A PATCH LEVEL PERSPECTIVE OF PROMPT TUNING

ABSTRACT

Prompt tuning is an efficient way to adapt large foundation models. It introduces learnable prompts with the input data tokens, offering a practical alternative to full model finetuning. However, when prompts are trained on base/target tasks, they often overfit, leading to reduced performance on novel, unseen tasks. To address this limitation, various techniques leverage global image semantics to improve accuracy on unseen tasks while maintaining performance on base tasks. However, they often overlook the rich fine-grained local information that could be crucial for capturing finer semantics and improving generalisation. In this work, we propose a modular approach to prompt tuning that leverages local semantics by incorporating patch-level information, representing the first integration of such semantics in this context. Specifically, we integrate patch-level information across vision, text, and predictions through three consistency mechanisms: 1) Patch-based consistency loss that aligns patches from the prompted input image with those from the same image processed by a frozen model, while also enforcing inter-view consistency by applying the loss across different views, capturing fine-grained regional dependencies and improving vision representation quality; 2) Text prompt consistency loss, where view-specific text prompts are tailored and regularised to maintain coherence across views; 3) Vision features for each view, enriched with patch-level information, are used to generate predictions based on view-tailored text features. These predictions are then regularised across views, complementing the earlier consistency mechanisms and contributing to a cohesive overall framework. Our approach outperforms existing methods across multiple benchmarks, including base-to-novel generalisation, domain generalisation, and cross-dataset evaluation. These results underscore the potential of integrating fine-grained details for more robust and adaptable prompts, marking a step forward in foundation model tuning.

1 INTRODUCTION

Foundation models, such as CLIP (Radford et al., 2021), have demonstrated impressive zero-shot performance across a wide range of tasks, showcasing their adaptability and potential for general applications. However, when it comes to task-specific performance, these models often fall short, compared to specialised models. Fine-tuning foundation models to obtain task-specific models can address this gap, but it is computationally expensive, time-consuming, and impractical when we have only limited data. To overcome these limitations, prompt tuning has emerged as an efficient alternative for adapting large foundation models like CLIP (Zhou et al., 2021). This method introduces learnable prompts to make minor adjustments, while keeping the rest of the model frozen, drastically reducing the computational overhead (Zhou et al., 2021; 2022; Zhu et al., 2022; Yao et al., 2023; Khattak et al., 2022; Derakhshani et al., 2022; Khattak et al., 2023; Roy & Etemad, 2023; Zhang et al., 2023).

Despite its efficiency, prompt tuning tends to overfit on base classification task which includes a subset of base classes, and diminishes generalisation to novel, unseen tasks. Models fine-tuned with prompts can underperform on unseen tasks, compared to CLIP's original zero-shot capabilities (Zhou et al., 2022). This overfitting is due to a loss of generalisation, where the model becomes too tailored to the specific training data, limiting its broader applicability. To address this issue, various strategies have been proposed, such as generating text prompts conditioned on image features (Zhou et al., 2022; Zang et al., 2022), treating prompt learning as a Bayesian inference problem (Derakhshani et al., 2022), aligning prompt updates with gradients from non-prompted predictions (Zhu et al., 2022), and applying consistency-based regularisation to the model's output features and logits (Khattak

et al., 2023; Roy & Etemad, 2023). Among these, multi-modal frameworks that regularise output features and logits with zero-shot CLIP, using ℓ1-norm or cosine similarity (Khattak et al., 2023; Roy & Etemad, 2023), have shown notable success in improving generalisation.

Existing methods tend to focus primarily on global text and vision features, often overlooking the rich local information contained in image patches. By leveraging these patch-level features, we capture critical fine-grained, contextual details that global features may miss. While self-supervised frameworks (Yun et al., 2022; Li et al., 2022; Atito et al., 2022) utilise patches in their pipelines, they primarily focus on patch-level losses without considering cross-modality consistency. In contrast, our approach enhances existing regularisation methods (Zhang et al., 2023; Khattak et al., 2023) by incorporating patch-level information across multiple components, using patches not only for loss regularisation but also to condition input text prompts and improve vision features.

Specifically, we introduce a novel patch-level consistency loss that enforces both intra-view and inter-view consistency, aligning patch representations across different views. While prior works focus on global consistency, we apply intra-view regularisation to the prompted patch features from the anchor view with zero-shot anchor patches. In addition to promote further consistency and to design a non-trivial regularisation, we enforce inter-view consistency which maintains patch consistency across different views of an image by adding an extra guidance for generalisable prompts. An extra augmented view is generated and patch consistency loss is applied with most similar zero-shot anchor patches. Here, both the anchor and augmented prompted patch features are projected through a convolutional layer to obtain better feature representations. This combined intra-view and inter-view consistency ensures robust prompt tuning and significantly enhances generalisation.

In addition to regularizing the vision branch, we also focus on the text branch by leveraging local information and inter-view variations. To achieve this, we generate view-tailored text prompts based on view-specific clustered patch features. Unlike CoCoop (Zhou et al., 2022), which relies on global semantics for text prompt generation, our approach incorporates local patch features for a more refined prompt. Finally, view-specific text features are generated from each of the view-tailored prompts. To further regularize the view-tailored text prompts, we apply an ℓ1-loss between the anchor-view and augmented-view text features, with a stop-gradient applied to the anchor-view output. This ensures inter-view consistency in the text branch and promotes the generalizability of the learned text prompts.

Lastly, patch-level information is integrated with vision features to generate the final prompted vision output. The average of the patch tokens, previously projected through a convolutional layer, is computed and combined with the class token features to produce enhanced vision features. These enhanced vision features are then used to compute class probability logits through a dot product with the corresponding view-specific text features. We further regularise these logits by applying $\mathcal{KL}$-Divergence between the anchor and augmented view logits, with a stop-gradient applied to the anchor view logits during this process.

Our **P**atch-**A**ware **P**rompting (PAP) framework demonstrates significantly improved performance compared to previous methods across a range of tasks, including base-to-novel generalisation, domain generalisation, and cross-dataset evaluation. By effectively integrating patch-level information and addressing both intra-view and inter-view variations, our approach provides a more generalisable and robust prompt-tuning pipeline for foundation models.

2 RELATED WORK

Foundation models based on image-text alignment have demonstrated strong zero-shot performance, but finetuning them is computationally expensive and often leads to reduced generalisation (Radford et al., 2021; Yao et al., 2021; Jia et al., 2021; Zhai et al., 2021; Yuan et al., 2021). As a result, many applications leverage these models as feature extractors with task-specific components (Vidit et al., 2023; Yu et al., 2023; Lin et al., 2023; Yi et al., 2023; Yun et al., 2023; Bousselham et al., 2023). Prompt learning offers an efficient alternative by adapting vision-language models with task-specific prompts while keeping the base model frozen, thus avoiding the challenges of full finetuning (Zhou et al., 2021). However, prompts trained on base classes tend to generalise poorly to unseen classes. To address this, several methods apply regularisation techniques—such as loss constraints, input conditioning, or different strategies/components (Zhou et al., 2022; Khattak et al., 2023; Roy &

Etemad, 2023; Derakhshani et al., 2022)—to improve generalisation and ensure better adaptation across both base and novel classes.

CoCoop (Zhou et al., 2022), UniVL (Zang et al., 2022), and MaPLe (Khattak et al., 2022) regularise their prompts by conditioning the input with global information. CoCoop (Zhou et al., 2022) conditions text prompt inputs using vision features to improve prompt regularisation, while UniVL (Zang et al., 2022) jointly learns prompts for both vision and text, conditioned by a small neural network. MaPLe (Khattak et al., 2022) synchronises vision and text prompts by conditioning vision prompts with text prompts across multiple layers. In contrast, our method conditions text prompts at the initial input layer using rich patch information and regularises the output features with a loss function. Methods like PromptSRC (Khattak et al., 2023) and CoPrompt (Roy & Etemad, 2023) focus on regularising features and predictions with zero-shot CLIP knowledge. PromptSRC (Khattak et al., 2023), which prompts both text and vision, regularises global text and vision embeddings, as well as logits, to maintain pretrained knowledge. It also introduces aggregation of prompts at multiple epochs for further regulation. CoPrompt (Roy & Etemad, 2023) regularises text, vision features but uses an adapter (Gao et al., 2021) to enhance feature learning. Our approach, however, focuses on finer patch-level feature regularisation and incorporates inter-view regularisation. Prograd (Zhu et al., 2022) and Bayesian Prompt (Derakhshani et al., 2022) propose different strategies for prompt regularisation. Prograd (Zhu et al., 2022) updates prompts only when their gradients align with zero-shot knowledge, while ProDA (Lu et al., 2022) enforces a Gaussian distribution on prompts to reduce bias. Bayesian Prompt (Derakhshani et al., 2022) views prompt tuning through a Bayesian lens to improve generalisation.

In contrast to these regularisation methods, recent studies focus on improving base class performance (Zhang et al., 2023) or distilling knowledge from a larger teacher model (Li et al., 2024). DePT (Zhang et al., 2023) decouples the learning of base and novel classes by adding a classification head specifically for base classes, improving base class performance while maintaining results on novel classes. PromptKD (Li et al., 2024) distills a larger CLIP-L/14 model into a smaller CLIP-B/16 model, enhancing overall performance. Orthogonally, MetaPrompt (Park et al., 2024) applies meta-learning for prompt tuning by learning a regulariser. Unlike these methods, we maintain the existing training pipeline, avoid distilling from larger models, and focus on improving performance through loss functions and by exploiting fine-grained details.

Our Patch-Aware Prompting (PAP) differs from existing regularisation techniques by incorporating patch-level information at multiple stages. We regularise vision embeddings at the patch level across both similar and different views for more effective regularisation. Additionally, we use patch information to generate view-specific text prompts, which are further regularised to maintain consistency across views. Finally, we enhance the vision embeddings with patch-level information while also regularising logits at the inter-view level. This design allows our model to perform competitively across several evaluation benchmarks.

3 METHODOLOGY

We propose a novel prompt tuning framework that leverages rich, local contextual information to maintain the generalisability of CLIP to novel tasks, while improving performance on base tasks. Our framework is a modular component that can be applied to previous global prompt consistency methods (Khattak et al., 2023; Roy & Etemad, 2023; Zhang et al., 2023). By incorporating patch-level information, we design components that further regularize the prompt learning process. Unlike earlier approaches that rely on global feature embeddings (Khattak et al., 2023; Roy & Etemad, 2023) or architectural modifications (Zhou et al., 2022; Zhu et al., 2022) to enhance generalisability, our method focuses on local information. Fig. 1 depicts the overall architecture of the proposed Patch-Aware Prompting framework.

First, we introduce a novel vision patch regularisation loss. This loss regularizes the patch outputs generated by our framework with zero-shot predictions from CLIP (Radford et al., 2021), ensuring consistency at the patch level. To handle inter-view variance among different crops typically sampled during training, we add another view called the augmented view of the image and apply a patch loss using zero-shot predictions from the anchor view. This ensures consistent representation with CLIP, both within similar views and across different views of an image. To maintain inter-view consistency at the textual level and incorporate rich local context, we generate view-specific text prompts for both

Figure 1: Patch-Aware Prompting (PAP) Framework: Patch information from anchor and augmented views is integrated into (A)vision, (B)text branches and (C)predictions. View-tailored text prompts are conditioned on patch clusters, with intra-view and inter-view losses applied to vision patches and inter-view consistency losses applied to text prompts and patch enhanced predictions.

the anchor and augmented views. This process differs from previous frameworks that rely on global image features (Zhou et al., 2021; Zang et al., 2022) by utilising patches that contain fine-grained details. We use the Voronoi algorithm (Voronoi, 1908) to cluster patch features, creating biases that guide the text prompt initialization. We further regularise these prompts using a text loss that ensures consistency between the text features generated from the anchor and the augmented prompts. This alignment across view-specific prompts improves generalisability.

Lastly, we combine the patch-level information to generate enhanced vision features. The final vision feature is a combination of the class token embedding and the average of the patch token embeddings. This ensures that patch-level information is included, providing a comprehensive representation of the image. We then compute the logits by taking the dot product of the enhanced vision and text output features. To maintain consistency not only at the feature level but also in class predictions across views, we regularize the logits using a $\mathcal{KL}$-divergence loss between the predictions from anchor and augmented views. We provide further details of our architecture in the following sections along with preliminaries.

3.1 PRELIMINARIES

Let f and g represent the image and text encoders of CLIP, respectively. The input image $\mathbf{X} \in \mathbf{R}^{CH \times H \times W}$ is divided into M patch tokens after the projection layer. The vision transformer encoder receives the input $\mathbf{X}_{in} = \{e_{cls}, e_1, \ldots, e_M\}$, consisting of the class token e_{cls} and the patch tokens. After passing through the vision encoder f, the class and patch features are $\bar{\mathbf{V}} \in \mathbf{R}^d$ and $\bar{\mathbf{P}} \in \mathbf{R}^{M \times d}$. The class label c_K, from the set $\{1, \ldots, C\}$, is wrapped in the text template "a photo of a class label". The input to the text encoder, after embedding and adding [SOS] and [EOS] tokens, is $\mathbf{Y}_{in} = \{y_{[SOS]}, y_1, \ldots, c_k, y_{[EOS]}\}$, where c_k and $\{y_l\}_{l=1}^L$ are the embeddings for the class label and text template. The zero-shot text features for class label k are $\bar{\mathbf{T}}_k \in \mathbf{R}^d$, with $\bar{\mathbf{T}} = \{\bar{\mathbf{T}}_k\}_{k=1}^K$. The zero-shot logits are calculated as $lgt = sim(\bar{\mathbf{T}}, \bar{\mathbf{V}})/\tau$, where τ is the temperature and $sim()$ denotes the cosine similarity. The prediction for class label k is given by: $\frac{\exp(sim(\bar{\mathbf{T}}_k, \bar{\mathbf{V}})/\tau)}{\sum_{c=1}^C \exp(sim(\bar{\mathbf{T}}_c, \bar{\mathbf{V}})/\tau)}$.

Prompt learning involves adding learnable prompts to either the text or image encoder (Zhou et al., 2022; 2021; Roy & Etemad, 2023; Khattak et al., 2023). Let $G_t = \{g_t^1, g_t^2, \ldots, g_t^{nt}\}$ and $G_v = \{g_v^1, g_v^2, \ldots, g_v^{nv}\}$ represent the text and vision prompts, where nt and nv denote the number of text and vision prompts, respectively. The inputs to the vision and text encoders with these trainable prompts are $\mathbf{X}_{in}^p = \{G_v, e_{cls}, e_1, \ldots, e_M\}$ and $\mathbf{Y}_{in}^p = \{y_{[SOS]}, G_t, c_k, y_{[EOS]}\}$. In deep prompting, additional prompts are introduced at each transformer layer, replacing the previous layer's prompts. The vision-prompted class and patch features, after passing through the vision encoder, are $\mathbf{V} \in \mathbf{R}^d$ and $\mathbf{P} \in \mathbf{R}^{M \times d}$, and the text-prompted features $\mathbf{T}_k \in \mathbf{R}^d$ are generated by the text encoder. The

training is supervised using the cross-entropy loss:

$$\mathcal{L}_{ce} = -log \frac{exp(sim(T_k, V))/\tau}{\sum_{c=1}^{C} exp(sim(T_c, V))/\tau}. \tag{1}$$

Additionally, PromptSRC (Khattak et al., 2023) defines global-level losses on features and logits:

$$\mathcal{L}_{\text{SCL-image}} = \sum_{i=1}^{d} |\bar{\boldsymbol{V}} - \boldsymbol{V}|, \; \mathcal{L}_{\text{SCL-text}} = \sum_{i=1}^{d} |\bar{\boldsymbol{T}} - \boldsymbol{T}|. \tag{2}$$

$$\mathcal{L}_{\text{SCL-logits}} = \mathcal{KL}\text{-Div}(sim(\bar{\boldsymbol{T}}, \bar{\boldsymbol{V}}), sim(\boldsymbol{T}, \boldsymbol{V})). \tag{3}$$

The final loss is a combination of the above loss functions:

$$\mathcal{L}_{\text{global}} = \mathcal{L}_{\text{CE}} + \mathcal{L}_{\text{SCL-text}} + \mathcal{L}_{\text{SCL-image}} + \mathcal{L}_{\text{SCL-logits}}. \tag{4}$$

3.2 PATCHES FOR PROMPT TUNING

We incorporate patch information in multiple ways in both the text and vision branches of our model. Our framework generates two views: a main view, called the anchor view $\mathbf{X_{an}}$, and an augmented view $\mathbf{X_{aug}}$. Let $\bar{\mathbf{V}}_{an} \in \mathbf{R}^d$ and $\bar{\mathbf{P}}_{an} \in \mathbf{R}^{M \times d}$ represent the zero-shot class and patch features for the anchor view, and let $\bar{\mathbf{V}}_{aug} \in \mathbf{R}^d$ and $\bar{\mathbf{P}}_{aug} \in \mathbf{R}^{M \times d}$ represent the class and patch features for the augmented view. The prompted class and patch features for the anchor view are $\mathbf{V}_{an} \in \mathbf{R}^d$ and $\mathbf{P}_{an} \in \mathbf{R}^{M \times d}$, respectively, while $\mathbf{V}_{aug} \in \mathbf{R}^d$ and $\mathbf{P}_{aug} \in \mathbf{R}^{M \times d}$ correspond to the augmented view. We further project these prompted patch features through a convolution projection block to obtain final patch features: $\hat{\mathbf{P}}_{an} = \text{ConvProj}(\mathbf{P}_{an})$ and $\hat{\mathbf{P}}_{aug} = \text{ConvProj}(\mathbf{P}_{aug})$. This convolutional projection block consists of two convolutional layers with a kernel size of 3×3.

Patch Consistency Loss: Consistency-guided methods for prompt tuning typically focus on global feature consistency (Khattak et al., 2023; Roy & Etemad, 2023). In contrast, we propose a patch-level consistency loss that directs the learning trajectory towards better generalization, guided by the patch information. We start by introducing a simple loss to ensure consistency between patches from the prompted and zero-shot outputs for the same view. For the anchor view, we define an intra-view patch consistency loss:

$$\mathcal{L}_{\text{intra-view}} = \sum_{i=1}^{M} (1 - \text{sim}(\bar{\boldsymbol{P}}_{an}^{i} - \hat{\boldsymbol{P}}_{an}^{i})), \tag{5}$$

which keeps the patches aligned with the original CLIP model, helping prevent catastrophic forgetting.

During training, prompt-tuning models generally crop random portions of the image. Our goal is to maintain the relationship between patches across these different crops, providing further regularization during training. This differs from CoPrompt (Roy & Etemad, 2023), which ensures naive global inter-view consistency by using different views for zero-shot and prompt inputs without accounting for rich patch-level details. We introduce the augmented view $\mathbf{X_{aug}}$ to ensure patch-level consistency with the anchor view $\mathbf{X_{an}}$. Given the prompted patch output of the augmented view $\hat{\mathbf{P}}_{aug}^{i}(i \in \{1, \dots, M\})$, we identify the closest zero-shot patch from the anchor view. The closest patch is determined by

$$\text{crossview\_feat}(\hat{\boldsymbol{P}}_{\text{aug}}^{i}) = \bar{\boldsymbol{P}}_{\text{an}}^{j}, \qquad j = \underset{k \in \{1, \dots, M\}}{\arg\max} \; \text{sim}(\bar{\boldsymbol{P}}_{\text{aug}}^{i}, \bar{\boldsymbol{P}}_{\text{an}}^{k}), \tag{6}$$

where the closest zero-shot anchor patch $\hat{\mathbf{P}}_{an}^{j}(j \in \{1, \dots, M\})$ corresponds to the zero-shot augmented patch $\hat{\mathbf{P}}_{aug}^{i}$. Using zero-shot outputs to calculate similarity prevents the model from finding an easier learning path, such as having all prompted patches match closely with a single target patch.

The final inter-view patch consistency loss is defined as:

$$\mathcal{L}_{\text{inter-view}} = \sum_{i=1}^{M} (1 - \text{sim}(\hat{\boldsymbol{P}}_{aug}^{i}, \text{crossview\_feat}(\hat{\boldsymbol{P}}_{\text{aug}}^{i}))), \tag{7}$$

which promotes the consistency between the prompted patches of the augmented view $\hat{\mathbf{P}}_{aug}^{i}$ and the closest zero-shot anchor patches $\hat{\mathbf{P}}_{an}^{i}$. Our total patch consistency loss is the sum of both the inter-view and intra-view consistency losses:

$$\mathcal{L}_{\text{patch-con}} = \mathcal{L}_{\text{intra-view}} + \mathcal{L}_{\text{inter-view}}. \tag{8}$$

View-tailored text prompts: Regularizing or conditioning text prompts with global vision semantics is essential for achieving good performance across domains on novel tasks (Khattak et al., 2023; Roy & Etemad, 2023). We enhance the regularization of our text prompts by incorporating rich patch-level information. Specifically, we generate unique text prompts for both the anchor and augmented views. Using class tokens to generate text prompts, as suggested in Zhou et al. (2022), results in overly similar prompts for both views due to the class tokens containing comparable information. Moreover, this approach fails to capture the rich, patch-level details. To address these limitations, we cluster patches to serve as cues for incorporating patch-level semantic reasoning into the text prompts. Rather than using a single bias vector for all prompt vectors $G_t = \{g_t^1, g_t^2, \ldots, g_t^{nt}\}$, we generate individual bias vectors for each prompt vector. These bias vectors $B = \{b^1, b^2, \ldots, b^{nt}\}$ are derived by clustering the vision zero-shot patch features $\bar{P}$. We apply the Voronoi algorithm (Voronoi, 1908) to create nt clusters, each acting as a bias vector corresponding to one of the nt prompt vectors:

$$\{b^1, b^2, \ldots, b^{nt}\} = \text{Voronoi\_Clustering}(\bar{P}). \tag{9}$$

These bias vectors are then added to the prompt vectors to generate the final prompt vectors $\hat{G}$:

$$\hat{G} = \{\hat{g}^1, \hat{g}^2, \ldots, \hat{g}^{nt}\}; \quad \hat{g}^i = g^i + b^i. \tag{10}$$

This approach provides better prompt regularization at the input level, allowing the finer details of the input image to guide the prompt learning process. Let $B_{an} = \{b_{an}^1, b_{an}^2, \ldots, b_{an}^{nt}\}$ and $B_{aug} = \{b_{aug}^1, b_{aug}^2, \ldots, b_{aug}^{nt}\}$ be the bias vectors generated from the anchor and augmented view patches, respectively. These bias vectors are added to the prompt vectors to produce view-tailored prompt vectors $\hat{G}_{an}$ and $\hat{G}_{aug}$, corresponding to the anchor and augmented views. These prompts are then concatenated with the class label, [EOS], and [SOS] tokens, and passed through the CLIP text encoder. The output text features are T_{an} and T_{aug}, corresponding to the view-tailored text prompts for the anchor and augmented views. We further project these text features using a text adapter (Gao et al., 2021), which consists of two linear layers, to obtain $\hat{T}_{an} = \text{TextAdapter}(T_{an})$ and $\hat{T}_{aug} = \text{TextAdapter}(T_{aug})$. This projection aligns the text features with the enhanced vision features explained further. To regularize the output features, we develop a loss function that encourages similarity between the view-tailored outputs:

$$\mathcal{L}_{\text{view-tailor}} = |\text{stop\_grad}(\hat{\boldsymbol{T}_{an}}) - \hat{\boldsymbol{T}_{aug}}| \tag{11}$$

This promotes inter-view regularization in the text space, complementing the regularization introduced in the vision space, which fosters better generalization. Our view-tailored loss, defined in Equation 11, is an $\ell 1$-loss, where a stop-gradient operation is applied on $\hat{T}_{an}$ to encourage the augmented view text prompt to align more closely with the anchor text prompt.

Improved vision features and logits regularisation: After regularizing the text and vision branches using finer details from patches, we propose leveraging this information to enhance the vision features. The class embeddings from the vision encoder, typically used to generate class probabilities, focus on a single dominant semantic object. However, patches contain local information that can improve the overall feature representation. To achieve this, we propose averaging the patch projections to obtain aggregated patch-level information, which is then added to the class-specific vision features to generate the final vision features $\hat{V}$:

$$\hat{\boldsymbol{V}} = \boldsymbol{V} + \alpha \star \text{Avg}(\hat{\boldsymbol{P}}), \tag{12}$$

where α is a scaling factor for the aggregated patch features. The final logits corresponding to the prompted inputs are computed by taking the dot product of the vision and text output features. These logits are further regularized using $\mathcal{KL}$-Divergence between the logits of the anchor and augmented views, which is defined as follows:

$$\mathcal{L}_{\text{inter-logits}} = \mathcal{KL}\text{-Div}(\text{stop\_grad}(\text{softmax}(\text{sim}(\hat{\boldsymbol{T}_{an}}, \hat{\boldsymbol{V}}_{an}))), \text{softmax}(\text{sim}(\hat{\boldsymbol{T}_{aug}}, \hat{\boldsymbol{V}}_{aug}))). \tag{13}$$

This regularization reduces inter-view variance at the logit level, leading to more generalized features. Similar to the view-tailored loss on text embeddings, we apply a stop-gradient operation to the anchor logits. The final loss is the sum of all the introduced patch-level losses:

$$\mathcal{L}_{\text{final}} = \mathcal{L}_{\text{global}} + \lambda_p \star \mathcal{L}_{\text{patch-con}} + \lambda_t \star \mathcal{L}_{\text{view-tailor}} + \lambda_l \star \mathcal{L}_{\text{inter-logits}}, \tag{14}$$

which includes a global loss applied to both the anchor and augmented views, with $\lambda_p, \lambda_t, \lambda_l$ acting as scaling factors for the patch, view-tailored text, and inter-view logit losses, respectively.

Table 1: **Comparison with SOTA methods on base-to-novel tasks**. Best novel, base, HM accuracies are underlined; bold indicates improvements over the method with our components. * denotes our reproduction with official code and settings.

(a) **Average**

	Base	Novel	HM
CLIP	69.34	74.22	71.70
CoOp	82.69	63.22	71.66
Co-CoOp	80.47	71.69	75.83
KgCoOp	80.73	73.60	77.00
MaPLe	82.28	75.14	78.55
CoPrompt*	83.66	76.34	79.84
PromptSRC	84.26	76.10	79.97
↪ + Ours	85.07	77.41	81.05
DePT*	85.15	75.73	80.16
↪ + Ours	85.65	77.29	81.25

(b) ImageNet

	Base	Novel	HM
CLIP	72.43	68.14	70.22
CoOp	76.47	67.88	71.92
Co-CoOp	75.98	70.43	73.10
KgCoOp	75.83	69.96	72.78
MaPLe	76.66	70.54	73.47
CoPrompt	77.67	71.27	74.33
PromptSRC	77.60	70.73	74.01
↪ + Ours	78.00	70.96	74.33
DePT	78.20	70.27	74.02
↪ + Ours	78.26	70.90	74.39

(c) Caltech101

	Base	Novel	HM
CLIP	96.84	94.00	95.40
CoOp	98.00	89.81	93.73
Co-CoOp	97.96	93.81	95.84
KgCoOp	97.72	94.39	96.03
MaPLe	97.74	94.36	96.02
CoPrompt	98.27	94.90	96.55
PromptSRC	98.10	94.03	96.02
↪ + Ours	98.50	94.46	96.35
DePT	98.60	93.93	96.20
↪ + Ours	98.63	94.30	96.41

(d) OxfordPets

	Base	Novel	HM
CLIP	91.17	97.26	94.12
CoOp	93.67	95.29	94.47
Co-CoOp	95.20	97.69	96.43
KgCoOp	94.65	97.76	96.18
MaPLe	95.43	97.76	96.58
CoPrompt	95.03	96.86	95.93
PromptSRC	95.33	97.30	96.30
↪ + Ours	95.70	97.80	96.73
DePT	95.46	97.16	96.30
↪ + Ours	95.70	97.83	96.75

(e) StanfordCars

	Base	Novel	HM
CLIP	63.37	74.89	68.65
CoOp	78.12	60.40	68.13
Co-CoOp	70.49	73.59	72.01
KgCoOp	71.76	75.04	73.36
MaPLe	72.94	74.00	73.47
CoPrompt	76.97	74.40	75.66
PromptSRC	78.27	74.97	76.58
↪ + Ours	80.03	75.30	77.59
DePT	80.80	74.76	77.66
↪ + Ours	81.53	75.23	78.25

(f) Flowers102

	Base	Novel	HM
CLIP	72.08	77.80	74.83
CoOp	97.60	59.67	74.06
Co-CoOp	94.87	71.75	81.71
KgCoOp	95.00	74.73	83.65
MaPLe	95.92	72.46	82.56
CoPrompt	97.27	76.60	85.71
PromptSRC	98.07	76.50	85.95
↪ + Ours	98.33	77.40	86.61
DePT	98.60	76.80	86.34
↪ + Ours	98.63	77.40	86.73

(g) Food101

	Base	Novel	HM
CLIP	90.10	91.22	90.66
CoOp	88.33	82.26	85.19
Co-CoOp	90.70	91.29	90.99
KgCoOp	90.50	91.70	91.09
MaPLe	90.71	92.05	91.38
CoPrompt	90.16	91.53	90.83
PromptSRC	90.67	91.53	91.10
↪ + Ours	90.83	92.06	91.44
DePT	90.80	91.53	91.16
↪ + Ours	90.86	91.93	91.39

(h) FGVCAircraft

	Base	Novel	HM
CLIP	27.19	36.29	31.09
CoOp	40.44	22.30	28.75
Co-CoOp	33.41	23.71	27.74
KgCoOp	36.21	33.55	34.83
MaPLe	37.44	35.61	36.50
CoPrompt	40.20	39.33	39.76
PromptSRC	42.73	37.87	40.15
↪ + Ours	44.46	38.60	41.10
DePT	45.70	36.73	40.73
↪ + Ours	46.60	38.50	42.16

(i) SUN397

	Base	Novel	HM
CLIP	69.36	75.35	72.23
CoOp	80.60	65.89	72.51
Co-CoOp	79.74	76.86	78.27
KgCoOp	80.29	76.53	78.36
MaPLe	80.82	78.70	79.75
CoPrompt	82.33	79.50	80.89
PromptSRC	82.67	78.47	80.52
↪ + Ours	83.23	79.10	81.11
DePT	83.27	78.97	81.06
↪ + Ours	83.40	79.10	81.19

(j) DTD

	Base	Novel	HM
CLIP	53.24	59.90	56.37
CoOp	79.44	41.18	54.24
Co-CoOp	77.01	56.00	64.85
KgCoOp	77.55	54.99	64.35
MaPLe	80.36	59.18	68.16
CoPrompt	82.93	62.80	71.47
PromptSRC	83.37	62.97	71.75
↪ + Ours	84.00	64.63	73.05
DePT	84.03	60.16	70.11
↪ + Ours	85.06	64.33	73.25

(k) EuroSAT

	Base	Novel	HM
CLIP	56.48	64.05	60.03
CoOp	92.19	54.74	68.69
Co-CoOp	87.49	60.04	71.21
KgCoOp	85.64	64.34	73.48
MaPLe	94.07	73.23	82.35
CoPrompt	93.20	73.96	82.40
PromptSRC	92.90	73.90	82.32
↪ + Ours	94.46	81.20	87.32
DePT	93.33	75.43	83.41
↪ + Ours	95.23	81.26	87.69

(l) UCF101

	Base	Novel	HM
CLIP	70.53	77.50	73.85
CoOp	84.69	56.05	67.46
Co-CoOp	82.33	73.45	77.64
KgCoOp	82.89	76.67	79.65
MaPLe	83.00	78.66	80.77
CoPrompt	86.26	78.63	83.07
PromptSRC	87.10	78.80	82.74
↪ + Ours	87.93	80.06	83.81
DePT	87.93	77.33	82.29
↪ + Ours	88.33	79.36	83.60

4 EXPERIMENTS

The evaluation is done on several different tasks including base-to-novel generalization, cross-dataset evaluation and domain generalisation, with CLIP-B/16 as the frozen backbone. The protocol followed is similar to previous works like CoCoop (Zhou et al., 2022), PromptSRC (Khattak et al., 2023), CoPrompt (Roy & Etemad, 2023). For base to novel generalisation, cross dataset evaluation, we use 11 datasets namely ImageNet (Deng et al., 2009), Caltech101 (Fei-Fei et al., 2004), Oxford-Pets (Parkhi et al., 2012), StanfordCars (Krause et al., 2013), Flowers102 (Nilsback & Zisserman, 2008), Food101 (Bossard et al., 2014), FGVCAircraft (Maji et al., 2013), SUN397 (Xiao et al., 2010), UCF101 (Soomro et al., 2012), DTD (Cimpoi et al., 2014), EuroSAT (Helber et al., 2019). For domain generalisation, we evaluate on the ImageNet (Deng et al., 2009), ImageNet-A (Hendrycks et al., 2021b), ImageNet-R (Hendrycks et al., 2021a), ImageNet-Sketch (Wang et al., 2019), ImageNetV2 (Recht et al., 2019) datasets. For training we use CLIP with ViT-B/16, we set the learning rate to 0.0025 and train for a maximum of 20 epochs on base-to-novel generalisation, while maximum of 5 epochs on cross-dataset generalisation and domain generalisation. We set $\lambda_p, \lambda_t, \lambda_l$ to $1.0, 0.1, 1.0$ respectively as default but modify it for individual dataset when required. The global loss scaling factors mostly follow PromptSRC. Further details are provided in supplementary. All the ablations are conducted with our model based on PromptSRC.

4.1 BASE TO NOVEL GENERALIZATION

We evaluate our approach when combined with PromptSRC and DePT on the main task of base-to-novel generalization. The comparison with previous SOTA methods, including PromptSRC (Khattak et al., 2023), CoPrompt (Roy & Etemad, 2023), and DePT (Zhang et al., 2023), is shown in Table 1

Table 2: Performance on cross-dataset evaluation. Best accuracies are underlined; bold indicates improvements over the method with our components. * denotes our reproduction with official code

	Source	Target										
	ImNet	Caltech	Pets	Cars	Flowers	Food	Aircraft	SUN397	DTD	EuroSAT	UCF	*Ave.*
CoOp	71.51	93.70	89.14	64.51	68.71	85.30	18.47	64.15	41.92	46.39	66.55	63.88
Co-CoOp	71.02	94.43	90.14	65.32	71.88	86.06	22.94	67.36	45.73	45.37	68.21	65.74
MaPLe	70.72	93.53	90.49	65.57	72.23	86.20	24.74	67.01	46.49	48.06	68.69	66.30
Bayesian Prompt	70.93	93.67	90.63	65.00	70.90	86.30	24.93	67.47	46.10	45.87	68.67	65.95
CoPrompt*	70.43	93.60	90.00	64.80	70.77	85.80	23.53	67.30	43.77	44.50	67.83	65.19
PromptSRC	71.27	93.60	90.25	65.70	70.25	86.15	23.90	67.10	46.87	45.50	68.75	65.81
↪ + Ours	**72.00**	**94.03**	**90.40**	**66.03**	**71.70**	**86.66**	**24.46**	**67.50**	**47.33**	**46.83**	**69.60**	**66.45**
DePT	71.60	93.80	90.13	66.00	70.93	86.27	24.30	67.23	46.60	45.83	69.10	66.02
↪ + Ours	**72.03**	**94.03**	**90.50**	**66.00**	**71.80**	**86.63**	**24.76**	**67.60**	**47.46**	**46.73**	**69.83**	**66.53**

Figure 2: Performance Comparison for Base, Novel, and HM metrics across different methods.

and Figure 2. Our method consistently performs better across various datasets on novel, base, and harmonic mean (HM) when combined with both PromptSRC and DePT. On average, when our method is combined with PromptSRC, it outperforms all SOTA methods, including CoPrompt and PromptSRC, in base, novel, and HM. While we trail slightly on base classes when combined with DePT, which is mainly designed to improve base class performance, our approach impressively achieves better results across all settings when paired with DePT. Notably, when paired with PromptSRC, our method improves upon PromptSRC by 0.89%, 1.31%, and 1.08% on base, novel, and HM, respectively. Compared to CoPrompt, we see improvements of 1.34%, 0.85%, and 1.08% on base, novel, and HM. When compared with DePT, there is only a marginal decrease of 0.08% on base classes, but an improvement of 1.68% and 0.89% on novel and HM, respectively. Additionally, with DePT, our method surpasses all others on base and HM, while trailing our combination with PromptSRC by only 0.12% on novel. Compared to DePT alone, we show a notable improvement of 0.5%, 1.56%, and 1.09% on base, novel, and HM, respectively.

4.2 CROSS-DATASET EVALUATION

The evaluation on cross datasets follows PromptSRC (Khattak et al., 2023). We train on ImageNet in a few-shot manner and evaluate on diverse datasets. In Table 2, the model is fine-tuned on the source dataset (ImageNet) and evaluated on 10 target datasets in a zero-shot setting. Our method combined with PromptSRC shows strong performance across most datasets, achieving the highest average accuracy of 66.45%, outperforming DePT by 0.43% and PromptSRC by 0.64%.

When combined with DePT, our method further improves the average accuracy to 66.53%, surpassing DePT alone by 0.51% and maintaining the highest performance across several datasets, including Aircraft (24.76%), SUN397 (67.60%), and DTD (47.46%). Notably, the combination with DePT also matches or exceeds the performance of PromptSRC in challenging datasets such as DTD and UCF101, highlighting its strong generalization capability. Compared to MaPLe, which achieves an average of 66.30%, our method consistently performs better, especially on datasets like Cars (66.03%) and DTD (47.46%). Overall, our method, when combined with both PromptSRC and DePT, demonstrates robust improvements, reflecting its superior generalization across diverse domains.

4.3 DOMAIN GENERALIZATION

The results for domain generalization are shown in Table 3. The ImageNet dataset is used as the source for fine-tuning, and the model is evaluated on four ImageNet variants. In this evaluation, the proposed method combined with PromptSRC demonstrates strong performance compared to other leading approaches. It achieves an average performance that is 0.31% higher than the base PromptSRC, with a notable improvement of 0.75% on ImageNetV2 and a small gap of 0.10% on ImageNetR. Similarly, when combined with DePT, the

Table 3: Domain generalization performance (best in bold).

	Source	Target				
	ImNet	ImNetV2	ImNetS	ImNetA	ImNetR	Ave.
CLIP	66.73	60.83	46.15	47.77	73.96	57.17
UPT	**72.63**	64.35	48.66	50.66	76.24	59.98
CoOp	71.51	64.20	47.99	49.71	75.21	59.28
Co-CoOp	71.02	64.07	48.75	50.63	76.18	59.90
ProGrad	72.24	64.73	47.61	49.39	74.58	59.07
KgCoOp	71.20	64.10	48.97	50.69	76.70	60.11
MaPLe	70.72	64.07	49.15	50.90	76.98	60.26
Bayesian Prompt	70.93	64.23	49.20	**51.33**	77.00	60.44
PromptSRC	71.27	64.35	49.55	50.90	**77.80**	60.65
CoPrompt	70.80	64.25	49.43	50.50	77.51	60.42
Ours + PromptSRC	72.00	65.10	50.00	51.03	77.70	60.96
Ours + DePT	72.03	**65.16**	**50.03**	51.16	77.70	**61.01**

method shows further gains, achieving an average performance that surpasses the base DePT by 0.53%, with improvements of 0.78% on ImageNetV2 and 0.14% on ImageNetR. Compared to previous SOTA, our method outperforms by 0.36%, demonstrating better generalization across varying ImageNet distributions.

Table 4: Different Components of our framework

P. Loss	T. Text	V. Feat	Base	Novel	HM
✗	✗	✗	84.55	75.43	79.89
✓	✗	✗	84.53	76.03	80.17
✗	✓	✗	84.60	75.79	80.09
✗	✗	✓	84.70	76.07	80.10
✓	✓	✓	85.07	77.41	81.05

Table 5: Different Losses

λ_p	λ_t	λ_l	Base	Novel	HM
✗	✗	✗	83.40	76.90	79.98
✓	✗	✗	84.51	76.27	80.25
✗	✓	✗	84.67	76.04	80.20
✗	✗	✓	84.67	76.24	80.20
✓	✓	✓	85.07	77.41	81.05

Table 6: Patch Loss Comparison

Intra	Inter	Base	Novel	HM
✗	✗	84.49	76.02	80.06
✓	✗	84.53	75.71	80.03
✗	✓	84.31	75.89	80.01
✓	✓	85.07	77.41	81.05

4.4 ABLATIONS

Effect of different components introduced: We studied the effects of different components we introduced, mainly patch loss, view-tailored text, and enhanced vision features—on performance, as shown in Table 4. Each component improves performance, particularly on novel tasks. However, combining enhanced vision features, patch loss, and view-tailored text improves base, novel, and HM performance. We also evaluated the effect of different conditioning inputs to generate view-tailored text prompts, as shown in Table 7, and found that initializing prompts using patch clusters works best. CoCoop (Zhou et al., 2022), which uses only the class token, performs poorly compared to both our method and attention-based conditioning. Cross-attention from text prompts to patches performs slightly worse than our method but still better than CoCoop, highlighting the importance of patch-level conditioning.

We also studied different clustering techniques in Table 8. KMeans performed comparably to our Voronoi-based clustering on base classes but dropped significantly on novel classes, while EM was inferior to both. Voronoi clustering generates more generalizable clusters, improving performance across base and novel classes. In Table 9, we examined the effects of convolution projection and the use of adapters. We found that a text adapter is required when using convolution projection on patches, while using adapters on either text or vision alone improves performance. Our default setup, which includes both text adapters and convolution projection, performs best.

We analyzed the effects of adding small crops (scale 0.05 to 0.4) and varying the number of large crops (scale 0.05 to 1) in Table 10. Using two large crops without small crops provided the best performance, while adding small crops or more large crops led to a slight decline. In Table 12, simple augmentations for both anchor and augment views performed best on novel classes, while complex augmentations slightly decreased overall performance. The combination of simple anchor and complex augmentations remained competitive but slightly underperformed on both base and novel classes.

Effect of different losses: We study the effects of different losses introduced in our framework, as shown in Table 5. We find that each of the losses improves performance compared to cases where no

losses are applied. Our default case, where all losses are applied, performs the best. This demonstrates that regularization is necessary at both the feature level and the logit level to maintain the performance of our framework. Additionally, we evaluate the individual effects of intra- and inter-view patch losses in Table 6, while keeping the rest of the losses constant during the experiments. Applying either intra- or inter-view patch loss alone results in a drop in performance, particularly on novel classes. This indicates that utilizing both intra- and inter-view losses boosts base accuracy while enhancing generalization to novel classes.

Table 7: Comparison of Input Conditioning Techniques

Method	Base	Novel	HM
CoCoop	84.30	75.00	79.45
Attention	84.32	76.44	80.24
Ours	85.07	77.41	81.05

Table 8: Comparison of Clustering Techniques

Method	Base	Novel	HM
KMeans	84.36	74.97	79.51
EM	84.28	74.37	79.22
Voronoi	85.07	77.41	81.05

Table 9: Comparison of Projection & Adapter

Text	Vision	Base	Novel	HM
✗	✗	83.24	75.31	79.08
✓	✗	84.40	75.52	80.02
✗	Adapter	84.25	75.69	80.00
✗	ConvProj	84.36	76.07	80.09
✓	Adapter	84.21	75.18	79.61
✓	ConvProj	85.07	77.41	81.05

Table 10: Comparison of Crops

L	S	Base	Novel	HM
1	0	84.27	75.58	79.68
2	1	84.36	74.80	79.29
2	10	84.51	74.10	78.96
3	0	84.50	75.97	80.00
2	0	85.07	77.41	81.05

Table 11: Addition to Competing Methods

Method	Base	Novel	HM
Cocoop	80.47	71.69	75.83
Cocoop + Our	81.47	72.43	76.68
CoPrompt	83.66	76.34	79.84
CoPrompt + Our	84.26	77.40	80.68

Table 12: Comparison of Augmentation

Anchor	Augment	Base	Novel	HM
Simple	Simple	85.07	77.41	81.06
Simple	Complex	84.46	76.31	80.18
Complex	Simple	84.38	76.14	80.05
Complex	Complex	83.71	74.87	79.04

Applicability to different methods: We apply our framework to both CoCoop (Zhou et al., 2022) and CoPrompt (Roy & Etemad, 2023), as shown in Table 11. Our method improves CoCoop by 1.00% on base, 0.74% on novel, and 0.85% on HM. Similarly, it improves CoPrompt by 0.60% on base, 1.06% on novel, and 0.84% on HM. These improvements highlight the effectiveness of incorporating patch-level information for enhancing performance.

4.5 RUNTIME & MEMORY CONSUMPTION

Table 13 compares the learnable parameters, GPU memory consumption, and training time for various methods trained on Food101 for 10 epochs. While our method introduces a slight increase in learnable parameters—4.89M for PromptSRC and 5.12M for DePT, this increase remains minimal when compared to the default CLIP model. The use of view/image-tailored prompts, similar to CoCoop, and having multiple views results in a modest rise in GPU memory usage (2.42G) and a training time of 13 min 47 sec. However, unlike CoCoop and other baseline methods,

Table 13: Comparison of Total and Learnable Parameters for Different Methods

Model	Total P.	Learnable P.	G.Mem	Train. Time
CLIP (ViT-B/16)	149.62M	-	1.35G	-
CoCoop	153.15M	3.53M	1.24G	13:07 Min
CoPrompt	154.62M	4.74M	1.84G	06:20 Min
PromptSRC	150.08M	0.46M	2.07G	06:06 Min
↪ + Ours	154.51M	4.89M	2.42G	13:47 Min
DePT	150.36M	0.74M	2.07G	06:03 Min
↪ + Ours	154.74M	5.12M	2.42G	13:47 Min

our approach achieves significantly superior performance across the board. The trade-off in computational resources is well-justified by the substantial performance improvements, demonstrating that our method balances resource use with impressive gains in performance, setting a new benchmark.

5 CONCLUSION

We propose a novel prompting framework for vision-language models that incorporates patch-level information across multiple components, improving both task-specific performance and generalization to novel scenarios. By utilizing patch-level loss for intra- and inter-view consistency, generating regularized view-specific text prompts, and maintaining inter-view consistency of logits, our method addresses the overfitting challenges typical of traditional prompt-tuning approaches. Extensive experiments on tasks such as base-to-novel generalization, domain adaptation, and cross-dataset evaluation demonstrate superior performance, surpassing prior methods. Additionally, ablation studies confirm the effectiveness of each component and explore alternative designs. We believe this approach will significantly advance the tuning of foundation models across diverse applications.

ETHICS STATEMENT

Our research adheres to the ICLR Code of Ethics, prioritizing fairness, privacy, and transparency. All data used is anonymized, and we carefully considered potential societal impacts, aiming to avoid harm or bias. The research fosters reproducibility, and we are committed to addressing ethical concerns responsibly, ensuring a positive contribution to the broader community.

REPRODUCIBILITY STATEMENT

Our research prioritizes reproducibility by ensuring that all experiments and results can be independently verified. Upon acceptance of this paper, we will provide the full source code, including scripts for data preprocessing, model training, and evaluation. All datasets, and relevant configurations are described in detail within the paper to allow for precise reproduction of our results. We welcome feedback to ensure transparency and reproducibility in our findings.

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A   ADDITIONAL IMPLEMENTATION DETAILS

For our main results we have combined our method with DePT (Zhang et al., 2023), Prompt-SRC (Khattak et al., 2023). The DePT model we used is the one based on PromptSRC. We use a default learning rate of 0.0025 and CLIP-B/16 as the backone. We set the scaling factors for $\mathcal{L}_{\text{SCL-text}}, \mathcal{L}_{\text{SCL-image}}, \mathcal{L}_{\text{SCL-logits}}$ similar to PromptSRC for both our combination with PromptSRC, DePT. The combination is very straightforward for PromptSRC but for DePT when applying the base classification head, we consider features before convolution projection and text adapter. We use mostly a single RTX-3090 GPU for our experiments except while performing ImageNet training for base-to-novel generalisation, domain generalisation, and cross-dataset evaluation where we use 4 V100 GPUs. The Gaussian aggregation mean and variance for prompts is also similar to PromptSRC. The training is done for 20 epochs for base-to-novel generalisation with variation for some datasets.

B   ABLATION FOR LOSS SCALING FACTORS

Table 14 shows the effect that loss scaling factors λ_p, λ_t, λ_l have on our framework. Our method works best when the loss scaling factors are set depending on dataset as highlighted in Table 14. Each dataset has different sensitivity to different losses which results in having to set these factors for each individual dataset.

Table 14: Different Losses

λ_p	λ_t	λ_l	Base	Novel	HM
0.1	0	0	84.56	75.59	79.82
0	0.1	0	84.64	75.55	79.84
0	0	0.1	84.70	76.07	80.15
0.1	0.1	0.1	84.58	75.51	79.79
1	0	0	84.45	75.36	79.65
0	1	0	84.65	75.88	80.00
0	0	1	84.75	76.29	80.38
1	1	1	84.48	76.16	80.12
2	0	0	84.39	76.34	80.17
0	2	0	84.68	75.68	80.03
0	0	2	84.70	76.02	80.24
2	2	2	84.37	76.30	80.13
✓	✓	✓	85.07	77.41	81.05