video	72.4	-
AV+VT	Video Only	74.91	49.4
AV+VT	Audio+Video	79.36	-
AV+VT+AVT	Video Only	81.1	51.8
AV+VT+AVT	Audio+Video	84.2	-

Table 2. All results are split-1 top-1 accuracy. Pre-train denotes which pre-training losses are used.

the

Figure 3. UCF-101 accuracy as a function of pre-training duration.

same amount of samples, significantly improve downstream video action recognition. The performance boost from using audio+video embeddings during linear evaluation is also seen in Table 2.

5. Conclusion

We present LAVA, a novel self-supervised pre-training method for learning audio, visual, and text representations from unlabeled video data using transformers. By using multiple modalities and introducing novel cross-modal and centroid contrastive objectives, LAVA increases data efficiency while performing competitively with self and weakly supervised benchmarks. As LAVA's pre-training effectively combines multi-modal data and generic transformer encoders, we believe it can scale both in terms of the amount on unlabelled data and the number of modalities in the data. In future work, we intend to explore pre-training on more/different modalities and new downstream tasks (e.g. retrieval, captioning, video/audio generation) using LAVA.

References

Akbari, H., Yuan, L., Qian, R., Chuang, W.-H., Chang, S.-F., Cui, Y., and Gong, B. Vatt: Transformers for multimodal self-supervised learning from raw video, audio and text. *Advances in Neural Information Processing Systems*, 34, 2021.

Alayrac, J.-B., Recasens, A., Schneider, R., Arandjelović, R., Ramapuram, J., De Fauw, J., Smaira, L., Dieleman, S., and Zisserman, A. Self-supervised multimodal versatile networks. *Advances in Neural Information Processing Systems*, 33:25–37, 2020.

Arnab, A., Dehghani, M., Heigold, G., Sun, C., Lučić, M., and Schmid, C. Vivit: A video vision transformer. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pp. 6836–6846, 2021.

Bertasius, G., Wang, H., and Torresani, L. Is space-time attention all you need for video understanding. *arXiv preprint arXiv:2102.05095*, 2(3):4, 2021.

Chen, B., Rouditchenko, A., Duarte, K., Kuehne, H., Thomas, S., Boggust, A., Panda, R., Kingsbury, B., Feris, R., Harwath, D., et al. Multimodal clustering networks for self-supervised learning from unlabeled videos. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pp. 8012–8021, 2021.

Dosovitskiy, A., Beyer, L., Kolesnikov, A., Weissenborn, D., Zhai, X., Unterthiner, T., Dehghani, M., Minderer, M., Heigold, G., Gelly, S., et al. An image is worth 16x16 words: Transformers for image recognition at scale. *arXiv preprint arXiv:2010.11929*, 2020.

Gutmann, M. and Hyvärinen, A. Noise-contrastive estimation: A new estimation principle for unnormalized statistical models. In Teh, Y. W. and Titterington, M. (eds.), *Proceedings of the Thirteenth International Conference on Artificial Intelligence and Statistics*, volume 9 of *Proceedings of Machine Learning Research*, pp. 297–304, Chia Laguna Resort, Sardinia, Italy, 13–15 May 2010. PMLR. URL https://proceedings.mlr.press/v9/gutmann10a.html.

Han, T., Xie, W., and Zisserman, A. Memory-augmented dense predictive coding for video representation learning. In *European conference on computer vision*, pp. 312–329. Springer, 2020a.

Han, T., Xie, W., and Zisserman, A. Self-supervised co-training for video representation learning. *Advances in Neural Information Processing Systems*, 33:5679–5690, 2020b.

Jing, L., Yang, X., Liu, J., and Tian, Y. Self-supervised spatiotemporal feature learning via video rotation prediction. *arXiv preprint arXiv:1811.11387*, 2018.

Korbar, B., Tran, D., and Torresani, L. Cooperative learning of audio and video models from self-supervised synchronization. *Advances in Neural Information Processing Systems*, 31, 2018.

Li, T. and Wang, L. Learning spatiotemporal features via video and text pair discrimination. *arXiv preprint arXiv:2001.05691*, 2020.

Miech, A., Alayrac, J.-B., Smaira, L., Laptev, I., Sivic, J., and Zisserman, A. End-to-end learning of visual representations from uncurated instructional videos. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pp. 9879–9889, 2020.

Morgado, P., Li, Y., and Nvasconcelos, N. Learning representations from audio-visual spatial alignment. *Advances in Neural Information Processing Systems*, 33:4733–4744, 2020a.

Morgado, P., Vasconcelos, N., and Misra, I. Audio-visual instance discrimination with cross-modal agreement. *arXiv preprint arXiv:2004.12943*, 2020b.

Patrick, M., Asano, Y. M., Kuznetsova, P., Fong, R., Henriques, J. F., Zweig, G., and Vedaldi, A. Multi-modal self-supervision from generalized data transformations. *arXiv preprint arXiv:2003.04298*, 2020a.

Patrick, M., Huang, P.-Y., Asano, Y., Metze, F., Hauptmann, A., Henriques, J., and Vedaldi, A. Support-set bottlenecks for video-text representation learning. *arXiv preprint arXiv:2010.02824*, 2020b.

Patrick, M., Huang, P.-Y., Misra, I., Metze, F., Vedaldi, A., Asano, Y. M., and Henriques, J. F. Space-time crop & attend: Improving cross-modal video representation learning. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pp. 10560–10572, 2021.

Piergiovanni, A., Angelova, A., and Ryoo, M. S. Evolving losses for unsupervised video representation learning. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pp. 133–142, 2020.

Qian, R., Meng, T., Gong, B., Yang, M.-H., Wang, H., Belongie, S., and Cui, Y. Spatiotemporal contrastive video representation learning. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pp. 6964–6974, 2021.

Recasens, A., Luc, P., Alayrac, J.-B., Wang, L., Strub, F., Tallec, C., Malinowski, M., Pătrăucean, V., Altché, F., Valko, M., et al. Broaden your views for self-supervised video learning. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pp. 1255–1265, 2021.

Stroud, J. C., Lu, Z., Sun, C., Deng, J., Sukthankar, R., Schmid, C., and Ross, D. A. Learning video representations from textual web supervision. *arXiv preprint arXiv:2007.14937*, 2020.

Sun, C., Baradel, F., Murphy, K., and Schmid, C. Learning video representations using contrastive bidirectional transformer. *arXiv preprint arXiv:1906.05743*, 2019a.

Sun, C., Myers, A., Vondrick, C., Murphy, K., and Schmid, C. Videobert: A joint model for video and language representation learning. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pp. 7464–7473, 2019b.

Xiao, F., Lee, Y. J., Grauman, K., Malik, J., and Feichtenhofer, C. Audiovisual slowfast networks for video recognition. *arXiv preprint arXiv:2001.08740*, 2020.