Minimizing Energy Consumption Leads to the Emergence of Gaits in Legged Robots

Abstract: Legged locomotion is commonly studied and expressed as a discrete
set of gait patterns, like walk, trot, gallop, which are usually treated as given
and pre-programmed in legged robots for efficient locomotion at different speeds.
However, fixing a set of pre-programmed gaits limits the generality of locomotion.
Recent animal motor studies show that these conventional gaits are only prevalent
in ideal flat terrain conditions while real-world locomotion is unstructured and more
like bouts of intermittent steps. What principles could lead to both structured and
unstructured patterns across mammals and how to synthesize them in robots? In this
work, we take an analysis-by-synthesis approach and learn to move by minimizing
mechanical energy. We demonstrate that learning to minimize energy consumption
is sufficient for the emergence of natural locomotion gaits at different speeds in
real quadruped robots. The emergent gaits are structured in ideal terrains and look
similar to that of horses and sheep. The same approach leads to unstructured gaits
in rough terrains which is consistent with the findings in animal motor control. We
validate our hypothesis in both simulation and real hardware across natural terrains.
Videos at https://sites.google.com/view/energy-loco/.

Keywords: Locomotion, Biomechanics, Energetics, Reinforcement Learning

1 Introduction

A common approach to understanding mammalian locomotion is to study it in terms of a set of discrete
gaits. Seminal works include Muybridge [1]'s motion video of a galloping horse and Hildebrand
[2]'s study of different gaits observed at different speeds. This has inspired research on replicating
these gaits in robots by pre-programming them to achieve effective locomotion. This approach of
pre-programming fixed gait patterns has lead to immense progress in robotic locomotion [3, 4, 5, 6].

However, pre-programmed gaits limit the ability of legged systems to perform general locomotion in
diverse terrains and at different speeds. Studies of motor control in both animals and human infants
[7]in the last few decades have shown that general locomotion in natural settings is irregular and does
not fit predefined fixed gaits. It is better defined in terms of bouts of steps than periodic cycles [8, 9].
That being said, we do see consistent regular patterns in ideal conditions when an animal is moving
in a straight line over flat terrain at different speeds, e.g., walk, trot, canter, gallop, etc. [2, 10, 11, 12]
and these patterns are shared across species of diverse animals [13]. How do we reconcile these
seemingly contradictory arguments about unstructured locomotion patterns on complex terrains with
that of regular patterns seen in flat terrains under the same rubric?

One way to make sense of these differences is to see them from the lens of minimizing energy
consumption. Locomotion consumes a significant fraction of an animal's metabolic energy budget
which suggests that there would be evolutionary selection pressure for locomotion strategies that
minimize energy consumption. Indeed, this has been a focus of several studies in biomechanics and
energetics. Hoyt and Taylor [12] used energy minimization to explain why animals switch from
one gait to another as their speed increases. Similar studies explain gaits across different animals
including humans [14, 15]. However, most of the biomechanics studies are limited to the straight-line
motion of animals in ideal flat terrains. Furthermore, these studies take gaits as given and offer an
explanation for them from an energy perspective without any statement about their synthesis.

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Figure 1: We demonstrate via analysis-by-synthesis approach that learning to move forward by minimizing energy consumption is sufficient for the emergence of natural locomotion patterns in quadruped robots. We do not pre-program any primitives for leg motions and directly output desired joint angles. `Top:` Bio-energetics driven learning in flat terrain leads to different gaits namely walk, trot and bounce (similar to gallop) as the speed increases. Our high-speed bounce gait displays an emergent *flight* phase despite low energy usage. This corresponds to the nearest animal (sheep/horse) with a similar Froude number. `Bottom:` The same pipeline in diverse uneven terrain leads to unstructured gait as is true in general animal locomotion. Please see videos at https://sites.google.com/view/energy-loco/.

In this work, we take an analysis-by-synthesis approach to show how energy minimization leads to the emergence of structured locomotion gait patterns in flat terrains as well as unstructured gaits in complex terrains. We use energetics to design an end-to-end learning framework and display the resulting gait patterns in a real quadruped robot. We employ model-free reinforcement learning as the optimizer to learn controllers to make the quadruped move forward while simultaneously minimizing the bio-energetics constraints of minimizing work and ground impact [16]. We train our policies on simple fractal terrains with varying frequency of terrain heights instead of perfectly flat terrain. This mimics the real world more closely and achieves efficient and robust gaits without the need for artificial rewards for foot clearance or periodic external push during training. At low frequency, it resembles the simple flat terrain settings and at high frequency, it simulates complex terrains. We then transfer the policies onto the real robot by performing online adaptation [17].

We empirically demonstrate that minimizing energy consumption is sufficient for the emergence of natural gait patterns in a quadruped legged robot. Our quadruped robot is closest to sheep and horse in terms of physicality as determined by the Froude number [18] which is a scalar metric characterizing gaits of quadrupeds (discussed more in Section 4.2). Therefore, energetics-based training leads to similar three gaits in our robot as found in horses and sheep, namely, walk, trot and gallop as the speed is gradually increased in flat terrains Figure 1. Interestingly, we show that the gait selection is inherently linked with the speed of the robot. For instance, trotting is only energy efficient around the medium speed of 0.9m/s. If we increase or decrease the speed of the robot, it takes more energy for trot than to gallop (similar to bouncing gait) or walk as shown in Figure 2. Finally, when the same pipeline is run in uneven complex terrains, it leads to unstructured gaits as consistent with animal locomotion in usual diverse conditions [9, 8].

Prior works have used energy penalty to obtain energy-efficient gaits [19, 20] but have not shown the correspondence to different gaits at different speeds. Furthermore, out of these works, the ones which deploy these gaits on a real robot either use a predefined hand-coded gait library, use reference

motions [19], or only learn high-level contact sequences for a predefined swing leg motion [21]. These approaches have some fundamental limitations in contrast to what we propose. Firstly, the use of predefined foot motions never optimize for efficient swing leg motions, and using reference trajectories gives gaits that look realistic, but are not necessarily energy-efficient for the specific robot we have. Second, learning controllers end to end without the use of any priors (reference motions or predefined motions) allows for the possibility of learning behaviors that don't follow any predefined gaits. We show the robustness of this complex terrain policy by successfully deploying it in complex terrains in the real world.

The main contributions of this paper include:

- Show that minimizing energy consumption is sufficient for the emergence of natural locomotion patterns in both flat as well as complex terrains at different speeds without relying on demonstrations or predefined motion heuristics.
- Show that the emergent gaits at different target speeds correspond to conventional animals in the similar Froude number range (sheep/horse) without any sort of pre-programming.
- Demonstrate the emergent behaviors, robustness analysis, and gait patterns in simulation as well as a real-world budget quadruped robot.
- Analyzing sufficient conditions for the natural gaits to emerge given a simple bio-energetics reward function and a simple simulation environment.

2 Method

2.1 Claim: Energy Minimization leads to Emergence of Natural Gaits

We would like to validate that indeed certain gaits will emerge at certain speeds if we minimize the energy consumption. To do so, on the right, we plot the energy consumption vs speed of the A1 robot in simulation for walk, trot and bounce (modification of gallop/canter) gaits. We use [6] to achieve the different gaits by hard-coding the contact sequences for walk, trot and bounce. This plot is qualitatively similar to the plot in [12], which shows the energy consumption of different horse gaits across different speeds. We additionally plot the energy consumption of the three policies we get at different speeds (0.375 m/s, 0.9 m/s, 1.5 m/s). This plot shows two things. First, it is observed that certain gaits are only efficient at certain speeds and using them for other speeds is suboptimal. For instance, walk is optimal at low speeds with transition to trot and bounce. Secondly, we observe that our learning framework converges to the optimal gait at each of the speeds, and beats the efficiency of MPC gaits from [6], which does not optimize the swing foot trajectory for energy. Details of MPC method are in the supplementary.

Figure 2: Energy consumed in moving 1m distance using our method and MPC shows why certain gaits are stable at certain speeds. Videos on the website.

2.2 Learning Locomotion Policy

We learn the locomotion policy π which takes as input the current state $x_t \in \mathbb{R}^{30}$, previous action $a_{t-1} \in \mathbb{R}^{12}$ to predict the next action a_t. The predicted action a_t is the desired joint position for the 12 robot joints which is converted to torque using a PD controller.

$$a_t = \pi(x_t, a_{t-1}) \tag{1}$$

We implement π as MLPs (details in Section 3) and train the base policy π end to end using model-free reinforcement learning. At time step t, π takes the current state x_t, previous action a_{t-1} to predict an

Figure 3: Complex real-world behaviors at the low speed in 2 settings. Top: key frames on rocky terrain. Bottom: Foot contact plots and key frames on unstable moving planks. Videos on the supplementary website.

action a_t. RL maximizes the following expected return of the policy π:

$$J(\pi) = \mathbb{E}_{\tau \sim p(\tau|\pi)} \left[\sum_{t=0}^{T-1} \gamma^t r_t \right],$$

where $\tau = \{(x_0, a_0, r_0), (x_1, a_1, r_1)...\}$ is the trajectory of the agent when executing policy π, and $p(\tau|\pi)$ represents the likelihood of the trajectory under π.

2.3 Stable Gait through Natural Constraints

Instead of adding artificial simulation noise, we train our agent under the following natural constraints. First, the reward function is motivated from bio-energetic constraints of minimizing work and ground impact [16]. We found these reward functions to be critical for learning realistic gaits in simulation. Second, we train our policies on uneven terrain (Figure 1) as a substitute for additional rewards used by [22] for foot clearance and robustness to external push. We see that these contraints are enough to achieve all the gaits and results we demonstrate in this paper.

2.4 Energy Consumption-Based Reward

Let's denote the linear velocity as v and the angular velocity as ω, both in the robot's base frame. We additionally define joint torques as $\boldsymbol{\tau}$ and joint velocities as $\dot{\boldsymbol{q}}$. We define our reward as sum of the following three terms:

$$r = r_{\text{forward}} + \alpha_1 * r_{\text{energy}} + r_{\text{alive}}$$

where,

$$r_{\text{forward}} = -\alpha_2 * \left| v_x - v_x^{\text{target}} \right| - |v_y|^2 - |\omega_{\text{yaw}}|^2 \tag{2}$$

$$r_{\text{energy}} = -\boldsymbol{\tau}^T \dot{\boldsymbol{q}}, \tag{3}$$

r_{forward} rewards the agent for walking straight at the specified speed, r_{energy} penalizes energy consumption and r_{alive} is the survival bonus.

We simple rules to set the hyper-parameters. At a given target linear speed v_x^{target}, the survival bonus c is set to $20 * v_x^{\text{target}}$. We set $\alpha_1 = 0.04$, and $\alpha_2 = 20$ across all settings.

Notice that the actual energy consumption on the robot depending on the low-level hardware design is not directly measurable. We estimate the unit energy consumption per time step by summing the instantaneous power of the 12 motors by multiplying the torque and the joint velocity at each motor. Also notice that this reward is much more concise than those used in prior works. We discuss the role of minimizing energy consumption in Section 4.3.

Method	Walk (0.375 m/s)		Trot (0.9 m/s)		Bounce (1.5 m/s)	
	Speed (m/s)	Energy	Speed (m/s)	Energy	Speed (m/s)	Energy
Ours	0.353	30.7	0.970	41.2	1.439	90.7
MPC [6]	0.360	87.0	0.953	77.2	1.482	93.9

Table 2: We show the actual speeds and energy consumed to move one meter of the our method and convex MPC, which does not directly optimize for energy efficiency, for walking gait at target speed 0.375 m/s, trotting gait at target speed 0.9 m/s, and bouncing gait at target speed 1.5 m/s in simulation. Our method shows the advantages in energy consumption in all gaits.

2.5 Sim to Real Transfer

For simulation to real transfer, we use RMA [17] which consists of the an adaptation module on top of the base policy. During deployment, the adaptation module uses the state history to estimate the vector of extrinsics (which contains environment information) online. This adaptation module is trained in simulation using supervised learning after the base policy is learned using RL with the true extrinsics parameters. The environment perturbations we train on is listed in Table 1.

3 Experimental Setup and Training Details

Hardware and Simulation: We use Unitree's A1 robot as our hardware platform [23] and use its A1 URDF [23] to simulate the A1 robot in RaiSim simulator [24]. The full details of terrain and environment setup are provided in supplementary due to space constraints.

State-Action Space: The state is 30 dimensional containing the joint positions (12 values), joint velocities (12 values), roll and pitch of the torso and binary foot contact indicators (4 values). The action space is 12 dimensional corresponding to the target joint position for the 12 robot joints. The predicted target joint angles $a = \hat{q} \in \mathbb{R}^{12}$ is converted to torques τ using a PD controller with target joint velocities set to 0.

Environmental Variations: All environmental variations with their ranges are listed in Table 1. Policies with simple terrain and mild environment variations achieve walking, trotting and bouncing gaits. To deploy our robot in the complex terrain, we use aggressive environment randomization with sharper fractal terrains which gives us the unstructured walking terrain.

Policy Learning: The policy is a multi-layer perceptron with 3 layers which takes in the current state $x_t \in \mathbb{R}^{30}$, previous action $a_{t-1} \in \mathbb{R}^{12}$ and outputs 12-dim target joint angles. The hidden layers have 128 units. We train the policy and the environment encoder network using

Parameters	Normal Perturbation	Aggressive Perturbation
Friction Coeff.	[0.6, 1.2]	[0.05, 4.5]
K_p	[50, 60]	[50, 60]
K_d	[0.4, 0.8]	[0.4, 0.8]
Payload (kg)	[0.0, 0.5]	[0.0, 6.0]
Center of Mass(m)	[-0.15, 0.15]	[-0.15, 0.15]
Motor Strength	[0.95, 1.05]	[0.90, 1.10]
Re-sample Prob.	0.02	0.02

Table 1: Ranges of the environment parameters in simulation. Normal perturbation is used for regular gait emergence. Aggressive perturbation is used for unstructured gait emergence.

PPO [25]. The training runs for $15,000$ iterations with a batch size of $100,000$ split into 4 minibatches and learning rate = $5e-4$. We train for a total of 1.5 billion samples which takes roughly 24 hours on a desktop machine with 1 GPU.

4 Results and Analysis

4.1 Emergence of Locomotion Patterns in Complex Terrain

We analyze the performance of the walking policy on complex terrain with aggressive perturbations in the real world (videos in supplementary). We show two uneven terrain deployments of our method in Figure 3. During the deployment of the robot in the rocky terrain, we see that the robot roughly follows the walking gait which repeatedly gets obstructed by the rocks and has to step over rocks of different heights, prematurely terminating the swing motion of the leg. Consequently, the gait we

Figure 4: Foot contact plots for walking, trotting and bouncing gait of A1 robot in the real world. Bold color means the corresponding foot is in contact with the ground and the light color means the foot is in the air. (RF: Right-Front foot, LF: Left-Front foot, RR: Right-Rear foot, LR: Left-Rear foot)

observe is an unstructured walking gait. We further analyse this in another deployment on unstable planks which move as the robot tries to cross it (see video). The foot contact plot for this setup is not periodic and changes depending on the complexity of the terrain the policy needs to react to. In the video, this can been seen when the front right foot of the robot steps on an unstable plank which moves as a result, but the robot maintains stability and successfully crosses it.

4.2 Emergence of Walking, Trotting and Bouncing

Simulation Gaits We train 3 separate policies for 3 target speeds - 0.375 m/s (low), 0.9 m/s (median) and 1.5 m/s (high) in simulation. We use the same hyper-parameters across all target speeds. We observe that the walking gait emerges at target speed 0.375 m/s, trotting emerges at 0.9 m/s and hopping emerges at 1.5 m/s in simulation. Note that we use 2.5 to transfer our policies to the real world without any modifications or fine-tuning. We observe the same foot contact sequence in the real world as in simulation. In Table 2, we compare the performance of our policies with MPC [6] and observe that our walking gait can achieve accurate speed tracking while being 50% more energy efficient than the MPC baseline.

Real World Behaviors At the low speed (0.375 m/s), the robot demonstrates a *quarter-off* walking gait with the following foot contact sequence: Right-Front (RF), Left-Rear (LR), Left-Front (LF) and Right-Rear (RR). At the median speed (0.9 m/s), two beat trot gait emerges where diagonal legs (RF & LR or LF & RR) are synchronized and hit the ground at the same time. At the high speed (1.5 m/s), the policy converges to bouncing with approximately half the gait cycle as flight phase. Key frames of the 3 gaits are shown in Supplementary and the foot contact plots in Figure 4. We use the foot contact sensor reading from the A1 robot to generate these plots.

We also measured the actual speed of the robot in the real world by taking the average of 3 trials per target speed. In each trial, we log the total distance divided by total time taken to traverse. The average real-world speeds are 0.396 m/s at target speed 0.375 m/s, 0.914 m/s at target speed 0.9 m/s, and 1.714 m/s at target speed 1.5 m/s. The average real-world speeds closely match the target speeds in all settings. The standard deviation of speeds across 3 trials is negligible.

We test the robustness of the walking policies by adding a 1 Kg payload on the robot by strapping two bottles with 500 ml water. In Figure 5, we show 4 key frames of trotting gait. Note that the 1 kg payload is outside the range of training perturbations (Table 1), but our policies with the Sim-to-Real Adaptation in Section 2.5 learn to compensate the increase in weight by implicitly predicting changes in dynamics via latent extrinsics. We didn't test bouncing with payload, because the extra 1 kg prevents the human operator from swiftly preempting the robot at high speed by lifting.

Figure 5: Trotting with 1 kg payload (two bottles of 500 ml water are strapped onto the robot). The 1 kg payload is out of the normal perturbation of environment parameters used in simulation training shown in Table 1. We show qualitative and gait patterns for other two gaits in the appendix. We find that the gait patters are robust and remain the same even in the presence of disturbances.

Figure 6: Energy consumption plot during training. Even though our reward function does not include the hand-designed locomotion penalties that are commonly used in prior works (torque, delta torque, foot slip, joint speed, and action), we show that all these penalties are minimized in our training framework as a byproduct of minimizing energy consumption.

Froude Number Analysis: In Biomechanics, Froude number F is a scalar metric characterizing gaits of quadrupeds and bipeds [18]. Concretely, $F = v^2/gh$, where v is the linear speed, g is the gravitational acceleration, and h is the height of the hip joint from the ground. Existing research shows that animals with a similar morphology but with different sizes tend to use the same gait when walking with equal Froude

Gaits (Froude #)	A1	Sheep [10]	Horses [12]	Dogs [10]
Walk	0.059	0.05	0.07	0.10
Trot	0.316	0.30	0.61	0.50
Bounce	1.110	1.10	1.70	1.73

Table 3: We compare the Froude numbers of our emergent gaits with other quadruped animals at their gait transition boundary. Our policies are closest to sheep in all gaits and to horse in walking gait. Bounce includes Canter & Gallop.

numbers [26, 10]. We calculate the Froude numbers of our robot in the real world for the low, median and high speeds, and compare them with the Froude numbers of quadrupeds in nature – dogs, horses and sheep [12, 10]. We compare the Froude number of animals at the gait transition boundaries and observe that our robot is closest to the sheep in Table 3.

4.3 Ablation Studies

The two key components we use during policy training to get reliable walking gaits is an energy based reward function, and a fractal terrain. We posit that these ingredients are minimal, and we demonstrate this by ablating each of them below.

Minimizing Energy Consumption Also Minimizes Artificial Penalties To demonstrate the sufficiency of minimizing energy consumption, we plot the decreasing trend of the artificial penalties during training in Figure 6, even though these artificial penalties are not included in our reward function. These include Torque penalty, delta torque penalty, foot slip penalty, joint speed penalty, and the action regularization [21, 27, 22, 28, 29]. A high positive correlation between energy consumption and artificial penalties can be observed. All these artificial penalties are minimized in our training framework as a byproduct of minimizing energy consumption. During training, the robot first learns to stand still with a minimal energy consumption (around epoch 500), and then starts moving forward with a slightly higher energy consumption.

Minimizing Energy Consumption In Figure 7, we show the the resulting gaits of policies trained without the unit energy consumption term in the reward function. All policies show high-frequency

(a) Low target speed   (b) Median target speed   (c) High target speed

Figure 7: Resulting gait plots of policies without minimizing energy consumption. All policies exhibit a high-frequency tapping behavior that is not energy-efficient and not transferable to robots in the real world. In (c), the robot in simulation falls off the terrain after 4 seconds.

tapping behaviors that are not natural and inefficient. The high-frequency joint movements can burn the joint motors in the robot and are not transferable to the real hardware.

Fractal Terrain during Training In Figure 8, we show the key frames of 2 gaits when the policies are trained with energy minimization (Section 2.4) but on a flat terrain without fractal perturbations. Both training runs converge to unnatural and unstable gaits. We found that adding fractal terrain also facilitates a larger foot clearance and robustness which improves real-world hardware deployment.

Figure 8: Two examples of emerged failure gaits when trained on flat ground without fractal variations. Both failure gaits are tilted and unstable.

5 Related Works

Model-Based Optimization for Gaits Model based controllers to generate gaits [6] optimize for the stance legs for a given foot contact sequence. They use predefined swing leg motion and show stable gait generation on a real robot system. One class of method is contact-implicit optimization [30, 31, 32], optimizing contact forces along with sequences. However, the gaits do not emerge as an energy minimizing solution to moving at different speeds. In contrast, our swing leg motion, stance leg force and contact sequence, all emerge from minimizing for energy consumption and ground impact. We take a functional approach to gaits where we achieve them not as predefined movements, but as a consequence of energy minimization.

Learning for Legged Locomotion and Gaits Data driven learning for legged locomotion has shown robust controllers for quadruped walking on real robots [22, 33, 34, 35, 36]. Of these [22] can handle the most impressive diversity of terrains and uses learned residuals over a predefined foot tapping sequence to achieve this. [33] learns a walking controller from scratch, but uses artificial clearance rewards to shape the foot movement. This and [34, 35] show results on a limited range of walking speeds consequently do not focus on gaits for high speed locomotion. [37] uses reference trajectories get natural gaits which might not be optimal for the target robot hardware.

Emergent Behaviors The work closest to ours is [34, 21], which attempt to learn the contact sequence using reinforcement learning for a predefined swing leg motion and use a model based controller for stance leg. Such an approach has two limitations which our proposed method overcomes. First, predefined swing leg motions might not be energy efficient, and second, these approaches are limited to moving on relatively flat surfaces. In contrast, our proposed approach unifies both complex terrain walking behaviors, as well as straight line gaits observed in simple terrains.

6 Conclusion

This work demonstrates that energy consumption plays a key role in the emergence of natural locomotion patterns in animals by following an analysis-by-synthesis approach of showing the result in real quadruped robots. The allows generation of the straight line lab gaits, as well as unstructured complex terrain gaits in animals. We perform thorough analysis of gait patterns and show their robustness under disturbances. Interestingly, the gaits obtained by our robot are most similar to those in horses and sheep – the animals which are close to it in terms of the Froude number metric space.

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