OBJECT-CENTRIC LEARNING WITH SLOT MIXTURE MODELS

ABSTRACT

Object-centric architectures usually apply some differentiable module on the whole feature map to decompose it into sets of entities representations called slots. Some of these methods structurally resemble clustering algorithms, where the center of the cluster in latent space serves as slot representation. Slot Attention is an example of such a method as a learnable analog of the soft k-Means algorithm. In our work, we use the learnable clustering method based on Gaussian Mixture Model, unlike other approaches we represent slots not only as centers of clusters but we also use information about the distance between clusters and assigned vectors, which leads to more expressive slots representations. Our experiments demonstrate that using this approach instead of Slot Attention improves performance in different scenarios achieving state-of-the-art performance in the set property prediction task.

1 INTRODUCTION

In recent years, interest in object-centric representations has greatly increased (Greff et al. (2019); Burgess et al. (2019); Li et al. (2020); Engelcke et al. (2020; 2021); Locatello et al. (2020)). Such representations have the potential to improve the generalization ability of machine learning methods in many domains, such as reinforcement learning Keramati et al. (2018); Watters et al. (2019); Kulkarni et al. (2019); Berner et al. (2019); Sun et al. (2019), scene representation and generation El-Nouby et al. (2019); Matsumori et al. (2021); Kulkarni et al. (2019), reasoning Yang et al. (2020), object-centric visual tasks Groth et al. (2018a); Yi et al. (2020); Singh et al. (2021b), and planning (Migimatsu & Bohg (2020)).

Automatic segmentation of objects on the scene and the formation of a structured latent space can be carried out in various ways Greff et al. (2020): augmentation of features with grouping information, the use of a tensor product representation, engaging ideas of attractor dynamics, etc. however, the most effective method for learning object-centric representations is Slot Attention Locatello et al. (2020). Slot Attention maps the input feature vector received from the convolutional encoder to a fixed number of output feature vectors, which are called Slots. As a result of training, each object is assigned a corresponding slot. If the number of slots is greater than the number of objects, then some of the slots remain empty (do not contain objects). This approach showed significant results in such object-centir tasks as set property prediction and object discovery.

The iterative slot-based approach can be considered as a variant of the soft k-Means algorithm Bauckhage (2015b), where the key/value/query projections are replaced with the identity function and updating via the recurrent neural network is excluded Locatello et al. (2020). In our work, we propose another version of the generalization, when the k-Means algorithm is considered as a Gaussian Mixture Model Bauckhage (2015a). We represent slots not only as centers of clusters but we also use information about the distance between clusters and assigned vectors, which leads to more expressive slots representations. Representing slots in this way improves the quality of the model in object-centric problems, achieving the state-of-the-art results in the set property prediction task, even compared to highly specialized models Zhang et al. (2019b), and also improves the generalization ability of the model for image reconstruction task.

The paper is structured as follows: in Section 2 we provide background information about Mixture Models and their process of training. In Section 3.1 we introduce a Slot Mixture Module – the modification of a Slot Attention Module which provides more expressive slots representations. In Section 4.1 by extensive experiments we show that the proposed Slot Mixture Module riches the

state-of-the-art performance in the set property prediction task on the CLEVR dataset Johnson et al. (2017) and outperforms even highly specialized models Zhang et al. (2019b). In Section 4.2 we provide experimental results for the image reconstruction task on four datasets, three with synthetic images (CLEVR-Mirror Singh et al. (2021a), ShapeStacks Groth et al. (2018b), ClevrTex Karazija et al. (2021)) and one with real life images COCO-2017 Lin et al. (2014). The proposed Slot Mixture Module improves reconstruction performance. In Section 6 we discuss the obtained results, advantages, and limitations of our work.

The main contributions of our paper are as follows:

1. We proposed a generalization of slot-based approach for object-centric representations as a Gaussian Mixture Model (Section 3.1).

2. Such a representation allows state-of-the-art performance in the set property prediction task, even in comparison with specialized models (Section 4.1), which are not aimed at building disentangled representations.

3. The representation of slots as a Gaussian Mixture improves the generalization ability of the model in other object-oriented tasks (Section 4.2).

2 MIXTURE MODELS

Mixture Models (MM) is a class of parametric probabilistic models, in which it is assumed that each $\boldsymbol{x}_i$ from some observations $\boldsymbol{X} = \{\boldsymbol{x}_1, ..., \boldsymbol{x}_N\} \in \mathbb{R}^{N \times D}$ is sampled from the mixture distribution with K mixture components and prior mixture weights $\boldsymbol{\pi} \in \mathbb{R}^K$:

$$\boldsymbol{x}_i \sim p(\boldsymbol{x}_i|\boldsymbol{\theta}) = \sum_{k=1}^{K} \pi_k p(\boldsymbol{x}_i|\boldsymbol{\theta}_k), \ P(\boldsymbol{X}|\boldsymbol{\theta}) = \prod_{i=1}^{N} p(\boldsymbol{x}_i|\boldsymbol{\theta}), \ \sum_k \pi_k = 1.$$

These models can be seen as the models with latent variables $z_{i,k} \in \{z_1, ..., z_K\}$ that indicate which component $\boldsymbol{x}_i$ came from. The problem is to find such K groups of component parameters $\boldsymbol{\theta}_k$ and component assignments of each sample $\boldsymbol{x}_i$ that will maximize the likelihood of the model $P(\boldsymbol{X}|\boldsymbol{\theta})$. The Expectation Maximization (EM) algorithm is an iterative algorithm that addresses this problem and includes two general steps. The **Expectation (E) step**: evaluate the expected value of the complete likelihood $P(\boldsymbol{X}, \boldsymbol{Z}|\boldsymbol{\theta}^*)$ with respect to the conditional distribution of $P(\boldsymbol{Z}|\boldsymbol{X}, \boldsymbol{\theta})$:

$$Q(\boldsymbol{\theta}^*, \boldsymbol{\pi}^*|\boldsymbol{\theta}, \boldsymbol{\pi}) = \mathbb{E}_{P(\boldsymbol{Z}|\boldsymbol{X}, \boldsymbol{\theta})}[\log P(\boldsymbol{X}, \boldsymbol{Z}|\boldsymbol{\theta}^*)], \ P(\boldsymbol{X}, \boldsymbol{Z}|\boldsymbol{\theta}^*) = \prod_{i=1}^{N} \prod_{k=1}^{K} [\pi_k p(\boldsymbol{x}_i|\boldsymbol{\theta}_k^*)]^{I(z_i=z_k)},$$

where $I(*)$ is an indicator function.

The **Maximization (M) step**: find $\boldsymbol{\theta}^*, \boldsymbol{\pi}^*$ that maximize $Q(\boldsymbol{\theta}^*, \boldsymbol{\pi}^*|\boldsymbol{\theta}, \boldsymbol{\pi})$:

$$(\boldsymbol{\theta}, \boldsymbol{\pi}) = \operatorname{argmax}_{(\boldsymbol{\theta}^*, \boldsymbol{\pi}^*)} Q(\boldsymbol{\theta}^*, \boldsymbol{\pi}^*|\boldsymbol{\theta}, \boldsymbol{\pi}).$$

One of the most widely used models of this kind is Gaussian Mixture Model (GMM), where each mixture component is modeled as Gaussian distribution parameterized with its mean values and covariance matrix, which is diagonal in the simplest case: $P(\boldsymbol{x}_i|\boldsymbol{\theta}_k) = \mathcal{N}(\boldsymbol{x}_i|\boldsymbol{\mu}_k, \boldsymbol{\Sigma}_k), \ \boldsymbol{\Sigma}_k = \operatorname{diag}(\boldsymbol{\sigma}_k^2)$. In this case, EM algorithm is reduced to the following calculations.

E step:

$$p(z_k|\boldsymbol{x}_i) = \frac{p(z_k)p(\boldsymbol{x}_i|\boldsymbol{\theta}_k)}{\sum_{k=1}^{K} p(z_k)p(\boldsymbol{x}_i|\boldsymbol{\theta}_k)} = \frac{\pi_k \mathcal{N}(\boldsymbol{x}_i|\boldsymbol{\mu}_k, \boldsymbol{\Sigma}_k)}{\sum_{k=1}^{K} \pi_k \mathcal{N}(\boldsymbol{x}_i|\boldsymbol{\mu}_k, \boldsymbol{\Sigma}_k)} = \gamma_{k,i}.$$

M step:

$$\pi_k^* = \frac{\sum_{i=1}^{N} \gamma_{k,i}}{N}, \ \boldsymbol{\mu}_k^* = \frac{\sum_{i=1}^{N} \gamma_{k,i} \boldsymbol{x}_i}{\sum_{i=1}^{N} \gamma_{k,i}}, \ \boldsymbol{\Sigma}_k^* = \frac{\sum_{i=1}^{N} \gamma_{k,i} (\boldsymbol{x}_i - \boldsymbol{\mu}_k)(\boldsymbol{x}_i - \boldsymbol{\mu}_k)^T}{\sum_{i=1}^{N} \gamma_{k,i}}.$$

3 METHOD

3.1 SLOT MIXTURE MODULE

The goal of object-centric learning using slots is to distribute low-level features from the neural network to the slots in a trainable way so that the resulting slot representations correspond to higher-level abstractions. To address this problem in the case of visual tasks, we use a modified mixture model approach and call it the Slot Mixture Module (SMM). The essence of the approach is as follows: a sequence of vectors obtained from an image with some convolutional neural network is considered as observations, concatenated $\boldsymbol{\mu}_k, \boldsymbol{\Sigma}_k$ are considered as slots representations. The density function of each component is modeled using function $f_\theta(\boldsymbol{x}, \boldsymbol{\mu}, \boldsymbol{\Sigma}_{diag}) : \mathbb{R}^{N \times D} \times \mathbb{R}^{K \times D} \to \mathbb{R}^{N \times K}$ with trainable parameters θ. This function involves some input pre-processing (q, k, v projections in our case) and pairwise measure of similarity between input vectors $\boldsymbol{x}$ and slots representations $\boldsymbol{\mu}, \boldsymbol{\Sigma}$. The remaining calculations are the same as in the example with GMM, except for an extra step after updating the mean values:

$$\boldsymbol{\mu}_k = \text{RNN}(\text{input=}\boldsymbol{\mu}_k^*, \text{ hidden=}\boldsymbol{\mu}_k), \ \boldsymbol{\mu}_k = \text{MLP}(\boldsymbol{\mu}_k) + \boldsymbol{\mu}_k.$$

These two steps, along with the function f_θ, serve the needs of the downstream task by linking the external and internal models. The internal model (SMM) tries to update its parameters $\boldsymbol{\mu}, \boldsymbol{\Sigma}$ so that the input vectors x are assigned to slots with the maximum likelihood, while external model takes these parameters as input. The full pseudocode is presented in algorithm 1.

The Slot Attention (SA) module Locatello et al. (2020) produces a set of task-dependent slot representations. From a technical point of view, Slot Attention is a trainable analogue of k-Means clustering algorithm in the same way that our SMM is a trainable analogue of Gaussian Mixture Model. The main difference between approaches is that Slot Attention does not explicitly use information about the distance of vectors to cluster centers when forming a slot representation, slots are the cluster centers themselves.

Algorithm 1: The Slot Mixture Module pseudocode. $\boldsymbol{\pi}$ is initialized as uniform categorical distribution, $\boldsymbol{\mu}$ and $\boldsymbol{\Sigma}_{diag}$ are initialized from Gaussian distributions with trainable parameters.

Input: $\boldsymbol{x} \in \mathbb{R}^{N \times D}$ - flattened CNN featuremap with added positional embeddings;
$\quad \boldsymbol{\mu}, \boldsymbol{\Sigma}_{diag} \in \mathbb{R}^{K \times D}, \boldsymbol{\pi} \in \mathbb{R}^K$ - SMM initialization parameters.
Output: slots $\in \mathbb{R}^{N \times 2D}$.

1   $\boldsymbol{x} = \text{MLP}(\text{LayerNorm}(\boldsymbol{x}))$
2   **for** $t = 0...T$ **do**
3   logits $= f_\theta(\boldsymbol{x}, \boldsymbol{\mu}, \boldsymbol{\Sigma}_{diag})$
4   gammas $= \text{SoftMax}(\text{logits} + \log \boldsymbol{\pi}, \text{dim=1})$
5      $\boldsymbol{\pi} = \text{gammas.mean(dim=0)}$
6      $\boldsymbol{\mu}^* = \text{WeightedMean}(\text{weights=gammas, values=}\boldsymbol{x})$
7      $\boldsymbol{\mu} = \text{GRU}(\text{input=}\boldsymbol{\mu}^*, \text{hidden=}\boldsymbol{\mu})$
8      $\boldsymbol{\mu} \mathrel{+}= \text{MLP}(\text{LayerNorm}(\boldsymbol{\mu}))$
9      $\boldsymbol{\Sigma}_{diag} = \text{WeightedMean}(\text{weights=gammas, values=}(\boldsymbol{x} - \boldsymbol{\mu})^2))$
10   slots $= \text{concat}([\boldsymbol{\mu}, \boldsymbol{\Sigma}_{diag}])$
11   **return** slots

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4 EXPERIMENTS

Since our model can be seen as an extension of the Slot Attention, we use it as our main competitor. In each experiment, we trained two versions of the same model: the one with the Slot Attention module and another one with our SMM, keeping the same training conditions. We also make use of the technique from Chang et al. (2022) detaching slots from the gradient computational graph at the last iteration of the algorithm in every experiment.

Table 1: Set prediction performance on the CLEVR dataset (AP in %, mean ± std for 4 seeds in our experiments). Slot Attention Locatello et al. (2020) and iDSPN (Zhang et al. (2019b)) results are from the original papers. SA* is the Slot Attention model that was trained with the same conditions as our SMM: detached slots at the last iteration, rescaled coordinates to the range of [-1, 1].

Model	AP_∞	AP_1	$AP_{0.5}$	$AP_{0.25}$	$AP_{0.125}$	$AP_{0.0625}$
SA	94.3 ± 1.1	86.7 ± 1.4	56.0 ± 3.6	10.8 ± 1.7	0.9 ± 0.2	-
SA*	97.1 ± 0.7	94.5 ± 0.7	88.3 ± 3.2	62.5 ± 5.4	23.6 ± 1.4	4.6 ± 0.3
iDSPN	98.8 ± 0.5	98.5 ± 0.6	98.2 ± 0.6	95.8 ± 0.7	76.9 ± 2.5	32.3 ± 3.9
SMM (ours)	$\mathbf{99.4 \pm 0.2}$	$\mathbf{99.3 \pm 0.2}$	$\mathbf{98.8 \pm 0.4}$	$\mathbf{98.4 \pm 0.7}$	$\mathbf{92.1 \pm 1.2}$	$\mathbf{47.3 \pm 2.5}$

With equal dimensionality of input vectors, SMM implies twice the dimensionality of the slots in comparison with SA (SA represents slots with $\boldsymbol{\mu}$, while SMM uses concatenation of $\boldsymbol{\mu}, \boldsymbol{\Sigma}_{diag}$). To ensure a fair comparison of approaches, we added matrix multiplication after the SMM module, reducing the dimensionality by a factor of two.

4.1 SET PROPERTY PREDICTION

Neural networks for sets are involved in various applications across many data modalities Carion et al. (2020); Achlioptas et al. (2017); Simonovsky & Komodakis (2018); Fujita et al. (2019). Set Property Prediction is a supervised task that requires the model to predict an unordered set of vectors representing the properties of objects from the input image. Sets of predicted and target vectors are matched using Hungarian algorithm. Slot Mixture Module is suitable for operating with sets as it preserves permutation equivariance with respect to mixture components (slots) and initializes them randomly.

Setup We use the CLEVR dataset with rescaled images to a resolution of 128×128 as the data source. All modules except SA/SMM are the same as in the Locatello et al. (2020). Each model is trained with Adam optimizer for 1.5×10^5 iterations with OneCycleLR learning rate scheduler at a maximum learning rate of 4×10^{-4}, we use a batch size of 512. SA/SMM number of iterations is set to 5 during training and to 7 during evaluation. The number of slots is equal to 10 since CLEVR images contain 10 or fewer objects.

Results Quantitative results of the experiments are presented in Table 1. We compute Average Precision (AP) with a certain distance threshold (∞ means we do not use distance threshold), a predicted vector of properties and coordinates is considered correct if there is an object with the same properties within the threshold distance, the lower the distance threshold the more difficult the task. Our experiments demonstrate that it is possible to significantly improve original Slot Attention performance via detaching slots before the last iteration of slots refinement and rescaling coordinates to a wider range of values, but it is still worse than the current state-of-the-art model iDSPN, while our SMM confidently outperforms iDSPN.

4.2 IMAGE RECONSTRUCTION USING TRANSFORMER

For comparison in an unsupervised image-to-image task, we use the SLATE model (Singh et al. (2021a)) replacing the Slot Attention module with our SMM. Unlike the pixel-mixture decoders, SLATE uses an Image GPT encoder conditioned on slots representations to autoregressively reconstruct the discrete visual tokens from a discrete VAE (dVAE) treating pre-computed slots representations from a discrete VAE output as query vectors and latent code-vectors of the image as key/value vectors. SLATE demonstrates an impressive ability to capture complex interactions between the slots and pixels of the synthetic images, but exhibits poor performance for real-world data. dVAE encoder, decoder, and latent discrete tokens are receiving training signals from MSE between the input image and the reconstructed one with dVAE only. SA/SMM modules and Image GPT are trained with a cross-entropy using compressed image into dVAE tokens as a target distribution, these gradients are blocked from the rest of the model (i.e. dVAE), but both parts of the system are trained simultaneously.

Table 2: Reconstruction performance using image GPT decoder with different conditions.

Data	FID SA	FID SMM	MSE SA	MSE SMM	Cross-Entropy SA	Cross-Entropy SMM
CLEVR-Mirror	35.4	34.8	4.5	4.3	0.82	**0.20**
ShapeStacks	56.6	**50.4**	102.2	**67.3**	88.3	**66**
ClevrTex	116	113	358	344	566	**517**
COCO	129	**122**	1354	**938**	540	**479**

Setup We consider the following datasets: CLEVR-Mirror Singh et al. (2021a), ClevrTex Karazija et al. (2021), ShapeStacks Groth et al. (2018b), and COCO-2017 **?**. CLEVR-Mirror is an extension of the standard CLEVR dataset, which requires capturing global relations between local components due to the presence of a mirror, ShapeStacks tests the ability of the model to describe complex local interactions (multiple different objects stacked on each other), ClevrTex examines the capabilities of the model in the textural-rich scenes. For ShapeStacks, ClevrTex and COCO we used images rescaled to the resolution of 96×96, CLEVR-Mirror images are rescaled to 64×64. Training conditions with hyperparameters corresponding to a certain dataset are taken from Singh et al. (2021a), except that we use a batch size equal to 64 and 2.5×10^5 training iterations for all experiments.

Results Table 2 demonstrates metrics of the image reconstruction performance for the test-parts of different datasets. We use mean squared error (MSE) and Frechet Inception Distance (FID) computed with the Pytorch-Ignite library Fomin et al. (2020) as measure of quality of the generated images. We also evauate cross-entropy between tokens from discrete VAE (as one-hot distributions) and predicted distributions by image GPT that is conditioned by certain slots representations as MSE and FID can be limited by the discrete VAE. Figure 2 shows advantage of SMM in terms of cross-entropy during training across all the datasets we use. But as can be seen from the Table 2, this advantage does not always translate into much better image quality metrics of generated images due to dVAE limitations. The largest increase in quality was obtained for the ShapeStacks dataset, the model trained with SMM is much more likely to reconstruct the correct order of objects from the original image, as can be seen in one of the examples in the Figure 1. Even though we were able to improve the quality of real-world object-centric image generation, the final quality in general is quite poor and attention maps barely reflect human object-centered vision (see Fig. 3). Scaling and extending such visual models to handle a wide range of complex real-world images is an area of the future research.

Figure 1: Examples of image generation with image GPT conditioned to different slots representations. Images in the violet borders are from the model with the Slot Attention module, and images in orange borders are generated using slots from the Slot Mixture Module. Bordeaux color stands for input images.

Figure 2: Validation cross-entropy during training for 4 different datasets. Our experiments show that using SMM module instead of SA improves validation performance of the autoregressive transformer by about 10 percent during training. The result is maintained for all the datasets that we use.

5 RELATED WORKS

Set prediction   Neural network models for sets are applied to different machine learning tasks, such as point cloud generation (Achlioptas et al. (2017)), object detection (Carion et al. (2020)), speaker diarization (Fujita et al. (2019)), and molecule generation (Simonovsky & Komodakis (2018)). Albeit the set structure is suitable in many cases, traditional deep learning models are not inherently suitable for representing sets. There are some approaches that are built to reflect the unordered nature of sets, e.g., the Deep Set Prediction Network (DSPN) (Zhang et al. (2019a)) reflects permutation symmetry by running an inner gradient descent loop that changes a set to encode more similarly to the input. An improved version of DSPN — iDSPN Zhang et al. (2019b) with approximate implicit differentiation provides better optimizations with faster convergence and state-of-the-art performance on the CLEVR dataset Johnson et al. (2017). Such models as Slot Attention (Locatello et al. (2020)) and TSPN (Kosiorek et al. (2020)) use set-equivariant self-attention layers to represent the structure of sets.

Object-centric representation   The discovery of objects in a scene in an unsupervised manner is a crucial aspect of representation learning especially for object-centric tasks. In recent years, many approaches have been presented to solve this problem (Greff et al. (2019); Burgess et al. (2019); Engelcke et al. (2020; 2021) and others). Such methods as IODINE (Greff et al. (2019)), MONET (Burgess et al. (2019)), and GENESIS (Engelcke et al. (2020)) are built upon the Variational Autoencoder (VAE) framework (Kingma & Welling (2014); Rezende et al. (2014)). MONET Burgess et al. (2019)) uses the attention network that generates masks and conditions VAE on these masks. IODINE (Greff et al. (2019)) models an image as a spatial Gaussian mixture model to jointly infer the object representation and segmentation. Compared to MONET Burgess et al. (2019)) and IODINE (Greff et al. (2019)), GENESIS (Engelcke et al. (2020)) explicitly models dependencies between scene components that allow the sampling of novel scenes. MONET Burgess et al. (2019)), IODINE (Greff et al. (2019)), and GENESIS (Engelcke et al. (2020)) use multiple steps to encode and decode an image, while Slot Attention (Locatello et al. (2020)) (and its sequential extension for video Kipf et al. (2021)) uses one step but performs an iterative procedure inside this step. The useful property of Slot Attention (Locatello et al. (2020)) is that it produces the set of output vectors (slots) with permutation symmetry. Slots group input information and could be used in unsupervised tasks (object discovery) and supervised tasks (set prediction). GENESIS-v2 (Engelcke et al. (2021)), a development of the GENESIS model (Engelcke et al. (2020)), uses attention masks similarly to Locatello et al. (2020).

Figure 3: Examples of COCO image generations with image GPT conditioned to slots representations from SMM. The first column shows the input images, the second row shows the end-to-end dVAE reconstruction, the third column shows the generated image and all other columns show the corresponding attention maps from slots to input image.

6 CONCLUSION AND DISCUSSION

In this paper, we propose a new slot-based approach for object-centric representations – the Slot Mixture Module. Our module is a generalization of the k-Means clustering algorithm to the Gaussian Mixture Model Bauckhage (2015a). Unlike other approaches we represent slots not only as centers of clusters but we also use information about the distance between clusters and assigned vectors, which leads to more expressive slots representations. We have demonstrated on the CLEVR dataset Johnson et al. (2017) that using this module achieves the best results in the set property prediction task, even compared to highly specialized models. Also, the use of Slot Mixture Module shows considerable results in the image reconstruction task. On synthetic datasets, such as CLEVR-Mirror Singh et al. (2021a), ShapeStacks Groth et al. (2018b), ClevrTex Karazija et al. (2021), we achieved improved reconstruction performance. However, it is worth noting that modern object-centric models still do not perform well enough on real-life images, such as in COCO-17 **?**. The generalization of such methods to real data is an important scientific problem.

Also, in models using the slot representation, the number of slots is a hyperparameter, the same as the number of clusters for the k-Means clustering algorithm. In object-centric methods, this parameter is usually chosen equal to the maximum number of objects in the image plus one (assuming one

slot is reserved for the background). This value varies from image to image, making it difficult to transfer from one dataset to another. It should be noted that, in general, the issue of transfer learning for slot-based models has not been sufficiently studied. Another limitation of modern slot-based models is that they work with a small number of slots (about 10), which means a small number of objects in the image. Therefore, the issue of scalability of such models to a larger number of objects is considered as a direction for further research.

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