Manifold Characteristics That Predict Downstream Task Performance

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Abstract

Pretraining methods are typically compared by evaluating the accuracy of linear classifiers, transfer learning performance, or visually inspecting the representation manifold's (RM) lower-dimensional projections. We show that the differences between methods can be understood more clearly by investigating the RM directly, which allows for a more detailed comparison. To this end, we propose a framework and new metric to measure and compare different RMs. We also investigate and report on the RM characteristics for various pretraining methods. These characteristics are measured by applying sequentially larger local alterations to the input data, using white noise injections and Projected Gradient Descent (PGD) adversarial attacks, and then tracking each datapoint. We calculate the total distance moved for each datapoint and the relative change in distance between successive alterations. We show that self-supervised methods learn an RM where alterations lead to large but constant size changes, indicating a smoother RM than fully supervised methods. We then combine these measurements into one metric, the Representation Manifold Quality Metric (RMQM), where larger values indicate larger and less variable step sizes, and show that RMQM correlates positively with performance on downstream tasks.

1. Introduction

Understanding why deep neural networks generalise so well remains a topic of intense research, despite the practical successes that have been achieved with such networks. Less ambitiously than aiming for a complete understanding, we can search for characteristics that indicate good generalisation. Knowledge of such characteristics can then be incorporated into training methods and open more research avenues. These characteristics can also be used to evaluate and compare networks.

Arguably the most successful current theories of generalisation focus on the flatness of the loss surface at the minima (Hochreiter & Schmidhuber, 1997; Dziugaite & Roy, 2017; Dherin et al., 2021) (even though the most straightforward measures of flatness are known to be deficient (Dinh et al., 2017)). (Petzka et al., 2021) expands on this argument and shows that these methods correlate strongly with model performance, and reflect the assumption that the labels are locally constant in feature space. A thorough survey by (Jiang et al., 2020) shows that some recent methods are, in fact, negatively correlated with generalisation.

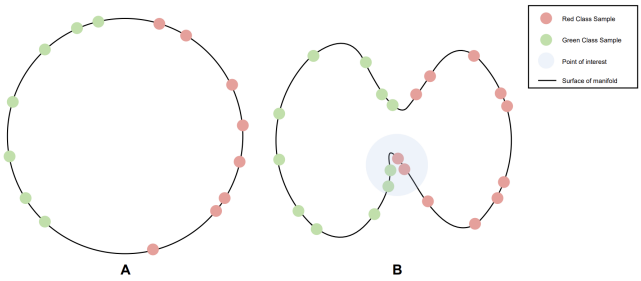

Figure 1. An illustration to give an intuitive understanding of why the structural characteristics of a RM should be considered a predictor of generalisation.

To our knowledge, no theory looks at the structural characteristics of the learned Representation Manifold (RM) as a predictor for generalisation. We investigate whether structural characteristics in the RMs correlate with generalisation to task performance. To illustrate the intuition behind our investigation, consider Figure 1, which represents two RMs, A and B.

Assume that each RM is produced by the same architecture, and trained on the same dataset; both have a flat minima but are trained with different methods. In the case of A, where the manifold is smooth, the sample representations of the Green class are, on average, closer to other Green class's points. Likewise, presentations of the Red class will, on average, be closer to other Red class's samples. On the

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other hand, if we consider RM B, there are chasms in the manifold that lead to some sample representations being closer to samples of the other class rather than samples of their own class, as illustrated in the blue patch.

This paper aims to justify our claim that specific RM characteristics lead to generalisation. However, we must first define appropriate RM characteristics that reflect this intuition and show how to measure them. Previous work related to our approach and finding can be found in Appendix B

Contribution: In summary, we define a simple and model-agnostic framework to measure representation manifold characteristics. Using this framework, we compare the RMs learned by encoders trained using supervised, self-supervised and a mixture of both methods. We then present a new metric that calculates the quality of a manifold for generalisation, RMQM, and show that this metric correlates strongly with downstream task performance. These observations support our intuition that the characteristics of an RM are a contributing factor to generalisation.

2. Approach

Describing all the details of a high-dimensional representation manifold (RM) is an impossible task; we can at best strive to find characteristics that summarise salient properties of the RM. When measuring these characteristics, one will therefore have a discrete view of the RM (Barannikov et al., 2021), made out of the predicted representations from the input data. We propose measuring individual distance metrics for each representation of an input sample relative to representations of data close to it.

By staying in the neighbourhood of each representation, we can measure the surface surrounding that point using standard distance metrics, effectively walking on the local structure and measuring the size of each step relative to the change in input. By inspecting all these locally measured structures together, one describes the structure for the entire RM in terms of its local stability.

The caveat is that one requires representations in close proximity on the RM to do these measurements. However, practical RMs have many dimensions, implying that data points tend to be well separated, even if they originate from the same underlying class (Bárány & Füredi, 1988; Balestriero et al., 2021). We thus need to create these proximate representations artificially.

We do this by applying sequentially larger local alterations to the input data and computing the resulting representations. By increasing the size of the alteration, we step further on the RM surface and thus measure characteristics further away but still local for the magnitude of changes that we employ. Our alteration method comprises of white noise injections and PGD adversarial attacks. More information regarding these methods can be found in Appendix A.

To characterise a representation manifolds (RM) based on small local alterations to the input, we measure two easy to implement and measure characteristics. Let A_j be the jth iteration of an alteration method, where each successive iteration employs a stronger alteration. Also, let $\phi_{i,j}$ be the projected point on the RM produced by $f(A_j(x_i))$, where $x_i \in \boldsymbol{X}$.

Average distance moved. The first characteristic we measure is the total of the normalised Euclidean distances between the original point, $\phi_{i,0}$, and each altered point, $\phi_{i,j}$. The average distance moved for image x_i is represented as $\frac{1}{J}\sum_j \|\phi_{i,0} - \phi_{i,j}\|_2$, where we can then average over each point to find the average distance moved for a given RM and alteration. Finally, the average over images is

$$D(\phi, A) = \frac{1}{NJ}\sum_{i}^{N}\sum_{j}^{J}\|\phi_{i,0} - \phi_{i,j}\|_2 \tag{1}$$

where N is the number of images considered and J is the number of alterations. $D(\phi, A)$ thus indicates how robust the RM is to alterations A.

Average distance spikes. We also measure the relative change in distance between successive alterations. These relative distance changes are measured both with respect to the original representation and relative to each previous alteration. We average the magnitudes of these changes as we are not interested in the direction of the change to gauge how smooth an RM is. To understand how relative changes relate to smoothness, recall that we only apply alterations that keep us close to the given data points. Therefore, we can only have big spikes if the RM contains significant chasms or bumps (small alterations in input data should result in constant distance increases if the RM is smooth).

In order to calculate these relative changes for a single representation, refer to Equation (2) which calculates the relative change according to the original representation, D_{RC} and Equation (3) which calculates the relative change according to the distance between the previous alterations P_{RC}. In both equations, $d()$ is a distance function. In order to get the overall metrics, we average the values over all data points.

$$D(\phi_i, A)_{RC} = \frac{1}{J}\sum_{j=1}^{J}\left|\frac{d(\phi_{i,0}, \phi_{i,j}) - d(\phi_{i,0}, \phi_{i,j-1})}{d(\phi_{i,0}, \phi_{i,j})}\right| \tag{2}$$

$$P(\phi_i, A)_{RC} = \frac{1}{J}\sum_{j=2}^{J}\left|\frac{d(\phi_{i,j-1}, \phi_{i,j}) - d(\phi_{i,j-1}, \phi_{i,j-2})}{d(\phi_{i,j-1}, \phi_{i,j})}\right| \tag{3}$$

3. Comparing Different Training Methods

We now measure the characteristics defined in Section 2 for five different training methods, applied to two different encoders and data sets.

The first method we investigate employs encoders trained with vanilla supervised learning with Cross-Entropy loss, where we then take the second to last layer output as the representations. We also use the SimCLR method introduced in (Chen et al., 2020). Along with this, we trained encoders using two different implementations of Triplet-Loss (Weinberger et al., 2006; Schroff et al., 2015).

We mine the triplets in a supervised manner for the first implementation using the image labels. We apply the SimCLR method for the second implementation, replacing NT-XENT with Triplet loss. We refer to the former method as Triplet-Supervised going forward and the latter as Triplet-SS. We do this to see the effect of the indirect supervised signal on the method. Lastly, to see the effect of directly combing a contrastive signal with a supervised signal, we combine Triplet-Loss with Cross-Entropy loss as was also done in (van der Merwe, 2020). We believe these techniques represent the major families of training techniques and provide enough information on how different techniques learn different RM structures. We propose that a full-scale investigation be performed on most training techniques found in current literature, in a future paper.

We apply these methods to an altered version of the LeNet-5 architecture introduced in (LeCun et al., 1998), trained on the MNIST data set (LeCun et al., 1998) and a Resnet-18 (He et al., 2016) trained on the CIFAR-10 data set (Krizhevsky et al., 2009).

We train our encoders with six different embedding sizes, ranging from 16 to 512 in powers of two. We also train with two different optimisers, namely Stochastic Gradient Descent (SGD) with Nesterov Momentum (Sutskever et al., 2013) and Adam (Kingma & Ba, 2015).

To ensure that each RM can be compared fairly to each other, all image alterations are exactly the same for each method when calculating the manifold characteristics. We report in the rest of this section using the average results over both the Adam and SGD trained encoders, as the results were similar for both.

Measuring Distances Figure 2 shows the average normalised Euclidean distance to the original MNIST digits as we increase the amount of alteration applied to each digit. Here, A is the white noise injection alteration. As the embedding dimension increases, the self-supervised methods move further from the original point than the supervised signal methods. We also notice that the Cross-Entropy encoder's average distance away from the original representation stays very low, with the Triplet-Entropy and Triplet-Supervised encoders falling in between. We suspect this is because they contain both supervised and unsupervised signals in the training process.

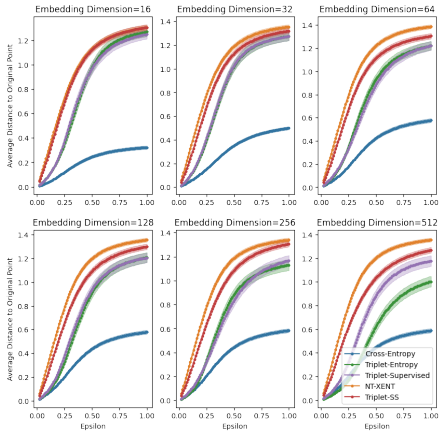

Figure 2. The normalised Euclidean distance between the original MNIST digit and the same digit altered by a sequentially stronger noise vector. We perform this measurement for each embedding dimension and each digit in the test test to calculate the standard error shown with the mean results.

When A is the PGD attack, we see the same pattern emerging for the CIFAR-10 encoders, shown in Figure 5. Here though, we can see a much more significant difference between self-supervised methods and methods containing a supervised signal: the NT-XENT and Triplet-SS measurements grow to have much larger values.

In Table 1 we summarise the D values, which is calculated using Equation (1), for the MNIST encoders. We average over embedding dimensions and calculate the standard deviation for each method. The common trend among both alteration methods is that NT-XENT and Triplet-SS alterations always move farther away from the original representation than the other methods. We can also see that the total distance moved decreases from self-supervised to pure supervised learning methods.

These results indicate that the encoder is more robust to minor perturbations (as measured by the distance moved from the original image) if the training method contains a strong supervised signal.

Measuring Spikes Following the same steps as above, we now study the relative change in distances measured. The relative change in distance to the original representation, plotted against the amount of PGD attack iterations, is shown in Figure 6.

Table 1. The average distance each points moves relative to the original point for both the white noise injection and PGD Attack alterations for the MNIST encoders. The results are averaged over both the embedding dimension and the optimiser used.

METHOD	NOISE	PGD
CROSS-ENTROPY	0.33±0.15	0.21±0.08
TRIPLET-ENTROPY	0.73±0.11	0.33±0.08
TRIPLET-SUPERVISED	0.77±0.06	0.38±0.10
TRIPLET-SS	0.93±0.13	0.66±0.17
NT-XENT	1.01±0.04	0.68±0.10

We see a reversal of the graphs in Section 3: the self-supervised methods start with high relative changes which decrease rapidly. Methods containing a supervised signal have larger spikes and error bands. All this indicates a less smooth journey in the RM between alterations for the supervised methods.

The same trend is present for CIFAR-10 models when we inject white noise, plotted in Figure 7. Here though, the Triplet-Supervised method is unstable for several values of the embedding dimension, whereas the NT-XENT and Triplet-SS again have the smallest spikes.

Table 2 shows the overall average values for each spike metric for each method. NT-XENT and Triplet-SS have the smallest spikes for both forms of alteration, whereas Triplet-Supervised results in very non-smooth RMs.

Self-supervised methods therefore learn structures in which a step in most directions, at most locations, induces steps of similar size on the RM. That is, these self-supervised methods have smoother RMs than the other methods.

Table 2. The average change in the distance each point moves relative to the original point, compared against the previous alteration's distance, measured on CIFAR-10 encoders. The results are averaged over both the embedding dimension and optimiser used.

METHOD	NOISE	PGD
CROSS-ENTROPY	0.11±0.03	0.34±0.04
TRIPLET-ENTROPY	0.18±0.04	0.89±0.35
TRIPLET-SUPERVISED	0.86±0.85	2.97±1.61
TRIPLET-SS	0.06±0.01	0.06±0.04
NT-XENT	0.04±0.01	0.10±0.01

4. Representation Manifold Quality Metric

In Section 3 we showed empirically that an RM learned by self-supervised methods has a structure that has the following property: When moving in any direction on the surface of RM, it will result in a relatively large displacement, but these displacements are on average the same size no matter where or in what direction a step is taken. With methods containing a supervised signal, the opposite is true: moving in the surface results in smaller displacements, but those displacement are significantly more variable in size.

In order to determine which of these two groups of characteristics are more desirable for downstream tasks, we combine these characteristics into one metric, the Representation Manifold Quality Metric (RMQM).

With this single metric describing an RM, we can perform various downstream tasks with our encoders and see how the performance correlates with the value of the RMQM. We define the RMQM as

$$RMQM = \ln\left(1 + D + D_{PC}^{-1} + P_{PC}^{-1}\right) \tag{4}$$

Here D is the average distance moved measured relative to the original representation, D_{PC} is the relative change in distance between each subsequent alteration and the original representation and P_{PC} is the relative change of the distances between altered representations, as defined in Equations (1) to (3).

We apply the natural logarithm to scale the values, and we add one to ensure we do not have any negative values.

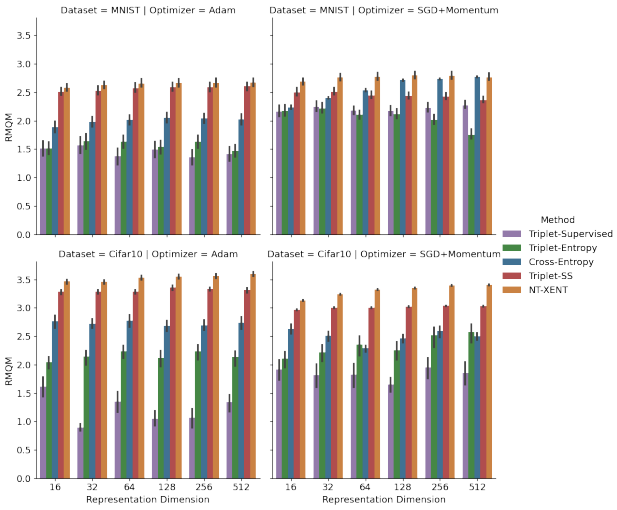

Figure 3. RMQM measured for white noise injections.

Thus, RMQM is designed to yield large values for relatively smooth RMs with relatively large sensitivity to changes in the input. Below, we only interpret the RMQM score when A is the white noise injection alteration, since the method dependence of the PGD alterations complicates our ability to compare the various methods.

In Figure 3 we show the RMQM score for each of our encoders, with A being white noise injections. For the MNIST encoders trained using SGD and Nesterov momentum, as the embedding size increases, the RMQM for Cross-Entropy

overtakes Triplet-SS, indicating that the RM is more similar in this setup to one produced by NT-XENT trained encoders. In the other cases, there is an overall trend for the NT-XENT and Triplet-SS encoders to have the highest RMQM, followed by Cross-Entropy and then lastly, Triplet-Entropy and Triplet-Supervised.

Correlation between RMQM and Downstream Tasks. In order to find what RM characteristics are desirable, we measure how RMQM correlates with downstream task performance. If we find a strong positive correlation, an RM with a smooth structure and large displacements is desirable. If there is a strong negative correlation, then an RM that contains chasms and bumps and small displacements is desirable.

We define the task performance as the normalised test accuracy of a K-Nearest Neighbour (KNN) model, with only one nearest neighbour ($K = 1$), trained on the representations created by the encoder. We believe this is an appropriate measure of task performance as most use cases today utilise representations to perform vector search. A KNN model's accuracy is a good approximation of this task. The MNIST encoders will be tested on the OMNIGLOT (Lake et al., 2015) and the KMNIST (Clanuwat et al., 2018) datasets, with the CIFAR-10 encoders being tested on the Caltech-101 (Fei-Fei et al., 2004) and CIFAR-100 (Krizhevsky et al., 2009) datasets.

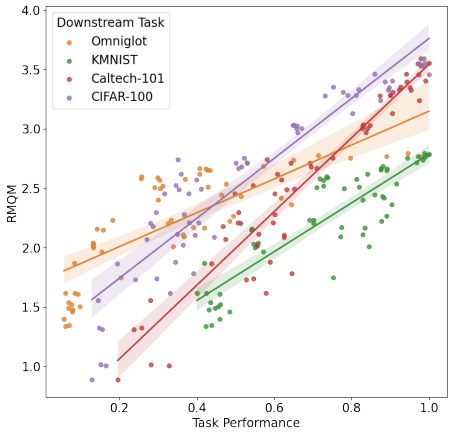

Figure 4. Regression plot for RMQM versus the scaled test accuracy of a KNN model, with $K = 1$, trained on the representations created by the encoders.

Figure 4 represents RMQM versus downstream task performance. What is clear from Figure 4 is that there is a strong positive correlation (0.75) between RMQM and downstream task performance. This justifies our statement that RM characteristics are essential and not simply the number of features learned by an encoder. That is, when vector search is the downstream task performance, an encoder that learned an RM with smooth structure and large displacements will tend to perform well on downstream search tasks.

Intuitively this makes sense, especially when we calculate the RMQM using white noise alterations. Take the MNIST encoders as an example, and consider a specific MNIST image (any digit). When applied to this digit, there is a random vector that will transform it to one of the Omniglot characters. In general, the variants of this Omniglot character will differ from that digit image by similar noise vectors. Thus when we encode this Omniglot character image and its variants using a self-supervised trained encoder, the KNN models can accurately identify new images, because from the perspective of the RM, these new characters correspond to the noise-altered version of our original MNIST digit.

On the RM then, this new character and its variants are projected with a similar step size away from the original MNIST digit. Due to the similar noise vector added, these projections are also in a similar direction. These projected characters are also close because there are few chasms or bumps a projection can land on, allowing a nearest neighbour search to perform well.

5. Conclusion

We propose a framework to measure the characteristics of learned representation manifolds (RM). We measure the characteristics by applying sequentially stronger local alterations to the input data and measuring how these altered representations move relative to the original representation and the successive alterations. Doing this, we show that self-supervised learning methods learn RMs in which motion in any direction on the surface will result in relatively large displacements. However, these displacements are, relatively similar no matter where or in what direction a step is taken.

To identify RM characteristics related to good downstream task performance, we combine our measurements into a single metric, the Representation Manifold Quality Metric (RMQM). RMQM is designed to yield large values for relatively smooth RMs with relatively large sensitivity to changes in the input. We then measure the downstream task performance for several tasks and find a strong positive correlation with RMQM. This strong correlation indicates that the structure of a learned manifold is another strong predictor for generalisation of neural networks.

This also shows that self-supervised methods lead to state-of-the-art performance due to the underlying RM structure, which is sensitive to alterations in the input, utilising a relatively smooth manifold.

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Zhou, S., Zelikman, E., Lu, F., Ng, A. Y., Carlsson, G. E., and Ermon, S. Evaluating the disentanglement of deep generative models through manifold topology. In *9th International Conference on Learning Representations, ICLR*. OpenReview.net, 2021. URL https://openreview.net/forum?id=djwS0m4Ft_A.

A. Alteration Methods

Let an RM be represented by $\phi_\theta = f_\theta(\boldsymbol{X})$, where f_θ is a feature extractor parameterised by θ and $\boldsymbol{X}$ is a data set (e.g. input images). With A representing a function that applies small local alterations to pixels in the image, each altered data point projected down to the RM is represented as $\phi_{\theta,i} = f_\theta(A_j(x_i))$, where $x_i \in \boldsymbol{X}$ and A_j the jth iteration of the alteration function.

The two alteration methods we use in our experiments are the same methods (Hoffman et al., 2019) used to evaluate the robustness of a Jacobian regulariser. We chose these methods because they result in either random local alterations or guided alteration, thus giving us different paths on the RM to evaluate.

White noise injection. Here we alter each input image x_i by adding an alteration vector randomly, a, with components independently drawn from a normal distribution with variance ϵ^2, thus $a \sim \mathcal{N}(0, \epsilon^2)$. In order to increase the alteration strength, we increase ϵ from zero to one with 100 in equal steps, indexed by j. Thus, alteration j for datapoint x_i is given by $x_{ij} = [x_i + a_j]_{clip}$, where $a_j \sim \mathcal{N}(0, \epsilon_j^2)$ and $[.]_{clip}$ clips the image to be between zero and one.

PGD attack. Whereas white noise injections will allow us to walk on the surface of an RM in random directions, altering the image in a way that deliberately aims to fool the trained function f_θ will allow us to walk in a direction influenced by decision boundaries on the RM. In this paper we will implement an extension of fast gradient sign method (FGSM) (Goodfellow et al., 2015), namely projected gradient descent (PGD) (Madry et al., 2018). FGSM consists of adding a vector to the original image, where this vector consists of the sign of the gradient for the loss functions with respect to the input image, scaled by a value ϵ_{FGSM}. PGD iterates this process for several iterations. Calculating the jth alteration of x_i, represented by $x_{i,j}$ can be defined as

$$x_{i,j} = [x_{i,j-1} + \epsilon_{FSGM} \cdot (\nabla_{x_{i,j-1}} \mathcal{L}(\theta, x_{i,j-1}, y))]_{clip} \tag{5}$$

where $\mathcal{L}$ is the loss function for the relevant training method.

Given that the original target for these adversarial attacks was a network that classifies images. In order to then apply PGD attack to the triplet variants, we calculate the loss precisely as usual and then calculate the gradient with regards to the anchor image. When calculating the gradient for NT-XENT methods, we compare a non-augmented image with an augmented version and then calculate the gradient with respect to the unaugmented image. We apply the PGD attack for 30 iterations and save each iteration, with $\epsilon_{FGSM} = 2/255$.

B. Related Work

Representation learning: Some of the earliest work in representation learning focused on pretraining networks by generating artificial labels from images and then training the network to predict these labels (Doersch et al., 2015; Zhang et al.; Gidaris et al., 2018). Other techniques involve contrastive learning where representations from images are directly contrasted against one another such that the network learns to encode similar images to similar representations (Schroff et al., 2015; Oord et al., 2018; Chen et al., 2020; He et al., 2020; Le-Khac et al., 2020).

Comparing representations from trained neural networks: (Yamins et al., 2014; Cadena et al., 2019) compares how similar representations are by linearly regressing over the one representation to predict the other representation. The R^2 coefficient is then used as a metric to quantify similarity. This metric is not symmetric. Symmetrical methods compare representations from different neural networks by creating a similarity matrix between the hidden representations of all layers as was done in (Laakso & Cottrell, 2000; Kriegeskorte et al., 2008; Li et al., 2016; Wang et al., 2018; Kornblith et al.).

Manifold Learning: The Manifold Hypothesis states that practical high dimensional datasets lie on a much lower dimensional manifold (Carlsson et al., 2008; Fefferman et al., 2016; Goodfellow et al., 2016). Manifold learning techniques aim to learn this lower-dimensional manifold by performing non-linear dimensionality reduction. A typical application of these non-linear reductions is visualising high dimensional data in two-dimensional or three-dimensional settings. Popular techniques include(Tenenbaum et al., 2000; Van der Maaten & Hinton, 2008; McInnes et al., 2018). These techniques have been used in various studies to compare different learned representation manifolds (Chen et al., 2019; van der Merwe, 2020; Li et al., 2020; Liu et al., 2022).

Comparing manifolds.: To evaluate the performance of Generative Adversarial Networks, (Barannikov et al., 2021) introduces the Cross-Barcode tool that measures the differences in topologies between two manifolds, which they approximate by the sampled data points from the underlying data distributions. They then derive the Manifold Topology

Divergence, based on the sum of the lengths of segments in the Cross-Barcode. (Zhou et al., 2021) also evaluates generative models by quantifying representation disentanglement. They do this by measuring the topological similarity of conditional submanifolds from the latent space. (Shao et al., 2018) investigates the Riemannian geometry of latent manifolds, specifically the curvature of the manifolds. They conclude that having latent coordinates that approximate geodesics is a desirable property of latent manifolds. To our knowledge, there has not been a study done on measuring the manifold's structural characteristics based on small local alterations to the input data, applied to non-generative encoders.

Predictors of generalisation:(Jiang et al., 2020) performed a large scale study of generalisation in deep learning, and we refer the reader to this work for a well-documented review. To our knowledge there has been no work done on using the structure of the RM as a predictor of generalisation.

C. Experiment Figures

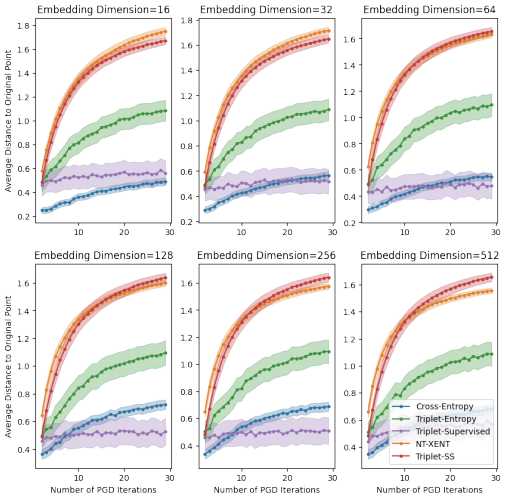

Figure 5. The normalised Euclidean distance between the original CIFAR-10 image and the same image altered by sequential PGD attack iterations.

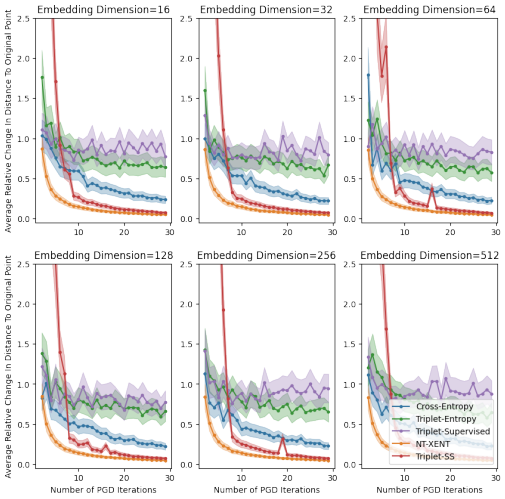

Figure 6. The relative change in distance to the original point, plotted against number of amount of PGD iterations for the encoders trained on the MNIST dataset.

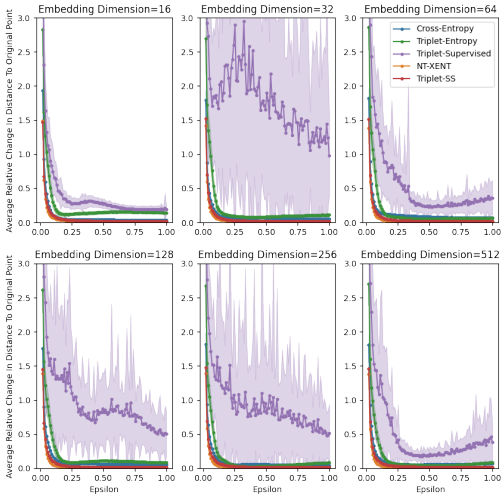

Figure 7. The relative change in distance to the original point, plotted against the amount of noise injected for the encoders trained on the CIFAR-10 dataset.

D. Source Code

We also release all code used in this project, which can be found in the link below.

https://github.com/ByteFuse/representation-manifold-quality-metric.