CONTEXTUAL CONVOLUTIONAL NETWORKS

ABSTRACT

This paper presents a new Convolutional Neural Network, named Contextual Convolutional Networks, that capably serves as a general-purpose backbone for visual recognition. Most existing convolutional backbones follow the representation-to-classification paradigm, where general representations of the input are firstly generated by category-agnostic convolutional operations, and then fed into classifiers for specific perceptual tasks (e.g., classification and segmentation). In this paper, we deviate from this classic paradigm and propose to augment potential category memberships as contextual priors in the convolution for contextualized representation learning. Specifically, top-k likely classes from the preceding stage are encoded as a contextual vector. Based on this vector and the preceding features, offsets for spatial sampling locations and kernel weights are generated to modulate the convolution operations of the current stage. The new convolutions can readily replace their plain counterparts in existing CNNs and can be easily trained end-to-end by standard back-propagation without additional supervision. The qualities of Contextual Convolutional Networks make it compatible with a broad range of vision tasks, including image/video classification and instance segmentation. The superiority of the proposed model reveals the potential of contextualized representation learning for vision tasks. Code will be released in the final version.

1 INTRODUCTION

Beginning with the AlexNet (Krizhevsky et al., 2012) and its revolutionary performance on the ImageNet image classification challenge, convolutional neural networks (CNNs) have achieved significant success for visual recognition tasks, such as image classification (Deng et al., 2009), instance segmentation (Zhou et al., 2017) and object detection (Lin et al., 2014). Lots of more powerful CNN backbones are proposed to improve the performances of CNNs, including greater scale (Simonyan & Zisserman, 2014; Szegedy et al., 2015; He et al., 2016), more extensive connections (Huang et al., 2017; Xie et al., 2017; Sun et al., 2019; Yang et al., 2018), and more sophisticated forms of convolution (Dai et al., 2017; Zhu et al., 2019; Yang et al., 2019). Most of these architectures follow the representation-to-classification paradigm, where general representations of the input are firstly generated by category-agnostic convolutional operations, and then fed into classifiers for specific perceptual tasks. Consequently, all inputs are processed by consecutive static convolutional operations and expressed as universal representations.

In parallel, in the neuroscience community, evidence accumulates that human visual system integrates both bottom-up processing from the retina and top-down modulation from higher-order cortical areas (Rao & Ballard, 1999; Lee & Mumford, 2003; Friston, 2005). On the one hand, the bottom-up processing is based on feedforward connections along a hierarchy that represent progressively more complex aspects of the visual scenes (Gilbert & Sigman, 2007). This property is shared with the aforementioned representation-to-classification paradigm (Zeiler & Fergus, 2014; Yamins et al., 2014). On the other hand, recent findings suggest that the top-down modulation affects the bottom-up processing in a way that enables the neurons to carry more information about the stimulus being discriminated (Gilbert & Li, 2013). For example, recordings in the prefrontal cortex reveal that the same neuron can be modulated to express different categorical representations as the categorical context changes (e.g., from discriminating animals to discriminating cars) (Cromer et al., 2010; Gilbert & Li, 2013). Moreover, words with categorical labels (e.g., "chair") can set visual priors that alter how visual information is processed from the very beginning, allowing for more effective representational separation of category members and nonmembers (Lupyan & Ward, 2013; Boutonnet

(a) 3×3 contextual convolution   (b) visualization of learned features

Figure 1: **Left**: Illustration of 3×3 contextual convolution. Given preceding instance features and top-k likely classes, sampling offsets and weight offsets are generated via non-linear layers. These offsets are added to regular grid sampling locations and static kernel weights of a standard convolution, respectively. **Right**: Grad-CAM visualization (Selvaraju et al., 2017) of the learned features of ConvNeXt-T (Liu et al., 2022) and our model. Grad-CAM is used to interpret the learned features by highlighting corresponding regions that discriminate the predicted class from other classes.

& Lupyan, 2015). Consequently, the top-down modulation can help to resolve challenging vision tasks with complex scenes or visual distractors. This property is however not considered by recent CNN backbones.

Motivated by the top-down modulation with categorical context in the brain, we present a novel architecture, namely Contextual Convolutional Networks, which augments potential category memberships as contextual priors in the convolution for representation learning. Specifically, the top-k likely classes by far are encoded as a contextual vector. Based on this vector and preceding features, offsets for spatial sampling locations and kernel weights are generated to modulate the convolutional operations in the current stage (illustrated in Fig. 1a). The sampling offsets enable free form deformation of the local sampling grid considering the likely classes and the input instance, which modulates *where* to locate information about the image being discriminated. The weight offsets allow the adjustment of specific convolutional kernels (e.g. "edges" to "corners"), which modulates *how* to extract discriminative features from the input image. Meanwhile, the considered classes are reduced from k to m ($m < k$) and fed to the following stage for further discrimination.

The proposed contextual convolution operations can be used as a drop-in replacement for existing convolutional layers in CNNs and trained end-to-end without additional supervision. Serving as a general-purposed backbone, Contextual Convolutional Networks (Contextual CNN) are compatible with a broad range of vision tasks, including image classification, video classification and semantic segmentation. Its performance surpasses the counterpart models by a margin of $+1.8\%$ top-1 accuracy on ImageNet-1K (Deng et al., 2009), $+2.3\%$ top-1 accuracy on Kinetics-400 (Kay et al., 2017), $+1.1\%$ box AP and $+1.0\%$ mask AP on COCO (Lin et al., 2014), demonstrating the potential of contextualized representation learning for vision tasks. The qualitative results also reveal that Contextual CNN can take on selectivity for discriminative features according to the categorical context, functionally analogous to the top-down modulation of the human brain (shown in Figure 1b). Specifically, the counterpart model presents high but wrong score for "boathouse" w.r.t. the groundtruth class "pier" based on features from the oceanside house, which are shared across images of both classes. In contrast, the proposed model predicts correctly by generating features of the long walkway stretching from the shore to the water, which is a crucial cue to discriminate "pier" from "boathouse". We hope that Contextual CNN's strong performance on various vision problems can promote the research on a new paradigm of convolutional backbone architectures.

2 RELATED WORKS

Classic CNNs. The exploration of CNN architectures has been an active research area. VGG nets (Simonyan & Zisserman, 2014) and GoogLeNet (Szegedy et al., 2015) demonstrate the benefits of

increasing depth. ResNets (He et al., 2016) verify the effectiveness of learning deeper networks via residual mapping. Highway Network adopts a gating mechanism to adjust the routing of short connections between layers. More recently, some works include more extensive connections to further improve the capacity of CNNs. For example, DenseNet Huang et al. (2017) connects each layer to every other layer. ResNeXt (Xie et al., 2017) aggregates a set of transformations via grouped convolutions. SENet recalibrates channel-wise feature response using global information. HRNet (Wang et al., 2020) connects the high-to-low resolution convolution streams in parallel. Other recent works improve the efficiency of CNNs by introducing depthwise separable convolutions (Howard et al., 2017) and shift operation (Wu et al., 2018a).

Dynamic CNNs. Different from the classic CNNs, dynamic CNNs adapt their structures or parameters to the input during inference, showing better accuracy or efficiency for visual recognition. One line of work drops part of an existing model based on the input instance. For example, some works skip convolutional layers on a per-input basis, either based on reinforcement learning (Wang et al., 2018; Wu et al., 2018b) or early-exit mechanism (Huang et al., 2018). The other line of work uses dynamic kernel weights or dynamic sampling offsets for different inputs. Specifically, some works aggregate multiple convolution kernels using attention (Yang et al., 2019; Chen et al., 2020) or channel fusion (Li et al., 2021). Ma et al. (2020) unifies kernel aggregation and channel excitation (Hu et al., 2018) via grouped fully-connected layers. Dai et al. (2017) and Zhu et al. (2019) learn different sampling offsets of convolution for each input image. The proposed Contextual CNNs share some similar high level spirit with these dynamic CNNs. A key difference of our method is that we explicitly adopt potential category memberships as contextual priors to constrain the adaptive inference.

3 Contextual Convolutional Networks

In this section, we describe the proposed Contextual Convolutional Networks. The overall architecture is introduced in Section 3.1. In Section 3.2, we present contextualizing layers, which generates contextual priors for Contextual CNNs. In Section 3.3, we present contextual convolution blocks, which modulate the convolutions according to the contextual priors. Without loss of generality, this section is based on the ConvNeXt-T version (Liu et al., 2022), namely Contextual ConvNeXt-T.

3.1 Overall Architecture

Figure 2: The architecture of a Contextual Convolutional Network (Contextual ConvNext-T). For simplicity, we denote the downsampling layers at "*Stage2-4*" by "*down.*".

An overview of Contextual CNNs is presented in Figure 2. Consider an input RGB image of size $H \times W \times 3$, where H is the height and W is the width. N_1 is the number of all possible classes for the image (for example, N_1 is 1000 for ImageNet-1K (Deng et al., 2009)). A set of class embeddings $\mathcal{E}_1 = \{e_{1,1}, e_{1,2}, \cdots, e_{1,N_1}\}, e_{1,i} \in \mathbb{R}^d$ is generated from an embedding layer of size $N_1 \times d$. These class embeddings form the basis of categorical context in Contextual CNNs.

Following common practice in prior vision backbones (He et al., 2016; Xie et al., 2017; Radosavovic et al., 2020b; Liu et al., 2022), Contextual CNNs consist of a stem ("*Conv1*") that preprocesses the

input image, a network body ("*Stage1-4*") that outputs the feature maps of various granularities and a final head that predicts the output class. These components are introduced as follows.

The stem. Consistent with the standard design of ConvNeXt-T, the stem of Contexual ConvNeXt-T uses a 4×4, stride 4 convolution. The stem results in a $4\times$ downsampling of the input image, while the output features have $C = 96$ channels.

The body. Several vanilla convolutional blocks are applied on the output features of the stem. Maintaining the resolution of $\frac{H}{4} \times \frac{W}{4}$, these blocks have a output channel size of C and share the same architecture as their the ConvNeXt counterparts. These blocks are referred to as "*Stage1*" in this work. A **contextualizing layer** (described in Section 3.2) is used afterwards to extract the contextual prior from the most likely classes. It reduces the number of considered classes from N_1 to N_2 and merges the embeddings of the output N_2 classes as context. In parallel, a vanilla convolutional layer is used to $2\times$ downsample the resolution to $\frac{H}{8} \times \frac{W}{8}$ and doubles the channel size to $2C$. Taking the contextual prior and the downsampled feature map as input, a few **contextual convolution blocks** (described in Section 3.3) are applied for feature extraction. The contextualizing layer, the downsampling layer and the following blocks are denoted as "*Stage 2*". The procedure is repeated twice, as "*Stage 3*" and "*Stage 4*". Noting that "*Stage 3*" uses resolution of $\frac{H}{16} \times \frac{W}{16}$, maintains $4C$ channels and reduces the number of considered classes to N_3. "*Stage 4*" uses resolution of $\frac{H}{32} \times \frac{W}{32}$, maintains $8C$ channels and reduces the number of considered classes to N_4.

The head. The head of Contextual CNNs shares the same procedure of reducing considered classes in the contextualizing layers (i.e., classifying in Section 3.2). And it finally reduces the number of classes from N_4 to 1. The final one class serves as the output class for the input image.

The loss. The number of considered classes are reduced progressively in Contextual CNNs ($N_1 \rightarrow N_2 \rightarrow N_3 \rightarrow N_4 \rightarrow 1$). For stage i ($i \in \{1, 2, 3, 4\}$), we adopt a cross entropy loss over the corresponding classification scores s_i (introduced in Section 3.2). Following Radford et al. (2021), given the set of considered classes $\mathcal{N}_i$ ($\|\mathcal{N}_i\| = N_i$), the loss is calculated by:

$$\mathcal{L}_i = -\mathbb{I}\left(y \in \mathcal{N}_i\right) \cdot \log \frac{exp\left(s_i\left(y\right)/\tau\right)}{\sum_{j=1}^{N_i} \exp\left(s_i\left(\mathcal{N}_{i,j}\right)/\tau\right)}, \tag{1}$$

where $\mathbb{I}$ is an indicator function, y denotes the ground-truth class and τ is a learnable temperature parameter. The overall loss of Contextual CNNs is computed by:

$$\mathcal{L} = \alpha\left(\mathcal{L}_1 + \mathcal{L}_2 + \mathcal{L}_3\right) + \mathcal{L}_4, \tag{2}$$

where α is a weight scalar. It is empirically set as 0.15 for all experiments in this paper.

3.2 CONTEXTUALIZING LAYERS

Each contextualizing layer consists of two steps. The first step, dubbed classifying, reduces the number of considered classes from N_i to N_{i+1}, where i is the index of the corresponding stage. The second step, dubbed merging, merges the embeddings of N_{i+1} considered classes into a context vector for later contextual convolution blocks.

Classifying. As shown in Figure 3a, given the N_i considered classes, a set of class embeddings $\mathcal{E}_i = \{e_{i,1}, e_{i,2}, \cdots, e_{i,N_i}\}$ is collected from the aforementioned embedding layer. Following Radford et al. (2021), to compare with these embeddings, the visual features x from the proceding stage are projected to the embedding space. The projection involves a global average pooling and two fully-connected (FC) layers. Layer Normalization (LN) (Ba et al., 2016) and ReLU (Nair & Hinton, 2010) are used between the FC layers. The output of the projection is a visual feature vector with dimension d. Then, cosine similarity is computed between the L2-normalized visual feature vector and the L2-normalized embeddings of the N_i classes. The resulting similarity vector s_i is used as the classification scores of the N_i classes for loss calculation. The top-N_{i+1} highest scoring classes in s_i are collected and propagated to the following merging step as well as the next stage.

Merging. Given the output N_{i+1} classes from the classifying step, we merge their embeddings $\mathcal{E}_{i+1} = \{e_{i+1,1}, e_{i+1,2}, \cdots, e_{i+1,N_{i+1}}\}$ into the contextual prior $\mathcal{C} \in \mathbb{R}^{d \times 1}$. Specifically, the merging operation uses two fully connected layers with a LN layer, a ReLU layer and a 1D global average pooling layer between them. The context prior $\mathcal{C}$ in this work summarizes the similarities and differences between the considered classes. It acts as task information for the extraction of more discriminative features in the following contextual convolution blocks.

Figure 3: Illustration of (a) contextualizing and (b) modulation based on context and instance features.

3.3 CONTEXTUAL CONVOLUTION BLOCK

A contextual convolution block in Contextual ConvNeXt-T is built by replacing the 7×7 depthwise convolutions with contextual convolutions in a vanilla ConvNeXt block with other layers unchanged.

For a vanilla 7×7 depthwise convolution, consider the convolution kernel $\mathbf{w}$ of size $1 \times c \times 7 \times 7$, where c is the input channel size[1]. For each position $\mathbf{p}$ on the output feature map $\mathbf{y}$, the convolution first samples $K = 49$ locations over the input feature map $\mathbf{x}$ using a regular grid $\mathbf{g}$ of size K, and then sums sampled values weighted by $\mathbf{w}$:

$$\mathbf{y}(\mathbf{p}) = \sum_{k=1}^{K} \mathbf{w}(k) \cdot \mathbf{x}(\mathbf{p} + \mathbf{g}(k)). \tag{3}$$

In contextual convolutions, we augment the kernel weight $\mathbf{w}$ with weight offsets $\Delta\mathbf{w}$, and augment the grid $\mathbf{g}$ with sampling offsets $\Delta\mathbf{p}$:

$$\mathbf{y}(\mathbf{p}) = \sum_{k=1}^{K} \left(\mathbf{w}(k) + \Delta\mathbf{w}(k)\right) \cdot \mathbf{x}(\mathbf{p} + \mathbf{g}(k) + \Delta\mathbf{p}(k)). \tag{4}$$

Weight offsets. The weight offsets $\Delta\mathbf{w}$ allow the adaptive adjustment of the convolution weights according to the contextual priors. As illustrated in Figure 3a, to obtain $\Delta\mathbf{w}$, we squeeze the input map $\mathbf{x}$ via global average pooling and then concatenate the resulting feature vector with the contextual prior vector $\mathcal{C}$. Two FC layers with LN and ReLU between them are applied afterwards to generate $\Delta\mathbf{w}$. Notably, we configure the size of $\Delta\mathbf{w}$ as $1 \times c \times 7 \times 7$, same as the dimensions of w, to allow the summation in equation 4.

Sampling offsets. Following Dai et al. (2017); Zhu et al. (2019), the sampling offsets $\Delta\mathbf{p}$ are applied to enable free-form deformation of the sampling grid. In our case, we compute the sampling offsets considering not only the input features but also the contextual priors. As shown in Figure 3b, we first expand the contextual prior vector $\mathcal{C}$ to the same spatial shape of $\mathbf{x}$, then concatenate them along the channel dimension. The resulting map is then fed to a nonlinear convolutional block consisting of one 1×1 convolution (followed by LN and ReLU) and one 7×7 depthwise convolution (with the same kernel size and dilation as the replaced vanilla convolution). The output sampling offsets have the same resolution as the input features. The channel size $2K$ corresponds to K 2D offsets.

To balance accuracy and efficiency, one of every three blocks of "Stage2" to "Stage4" is replaced by the contextual convolution block as Contextual ConvNeXt-T.

4 EXPERIMENTS

In the following, the proposed Contextual CNN is compared with the state-of-the-arts (SOTAs) on three tasks, including image classification, video classification and instance segmentation. Then,

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[1]Depthwise convlution operates on a per-channel basis and does not change the channel size of features.

we ablate important design elements and analyze the internal properties of Contextual CNN via exemplification and visualizations.

Table 1: Comparison with the state-of-the-arts on ImageNet-1K. "FLOPs" denotes multiply-add operations. Following Liu et al. (2021), inference throughput is measured on a V100 GPU.

model	image size	#param.	FLOPs	throughput (images/s)	top-1 acc.
RegNetY-4G (Radosavovic et al., 2020a)	224^2	21M	4.0G	1156.7	80.0
RegNetY-8G (Radosavovic et al., 2020a)	224^2	39M	8.0G	591.7	81.7
RegNetY-16G (Radosavovic et al., 2020a)	224^2	84M	16.0G	334.7	82.9
Swin-T (Liu et al., 2021)	224^2	28M	4.5G	757.9	81.3
Swin-S (Liu et al., 2021)	224^2	50M	8.7G	436.7	83.0
Swin-B (Liu et al., 2021)	224^2	88M	15.4G	286.6	83.5
ConvNeXt-T (Liu et al., 2022)	224^2	29M	4.5G	774.7	82.1
ConvNeXt-S (Liu et al., 2022)	224^2	50M	8.7G	447.1	83.1
ConvNeXt-B (Liu et al., 2022)	224^2	89M	15.4G	292.1	83.8
Contextual ConvNeXt-T (ours)	224^2	32M	4.6G	770.6	**83.9**
Contextual ConvNeXt-S (ours)	224^2	53M	8.8G	445.2	**84.6**
Contextual ConvNeXt-B (ours)	224^2	93M	15.6G	291.0	**85.2**

4.1 IMAGE CLASSIFICATION ON IMAGENET-1K

Settings. For image classification, we benchmark Contextual CNN on ImageNet-1K (Deng et al., 2009). It contains 1.28M training images and 50K validation images from $1,000$ classes. The top-1 accuracy on a single crop of size 224×224 is reported. To compare with the SOTAs, we instantiate Contextual CNN using the recent method ConvNeXt (Liu et al., 2022), dubbed Contextual ConvNeXt. Following (Touvron et al., 2021; Liu et al., 2021; 2022), we train the model for 300 epochs using an AdamW optimizer (Loshchilov & Hutter, 2017) with a learning rate of 0.001. There is a 20-epoch linear warmup and a cosine learning rate decaying schedule afterward. The batch size we use is 4096 and the weight decay is 0.05. We adopt the same augmentation and regularization strategies as Liu et al. (2022) in training. Unless otherwise specified, the channel size of class embeddings d is set as 256. The numbers of considered classes for the four stages on ImageNet-1K are empirically set as: $N_1 = 1000$, $N_2 = 500$, $N_3 = 200$ and $N_4 = 50$. Discussions about these parameters are in Section 4.3 and the supplementary material, respectively.

Results Table 1 presents the ImageNet-1K results of various SOTA architectures, including RegNet (Radosavovic et al., 2020a), Swin Transformer (Liu et al., 2021) and ConvNeXt (Liu et al., 2022). Contextual ConvNeXt outperforms all these architectures with similar complexities, e.g., $+1.8\%$ vs. ConvNeXt-T, $+1.5\%$ vs. ConvNeXt-S and $+1.4\%$ vs. ConvNeXt-S. In addition to the substantially better performances, Contextual ConvNeXt enjoys high inference throughput that is comparable to Swin Transformer and ConvNeXt. The above results verify the effectiveness of Contextual CNNs for large-scale image classification, showing the potential of contextualized representation learning.

Table 2: Kinetics-400 video action classifcation results using TSM (Lin et al., 2019). † denotes the reproduced results using the same training recipe.

backbone	image size	#frames	FLOPs	top-1	top-5
ResNet50	224^2	16	65G	74.7	91.4
ResNet101 †	224^2	16	125G	75.9	92.1
Contextual ResNet50	224^2	16	68G	**77.0**	**93.1**

Table 3: COCO object detection and segmentation results using Mask-RCNN. Following Liu et al. (2021), FLOPs are calculated with image size 1280×800.

backbone	FLOPs	AP^{box}	AP^{box}_{50}	AP^{box}_{75}	AP^{mask}	AP^{mask}_{50}	AP^{mask}_{75}
Swin-T (Liu et al., 2021)	267G	46.0	68.1	50.3	41.6	65.1	44.9
ConvNeXt-T (Liu et al., 2022)	262G	46.2	67.9	50.8	41.7	65.0	44.9
Contextual ConvNeXt-T	265G	**47.3**	**69.0**	**52.2**	**42.7**	**66.2**	**45.6**

4.2 EMPIRICAL EVALUATION ON DOWNSTREAM TASKS

Video classification on Kinetics-400. Kinetics-400 (Kay et al., 2017) is a large-scale video action classification dataset with 240K training and 20K validation videos from 400 action classes. We fine-tune TSM (Lin et al., 2019) model with a ResNet50-based Contextual CNN backbone (dubbed Contextual ResNet50) (He et al., 2016). For a fair comparison, the Contextual ResNet50 is firstly pretrained on ImageNet-1K using the same recipe as the original ResNet50, and then fine-tuned on Kinetics-400 using the same recipe as TSM. More details are deferred to the supplementary material.

Table 2 lists the video action classification results of Contextual ResNet50 and ResNet50 / ResNet101. It is observed that Contextual ResNet50 is +2.3%/+1.7% better on top-1/5 accuracy than ResNet50-based TSM with similar computation cost. Moreover, Contextual ResNet50 is even better than the more sophisticated backbone ResNet101. These results verify that the Contextual CNN architecture can be effectively extended to general visual recognition tasks like video classification.

Instance segmentation on COCO. The instance segmentation experiments are conducted on COCO (Lin et al., 2014), which contains 118K training and 5K validation images. We fine-tune Mask R-CNN (He et al., 2017) on the COCO dataset with the aforementioned Contextual ConvNeXt backbones. Following Swin Transformer (Liu et al., 2021), we use multi-scale training, AdamW optimizer, and a $3\times$ schedule. More details are presented in the supplementary material.

Table 3 shows the instance segmentation results of the proposed Contextual ConvNeXt, ConvNeXt and Swin Transformer. With similar model complexity, Contextual ConvNeXt achieves better performance than ConvNeXt and Swin-Transformer, in terms of both box and mask AP. This demonstrate that the superiority of Contextual CNN's contextualized representations still hold for downstream dense vision tasks (i.e., detection and segmentation), indicating that Contextual CNN is able to serve as a general-purpose backbone for computer vision.

4.3 ABLATION STUDY

In this section, we ablate major design elements in the proposed Contextual Convolutional Networks, using ImageNet-1K image classification dataset. All instantiations in this subsection are based on ResNet50 (He et al., 2016). Details of the architecture are deferred to the supplementary material.

Block design. We empirically analyze the effect of the proposed components in our work: contextualizing layers and contextual convolutions. First, compared with vanilla ResNet50 (*a1*), applying contextualizing layers alone (*a2*) shows a slightly better performance (+0.37%). This phenomenon reveals that progressively reducing the scope of considered classes without modulation provides limited gains for representation learning. Second, applying contextual convolutions alone (*a3*) leads to a +1.01% gain on top-1 accuracy. This implies that adaptively modulating convolutions according to input instance improves the expressive power of learned representations. This observation is also consistent with the previous works on dynamic convolutions (discussed in Section 2). Third, combining these two components together, we observe a significant improvement of +2.15% compared to the vanilla model (*a4* vs. *a1*) and an improvement of +1.14% compared to the model with similar complexity (*a4* vs. *a3*). These results verify that the categorical context information provides important cues about the more discriminative directions to modulate the convolutions, highlighting the advantage of using potential category memberships as contextual priors.

Contextualizing design. We investigate the influence of two hyperparameters: the number of contextualizing stages and the dimension of class embeddings d. As shown in Table 5, the Contextual ResNet50 model with 3 contextual stages ("*Stage2-4*") yields the best top-1 accuracy compared to

Table 4: Ablations on the proposed components. "Ctx" denotes contextualizing layers and "CConv" denotes contextual convolutions. The **bold** row denotes the final settings of Contextual CNN.

	model	#param.	FLOPs	top-1 acc.
a1	R50	25.5M	4.1G	76.58
a2	+ Ctx	25.4M	4.1G	76.95
a3	+ CConv	27.2M	4.2G	77.59
a4	**+ Ctx + CConv**	**27.9M**	**4.2G**	**78.73**

those with more or less contextual stages (*b3* vs. *b0/b1/b2/b4*). This suggests that the contextual modulation is more effective on middle-level and high-level features. Then, by increasing the dimension of class embeddings d, the top-1 accuracy of the model improves steadily and saturates at 256 (*b4 vs. b6/b7/b8*), which reveals the strategy of choosing d in practice.

Table 5: Ablations on contextualizing. The "✓" means the adoption of the contextual stages. d is the dimension of class embeddings. The **bold** row denotes the final settings of Contextual CNN.

	Stage1	*Stage2*	*Stage3*	*Stage4*	d	#param.	FLOPs	top-1 acc.
b1				✓	256	25.5M	4.1G	76.58
b2				✓	256	26.7M	4.1G	77.56
b3			✓	✓	256	27.7M	4.2G	78.33
b4		✓	✓	✓	**256**	**27.9M**	**4.2G**	**78.73**
b5	✓	✓	✓	✓	256	28.0M	4.2G	77.69
b6		✓	✓	✓	64	27.3M	4.2G	77.39
b7		✓	✓	✓	128	27.3M	4.2G	78.14
b8		✓	✓	✓	512	28.2M	4.3G	78.69

Modulation design. We investigate how the two forms of contextual modulations (weight offsets and sampling offsets) in convolutions affect the performance. As shown in Table 6, using weight/spatial offsets alone brings in a $+1.05\%/+1.19\%$ improvement (*c2/c3* vs. *c1*), which indicates that either form of the modulation leverages the contextual information to some degree. The combination of the two forms leads to a more significant gain of $+2.56\%$ (*c4* vs. *c1*). This result implies that the two forms of modulations complete each other.

Table 6: Ablations on modulation. The **bold** row denotes the final settings of Contextual CNN.

	weight offset	spatial offset	#param.	FLOPs	top-1 acc.
c1			25.5M	4.1G	76.95
c2	✓		27.5M	4.2G	78.00
c3		✓	25.9M	4.2G	78.14
c4	✓	✓	**27.9M**	**4.2G**	**78.73**

4.4 MORE ANALYSIS

Analysis of generated features. We adopt Grad-CAM (Selvaraju et al., 2017) to interpret the learned features by highlighting the corresponding regions that discriminate different classes (shown in Figure 4a). Specifically, in the first case, the counterpart model (ConvNeXt-T) generates features w.r.t. the face of the animal, which shares similar appearances between the "husky" and the "wolf". Our model predicts by generating features of the ears that are long and stand upright on top, which are the key factor to differentiate "husky" from "wolf" (offset and triangular). In the second case, the counterpart model generates features corresponding to base and the center hub of the object, both are shared between the "space heater" and the "electric fan". In contrast, our model generates features w.r.t. the fan region, which is very different between the two categories in color and local parts (filaments vs. vanes). In summary, the counterpart model generates shared patterns of the most likely

(a) Grad-CAM visualization results (b) Active neurons

Figure 4: Comparison of Grad-CAM visualization results (**left**) and numbers of active neurons (**right**) between ConvNeXt-T and our method on ImageNet-1K. The Grad-CAM visualization is calculated for the last convolutional outputs. "GT" denotes the ground truth class of the image.

(a) Selected classes from "*Stage1*" to "*Stage4*" (b) Confusion matrix

Figure 5: **Left**: The t-SNE distributions of the class embeddings on ImageNet-1K. The points in blue denote the selected classes of the corresponding stage and the point in red denotes the groud-truth class. **Right**: Comparison of class embeddings between ConvNeXt-T and our Contextual ConvNext-T on ImageNet-1K. For ConvNeXt-T, we normalize the weights of the classifier as its class embeddings (1000×768). The confusion matrix denotes the similarity matrix of class embeddings (1000×1000).

classes, which help select these classes out of 1000 classes but fail to further differentiate them. This behavior is reasonable since the convolutions in counterpart model are category-agnostic. Contextual CNN, in contrast, takes a few most likely classes as contextual priors and learns to generate more discriminative features with regard to these classes. As a result, our model is superior in resolving the above challenging cases that confuse the counterpart model.

Furthermore, we utilize the number of active neurons (Cui et al., 2020) to analyze the redundancy of intermediate representations of the models. Notably, the number of active neurons is the number of directions to which the classification loss function is sensitive. The more active neurons, the less redundancy the representation contains (Cui et al., 2020). As shown in Figure 4b, at all intermediate layers, our model has more active neurons than the counterpart model. This indicates that the features of Contextual CNN are more informative about the image being discriminated.

Analysis of class embeddings. Figure 5a visualizes the stage-wise classifying of Contextual CNNs. Specifically, we first adopt t-SNE (Van der Maaten & Hinton, 2008) to visualize the class embeddings of the model. We then highlight the selected classes from "*Stage1*" to "*Stage4*". The results suggest that the contextual prior progressively converges to semantic neighbors of the groundtruth class. Figure 5b compares the confusion matrix of class embeddings between ConvNext-T and Contextual ConvNeXt-T. Following Chen et al. (2019), we uses the weights of the last fully-connected layer of ConvNext-T as its class embeddings. One can observe that the class embeddings learned by our model exhibit more effective separation of category memberships.

5 CONCLUSION

This paper presents Contextual Convolutional Networks, a new CNN backbone which leverages a few most likely classes as contextual information for representation learning. Contextual CNN surpasses the performance of the counterpart models on various vision tasks including image classification, video classification and object segmentation, revealing the potential of contextualized representation learning for computer vision. We hope that Contextual CNN's strong performance on various vision problems can promote the research on a new paradigm of convolutional backbone architectures.

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