PROGRAMMATIC REINFORCEMENT LEARNING WITHOUT ORACLES

ABSTRACT

Deep reinforcement learning (RL) has led to encouraging successes in many challenging control tasks. However, a deep RL model lacks interpretability due to the difficulty of identifying how the model's control logic relates to its network structure. Programmatic policies structured in more interpretable representations emerge as a promising solution. Yet two shortcomings remain: First, synthesizing programmatic policies requires optimizing over the discrete and non-differentiable search space of program architectures. Previous works are suboptimal because they only enumerate program architectures greedily guided by a pretrained RL oracle. Second, these works do not exploit compositionality, an important programming concept, to reuse and compose primitive functions to form a complex function for new tasks. Our first contribution is a programmatically interpretable RL framework that conducts program architecture search on top of a continuous relaxation of the architecture space defined by programming language grammar rules. Our algorithm allows policy architectures to be learned with policy parameters via bilevel optimization using efficient policy-gradient methods, and thus does not require a pretrained oracle. Our second contribution is improving programmatic policies to support compositionality by integrating primitive functions learned to grasp task-agnostic skills as a composite program to solve novel RL problems. Experiment results demonstrate that our algorithm excels in discovering optimal programmatic policies that are highly interpretable.

1 INTRODUCTION

Deep reinforcement learning (RL) has been deployed for decision-making in numerous robotics applications (Levine et al., 2016; Singh et al., 2019). However, the control logic learned by a deep RL agent is hard to decipher. Lack of interpretability of a neural policy is mainly caused by the difficulty of identifying how logical notions of control relate to the uninterpretable deep network structure.

A growing body of research has explored *programs* in a domain-specific programming language as a new RL policy representation that intentionally encourages interpretability. Yet, learning a policy as a high-level program in structured representations is challenging. This is because algorithms must jointly identify a reasonable program architecture to allow for sufficient expressiveness while optimizing the parameters of a program's modules. For example, depending on the shape of a maze, walking a robot to different goals on the maze by a program may require various *if-then-else* conditions to travel along different paths, the number of which might not be known to the agent before training. To address this issue, existing programmatic policy learning algorithms search a program by enumerating a set of templates in the form of decision trees (Bastani et al., 2018; Silver et al., 2020), finite state machines (Inala et al., 2020), or program sketches (Verma et al., 2018). Their search algorithms are guided by the supervision of a pretrained oracle (e.g. a neural network policy trained by RL) via a teacher-student learning paradigm. However, alternating reinforcement learning of a neural policy and imitation learning of a program that mimics the neural policy (Verma et al., 2019; Anderson et al., 2020) makes programmatic RL an expensive endeavor. Moreover, since the policy spaces of neural networks and that of programmatic policies are significantly different, a performance gap exists between an imitating program and its RL oracle (Verma et al., 2018).

The first contribution of our paper is a framework to instead synthesize interpretable and *differentiable* programmatic policies solely from reward signals to solve tasks described by Markov Decision

Processes. A conceivable way of synthesizing program architectures from rewards would be to enumerate all possible architectures induced by the grammar of a domain-specific policy language, run standard RL for each to find the optimal values of its unknown parameters, and return the best program. However, doing so is computationally expensive as each RL trial may explore millions of environment steps. Rather than enumerating architectures, inspired by recent advances in differentiable neural architecture search e.g. DARTs (Liu et al., 2019), we relax the discrete program architecture search space to be continuous. Specifically, we encode program architecture synthesis as learning the probability distribution over all possible architecture derivations (up to a certain bound on program abstract syntax tree depth) induced by a policy language grammar. This enables our RL algorithm to jointly optimize program architectures and the parameters of program modules via policy-gradient methods.

Our second contribution is improving programmatic policies to support compositionality — the integration of primitive functions trained to grasp basic, task-agnostic skills (e.g. running forward or jumping) into a new complex function as a composite model to solve novel RL problems (e.g. jumping over multiple hurdles to reach a target). As opposed to policy ensemble models based on neural networks (Qureshi et al., 2019), our programmatically composite models interpret how primitive functions are composed under different environment conditions based on an RL agent's perceptions, and naturally generalize to novel scenarios. We further apply programmatic policies to address challenging hierarchical RL problems. Our solution leverages the specifications of primitive functions to create an optimal high-level control plan via a satisfiability constraint solver and implements the high-level plans by learned composition of primitive functions.

Finally, we benchmark our method against the state-of-the-art RL methods. Our results demonstrate that the programmatic RL framework is able to solve extremely hard RL-problems using highly interpretable policies with improved task performance.

2 PROBLEM MOTIVATION AND FORMULATION

We study how to express RL policies as *differentiable* programs, which use symbolic language constructs to compose a set of parameterized primitive modules. To control an agent, a programmatic policy takes an environment state as input and computes an action as return for the agent to execute.

Specifically, we view a programmatic policy as a pair (E, θ), where E is a discrete program architecture and θ is a vector of real-valued parameters of the program. A program architecture E is structured based on the context-free grammar (Hopcroft et al., 2007) of a policy DSL. Such a grammar consists of a set of production rules $X \rightarrow \sigma_1, \sigma_2, \cdots, \sigma_j$ where X is a nonterminal and $\sigma_1, \cdots, \sigma_j$ are either terminals or nonterminals. In this paper, we consider the context-free grammar depicted in the standard Backus-Naur form (Winskel, 1993) in Fig. 1. A vertical bar "|" indicates choice. The nonterminals E and B stand for program expressions that evaluate to action values in $\mathbb{R}^m$ and Booleans, respectively, where m is the action dimension size. We represent a state input to a programmatic policy as $s = \{x_1 : \nu_1, x_2 : \nu_2, \ldots, x_n\}$ where n is the state dimension size and $\nu_i = s[x_i]$ is the value of x_i in s. As usual, the unbounded variables in $\mathcal{X} = [x_1, x_2, \ldots, x_n]$ are assumed to be input variables (state variables in our context). A terminal in this grammar is a symbol that can appear in a program's code, e.g. the *if* symbol and x_i.

$$E ::= C \mid \textbf{if } B \textbf{ then } C \textbf{ else } E$$
$$B ::= \theta_c + \theta^T \cdot \mathcal{X} \geq 0$$

Figure 1: A Context-free DSL Grammar for programmatic policies.

The semantics of a program in E is mostly standard and given by a function $[\![E]\!](s)$, defined for each DSL constructs. For example, $[\![x_i]\!](s) = s[x_i]$ reads the value of a variable x_i in a state input s. A policy may use an **if-then-else** branching construct. To avoid discontinuities for differentiability, we interpret its semantics in terms of a smooth approximation where σ is the sigmoid function:

$$[\![\textbf{if } B \textbf{ then } C \textbf{ else } E]\!](s) = \sigma([\![B]\!](s)) \cdot [\![C]\!](s) + (1 - \sigma([\![B]\!](s)) \cdot [\![E]\!](s) \tag{1}$$

Thus, any policy programmed in this grammar becomes a differentiable program. C is a controller used by a programmatic policy. During execution, the policy can invoke a set of controllers under different environment conditions, according to the activation of B conditions in the program. We consider three DSLs depending on how C is structured for *affine*, *ensemble*, and *PID* policies.

Affine Policies. The DSL for affine policies allows C to be expanded as an affine transformation:

$$C_{\text{Affine}} \ ::= \ \theta_c + \theta \cdot \mathcal{X} \mid \theta_c$$

where $\theta \in \mathbb{R}^{m \cdot |\mathcal{X}|}, \theta_c \in \mathbb{R}^m$ are control parameters. Particularly, C_{Affine} can be as simple as some (learned) constants θ_c. An example affine policy is given in Appendix F.1.

Ensemble Policies. The most important feature of our programmatic model is compositionality — composing and reusing task-agnostic primitives in new programs to solve novel problems. The DSL for ensemble policies includes pre-acquired primitives $\pi_1, \cdots, \pi_N$ as callable library functions:

$$C_\pi \ ::= \ \theta_1 \cdot \pi_1(s) + \theta_2 \cdot \pi_2(s) + \cdots + \theta_N \cdot \pi_N(s)$$

C_π explicitly compose primitive functions (e.g. running forward or jumping) hierarchically into a complex program (e.g. jumping over multiple hurdles to reach a target) where $\theta_1, \cdots, \theta_N \in \mathbb{R}^1$ parameterize a primitive combination. The input space of a primitive function can be different than that of the composite program (formally defined in Sec. 2.2). Its semantics is captured as follows:

$$[\![C_\pi]\!](s) = \sum_{i=0}^{N} q_i \cdot \pi_i(s) \text{ where } q_i = \frac{\exp(\theta_i/T)}{\sum_{j=0}^{N} \exp(\theta_j/T)}$$

Here the composition weights $\{q_i\}_{i=0}^{N}$ for primitive ensemble are computed using gumbel-softmax, where T is the temperature term (Jang et al., 2017).

PID Policies. Suppose we know a priori that PID control is suitable for stabilising of an RL system. We can express this knowledge using the DSL for PID functions that allows C to be expanded as discretized, multivariable PID controllers (Zheng et al., 2002). We leave the details in Appendix F.2.

Expressiveness. We omit loops from our DSLs as programmatic policies are always executed in a feedback loop with their environment. Although our DSLs are simple, the expressiveness is equivalent to previous interpretable RL works (Bastani et al., 2018; Verma et al., 2018; 2019).

2.1 PROGRAM INTERPRETABILITY

Our RL algorithm searches over a DSL to synthesize a programmatic policy that maximizes cumulative reward from its environment. Thanks to the structured and symbolic representations, it learns highly interpretable policies. For example, consider an Ant Cross Maze environment depicted in Fig. 2. The cross-maze contains three possible goal positions and one would be randomly selected at each time. In this environment, the task for a quadruped MuJoCo Ant is to reach the selected location by navigating through the maze staring from an initial position on the bottom and without collision or crash. We consider the DSL for this task using ensemble policies C_π. It includes four basic primitive functions for moving the Ant up π_{up}, down π_{down}, left π_{left}, and right π_{right} (pretrained as neural network policies using standard RL algorithms with details left in App. A.1).

Figure 2: Ant Cross Maze

Fig. 3 depicts a synthesized program $\mathcal{P}_{cross}$ with three branches for solving the Ant Cross Maze environment. As specified in Equation 1, our semantics of a branching construct is approximated by the sigmoid function σ. The value of the predicate in a Boolean condition determines the activation of the controller guarded by the Boolean condition. At each state, branch activation determines the strength of each of the controllers in the program. For example, the activation of branch 1 is $\sigma(\theta_{1c} + \theta_1^T \cdot \mathcal{X})$, and the activation of branch 2 is $(1 - \sigma(\theta_{1c} + \theta_1^T \cdot \mathcal{X})) \cdot \sigma(\theta_{2c} + \theta_2^T \cdot \mathcal{X})$.

Fig. 4a depicts the activation of branch 1 as a function of (x, y) when the goal to reach is sampled at $\mathcal{G}_x = 12, \mathcal{G}_y = 0$. The degree of activation (yellow) is close to 1 on all states under (12, 0) indicating that the ensemble policy at branch 1 is used to drive the Ant up to the goal. Indeed, according to the distribution of each primitive function at branch 1, the effect of π_{UP} dominates. Fig. 4b, Fig. 4c, and Fig. 4d depict the activation of all three branches when the goal is at $\mathcal{G}_x = 6, \mathcal{G}_y = -6$. The program can be interpreted as branch 1 (where π_{UP} dominates) and branch 3 (where π_{RIGHT} dominates) are activated in the yellow areas of Fig. 4b and Fig. 4d respectively. This allows the Ant to make a curved up and right move to the goal (branch 2 is not activated during execution for this goal).

if $\theta_{1_c} + \theta_1^T \cdot \mathcal{X} > 0$
    then ($95\% \cdot \pi_{\text{UP}}(s) + 5\% \cdot \pi_{\text{LEFT}}(s)$) ⟵ **Branch 1**
    else if $\theta_{2_c} + \theta_2^T \cdot \mathcal{X} > 0$
            then ($95\% \cdot \pi_{\text{LEFT}}(s) + 5\% \cdot \pi_{\text{RIGHT}}(s)$) ⟵ **Branch 2**
            else ($13\% \cdot \pi_{\text{DOWN}}(s) + 87\% \cdot \pi_{\text{RIGHT}}(s)$) ⟵ **Branch 3**

$\mathcal{X} = [\, x,\, y,\, \mathcal{G}_x,\, \mathcal{G}_y,\, arctan\frac{y}{x}, \|x,y\|_2 \,]$
$\theta_1 = [\, -2.052,\, 0.049,\, 0.440,\, 0.181,\, 0.241,\, 1.443 \,],\ \theta_{1_c} = -0.202$
$\theta_2 = [\, 1.333,\, 2.204,\, -2.2171,\, 2.132,\, 1.878,\, 0.331 \,],\ \theta_{2_c} = -0.416$

Figure 3: An Ant Cross Maze program $\mathcal{P}_{cross}$ with three branches. A program input $\mathcal{X}$ includes current Ant position x, y along with the target location $\mathcal{G}_x$, $\mathcal{G}_y$ (sampled from one of the three goals in Fig. 2). $arctan\frac{y}{x}$ and $\|x,y\|_2$ are functions of x and y. Each branch composes primitive functions: π_{UP}, π_{DOWN}, π_{LEFT}, and π_{RIGHT}. Composition weights are shown in percentage.

(a) Branch 1 activation, $(\mathcal{G}_x, \mathcal{G}_y)$ = (12, 0)   (b) Branch 1 activation, $(\mathcal{G}_x, \mathcal{G}_y)$ = (6, -6)   (c) Branch 2 activation, $(\mathcal{G}_x, \mathcal{G}_y)$ = (6, -6)   (d) Branch 3 activation, $(\mathcal{G}_x, \mathcal{G}_y)$ = (6, -6)

Figure 4: Branch activation as functions of Ant position (x, y) for program $\mathcal{P}_{cross}$.

2.2 PROBLEM FORMULATION

We frame programmatic RL as a Markov Decision Process (MDP) defined by a tuple $\{\mathcal{S}, \mathcal{A}, \mathcal{T}, \mathcal{R}\}$ where $\mathcal{S}$ and $\mathcal{A}$ represent the environment state space and action space, $\mathcal{T} : \mathcal{S} \times \mathcal{A} \times \mathcal{S} \to [0, 1]$ captures the set of transition probabilities, and $\mathcal{R} : \mathcal{S} \times \mathcal{A} \to \mathbb{R}$ denotes the reward function. We assume $\mathcal{S} \supseteq \mathbb{R}^{|\mathcal{X} \cup \mathcal{V}|}$ where $\mathcal{X}$ is the set of input variables of a composite program (defined by a DSL) and $\mathcal{V}$ is the set of input variables of primitive functions. For a non-composite affine policy, $\mathcal{V} = \emptyset$. At time $t \geq 0$, an RL agent receives an environment state $s_t \in \mathcal{S}$ and performs an action $a_t \in \mathcal{A}$ selected by its policy $\pi(a_t|s_t) : \mathcal{S} \to \mathcal{A}$. Based on s_t and a_t, the agent transits to receive the next state according to the transition model $\mathcal{T}(s_{t+1}|s_t, a_t)$, and receives the reward $R(s_t, a_t)$. We aim to learn a programmatic policy π in the DSL in Fig. 1 by jointly synthesizing the program's architecture E and optimizing the program's parameters θ to maximize the cumulative discounted reward $\mathbb{E}_{s_0, a_0, s_1 \cdots \sim \pi}\left[\sum_0^\infty \gamma^t \cdot R(s_t, a_t)\right]$ where $\gamma \in (0, 1]$ is a reward discount factor.

3 ARCHITECTURE SEARCH FOR PROGRAMMATIC POLICIES

To learn a programmatic policy, one needs to jointly induce the program's architecture and optimize the program's parameters. Inspired by differentiable neural architecture search e.g. DARTs (Liu et al., 2019), we relax the architecture search space to be continuous. This amounts to collectively optimizing the probability distribution of all program architectures in the search space and assigning the the highest probability to the architecture that maximizes cumulative MDP reward.

Our algorithm is not specific to a DSL. It takes as input any policy DSL with differentiable semantics and conducts policy architecture search on a *program derivation tree* of the DSL. Formally, a program derivation tree is $\mathcal{T} = \{V, \mathcal{E}\}$ where a node $u \in V$ contains partial architectures with missing expressions or a complete architecture permissible by the DSL. An edge $(u, u_E) \in \mathcal{E}$ exists if one can obtain the architectures in u_E by expanding a nonterminal E within a partial architecture in u following some DSL production rules. If more than one rule can be applied to expand the nonterminal E, u_E contain more than one architecture. Take the $\mathcal{P}_{cross}$ program in Fig. 3 as a concrete example: Fig. 5 depicts a program derivation tree for the DSL in Fig. 1 where a controller C is an ensemble policy. On the root node 0, we have two choices to expand the initial nonterminal E_1 to either an

Figure 5: Ant Cross Maze Program Derivation Tree with program input $\mathcal{X}$. $\vec{\pi}$ refers to the primitives of Ant moving up π_{up}, down π_{down}, left π_{left} and right π_{right} that take the Ant's own observations.

ensemble policy C_1 or a partial architecture if B_1 then C_2 else E_2. Node 1 thus contains two partial architectures. Formally, we use $\mathcal{F}(u_E)$ to represent the set of architectures on a node u_E.

To expand a nonterminal or a missing expression of a partial program architecture, we relax the categorical choice of DSL production rules into a softmax over all possible production rules for the missing expression with trainable weights. For example, on node 1, the choices to expand E_1 between the ensemble policy C_1 and the conditional branching expression are weighted by the weight matrix w_1 (obtained after softmax) drawn in Fig. 5. Based on w_1, we choose to expand E_1 to the conditional branching expression on node 1. Assume we further expand E_2 on node 1 to a conditional branching expression as well on node 5. Then again we have two choices to expand the nonterminal E_3 on node 5 weighted by w_2. This time we choose to expand E_3 to an ensemble policy C_5. Formally, the weight matrix w_{u_E} of the incoming edge to a node u_E is of the shape $\mathbb{R}^{|\mathcal{F}(u_E)|}$, and $w_{u_E}[E']$ weighs the likelihood of choosing a particular architecture $E' \in \mathcal{F}(u_E)$ for expanding E.

A program derivation tree $\mathcal{T}$ essentially expresses all possible program derivations up to a certain bound on the depth of program abstract syntax trees. To train architecture weights, we encode a program derivation tree itself as a differentiable program $\pi^{\mathcal{T}}_{\theta,w}$ that takes a state s as input. Its action output is weighted by the outputs of all programs included in $\pi^{\mathcal{T}}_{\theta,w}$, where w represents program architecture weights and θ includes unknown program parameters of all the mixed programs in the tree. The semantics computation of an expression $[\![E]\!](s)$ in a program derivation tree $\pi^{\mathcal{T}}_{\theta,w}$ is delegated to its tree node u_E where the nonterminal E is expanded and the categorical choice of expanding E on u_E is relaxed to a softmax over all possible choices:

$$[\![E]\!](s) = [\![u_E]\!](s) \qquad [\![u_E]\!](s) = \sum_{E' \in \mathcal{F}(u_E)} \frac{\exp(w_{u_E}[E'])}{\sum_{E'' \in F(u_E)} \exp(w_{u_E}[E''])} \cdot [\![E']\!](s)$$

We encode $\pi^{\mathcal{T}}_{\theta,w}$ as a Gaussian distribution where the program derivation tree outputs an action as the distribution mean. A separate set of parameters specify the (diagonal) distribution covariance matrix.

The parameters w and θ of a program derivation tree $\pi^{\mathcal{T}}_{\theta,w}$ can be jointly optimized using any policy gradient methods. In this paper, we consider trust region methods e.g. (Schulman et al., 2015) and aim to maximize the "surrogate" objective function, subject to a constraint on the size of the policy update by δ, where $A_{\pi^{\mathcal{T}}_{\theta_{old},w_{old}}}$ is an estimator of the advantage function over a finite batch of samples from $\pi^{\mathcal{T}}_{\theta_{old},w_{old}}$ and θ_{old}, w_{old} are policy architecture weights and parameters before the update:

$$\text{maximize}_{\theta,w} \ J_{\theta_{old},w_{old}}(\theta, w) = \mathbb{E}_{s \sim \rho_{\pi^{\mathcal{T}}_{\theta_{old},w_{old}}}, a \sim \pi^{\mathcal{T}}_{\theta_{old},w_{old}}} \left[\frac{\pi^{\mathcal{T}}_{\theta,w}(s,a)}{\pi^{\mathcal{T}}_{\theta_{old},w_{old}}(s,a)} A_{\pi^{\mathcal{T}}_{\theta_{old},w_{old}}}(s,a) \right]$$

$$\text{subject to } \mathbb{E}_{s \sim \rho_{\pi^{\mathcal{T}}_{\theta_{old},w_{old}}}} \left[D_{KL}(\pi^{\mathcal{T}}_{\theta_{old},w_{old}}(\cdot|s) \ \big|\big| \ \pi^{\mathcal{T}}_{\theta,w}(\cdot|s)) \right] \leq \delta \tag{2}$$

Policy Parameter Optimization. Our training algorithm is an iterative bilevel optimization procedure. At training iteration k, we perform two steps. At the first step, we optimize the lower-level program parameters θ with respect to (2), freezing the upper-level architecture weights w:

$$\theta_{k+1} = \arg\max_{\theta} J_{\theta_k,w_k}(\theta, w_k) \text{ s.t. } \mathbb{E}_{s \sim \rho_{\pi^{\mathcal{T}}_{\theta_k,w_k}}} \left[D_{KL}(\pi^{\mathcal{T}}_{\theta_k,w_k}(\cdot|s) \ \big|\big| \ \pi^{\mathcal{T}}_{\theta_{k+1},w_k}(\cdot|s)) \right] \leq \delta \tag{3}$$

(a) Ant ⊃-Maze   (b) ⊃-Maze State Abstraction   (c) ⊃-Maze High-Level Plan

Figure 6: Model-based high-level planning for Ant ⊃-Maze.

Policy Architecture Optimization. At the second step, we optimize the upper-level architecture weights w with respect to (2), freezing the lower-level program parameters θ:

$$w_{k+1} = \arg\max_{w} J_{\theta_{k+1}, w_k}(\theta_{k+1}, w) \text{ s.t. } \mathbb{E}_{s \sim \rho_{\pi_{\theta_{k+1}, w_k}^{\mathcal{T}}}} \left[D_{KL}(\pi_{\theta_{k+1}, w_k}^{\mathcal{T}}(\cdot|s) \,\big|\big|\, \pi_{\theta_{k+1}, w_{k+1}}^{\mathcal{T}}(\cdot|s)) \right] \leq \delta$$

(4)

Training steps (3) and (4) are alternated across training iterations until reward convergence. They can be approximately solved using the efficient conjugate gradient algorithm, after making a linear approximation to the objective and a quadratic approximation to the constraint (Schulman et al., 2015). Upon convergence, based on architecture weights, we obtain a discrete program architecture from $\pi_{\theta, w}^{\mathcal{T}}$ replacing each tree node containing multiple architectures with the most likely architecture in a top-down manner. Finally, we train the parameters in the chosen architecture using RL (Schulman et al., 2015) until convergence from parameter values learned during the search process.

4 PROGRAMMATIC HIGH-LEVEL PLANNING

We further explore learning programmatic policies for *high-level planning* tasks with long-horizon and weak reward signals. Tasks as such require huge amounts of computation to solve (OpenAI et al., 2018). For example, consider the Ant navigation task in a ⊃-maze from (Nachum et al., 2018) in Fig. 6a. Due to the complex maze shape, distance-based rewards are weak signals that do not lead to solving the problem. Hierarchical RL (HRL) is an efficient approach to solve long-horizon high-level planning. HRL uses a high-level policy to generate a sequence of high-level goals and low-level policies to generate sequences of actions to achieve each successive goal. Existing HRL methods are either model-free e.g. (Nachum et al., 2018; 2019) or model-based e.g. (Roderick et al., 2018; Jothimurugan et al., 2021). In a model-free approach, the learning algorithm in Sec. 3 can be applied to train HRL policies as programs. In this section, we focus on model-based planning that takes advantage of the structure in the model of a high-level planning task to improve learning efficiency.

For model-based planning, we abstract over both states and actions to ensure a finite high-level model. Recall that functions in the DSL of ensemble policies are assumed to be simple, low-level primitives designed to grasp basic, task-agnostic skills (e.g. ant moving up and down). We use these primitives as abstract actions because they abstract low-level agent actions to an abstract space of skills. Regarding state abstractions, similar to previous works (Abel et al., 2020; Winder et al., 2020; Jothimurugan et al., 2021), we assume that state abstractions can be effectively provided by domain experts. For instance, the abstract states of the ⊃-maze are collected on an abstract 2-D gridworld $m \in \mathbb{R}^{N \times N}$ in Fig. 6b. An abstract grid $(x, y) \in N \times N$ subsumes concrete positions with a known scale where $m[x, y] = 1$ indicates walls and $m[x, y] = 0$ indicates navigable spaces. The domain expert can then specify a pair of abstract Ant start (yellow) φ_{Init} and goal (green) φ_{Goal} state.

$$\varphi_{\text{Init}}(x_0, y_0) \equiv x_0 = 3 \wedge y_0 = 1 \qquad \varphi_{\text{Goal}}(x_k, y_k) \equiv x_k = 1 \wedge y_k = 1$$

Our design choice of state and action abstraction addresses an important challenge of model-based HRL — it has to simultaneously perform model-based high-level planning and estimate the state transition probabilities of the abstract model based on the current high-level policy (Jothimurugan et al., 2021). In our approach, we decouple this dependency. Since abstract actions as primitive functions implement simple, task-agnostic skills, it is straightforward to directly specify the state-transition behavior of the abstract actions φ_{Act} as opposed to learning it. The Ant primitives move an Ant horizontally, vertically, or diagonally (via composition) one step on the abstract model:

$$\varphi_{\text{Act}}(x_{i-1}, y_{i-1}, x_i, y_i) \equiv x_i = x_{i-1} + dx_i \wedge y_i = y_{i-1} + dy_i \wedge -1 \leq dx_i, dy_i \leq 1$$

An abstract action is specified together with a guard φ_{Guard} that encodes conditions under which the action can occur. For example, an Ant in $\supset$-maze should not walk into walls and the path from an old position to a new position should not be blocked by walls.

$$\varphi_{\text{Guard}}(x_{i-1}, y_{i-1}, x_i, y_i) \equiv m[x_i][y_i] \neq 1 \wedge \big(m[x_{i-1}][y_i] \neq 1 \vee m[x_i][y_{i-1}] \neq 1\big)$$

We further leverage the primitive function specifications to synthesize a high-level control plan on the abstract model. Suppose for now that we are searching over a high-level plan of a fixed number k of abstract action steps to reach the goal state φ_{Goal} from the initial state φ_{Init}:

$$\varphi_{\text{Init}}(x_0, y_0) \wedge \bigwedge_{i=1}^{k} \big(\varphi_{\text{Act}}(x_{i-1}, y_{i-1}, x_i, y_i) \wedge \varphi_{\text{Guard}}(x_{i-1}, y_{i-1}, x_i, y_i)\big) \wedge \varphi_{\text{Goal}}(x_k, y_k) \quad (5)$$

Constraint (5) can be solved by off-the-shelf constraint satisfiability solvers e.g. Z3 (de Moura & Bjørner, 2008). We incrementally search for longer and longer high-level plans, starting from $k = 1$ and increasing k until the optimal solution is found. Such a plan is a sequence of sub tasks. For example, the solved high-level plan for Ant $\supset$-Maze navigation is depicted in Fig. 6c. Since a sub task may depend on multiple primitives e.g. Ant turning around at a corner. We learn a low-level policy for each sub task as a programmatic ensemble policy composing primitive functions using the technique in Sec. 3 guided by distance-based rewards. This ensures that our HRL policies are interpretable at both high level and low level. More details are given in Appendix. B.2.

Compared to existing model-based HRL approaches e.g. (Jothimurugan et al., 2021), our algorithm additionally needs abstract actions to be specified (as φ_{Act} and φ_{Guard}) rather than learned. We show that (1) providing specifications of task-agnostic abstract actions (primitive functions) is trivial even for complex high-level planning tasks (Appendix B.1); (2) more importantly, these easy-to-annotate specifications substantially improve the sample efficiency of HRL (Sec. 5).

5 EXPERIMENTS AND EVALUATIONS

We evaluated our approach on two groups of challenging continuous control benchmarks involving motion control and task planning. Group one contains four MuJoCo environments that require agents to reach or move an object to target locations: (1) **Ant Cross Maze**: our first example depicted in Fig. 2. (2) **Ant Random Goal**: The quadruped MuJoCo Ant in Fig. 7a is trained to reach a randomly sampled goal location within a confined circular region. (3) **Pusher**: A robotic arm in Fig. 7b is trained to push a cylinder object to a given target location. (4) **HalfCheetah Hurdle**: A MuJoCo halfcheetah in Fig. 7c is required to run and jump over three hurdles to reach a given goal area. Group two consists of three hierarchical RL benchmarks: (1) **Ant $\supset$-Maze**: our second example depicted in Fig. 6a. (2) **Ant Push**: This task requires the Ant in Fig. 7d to push away a movable block to reach the goal region behind it. (3) **Ant Fall**: The Ant in Fig. 7e is required to push a movable block into a rift to fill the gap and then walk across it to reach the given target on the other side of the rift.

Multiple primitive policies were trained as neural networks (with details in Appendix A.1) and included in our ensemble policy DSL. Specifically, we trained four primitives for moving a MuJoCo Ant up π_{UP}, down π_{DOWN}, left π_{LEFT}, and right π_{RIGHT} We trained two primitives for the HalfCheetah Hurdle environment: jump π_{JUMP} and move forward π_{FORWARD}. We trained two primitives for the pusher environment: push an object to the left $\pi_{\text{PUSH-LEFT}}$ and down $\pi_{\text{PUSH-DOWN}}$. Expect pusher, the primitives were trained without knowing any high-level task information such as goal locations.

Programmatic RL. To the benchmarks from the first group, we apply the RL algorithm in Sec. 3 to learn a program derivation tree $\pi^{\mathcal{T}}$-PRL from which we extract programmatic RL policies π-PRL. The tree depth bound of $\pi^{\mathcal{T}}$-PRL is set to 6. Our baselines include Composition-SAC (Qureshi et al., 2019) that learns Bidirectional LSTM-based ensemble policies using the SAC algorithm (Haarnoja et al., 2018) to combine the task-agnostic primitives for solving the task environments. On-policy RL algorithms TRPO (Schulman et al., 2015) and PPO (Schulman et al., 2017) are the other two baselines. Fig. 8a depicts the mean learning performance in terms of the agent's final distance from targets over 10 random seeds. TRPO and PPO both fail to reach the goals. During policy architecture search, $\pi^{\mathcal{T}}$-PRL successfully solves all tasks and outperform or match the performance of the BiLSTM models of Composition-SAC. The $\pi^{\mathcal{T}}$-PRL models exhibit less data-efficiency than the Composition-SAC policies in part due to the use of TRPO for on-policy update that is less sample-efficient than off-policy SAC (our learning algorithm can also be applied to off-policy RL).

(a) Ant Random Goal   (b) Pusher   (c) HalfCheetah Hurdle

(d) Ant Push   (e) Ant Fall

Figure 7: Navigation and manipulation benchmarks that require agents to reach or move the object to the given targets (represented by a red circle for pusher and by green spheres for the rest). Additionally, Ant Cross Maze and Ant ⊃-Maze are depicted in Fig. 2 and Fig. 6a respectively. In all ant environments, the definitions of up, down, left, right, and forward are moving towards positive/negative x/y axes directions, respectively.

(a) Comparison with baselines for group one environments. Results are averaged over 10 random seeds.

(b) Comparison with baselines for group two environments. Results are averaged over 5 random seeds.

Figure 8: Comparison results against baselines. The horizontal and vertical axis represent the agent's distance towards its goal and environment steps in millions. We normalize the distances to a final goal by the agent initial distance from the goal so values close to 1 or higher show failure.

Upon convergence of training a $\pi^{\mathcal{T}}$-PRL program derivation tree, our algorithm extracts a program π-PRL from $\pi^{\mathcal{T}}$-PRL based on its learned architecture weights and train the parameters of π-PRL until convergence starting from parameter values learned in $\pi^{\mathcal{T}}$-PRL. Table 1 presents the mean and standard deviation of π-PRL's final distance from given targets over 10 RL trials upon convergence. Extracted programs recover the performance of $\pi^{\mathcal{T}}$-PRL models and continue outperforming or matching BiLSTM models in Composition-SAC. Importantly, π-PRL policies are significantly more interpretable than the BiLSTM models in Composition-SAC policies e.g. Fig. 3 and Fig. 4. The high interpretability of π-PRL policies in turn leads to strong generalizability to novel environments. We show more examples about the interpretability and generalizability of π-PRL in Appendix D and E.

In Table 1, we also show the number environment steps needed until convergence for policy architect search $\pi^{\mathcal{T}}$-PRL, extraction π-PRL, and training a programmatic policy using the same architecture with π-PRL from *scratch*. Except Ant Cross Maze, the sample efficiency of policy architecture search and extraction combined is even better than learning a *single* program from scratch. Intuitively, this is because $\pi^{\mathcal{T}}$-PRL is essentially an over-parameterized model of the optimal policy in the search space. The better data efficiency of our method compared to enumerating all possible policy architectures to perform RL for each highlights the merits of jointly optimizing policy architectures and parameters.

Programmatic High-level Planning. To the second group of the benchmarks, we apply the task planning algorithm in Sec. 4 to learn hierarchically programmatic RL policies, denoted as π-HPRL programs. Our baselines include a model-free Hierarchical RL method HIRO (Nachum et al., 2018)

Environment	Performance		Convergence			Depth
	π-PRL	Comp-SAC	$\pi^\mathcal{T}$-PRL	π-PRL	Scratch	(max 6)
Ant Cross Maze	**0.10±0.06**	0.11±0.05	5.0	3.0	4.0	4.75
Ant Random Goal	0.14±0.04	**0.12±0.02**	2.0	1.5	4.0	5.30
HalfCheetah Hurdle	**0.03±0.01**	0.43±0.22	0.5	0.0	1.0	3.10
Pusher	**0.09±0.05**	0.16±0.06	0.2	0.1	0.35	3.50

Table 1: Performance and convergence comparison for group one environments averaged over 10 random seeds. The performance section compares the mean normalized final distances to goals of extracted programs π-PRL with standard deviations; the convergence section compares averaged numbers of environment steps (in millions) until convergence for policy architecture search $\pi^\mathcal{T}$-PRL, policy extraction π-PRL, and training a program on the same architecture with π-PRL from scratch. The depth section shows mean numbers of abstract syntax tree depth of extracted π-PRL programs.

and a model-based high-level planning method A-AVI (Jothimurugan et al., 2021). All algorithms run under the same setting to reach a given target, which is fixed for each environment. Fig. 8b depicts the mean learning performance in terms of the agent's final distance from targets over 5 random seeds. The π-HPRL programs are more stable, outperform the baselines, and uniquely solve the Ant Push and Ant Fall environments. We show the success rates of each algorithm in Appendix B.3. Fig. 8b shows that HIRO gets stuck in a local optimum as it does not know the structure of the state space and is unable to discover the path from the initial region to the goal region. A-AVI performs worse than π-HPRL because unlike π-HPRL, it does *not* decouple model-based high-level planning and model construction. π-HPRL decomposes a task into multiple simpler tasks with explicit sub-goals in an optimal high-level plan, and each program therein is only trained to reach its own sub-goal. The rapid drop of distances to goals of π-HPRL in Fig. 8b demonstrates that π-HPRL is more sample efficient. As a solved high-level plan is in accordance with primitive specifications, training programs utilizing the primitives to reach a sub-goal converges extremely fast.

6 RELATED WORK AND CONCLUSION

Programmatic Reinforcement Learning. A growing body of research has explored high-level programs as a new RL policy representation. They train programmatic policies to imitate a pretrained RL oracle using a teacher-student learning paradigm. Bastani et al. (2018) and Silver et al. (2020) learn decision trees imitating an oracle deep RL policy. Inala et al. (2020) learn a finite state machine to mimic a teacher policy. Decision tree policies are in general easier to learn but difficult to generalize to novel problems, while finite state machines have strong generalizing behavior but scale quadratically with the number of states. In contrast, Verma et al. (2018; 2019) distill neural network policies into interpretable policies in domain-specific languages. Yet, program architectures are enumerated via suboptimal local search. Instead, our approach efficiently optimize program architectures in a continuous relaxation of the non-differentiable architecture space using policy-gradient methods.

Compositional Reinforcement Learning. To improve RL efficiency, a line of research that transfers past skills into new skills for solving new complex problems has emerged. Lee et al. (2019; 2020) learn high-level polices to select the previously trained primitive policies. The macro-action models (Vezhnevets et al., 2016) similarly learn a high-level planner to combine actions sequences from primitives. These methods activate only one policy at each timestep. Other works have explored simultaneously composing multiple task-agnostic primitive skills by learning to assign weights for action composition (Peng et al., 2019; Qureshi et al., 2019). Hierarchical reinforcement learning, such as option-critic (Bacon et al., 2017), is also a promising approach to solve complex tasks. However, option-critic is prone to inefficient task decomposition. Nachum et al. (2018) address this issue by automatically decomposing a complex task into subtasks and solving them by optimizing the subtask objectives. As opposed to these efforts, our method learns high-level and low-level policies that are both interpretable. Our technique can integrate any primitive skills diverse enough to complete a task and is orthogonal to automatic skill discovery methods e.g. Eysenbach et al. (2019).

Conclusions. We present a novel programmatically interpretable and compositional RL framework. Our method jointly learns policy parameters and policy architectures in a continuous relaxation of the non-differentiable program architecture space via policy-gradient methods. Our RL framework leverages compositionality in programming languages to integrate primitive functions into a composite program to solve novel RL problems. Experiment results demonstrate that our algorithm excels in discovering compositional RL programs with optimal architectures and strong interpretability.

Reproducibility Statement. The source code of the tool that supports this paper is uploaded as a supplementary material.

REFERENCES

David Abel, Nate Umbanhowar, Khimya Khetarpal, Dilip Arumugam, Doina Precup, and Michael L. Littman. Value preserving state-action abstractions. In Silvia Chiappa and Roberto Calandra (eds.), *The 23rd International Conference on Artificial Intelligence and Statistics, AISTATS 2020, 26-28 August 2020, Online [Palermo, Sicily, Italy]*, volume 108 of *Proceedings of Machine Learning Research*, pp. 1639–1650. PMLR, 2020. URL http://proceedings.mlr.press/v108/abel20a.html.

Joshua Achiam. Spinning Up in Deep Reinforcement Learning. 2018.

Greg Anderson, Abhinav Verma, Isil Dillig, and Swarat Chaudhuri. Neurosymbolic reinforcement learning with formally verified exploration. In Hugo Larochelle, Marc'Aurelio Ranzato, Raia Hadsell, Maria-Florina Balcan, and Hsuan-Tien Lin (eds.), *Advances in Neural Information Processing Systems 33: Annual Conference on Neural Information Processing Systems 2020, NeurIPS 2020, December 6-12, 2020, virtual*, 2020. URL https://proceedings.neurips.cc/paper/2020/hash/448d5eda79895153938a8431919f4c9f-Abstract.html.

Karl Johan Åström and Tore Hägglund. Automatic tuning of simple regulators with specifications on phase and amplitude margins. *Autom.*, 20(5):645–651, 1984. doi: 10.1016/0005-1098(84)90014-1. URL https://doi.org/10.1016/0005-1098(84)90014-1.

Pierre-Luc Bacon, Jean Harb, and Doina Precup. The option-critic architecture. In Satinder P. Singh and Shaul Markovitch (eds.), *Proceedings of the Thirty-First AAAI Conference on Artificial Intelligence, February 4-9, 2017, San Francisco, California, USA*, pp. 1726–1734. AAAI Press, 2017. URL http://aaai.org/ocs/index.php/AAAI/AAAI17/paper/view/14858.

Osbert Bastani, Yewen Pu, and Armando Solar-Lezama. Verifiable reinforcement learning via policy extraction. In Samy Bengio, Hanna M. Wallach, Hugo Larochelle, Kristen Grauman, Nicolò Cesa-Bianchi, and Roman Garnett (eds.), *Advances in Neural Information Processing Systems 31: Annual Conference on Neural Information Processing Systems 2018, NeurIPS 2018, December 3-8, 2018, Montréal, Canada*, pp. 2499–2509, 2018. URL https://proceedings.neurips.cc/paper/2018/hash/e6d8545daa42d5ced125a4bf747b3688-Abstract.html.

Leonardo Mendonça de Moura and Nikolaj Bjørner. Z3: an efficient SMT solver. In C. R. Ramakrishnan and Jakob Rehof (eds.), *Tools and Algorithms for the Construction and Analysis of Systems, 14th International Conference, TACAS 2008, Held as Part of the Joint European Conferences on Theory and Practice of Software, ETAPS 2008, Budapest, Hungary, March 29-April 6, 2008. Proceedings*, volume 4963 of *Lecture Notes in Computer Science*, pp. 337–340. Springer, 2008. doi: 10.1007/978-3-540-78800-3_24. URL https://doi.org/10.1007/978-3-540-78800-3_24.

Benjamin Eysenbach, Abhishek Gupta, Julian Ibarz, and Sergey Levine. Diversity is all you need: Learning skills without a reward function. In *7th International Conference on Learning Representations, ICLR 2019, New Orleans, LA, USA, May 6-9, 2019*. OpenReview.net, 2019. URL https://openreview.net/forum?id=SJx63jRqFm.

Tuomas Haarnoja, Aurick Zhou, Pieter Abbeel, and Sergey Levine. Soft actor-critic: Off-policy maximum entropy deep reinforcement learning with a stochastic actor. In *International conference on machine learning*, pp. 1861–1870. PMLR, 2018.

John E. Hopcroft, Rajeev Motwani, and Jeffrey D. Ullman. *Introduction to automata theory, languages, and computation, 3rd Edition*. Pearson international edition. Addison-Wesley, 2007. ISBN 978-0-321-47617-3.

Jeevana Priya Inala, Osbert Bastani, Zenna Tavares, and Armando Solar-Lezama. Synthesizing programmatic policies that inductively generalize. In *8th International Conference on Learning Representations, ICLR 2020, Addis Ababa, Ethiopia, April 26-30, 2020*. OpenReview.net, 2020. URL https://openreview.net/forum?id=S1l8oANFDH.

Eric Jang, Shixiang Gu, and Ben Poole. Categorical reparameterization with gumbel-softmax. In *5th International Conference on Learning Representations, ICLR 2017, Toulon, France, April 24-26, 2017, Conference Track Proceedings*. OpenReview.net, 2017. URL https://openreview.net/forum?id=rkE3y85ee.

Kishor Jothimurugan, Osbert Bastani, and Rajeev Alur. Abstract value iteration for hierarchical reinforcement learning. In *International Conference on Artificial Intelligence and Statistics*, pp. 1162–1170. PMLR, 2021.

Youngwoon Lee, Shao-Hua Sun, Sriram Somasundaram, Edward S. Hu, and Joseph J. Lim. Composing complex skills by learning transition policies. In *7th International Conference on Learning Representations, ICLR 2019, New Orleans, LA, USA, May 6-9, 2019*. OpenReview.net, 2019. URL https://openreview.net/forum?id=rygrBhC5tQ.

Youngwoon Lee, Jingyun Yang, and Joseph J. Lim. Learning to coordinate manipulation skills via skill behavior diversification. In *8th International Conference on Learning Representations, ICLR 2020, Addis Ababa, Ethiopia, April 26-30, 2020*. OpenReview.net, 2020. URL https://openreview.net/forum?id=ryxB2lBtvH.

Sergey Levine, Chelsea Finn, Trevor Darrell, and Pieter Abbeel. End-to-end training of deep visuomotor policies. *J. Mach. Learn. Res.*, 17:39:1–39:40, 2016. URL http://jmlr.org/papers/v17/15-522.html.

Hanxiao Liu, Karen Simonyan, and Yiming Yang. DARTS: differentiable architecture search. In *7th International Conference on Learning Representations, ICLR 2019, New Orleans, LA, USA, May 6-9, 2019*. OpenReview.net, 2019. URL https://openreview.net/forum?id=S1eYHoC5FX.

Ofir Nachum, Shixiang Gu, Honglak Lee, and Sergey Levine. Data-efficient hierarchical reinforcement learning. In Samy Bengio, Hanna M. Wallach, Hugo Larochelle, Kristen Grauman, Nicolò Cesa-Bianchi, and Roman Garnett (eds.), *Advances in Neural Information Processing Systems 31: Annual Conference on Neural Information Processing Systems 2018, NeurIPS 2018, December 3-8, 2018, Montréal, Canada*, pp. 3307–3317, 2018. URL https://proceedings.neurips.cc/paper/2018/hash/e6384711491713d29bc63fc5eeb5ba4f-Abstract.html.

Ofir Nachum, Shixiang Gu, Honglak Lee, and Sergey Levine. Near-optimal representation learning for hierarchical reinforcement learning. In *7th International Conference on Learning Representations, ICLR 2019, New Orleans, LA, USA, May 6-9, 2019*. OpenReview.net, 2019. URL https://openreview.net/forum?id=H1emus0qF7.

OpenAI, Marcin Andrychowicz, Bowen Baker, Maciek Chociej, Rafal Józefowicz, Bob McGrew, Jakub W. Pachocki, Jakub Pachocki, Arthur Petron, Matthias Plappert, Glenn Powell, Alex Ray, Jonas Schneider, Szymon Sidor, Josh Tobin, Peter Welinder, Lilian Weng, and Wojciech Zaremba. Learning dexterous in-hand manipulation. *CoRR*, abs/1808.00177, 2018. URL http://arxiv.org/abs/1808.00177.

Xue Bin Peng, Michael Chang, Grace Zhang, Pieter Abbeel, and Sergey Levine. MCP: learning composable hierarchical control with multiplicative compositional policies. In Hanna M. Wallach, Hugo Larochelle, Alina Beygelzimer, Florence d'Alché-Buc, Emily B. Fox, and Roman Garnett (eds.), *Advances in Neural Information Processing Systems 32: Annual Conference on Neural Information Processing Systems 2019, NeurIPS 2019, December 8-14, 2019, Vancouver, BC, Canada*, pp. 3681–3692, 2019. URL https://proceedings.neurips.cc/paper/2019/hash/95192c98732387165bf8e396c0f2dad2-Abstract.html.

Ahmed H Qureshi, Jacob J Johnson, Yuzhe Qin, Taylor Henderson, Byron Boots, and Michael C Yip. Composing task-agnostic policies with deep reinforcement learning. *arXiv preprint arXiv:1905.10681*, 2019.

Melrose Roderick, Christopher Grimm, and Stefanie Tellex. Deep abstract q-networks. In Elisabeth André, Sven Koenig, Mehdi Dastani, and Gita Sukthankar (eds.), *Proceedings of the 17th International Conference on Autonomous Agents and MultiAgent Systems, AAMAS*

2018, Stockholm, Sweden, July 10-15, 2018, pp. 131–138. International Foundation for Autonomous Agents and Multiagent Systems Richland, SC, USA / ACM, 2018. URL http://dl.acm.org/citation.cfm?id=3237409.

John Schulman, Sergey Levine, Pieter Abbeel, Michael Jordan, and Philipp Moritz. Trust region policy optimization. In *International conference on machine learning*, pp. 1889–1897. PMLR, 2015.

John Schulman, Filip Wolski, Prafulla Dhariwal, Alec Radford, and Oleg Klimov. Proximal policy optimization algorithms. *arXiv preprint arXiv:1707.06347*, 2017.

Tom Silver, Kelsey R. Allen, Alex K. Lew, Leslie Pack Kaelbling, and Josh Tenenbaum. Few-shot bayesian imitation learning with logical program policies. In *The Thirty-Fourth AAAI Conference on Artificial Intelligence, AAAI 2020, The Thirty-Second Innovative Applications of Artificial Intelligence Conference, IAAI 2020, The Tenth AAAI Symposium on Educational Advances in Artificial Intelligence, EAAI 2020, New York, NY, USA, February 7-12, 2020*, pp. 10251–10258. AAAI Press, 2020. URL https://aaai.org/ojs/index.php/AAAI/article/view/6587.

Avi Singh, Larry Yang, Chelsea Finn, and Sergey Levine. End-to-end robotic reinforcement learning without reward engineering. In Antonio Bicchi, Hadas Kress-Gazit, and Seth Hutchinson (eds.), *Robotics: Science and Systems XV, University of Freiburg, Freiburg im Breisgau, Germany, June 22-26, 2019*, 2019. doi: 10.15607/RSS.2019.XV.073. URL https://doi.org/10.15607/RSS.2019.XV.073.

Abhinav Verma, Vijayaraghavan Murali, Rishabh Singh, Pushmeet Kohli, and Swarat Chaudhuri. Programmatically interpretable reinforcement learning. In Jennifer G. Dy and Andreas Krause (eds.), *Proceedings of the 35th International Conference on Machine Learning, ICML 2018, Stockholmsmässan, Stockholm, Sweden, July 10-15, 2018*, volume 80 of *Proceedings of Machine Learning Research*, pp. 5052–5061. PMLR, 2018. URL http://proceedings.mlr.press/v80/verma18a.html.

Abhinav Verma, Hoang Minh Le, Yisong Yue, and Swarat Chaudhuri. Imitation-projected programmatic reinforcement learning. In Hanna M. Wallach, Hugo Larochelle, Alina Beygelzimer, Florence d'Alché-Buc, Emily B. Fox, and Roman Garnett (eds.), *Advances in Neural Information Processing Systems 32: Annual Conference on Neural Information Processing Systems 2019, NeurIPS 2019, December 8-14, 2019, Vancouver, BC, Canada*, pp. 15726–15737, 2019. URL https://proceedings.neurips.cc/paper/2019/hash/5a44a53b7d26bb1e54c05222f186dcfb-Abstract.html.

Alexander Vezhnevets, Volodymyr Mnih, Simon Osindero, Alex Graves, Oriol Vinyals, John P. Agapiou, and Koray Kavukcuoglu. Strategic attentive writer for learning macro-actions. In Daniel D. Lee, Masashi Sugiyama, Ulrike von Luxburg, Isabelle Guyon, and Roman Garnett (eds.), *Advances in Neural Information Processing Systems 29: Annual Conference on Neural Information Processing Systems 2016, December 5-10, 2016, Barcelona, Spain*, pp. 3486–3494, 2016. URL https://proceedings.neurips.cc/paper/2016/hash/c4492cbe90fbdbf88a5aec486aa81ed5-Abstract.html.

John Winder, Stephanie Milani, Matthew Landen, Erebus Oh, Shane Parr, Shawn Squire, Marie desJardins, and Cynthia Matuszek. Planning with abstract learned models while learning transferable subtasks. In *The Thirty-Fourth AAAI Conference on Artificial Intelligence, AAAI 2020, The Thirty-Second Innovative Applications of Artificial Intelligence Conference, IAAI 2020, The Tenth AAAI Symposium on Educational Advances in Artificial Intelligence, EAAI 2020, New York, NY, USA, February 7-12, 2020*, pp. 9992–10000. AAAI Press, 2020. URL https://aaai.org/ojs/index.php/AAAI/article/view/6555.

Glynn Winskel. *The formal semantics of programming languages - an introduction*. Foundation of computing series. MIT Press, 1993. ISBN 978-0-262-23169-5.

Feng Zheng, Qing-Guo Wang, and Tong Heng Lee. On the design of multivariable PID controllers via LMI approach. *Autom.*, 38(3):517–526, 2002. doi: 10.1016/S0005-1098(01)00237-0. URL https://doi.org/10.1016/S0005-1098(01)00237-0.

A IMPLEMENTATION DETAILS

In this section, we present implementation details including primitive policies, symbolic inputs and reward structures of each environment, and hyperparameters of reinforcement learning algorithms. Note that, when environments are initialized, random noises are added to the initial states of agents, i.e., Ant, HalfCheetah and robotic arm, so agents start with slightly different positions and velocities.

A.1 PRIMITIVE POLICIES DETAILS

In all reinforcement learning tasks, we require multiple primitive skills policies to compose higher-level programs. Since primitive policies are obtained for completing dedicated tasks, e.g., navigating the ant agent to move up, or pushing down the object in the Pusher environment, it is unnecessary to include targets information in high-level tasks into their observation space during training. All primitive skills policies are obtained using SAC algorithm (Haarnoja et al., 2018) implemented in OpenAI Spinning Up RL framework (Achiam, 2018).

Ant. For standard-torque and low-torque ant, we train two sets of four basic primitive policies, i.e., *UP*, *DOWN*, *LEFT* and *RIGHT* for 1 million steps for each. The reward function to acquire above four primitives is defined as:

$$r_{ant} = c_v \cdot v_{direction} + c_h \cdot I(\text{IsHealthy}) - c_a \cdot \|a\|_2 - c_f \cdot \|f_{contact}\|_2 \tag{6}$$

where $v_{direction}$ is the velocity of given direction, $I(\text{IsHealthy})$ is a Boolean function that determines the health condition of the agent, action a is a 8-dimension vector, and $f_{contact}$ is a vector that encodes the contact force of the agent. In order to train *UP* and *DOWN* primitives, $v_{direction} = \pm v_x$ should track velocities on $\pm x$-axis; similarly, to train *LEFT* and *RIGHT* policies, we should set $v_{direction} = \pm v_y$ to keep track of velocities along $\pm y$-axis. Coefficients c_v, c_h, c_a and c_f are set to be 1, 1, 0.5, 5×10^{-4}, respectively.

Pusher. The Pusher environment requires two skills: *PUSH-DOWN* and *PUSH-LEFT* used to manipulate the object to move down and left, and both of them are trained for 0.5 million steps. The reward function to acquire these two primitives is defined as:

$$r_{pusher} = c_v \cdot v_{direction} - c_a \cdot \|a\|_2 \tag{7}$$

where $v_{direction}$ denotes the object's velocity projected on *DOWN* (negative y-axis) or *LEFT* (positive x-axis), and a is the action. Coefficients c_v and c_a are set to be 1 and 0.1, respectively.

HalfCheetah. In HalfCheetah Hurdle environment, two simple policies *JUMP* and *FORWARD* are trained for 0.5 million steps, and they are sufficient to compose the program and solve the task. The reward function can be defined as:

$$r_{hc} = c_{v_f} \cdot v_{forward} + c_{v_j} \cdot v_{jump} - c_a \cdot \|a\|_2 \tag{8}$$

where $v_{forward}$ and v_{jump} are velocities projected on forward (x-axis) and jump (y-axis) directions, and a is the action. In order to train *FORWARD* policy, coefficients c_{v_f}, c_{v_j} are set to be 1 and 0; for policy *JUMP*, we set coefficients $c_{v_f} = 0.05$ and $c_{v_j} = 1$, respectively. Coefficient c_a is the control cost coefficient which set to be 0.1.

Note that for Ant and HalfCheetah Hurdle environment, primitive policies are trained in a blank environment without any obstacle so that they can move freely.

A.2 PROGRAM INPUTS

For low-torque ant, we consider its current position x, y, distance from current position to the starting point $\|x, y\|_2$, and the angle between current direction to the x-axis $arctan\frac{y}{x}$ as symbolic inputs. For standard-torque ant, besides the above inputs, we also take the goal position $\mathcal{G}_x, \mathcal{G}_y$ for navigation,

since goals in environments which utilize the standard ant are randomly sampled. In the HalfCheetah Hurdle environment, the position of the next hurdle $\mathcal{H}_{next}$ and the distance from HalfCheetah's back foot to next hurdle $|x_{back} - \mathcal{H}_{next}|$ are considered. For the simple pusher task, we use distance from origin to the object $\|x_{obj}, y_{obj}\|_2$ and to the robotic arm $\|x_{arm}, y_{arm}\|_2$ as symbolic inputs.

A.3 ENVIRONMENT DETAILS

A.3.1 ANT ENVIRONMENTS

The standard-torque Ant environments include **Ant Cross Maze** and **Ant Random Goal** environments. In these environments, a MuJoCo quadruped ant with 150 units torque limit is required to start from origin and reach the random given targets. The radius of the goals are 1 and 0.25, respectively. Specifically, the goal of Ant Cross Maze is randomly sampled from one of three positions: (6, 6), (12, 0) and (6, -6) at each time; and the goals in Ant Random Goal is a random position within a circle with radius of 5. In ant environments, the reward function is defined as:

$$
\begin{aligned}
r_{ant\_rl} = c_{distance} \cdot (c_d - \|p_{ant} - p_{goal}\|_2) + c_h \cdot I(\text{IsHealthy}) \\
- c_a \cdot \|a\|_2 - c_f \cdot \|f_{contact}\|_2
\end{aligned}
\tag{9}
$$

where $p_{ant} = (x_{ant}, y_{ant})$ and $p_{goal} = (x_{goal}, y_{goal})$ are positions of ant and the given targets, $I(\text{IsHealthy})$ is a Boolean function that determines the health condition of the agent, action a is a vector, and $f_{contact}$ is a vector denotes the contact force. Coefficients $c_{distance}, c_d, c_h, c_a$ and c_f are set to be 1, 5, 0.05, 0.01 and 0.001, respectively.

Additionally, we consider three challenging low-torque MuJoCo Ant environments with weak distance-based reward signals. Compared to standard-torque, the low-torque ant has the force range [-30, 30]. Since smaller force is exerted on joints, the ant moves slower and suitable for intricate HRL tasks. Specifically, we normalize the distances to a fixed final goal by the agent initial distance from the goal so values close to 1 or higher show failure. Greedily following this kind of reward signals does not lead to solving the problems as these environments are in complex shapes.

In the **Ant ⊃-Maze** environment, we place the Ant in a ⊃-shaped maze for a navigation task. The agent is trained to reach the farthest end of the maze located at (0, 19). In the **Ant Push** environment, the moveable block is at (0, 8), and the goal is at (0, 19). In the **Ant Fall** environment, the movable block is at (8, 8) at the same elevation as Ant. Their is a rift in the region [-4, 12] × [12, 20]. To reach the target on the other side of the rift, the Ant must push the block down into the rift, and then step on it to get to the goal position. For all three environments, the low-torque Ant starts from the origin, and the radius of goals are set to be 1.

A.3.2 PUSHER ENVIRONMENT

In the **Pusher** environment, the initial position of the object is randomly sampled from the region [0.3, 1] × [-1, -0.4]. In order to successfully move the object to the given target centered at (-1, 0) with radius of 0.17 using the robotic arm, the distance from arm to object, as well as object to goal should be tracked. The reward function for Pusher environment is defined as:

$$
r_{pusher2d} = -c_{reach} \cdot \|p_{arm} - p_{obj}\|_2 - c_{move} \cdot \|p_{obj} - p_{goal}\|_2 - c_a \cdot \|a\|_2
\tag{10}
$$

where $p_{arm} = (x_{arm}, y_{arm})$, $p_{obj} = (x_{obj}, y_{obj})$, and $p_{goal} = (x_{goal}, y_{goal})$ are positions of the robotic arm, object, and the goal, respectively. Coefficient c_{reach}, c_{move}, c_a are set to 0.5, 1, and 0.1, respectively.

A.3.3 HALFCHEETAH HURDLE ENVIRONMENT

In the **HalfCheetah Hurdle** environment, a MuJoCo halfcheetah is required to start from origin and jump over three hurdles to reach the target with radius of 1. The reward function is defined as:

$$
\begin{aligned}
r_{hurdle} = - c_{distance} \cdot |x_{goal} - x| + c_{hurdle} \cdot count(x) \\
+ c_{goal} \cdot I(\text{IsReached}) + c_{run} \cdot v_x + c_{jump} \cdot v_y - c_{collision} \cdot I(\text{IsCollided})
\end{aligned}
\tag{11}
$$

where x is cheetah's current position, x_{goal} is target location, $count(x)$ function returns the number of hurdles has been passed, I(IsReached) is a Boolean function that determines if the cheetah reach the target, v_x and v_y are the projected velocities on x-axis and y-axis, and I(IsCollided) is a Boolean function that determines if the cheetah is in collision. Coefficient $c_{distance}$, c_{hurdle}, c_{goal}, c_{run}, c_{jump} and $c_{collision}$ are set to be 0.1, 1, 1000, 1, 0.3 and 2, respectively.

A.4 HYPERPARAMETERS

Following hyperparameters are used to train primitive policies with SAC (Haarnoja et al., 2018) algorithm.

- Discount factor γ = 0.99.
- SGD optimizer; actor learning rate 0.001; critic learning rate 0.001.
- Mini-batch size n = 100.
- Replay buffer of size 100000.
- Soft update targets τ = 0.005.
- Target update interval and gradient step are set to be 1.

Following hyperparameters are used to train π-**PRL** programs for solving tasks in group one environments with TRPO (Schulman et al., 2015) algorithm.

- Discount factor γ = 0.99.
- Number of trajectories per epoch $N = 50$.
- Maximum search depth of program derivation graph $D_g = 6$.
- KL-Divergence limit δ = 0.01.
- GAE λ = 0.97.
- Gumbel-Softmax Temperature T = 0.25.

Following hyperparameters are used to train π-**HPRL** programs for solving tasks in group two environments with TRPO algorithm.

- Discount factor γ = 0.995.
- Number of trajectories per epoch $N = 100$.
- KL-Divergence limit δ = 0.01.
- GAE λ = 0.97.
- Gumbel-Softmax Temperature T = 0.25.

Table 2 summarizes the neural network architectures used in reinforcement learning algorithms.

Method	Network Structure	Hidden Units
HIRO	High-level Policy: Three layer feed forward network	300
	Low-level Policy: Three layer feed forward network	300
Composition-SAC	Encoder: Bidirectional RNN with LSTMs	128
	Decoder: Single layer feed forward network	128
	Attention:$W_f, W_b, W_d \in \mathbb{R}^{d \times d}, W \in \mathbb{R}^d$	128
TRPO/PPO policy	Two layer feed forward network	256
SAC primitive policy	Two layer feed forward network	256

Table 2: Network Structures.

B IMPLEMENTATION DETAILS OF PROGRAMMATIC HIGH-LEVEL PLANNING

B.1 SPECIFICATIONS FOR HIGH-LEVEL PLANNING

In our experiment, we have applied programmatic high-Level planning in Sec. 4 to 3 challenging environments, namely Ant ⊃-Maze (Fig. 6a), Ant Push (Fig. 7d), and Ant Fall (Fig. 7e), that are commonly used to evaluate the effectiveness of hierarchical reinforcement learning. Sec. 4 shows how to perform high-level planning based on the ensemble policy DSL and uses Ant ⊃-Maze as an example. In this section, we apply programmatic high-level planning to solve Ant Push and Ant Fall.

Recall that our method builds an abstract high-level model based on state abstractions provided by a domain expert, similar to previous works (Abel et al., 2020; Winder et al., 2020; Jothimurugan et al., 2021). For example, consider the Ant navigation task in an U-shaped maze from (Nachum et al., 2018) in Fig. 6a. The abstract states of the task are collected on an abstract 2-D gridworld $m \in \mathbb{R}^{N \times N}$ in Fig. 6b. An abstract grid $(x, y) \in N \times N$ subsumes concrete positions with a known scale where $m[x, y] = 1$ indicates walls and $m[x, y] = 0$ indicates nagivable spaces. The domain expert can then specify a pair of abstract Ant start (yellow) φ_{Init} and goal (green) φ_{Goal} state. By abstracting away details of the low-level dynamics such as Ant orientation and velocity, a high-level policy over abstract states and actions can efficiently plan over much longer time horizons.

For Ant Push and Ant Fall, we reuse the same state abstraction as above. We additionally use $m[x, y] = \text{Move}$ to specify that there is a movable block at (x, y). The Ant needs to push away the movable block to reach the goal behind it in Ant Push or push it into a gap and then utilize the block as a bridge to get close to the goal on the other side of the gap.

Other than state abstraction, for model-based planning, we also abstract over actions to ensure a finite high-level model. We directly use action abstractions as provided primitive functions therein the ensemble policy DSL because they abstract low-level agent actions to an abstract space of skills. Our algorithm then leverages abstract action (primitive function) specifications to synthesize a high-level control plan on the abstract high-level model.

Compared to existing model-based HRL approaches e.g. (Jothimurugan et al., 2021), our algorithm uses abstract actions as specified rather than learned. In the following, We show that with task-agnostic abstract actions (primitive functions), providing these specifications is trivial even for complex high-level planning tasks.

For the Ant Push and Ant Fall environments, the Ant primitives move an Ant up π_{up}, down π_{down}, left π_{left}, and right π_{right}. Therefore, the abstract actions move an Ant horizontally, vertically, or diagonally (via composition) one step on the abstract model:

$$
\varphi_{\text{Act\_Ant\_Push}}(x_{i-1}, y_{i-1}, x_i, y_i, m_{i-1}, m_i) \equiv
$$
$$
x_i = x_{i-1} + dx_i \ \wedge \ y_i = y_{i-1} + dy_i \ \wedge -1 \leq dx_i, \ dy_i \leq 1 \ \wedge
$$
$$
\forall p, q. \ m_i[p][q] = \begin{cases} 0 & m_{i-1}[x_i][y_i] = \text{Move} \wedge p = x_i \wedge q = y_i \\ \text{MOVE} & m_{i-1}[x_i][y_i] = \text{Move} \wedge p = x_i + dx_i \wedge q = y_i + dy_i \\ m_{i-1}[p][q] & \text{otherwise} \end{cases}
$$

Compared to the φ_{Act} definition in Sec. 4, we add two new parameters m_{i-1} and m_i to the new definition above. This is because an agent action may change its environment. Here m_{i-1} refers to the old environment before an agent action, and m_i refers to the new environment after the agent action. For example, for Ant Push, if a movable block is pushed by an Ant, the state abstraction should be updated accordingly reflecting the fact in the new environment m_i that the space after the movable block holds the block after the push.

We require that an abstract action be specified together with a guard φ_{Guard} that encodes conditions under which the action can occur. For Ant Push, an Ant should not walk into walls and the path from an old position to a new position should be available. Importantly, if in the high-level plan the Ant pushes the movable block, there should exist space behind the block that allows for the push in the old environment m_{i-1} before the action. Besides, a movable block can only be moved vertically or

horizontally in the Ant environment.

$$\varphi_{\text{Guard\_Ant\_Push}}(x_{i-1}, y_{i-1}, x_i, y_i, m_{i-1}) \equiv$$
$$m_{i-1}[x_i][y_i] \neq 1 \wedge \big(m_{i-1}[x_{i-1}][y_i] = 0 \vee m_{i-1}[x_i][y_{i-1}] = 0\big) \wedge$$
$$m_{i-1}[x_i][y_i] = \text{Move} \Rightarrow m_{i-1}[x_i + (x_i - x_{i-1})][y_i + (y_i - y_{i-1})] = 0$$
$$m_{i-1}[x_i][y_i] = \text{Move} \Rightarrow x_i = x_{i-1} \vee y_i = y_{i-1}$$

The abstract action specification for Ant Fall can be defined similarly as follows:

$$\varphi_{\text{Act\_Ant\_Fall}}(x_{i-1}, y_{i-1}, x_i, y_i, m_{i-1}, m_i) \equiv$$
$$x_i = x_{i-1} + dx_i \wedge y_i = y_{i-1} + dy_i \wedge -1 \leq dx_i, \; dy_i \leq 1 \wedge$$

$$\forall p, q. \; m_i[p][q] = \begin{cases} 0 & m_{i-1}[x_i][y_i] = \text{Move} \wedge p = x_i \wedge q = y_i \\ 0 & m_{i-1}[x_i][y_i] = \text{Move} \wedge m_{i-1}[p][q] = \text{Gap} \wedge \\ & \qquad p = x_i + dx_i \wedge q = y_i + dy_i \\ \text{Move} & m_{i-1}[x_i][y_i] = \text{Move} \wedge m_{i-1}[p][q] \neq \text{Gap} \wedge \\ & \qquad p = x_i + dx_i \wedge q = y_i + dy_i \\ m_{i-1}[p][q] & \text{otherwise} \end{cases}$$

Notice that $\varphi_{\text{Act\_Ant\_Fall}}$ is almost the same to $\varphi_{\text{Act\_Ant\_Push}}$ except that we specify that if the Ant pushes a movable block into a gap, the gap becomes a bridge for the Ant to bypass.

$$\varphi_{\text{Guard\_Ant\_Fall}}(x_{i-1}, y_{i-1}, x_i, y_i, m_{i-1}) \equiv$$
$$m_{i-1}[x_i][y_i] \neq 1 \wedge \big(m_{i-1}[x_{i-1}][y_i] = 0 \vee m_{i-1}[x_i][y_{i-1}] = 0\big) \wedge$$
$$m_{i-1}[x_i][y_i] = \text{Move} \Rightarrow m_{i-1}[x_i + (x_i - x_{i-1})][y_i + (y_i - y_{i-1})] = 0 \vee$$
$$m_{i-1}[x_i + (x_i - x_{i-1})][y_i + (y_i - y_{i-1})] = \text{Gap}$$
$$m_{i-1}[x_i][y_i] = \text{Move} \Rightarrow x_i = x_{i-1} \vee y_i = y_{i-1}$$

Similarly, $\varphi_{\text{Guard\_Ant\_Fall}}$ is almost the same to $\varphi_{\text{Guard\_Ant\_Push}}$ except that we specify that the Ant can push a movable block either if there is either an empty space or a gap behind the block in the old environment before the action.

B.2 SOLVING AND IMPLEMENTING HIGH-LEVEL PLANNING

We synthesize a high-level control plan on the abstract model using abstract action specifications as constraints. Suppose for now that we are searching over a high-level plan of a fixed number k of abstract action steps to reach the goal state φ_{Goal} from the initial state φ_{Init}:

$$\varphi_{\text{Init}}(x_0, y_0) \wedge$$
$$\bigwedge_{i=1}^{k} \big(\varphi_{\text{Act}}(x_{i-1}, y_{i-1}, x_i, y_i, m_{i-1}, m_i) \wedge \varphi_{\text{Guard}}(x_{i-1}, y_{i-1}, x_i, y_i, m_{i-1})\big) \wedge \qquad (12)$$
$$\varphi_{\text{Goal}}(x_k, y_k)$$

Constraint (12) can be solved by off-the-shelf constraint satisfiability solvers e.g. Z3 (de Moura & Bjørner, 2008). We incrementally search for longer and longer high-level plans, starting from $k = 1$ and increasing k until the optimal high-level plan solution is found. A solved high-level plan is a sequence of sub-tasks: $\big(\gamma(x_0, y_0), \pi_1, \gamma(x_1, y_1)\big), \big(\gamma(x_1, y_1), \pi_2, \gamma(x_2, y_2)\big), \ldots, \big(\gamma(x_{k-1}, y_{k-1}), \pi_k, \gamma(x_k, y_k)\big)$ where we extract the intermediate high-level goals $x_1, y_1, \ldots, x_{k-1}, y_{k-1}$ from the solution of the statisfiability solver, and the concretization function γ converts abstract states back to concrete goals on the unabstracted environment for the agent to successively achieve. For example, the solved high-level plan for Ant $\supset$-Maze navigation is depicted in Fig. 6c. For each sub-task i that starts from a set of initial states $\gamma(x_{i-1}, y_{i-1})$, we learn a new low-level policy π_i that drives the agent to reach states in $\gamma(x_i, y_i)$. The states of π_i when reaching $\gamma(x_i, y_i)$ serve as the initial states for training π_{i+1}. Notice that we do not directly use primitive functions as π_i, because oftentimes low-level policies need to compose primitive functions using different weights under different environment

Environment	π-HRPL		HIRO		A-AVI	
Ant ⊃-Maze	**0.06±0.02**	**84%±6%**	0.27±0.04	82%±4%	0.18±0.02	77%±8%
Ant Push	**0.07±0.02**	**94%±8%**	0.19±0.08	46%±8%	0.40±0.08	42%±12%
Ant Fall	**0.08±0.04**	**65%±5%**	0.42±0.08	37%±15%	0.31±0.03	52%±5%

Table 3: Performance comparison for group two environments averaged over 5 random seeds. The mean normalized final distances to goals and success rates with their standard deviations are reported.

conditions not fully captured by abstract models to achieve a sub-task, e.g. Ant turning around a corner with different poses and velocities while contacting walls in the process. We therefore learn each low-level policy π_i as a programmatic ensemble policy composing primitive functions using the technique in Sec. 3 and distance-based rewards. This ensures that our HRL policies are interpretable at both levels.

B.3 DETAILED RESULTS ON HIERARCHICAL RL BENCHMARKS

We show the success rates of applying our learning algorithm in Sec. 4, HIRO (Nachum et al., 2018), and A-AVI (Jothimurugan et al., 2021) in Table 3.

It can be seen that π-HRPL programs signifiantly outperform both HIRO and A-AVI. For example, on the challenging Ant Push environment, our π-HRPL policy achieves 94% success rate of goal reaching far beyond that of HIRO and A-AVI.

C PROGRAM INTERPRETABILITY: ANT CROSS MAZE

In this section, we take one step ahead and show how an Ant Cross Maze program $\mathcal{P}_{cross}$ can be interpreted by using both branch activation and also policy strength graphs.

C.1 BRANCH ACTIVATION

In Sec.2.1, we demonstrate multiple branch activation graphs given different two targets: (6, -6) and (12, 0), and we analyze how they can be explained. Furthermore, When the goal is set to (6, 6), in most areas of the maze, branch one of the $\mathcal{P}_{cross}$ is activated (shown in Fig. 9a) and the agent would majorly follow the instruction provided by the branch one. From Fig.3, we can conclude that the semantics of this branch is to navigate the agent to go *UP*, since the parameter associated with *UP* primitive policies dominates this branch. Similarly, Fig.9b shows that int most upper-half of the given area, branch two is activated and it would enforce the agent to *go RIGHT*.

(a) Branch 1 activation, $(\mathcal{G}_x, \mathcal{G}_y) = (6, -6)$ (b) Branch 2 activation, $(\mathcal{G}_x, \mathcal{G}_y) = (6, -6)$

Figure 9: Branch activation as functions of Ant position (x, y) for program $\mathcal{P}_{cross}$.

(a) *UP* policy strength, $(\mathcal{G}_x, \mathcal{G}_y) = (12, 0)$ (b) *UP* policy strength, $(\mathcal{G}_x, \mathcal{G}_y) = (6, -6)$

Figure 10: *UP* policy strength of a Ant Cross Maze program $\mathcal{P}_{cross}$

(a) *LEFT* policy strength, $(\mathcal{G}_x, \mathcal{G}_y) = (6, 6)$ (b) *RIGHT* policy strength, $(\mathcal{G}_x, \mathcal{G}_y) = (6, -6)$

Figure 11: *LEFT* and *RIGHT* policy strength of a Ant Cross Maze program $\mathcal{P}_{cross}$.

C.2 POLICY STRENGTH

Unlike a branch activation graph which informs us how a branch is activated, a policy strength graph demonstrates how a specific primitive skill policy is invoked without considering the semantic of any branch, so it is suitable for final analysis and interpretation.

Fig.10a and 10b demonstrate the *UP* policy strength of program $\mathcal{P}_{cross}$ given target locations (12, 0) and (6, -6). Presumably, if the agent start from origin (0, 0) and the target is (12, 0), it should move straight up and invoke *UP* policy. From the Fig.10a we know that the behavior of the program is consistent with our expectation: invoking *UP* policy throughout its trajectory. Furthermore, if we change the target position to (6, -6), behavior of how the program invokes *UP* policy (see Fig.10b) changes accordingly: the strength of *UP* policy is at a high level in the beginning of the trajectory, then decreases rapidly to prepare for a right turn. Therefore, the effect of $\mathcal{P}_{cross}$ shows in Fig.10 can be summarized as: *the strength of UP policy could be adjusted according to different target locations, yet it stays at a high level at the beginning of the trajectory.*

The goals shown in Fig.11a and 11b are symmetric. After reaching the central area of the maze using *UP* policy, the program should navigate the ant to make a left or right turn by calling *LEFT* or *RIGHT* primitive policies, respectively. If the goal is located at (6, 6) (see Fig.11a), the *LEFT* policy strength is high after the agent reaches the center of the maze, so it can be pushed and move towards left. When the goal is assigned to (6, -6) on the right side of the maze (see Fig.11b), the *RIGHT* policy is invoked at a high level after the ant reach the center of the maze, so that the agent will be pushed to move right. Therefore, the effect of $\mathcal{P}_{cross}$ shows in Fig.11 can be summarized as: *the LEFT or RIGHT polices strength is at a high level when the ant reaches the center area of maze so it can be navigated to make a left or right turn to reach the targets.*

if $(\theta_{1_c} + \theta_1^T \cdot \mathcal{X} > 0)$
    then $(0\% \cdot \pi_{\text{UP}}(s) + 51\% \cdot \pi_{\text{DOWN}}(s) + 0\% \cdot \pi_{\text{LEFT}}(s) + 49\% \cdot \pi_{\text{RIGHT}}(s))$
    else if $(\theta_{2_c} + \theta_2^T \cdot \mathcal{X} > 0)$
        then $(3\% \cdot \pi_{\text{UP}}(s) + 0\% \cdot \pi_{\text{DOWN}}(s) + 97\% \cdot \pi_{\text{LEFT}}(s) + 0\% \cdot \pi_{\text{RIGHT}}(s))$
        else if $(\theta_{3_c} + \theta_3^T \cdot \mathcal{X} > 0)$
            then $(82\% \cdot \pi_{\text{UP}}(s) + 15\% \cdot \pi_{\text{DOWN}}(s) + 0\% \cdot \pi_{\text{LEFT}}(s) + 3\% \cdot \pi_{\text{RIGHT}}(s))$
            else $(78\% \cdot \pi_{\text{UP}}(s) + 0\% \cdot \pi_{\text{DOWN}}(s) + 0\% \cdot \pi_{\text{LEFT}}(s) + 22\% \cdot \pi_{\text{RIGHT}}(s))$

$\mathcal{X} = [\, x,\, y,\, \mathcal{G}_x,\, \mathcal{G}_y,\, arctan\frac{y}{x}, \|x, y\|_2 \,]$
$\theta_1 = [\, 0.899, 0.397, -0.893, -0.394, -0.019, 0.080 \,], \ \theta_{1_c} = -2.050$
$\theta_2 = [\, 0.222, -1.679, -0.117, 1.468, -0.107, 0.297 \,], \ \theta_{2_c} = -3.798$
$\theta_3 = [\, -0.895, 0.196, -1.290, 2.423, -2.667, 2.378 \,], \ \theta_{3_c} = -2.226$

Figure 12: An Ant Random Goal program $\mathcal{P}_{random}$ powered by four primitive skill policies: $\pi_{\text{UP}}(s), \pi_{\text{DOWN}}(s), \pi_{\text{LEFT}}(s)$ and $\pi_{\text{RIGHT}}(s)$. Program input $\mathcal{X}$ includes current Ant position x, y, target goal $\mathcal{G}_x, \mathcal{G}_y$, $arctan\frac{y}{x}$, and $\|x, y\|_2$.

D PROGRAM INTERPRETABILITY: MORE EXAMPLES

In this section, we demonstrate more examples of programs and their branch activation and policy strength graphs for interpretation.

D.1 ANT RANDOM GOAL PROGRAM

    (a) Branch 1 activation   (b) Branch 2 activation   (c) Branch 3 activation

Figure 13: Branch activation of an Ant Random Goal program $\mathcal{P}_{random}$, $(\mathcal{G}_x, \mathcal{G}_y) = $ (-3, -3).

    (a) *DOWN* policy strength   (b) *RIGHT* policy strength

Figure 14: *DOWN* and *RIGHT* policy strength of program $\mathcal{P}_{random}$, $(\mathcal{G}_x, \mathcal{G}_y) = $ (-3, -3).

Fig.12 demonstrates the expression of the program $\mathcal{P}_{random}$ for solving Ant Random Goal environment. The pre-defined function input $\mathcal{X}$ takes symbolic states include current position x, y along with the randomly sampled target location $\mathcal{G}_x, \mathcal{G}_y$. Also, a vector of distribution of weights of primitive policies can be found on each terminal branch.

$$\textbf{if } (\theta_{1_c} + \theta_1^T \cdot \mathcal{X} > 0)$$
$$\textbf{then } (\ 74\% \cdot \pi_{\text{PUSH-DOWN}}(s) + 26\% \cdot \pi_{\text{PUSH-LEFT}}(s)\ )$$
$$\textbf{else if } (\theta_{2_c} + \theta_2^T \cdot \mathcal{X} > 0)$$
$$\textbf{then } (\ 99\% \cdot \pi_{\text{PUSH-DOWN}}(s) + 1\% \cdot \pi_{\text{PUSH-LEFT}}(s)\ )$$
$$\textbf{else } (\ 99\% \cdot \pi_{\text{PUSH-DOWN}}(s) + 1\% \cdot \pi_{\text{PUSH-LEFT}}(s)\ )$$

$$\mathcal{X} = [\ \|x_{obj}, y_{obj}\|_2\ ,\ \|x_{arm}, y_{arm}\|_2\ ]$$
$$\theta_1 = [\ -1.370, 0.621\ ],\ \theta_{1_c} = 5.041$$
$$\theta_2 = [\ -1.252, -8.101\ ],\ \theta_{2_c} = -3.426$$

Figure 15: A Pusher program $\mathcal{P}_{pusher}$ powered by two primitive skill policies: $\pi_{\text{PUSH-DOWN}}(s)$ and $\pi_{\text{PUSH-LEFT}}(s)$. Program input $\mathcal{X}$ includes norms over x_{obj}, y_{obj} and x_{arm}, y_{arm}.

Fig.13 shows the branch activation of the first three branches. The figures clearly demonstrate that when the goal is set to be $(\mathcal{G}_x, \mathcal{G}_y)$ = (-3, -3), roughly only branch one is activated in the needed areas, so the behavior of the agent is dominantly determined by the semantic of branch one. Since the second and fourth values in the policy distribution vector in branch one nearly equally dominate the branch, the expected behavior of this branch can be summarized as: *go RIGHT and go DOWN at the same time.*

Fig.14a and 14b demonstrate the *DOWN* and *RIGHT* policy strength of a program $\mathcal{P}_{random}$ given origin (0, 0) and target location (-3, -3), respectively. In the figures, the x-axis and y-axis reflect the current position of the agent. The output of $\mathcal{P}_{random}$ is a vector that contains strength of each primitive skill policy and we are interested in the strength *DOWN* and *RIGHT* primitive policies in this case. Presumably, if the goal position is (-3, -3) and the ant starts from (0, 0), the simplest path for an ant is to move diagonally along with southeast direction, and surely this requires *DOWN* and *RIGHT* primitive policies, and each of them is likely to contribute equally. From Fig.14 we know that with this program $\mathcal{P}_{random}$, when the agent moves southeast, the contribution of *DOWN* and *RIGHT* are roughly the same, and this is consistent with our expectation. Therefore, the effect of this program can be summarized as: *if the agent is required to move southeast from (0, 0) to (-3, -3), assign DOWN and RIGHT equally at roughly 50 percent of strength.*

D.2 PUSHER PROGRAM

(a) Branch 1 Activation   (b) *PUSH-DOWN* policy strength   (c) *PUSH-LEFT* policy strength

Figure 16: Branch activation and policy strength graph of a pusher program $\mathcal{P}_{pusher}$.

Fig.15 demonstrates a program $\mathcal{P}_{pusher}$ with three branches for solving Pusher environment. The program input $\mathcal{X}$ takes symbolic states $x_{obj},\ y_{obj},\ x_{arm},\ y_{arm}$ as inputs, then computes $\|x_{obj}, y_{obj}\|_2\ ,\ \|x_{arm}, y_{arm}\|_2$ to decide which branch of weight cell to take.

From Fig.16a we can conclude that, only the first branch is activated in the whole possible situations. Such activation values for branch 1 demonstrate that the possibility of cutting other branches in the program without hurting the performance of the graph too much. Also, it suggests that a smaller value of maximum depth of program derivation graph is sufficient for searching a program to solve

this task. Also, the distribution of primitive policies in this branch shows that: *the agent needs more PUSH-DOWN policy than PUSH-LEFT policy to move the object to the target location.*

Furthermore, Fig.16 demonstrates *PUSH-DOWN* and *PUSH-LEFT* policy strength of the program $\mathcal{P}_{pusher}$. Unlike Ant Cross Maze or Ant Random Goal environment, the goal of pusher environment is at a fixed location. From Fig.16b we can conclude that: *when the object is faraway from the origin, i.e., close to the goal, PUSH-DOWN policy strength is at a relatively lower level, compared to at a higher level when the object is far from the goal position.* Also, we can summarize the effect of how the program invoke *PUSH-LEFT* primitive policy from Fig.16c: *when the object is too close to the origin, the PUSH-LEFT policy strength is at a lower level, and if the object is close to the goal, the PUSH-LEFT policy strength is at a higher level.* In general, the above behavior of *PUSH-LEFT* can be explained if we consider velocities in both x and y axes: if we want to acquire a policy which push the object at a constant tangential velocity all the way to the goal, we may need to adjust the distribution of *PUSH-LEFT* and *PUSH-DOWN* in order to get a reasonable combination of velocity in both x and y axes.

D.3 HALFCHEETAH HURDLE PROGRAM

$$95\% \cdot \pi_{\text{FORWARD}}(s) + 5\% \cdot \pi_{\text{JUMP}}(s)$$

$$\mathcal{X} = [\ \mathcal{H}_{next}, \ |x_{back} - \mathcal{H}_{next}| \ ]$$

Figure 17: A HalfCheetah Hurdle program $\mathcal{P}_{hc}$ powered by two primitive skill policies: $\pi_{\text{FORWARD}}(s)$ and $\pi_{\text{JUMP}}(s)$. Program input $\mathcal{X}$ includes the position of the next hurdle $\mathcal{H}_{next}$ and the distance from HalfCheetah's back foot to next hurdle $|x_{back} - \mathcal{H}_{next}|$. The program $\mathcal{P}_{hc}$ shown is a special program which does not contain any **if-then-else** structure, i.e., there exists a fixed strength of invoking each primitive policy.

According to our experiments when applying π-**PRL** algorithm to HalfCheetah Hurdle environment, the program derivation graph is inclined to select a program with few branches. In fact, a simple HalfCheetah Hurdle program $\mathcal{P}_{hc}$ with only one branch (shown in Fig.17) is able to solve the task with high performance and success rate.

An example of such program can be written as $95\% \cdot \pi_{\text{FORWARD}}(s) + 5\% \cdot \pi_{\text{JUMP}}(s)$. In this simple program, the input $\mathcal{X}$ is not even used, this means the agent invokes each primitive policy at a fixed rate. The success of such a simple combination of two primitive skill policies tells us, if a program is powered by appropriate low-level primitive policies, we may solve a RL task in a much simpler way.

E POLICY GENERALIZABILITY

In our experience, the high interpretability of learned programmatic policies leads to strong generalizability of the policies to novel environments.

E.1 ANT NO MAZE

We consider applying the programmatic policy $\mathcal{P}_{cross}$ for Ant Cross Maze to an environment with randomly sampled target positions far beyond the three possible goals in the original environment. Our goal is to test if the programmatic policy has learned how to perform goal navigation. Since a new target position can be quite different than the three goals in Ant Cross Maze, we remove all the walls from the environment so the Ant can navigate freely. Fig.18a and 18b depicts the *UP* and *RIGHT* policy strength if we set the goal to (14, -8), i.e., the upper-right corner. Fig. 18c and 18d shows the *UP* and *LEFT* policy strength if we set the goal to (14, 8), i.e., the upper-left corner. $\mathcal{P}_{cross}$ can successfully drive the Ant to these positions. From these policy strength figures we can conclude that $\mathcal{P}_{cross}$ successfully detects the change of goals and weighs *UP* and associated *RIGHT* or *LEFT* policies in appropriate areas to navigate the ant to reach the goals.

(a) *UP* policy strength, goal (14, -8)   (b) *RIGHT* policy strength, goal (14, -8)

(c) *UP* policy strength, goal (14, 8)   (d) *LEFT* policy strength, goal (14, 8)

Figure 18: *UP*, *RIGHT* and *LEFT* policy strength of a transferred program $\mathcal{P}_{cross}$.

Goal Radius	$\mathcal{P}_{cross}$	Comp-SAC
1.0	**0.16±0.04**	0.28±0.08
1.5	**0.13±0.03**	0.26±0.06
2.0	**0.10±0.03**	0.23±0.05

Table 4: Comparison results of policy generalizability in Ant Reshaped Maze environment (Fig. 19). We set multiple goal radius 1.0 (the original radius in Ant Cross Maze), 1.5, and 2.0. Normalized final distances to goals are reported as the average over 50 random executions.

E.2 ANT RESHAPED MAZE

Furthermore, we consider a reshaped cross maze with three different goals: (8, 8), (14, 0), (8, -8) (represented by blue spheres in Fig.19). The shapes and positions of walls have also been changed and adapted to new possible goals accordingly. This novel environment Ant Reshaped Maze is similar to Ant Cross Maze environment in many ways, e.g. they both have three possible goals, their goals are symmetric, etc.

We directly apply $\mathcal{P}_{cross}$ to Ant Reshaped Maze and compare the generalizability of $\mathcal{P}_{cross}$ with the BiLSTM policy learned in the Ant Cross Maze environment as well using

Figure 19: Ant Reshaped Maze

Composition-SAC (Qureshi et al., 2019). We compare with Composition-SAC because the two policies both learn to compose primtivie skills to adapt to new complex behavior. However, $\mathcal{P}_{cross}$ is more interpretable than a BiLSTM model. Table 4 shows that $\mathcal{P}_{cross}$ successfully realizes the change of the three goals and drive the Ant in the novel environment with 0.16 normalized distance to the new goals. In constrast, the Composition-SAC policy does not solve the novel environment well. We further increase the original radius of goals to 1.5 and 2 in the novel environment, both $\mathcal{P}_{cross}$ and the Composition-SAC policy get close to goals with $\mathcal{P}_{cross}$ continuing to perform much better.

(a) MountainCar Gym Environment   (b) MountainCar Branch Activation

Figure 20: Continuous MountainCar Example. In the branch activation graph, a yellow region indicates the area of states on which the control signal in branch 1 is activated.

$$\textbf{if } \theta_{1_c} + \theta_1^T \cdot \mathcal{X} > 0$$
$$\textbf{then } 0.92 \longleftarrow \textbf{Branch 1}$$
$$\textbf{else } -0.95 \longleftarrow \textbf{Branch 2}$$

$$\mathcal{X} = [\, position,\ velocity\,]$$
$$\theta_1 = [\, 0.1,\ 128.1\,],\ \theta_{1_c} = 0.88$$

Figure 21: A MountainCar program with two branches. Program input $\mathcal{X}$ includes current car *position* and *velocity*.

F   AFFINE POLICIES AND PID POLICIES

F.1   AFFINE POLICIES

The DSL for affine policies implements a controller C as affine transformations in Fig. 1:

$$C_{\textit{Affine}} \ ::= \ \theta_c + \theta \cdot \mathcal{X} \mid \theta_c$$

where $\theta \in \mathbb{R}^{m \cdot |\mathcal{X}|}, \theta_c \in \mathbb{R}^m$ are control parameters. Particularly, $C_{\textit{Affine}}$ can be as simple as some (learned) constants θ_c.

For example, consider the continuous OpenAI Gym MountainCar environment in Fig. 20a. A car is on a one-dimensional track, positioned between two "mountains". The goal is to drive up the mountain on the right. Because the car's engine is not strong enough, a policy has to drive the car back and forth to build up momentum in multiple passes. The reward is greater if the policy spends less energy to reach the goal.

A learned programmatic policy to control the continuous MountainCar environment is given in Fig. 21. Fig. 20b depicts the activation of branch 1 in the program as a function of $(position,\ velocity)$. The degree of activation (yellow) is close to 1 on almost all states under with speed velocity greater than 0. The logic of the program is then obvious — when ever the car speed is greater than 0, the policy accelerates the forth to drive the car forward; when ever the car speed is less than 0, the policy accelerates the forth to drive the car backward. Indeed, this helps drive the car back and forth to build up momentum. The policy succeeds reaching the goal and receives cumulative reward greater than the threshold 90 on all experienced episodes.

(a) Pendulum Gym Environment   (b) Pendulum Branch Activation

Figure 22: Pendulum Example. In the branch activation graph, a yellow region indicates the area of states on which the control signal in branch 1 is activated.

$$\textbf{if } \theta_{1_c} + \theta_1^T \cdot \mathcal{X} > 0$$
$$\quad\textbf{then } \text{PID}_{\theta_P, \theta_I, \theta_D}\big([1,0,0], h, s\big) \longleftarrow \textbf{Branch 1}$$
$$\quad\textbf{else } 2.39 \longleftarrow \textbf{Branch 2}$$

$$\mathcal{X} = [\; cos(\theta),\; sin(\theta),\; \dot\theta\;]$$
$$\theta_1 = [\; 2.57,\; -2.18,\; -0.71\;],\; \theta_{1_c} = 0.94$$
$$\theta_P = [\; -9.28, 1.04, 1.71\;]^T\; \theta_I = [\; -0.26, 1.57, 0.14\;]^T\; \theta_D = [\; -4.70, 2.03, -0.48\;]^T$$

Figure 23: A pendulum program with two branches. In the system, θ is the angle the pendulum makes with the vertical. Program input $\mathcal{X}$ includes $cos(\theta),\; sin(\theta), \dot\theta$.

F.2 PID POLICIES

The DSL for PID policies implements a controller C as a discretized, multivariable PID function in Fig. 1:

$$C_{PID} \; ::= \; \textbf{PID}_{\theta_P, \theta_I, \theta_D}(\epsilon, h, s) \mid \theta_c$$

where θ_P, θ_I, $\theta_D \in \mathbb{R}^{m \cdot n}$ are parameters representing the proportional gain, integral gain, and derivative gain matrices of PID control. Notice that a PID controller additionally takes a known constant ϵ that represents a fixed target for the controller to stabilize the system under control around, and a histroy h of a sequence of states before the current control step. The semantics of the controller is as follows:

$$[\![\textbf{PID}_{\theta_P, \theta_I, \theta_D}]\!](\epsilon, h, s) = \theta_P \cdot P + \theta_I \cdot I + \theta_D \cdot D \text{ where}$$
$$P = (\epsilon - s) \qquad I = \textbf{fold}(+, \epsilon - h) \qquad D = \textbf{peek}(h, -1) - s \tag{13}$$

In the semantics definition, P is the proportional term, I is the discrete approximation of the integral term (calculated via a **fold**), and D is the finite-difference approximation of the derivative term. In line with the standard integral error reset strategy (Åström & Hägglund, 1984), the **fold** function acts over a fixed-sized window on the history (e.g. the five latest states of the history). **peek**$(h, -1)$ returns the most resent state in a history h.

For example, consider the OpenAI Gym Pendulum environment in Fig. 22a. The pendulum swingup problem is a classic problem in the control literature. The pendulum starts in a random position, and the goal is to swing it up so it stays upright. The state space include θ that is the angle the pendulum makes with the vertical and the angular velocity $\dot\theta$. However, the observation exposed to a policy for this task is $[cos(\theta),\; sin(\theta), \dot\theta]$. Since the goal is to stablise the pendulum upright, the fixed goal ϵ for a PID controller for this task is $[1, 0, 0]$ (i.e. $[cos(\theta) = 1,\; sin(\theta) = 0, \dot\theta = 0]$).

Environment	π-RPL		SAC		PPO	
	stoc	mean	stoc	mean	stoc	mean
Swimmer-v3	363	364	33	43	47	44
Walker2d-v3	5336	5588	5407	5858	3589	3816
Ant-v3	5568	6031	5850	6325	4079	4359
Humanoid-v3	5207	5737	5488	5521	1340	1912
LunarLander-v2*	271	279	279	279	16	102

Table 5: The episode reward of the best agents occurring in the training at MuJoCo tasks after 3 million steps. We compare our programmatic policies π-RPL with SAC (Haarnoja et al., 2018) and PPO (Schulman et al., 2017). We report the averaged results of three repeated experiments where "stoc" refers to a Gaussian policy with actions sampled from it, while "mean" refers to using mean of the policy. LunarLander(continuous) is controlled by a discretized, multivariable PID program.

A learned programmatic policy to control the pendulum environment is given in Fig. 23. Fig. 22b depicts the activation of branch 1 in the program as a function of $(\theta, \dot{\theta})$. The degree of activation (yellow) is close to 1 on all states where the PID controller in branch 1 would be applied to. Accordingly, the large constant control signal in branch 2 would be applied states within the blue region. The logic of the program is then obvious — when ever the pendulum is hanging or downwards close to $+\pi$ or $-\pi$, the policy applies the large (constant) control action in branch 2 to push the pendulum to an area in which it is easy for the PID controller in branch 1 to eventually stabilise the pendulum upright at $0, 2\pi, or -2\pi$. The policy succeeds on all experienced episodes. In our experience, we found that it is impossible to train a single PID controller to solve the task. The programmatic policy in turn uses a large control action to push the pendulum to where the PID controller is able to function.

Experiment Results of Learning Affine and PID Policies. We have applied the learning algorithm in Sec. 3 to the popular benchmark suite MuJoCo. This benchmark suite consists of multiple locomotion tasks with 2D and 3D agents. Table 5 demonstrates that our results on these benchmarks are competitive with state-of-the-art RL algorithms.