TARGET-SIDE DATA AUGMENTATION FOR SEQUENCE GENERATION

ABSTRACT

Autoregressive sequence generation, a prevalent task in machine learning and natural language processing, generates every target token conditioned on both a source input and previously generated target tokens. Previous data augmentation methods, which have been shown to be effective for the task, mainly enhance source inputs (e.g., injecting noise into the source sequence by random swapping or masking, back translation, etc.) while overlooking the target-side augmentation. In this work, we propose a target-side augmentation method for sequence generation. In training, we use the decoder output probability distributions as soft indicators, which are multiplied with target token embeddings, to build pseudo tokens. These soft pseudo tokens are then used as target tokens to enhance the training. We conduct comprehensive experiments on various sequence generation tasks, including dialog generation, machine translation, and abstractive summarization. Without using any extra labeled data or introducing additional model parameters, our method significantly outperforms strong baselines. The code is available at `https://github.com/TARGET-SIDE-DATA-AUG/TSDASG`.

1 INTRODUCTION

Sequence generation is an important class of machine learning tasks and has made great progress in recent years (Vaswani et al., 2017; Hassan et al., 2018; Zhou et al., 2020; Stiennon et al., 2020). In general, it is to generate sequences of interest based on a given source input. For example, in text summarization, the source input is a long document while the target sequence is a summary. In machine translation, the source input is a sentence in a language while the target output is a sentence in another language. Autoregressive (AR) methods, which generate tokens one by one autoregressively to leverage previously generated tokens, are widely used in most sequence generation problems (Gatt & Krahmer, 2018; Brown et al., 2020).

Data augmentation is a crucial technology for many machine learning tasks including sequence generation. The goal of data augmentation is to increase the number of training examples without incurring additional efforts of manually labeling, which could be costly or even impossible. For example, Wang et al. (2018) add random noise into input sequences, Gao et al. (2019) use a pretrained language model to synthesize pseudo input sequences, and Edunov et al. (2018) use back-translation to synthesize pseudo-pairs for machine translation. Furthermore, we list more works about the data augmentation method in Section 2.

While these works have demonstrated promising improvements, an inherent aspect of AR sequence generation is generally overlooked. As illustrated in Figure 1, in AR generation, each token is generated based on two kinds of input information: the original source inputs and previously generated target-side tokens (aka target-side inputs). While most existing data augmentation works focus on enhancing source inputs, the augmentation of target-side inputs is under-explored. As far as we know, only there are only a few works (Mihaylova & Martins, 2019; Zhang et al., 2019) weakly related to this problem, which are to address the exposure bias issue (Bengio et al., 2015) of the teacher forcing training. Those works adjust the sequence in token-level by randomly sampling target-side words from ground truth and model output. However, the token level adjustment may not fully leverage the potential of target input.

This work focuses on target-side data augmentation for sequence generation and proposes a sequence-level target-side augmentation method. In training, we first feed the ground truth target tokens to the decoder to generate the output probability distributions (over the target vocabulary) for each target position. Then these distributions are multiplied with the target-side token embeddings to build soft pseudo tokens as enhanced target-side inputs. Inspired by the success of mixup (Zhang et al., 2017), the pseudo tokens are further mixed up with original ground truth target tokens to build synthetic target-side sequences. Next, our

Figure 1: Illustration of autoregressive generation.

model is trained with both ground truth data and synthetic data. Note that we only change the target tokens for decoder input rather than target outputs or labels. Our model can benefit from the stochastic representation of target input tokens to achieve better performance. The inference process is kept the same as the conventional method. Moreover, no extra data or model parameters are required during inference. That is, we do not introduce any additional burden on model inference.

We conduct experiments on various sequence generation tasks, including dialog generation, machine translation, and abstractive summarization. On five datasets, our method outperforms standard AR training without data augmentation, as well as existing data augmentation methods.

Our main contributions are as follows:

Problem: We shine a light on a previously neglected problem, namely, augmenting the target-side inputs for autoregressive sequence generation.

Method: We propose a new algorithm to construct synthetic target-side tokens by generating soft pseudo-token sequences.

Experiment: We test our method on three sequence generation tasks with five data sets. Our method achieves good improvements over strong baselines, which reveals the importance of target-side data augmentation and the effectiveness of our algorithm. We want to call more attention from the community to design better methods for target-side data augmentation.

2 RELATED WORK

Data augmentation has been widely used in almost all tasks of deep learning and has been widely surveyed before (Shorten & Khoshgoftaar, 2019; Wen et al., 2020; Feng et al., 2021). In this section, we only briefly discuss works related to our method.

Rule-based augmentation: Some works propose to use heuristic rules to augment the source data. For example, Wang et al. (2018) randomly replace words in sentences with another word in the vocabulary, Guo et al. (2020) softly combine sequences in the training set, and Shen et al. (2020) randomly erase part of a sequence to build restricted views. However, those methods target to enhance source input and do not pay attention to the target input.

Model-based augmentation: In this category of methods, deep learning models are used to generate the augmented data. For example, Gao et al. (2019) leverage a language model to enhance the source tokens, and Kumar et al. (2020) use BERT (Devlin et al., 2018), GPT-2 (Radford et al., 2019), and BART (Lewis et al., 2019) to generate pseudo data. For tasks with high duality, e.g., machine translation, back translation is widely used (Edunov et al., 2018; Prabhumoye et al., 2018; Hoang et al., 2018). Usually, large-scale monolingual data is used to build pseudo training data. Similar to rule-based methods, the model-based methods do not pay much effort to the target input either.

Knowledge distillation: Knowledge distillation is also a widely used method for sequence generation (Hinton et al., 2015; Kim & Rush, 2016; Gou et al., 2021). This method manipulates the

target sequence but only for building labels that are easier to learn. Consequently, a small model can mimic the behavior of a large model. On the contrary, our methods focus on target input, not target output. And we do not have any intention of model compression.

Scheduled sampling: Scheduled sampling methods are invented to solve the exposure-bias problem (Bengio et al., 2015; Mihaylova & Martins, 2019; Zhang et al., 2019). Although these methods also change the target input, they can only work in the token-level adaptation. In contrast, our method builds sequence-level synthetic data to improve training performance. This is an important difference between our method and scheduled sampling methods. Besides, when using sentence-level oracle, Zhang et al. (2019) need full decoding and training time could be $5x$ slower.

Non-autoregressive generation Non-autoregressive (NAR) generation has been proposed as an alternative sequence generation paradigm, where all target tokens are generated in parallel. Although model performance has been greatly improved by many recent works (Gu et al., 2017; Qian et al., 2020; Ren et al., 2020), the AR generation is still the most common approach. Therefore, we choose to study the AR generation in this work and leave the application on NAR for future work.

Iterative refinement: Iterative refinement is a common way to improve performance. For example, Xia et al. (2017) proposed deliberation networks, and Lee et al. (2018) proposed to use iterative refinement in the NAR generation (Gu et al., 2017). However, these methods need multiple passes during inference time. By contrast, our method only augments the data during training and has no extra inference cost. This makes our methods applicable to more scenarios where latency is critical.

3 OUR METHOD

In this section, we first introduce the background and notations. Next, we present the target-side data augmentation framework and our proposed method. Finally, we will discuss the relation with iterative data augmentation methods.

Background: Let x denote the source-side input, and let $y = (y_1, y_2, \cdots, y_n)$ denote a target sequence to generate, where n is the sequence length, and each y_i belongs to a predefined vocabulary $\mathcal{V}$ made up of all words or sub-words. Let $D = \{(x^j, y^j)\}_{j=1}^M$ denote the training set with size M. In the AR generation, our task is to model the distribution $P(y|x)$. Specifically,

$$P(y|x) = \prod_{i=1}^{n} P(y_i|x, y_{<i}) \tag{1}$$

where $y_{<i}$ denotes the sub-sequence before y_i, and y_0 is represented by a special begin-of-sentence (BOS) token. We can see that the probability of generating y_i conditions on both x and $y_{<i}$.

Conventional source-side data augmentation methods can be summarized as using a function f_{aug} to generate enhanced data set. Specifically, for any $(x^j, y^j) \in D$, we generate the source-side sequence by $\tilde{x}^j = f_{\text{aug}}(x^j, y^j)$, and append $(\tilde{x}^j, y^j)$ to the enhanced dataset $\tilde{D}$. The f_{aug} can also solely base on x or y. This augmentation method can be rule based (e.g., a noise function) or model based (e.g., a pre-trained language model). It may also contains some external knowledge or heuristic (Wang et al., 2018; Kobayashi, 2018; Kumar et al., 2020).

Based on the original dataset D and the augmented dataset $\tilde{D}$, the training objective function is defined as follows:

$$\begin{aligned}
\mathcal{L} &= \alpha\mathcal{L}_D + (1-\alpha)\mathcal{L}_{\tilde{D}} \\
&= -\alpha\frac{1}{M}\sum_{i=1}^{M}\log P(y^i|x^i) - (1-\alpha)\frac{1}{\tilde{M}}\sum_{i=1}^{\tilde{M}}\log P(y^i|\tilde{x}^i) \\
&= -\alpha\frac{1}{M}\sum_{i=1}^{M}\sum_{j=1}^{n_i}\log P(y_j^i|x^i, y_{<j}^i) - (1-\alpha)\frac{1}{\tilde{M}}\sum_{i=1}^{\tilde{M}}\sum_{j=1}^{n_i}\log P(y_j^i|\tilde{x}^i, y_{<j}^i),
\end{aligned} \tag{2}$$

The parameter α in Equation 2 balances the loss weight on real data $\mathcal{D}$ and synthetic data $\tilde{\mathcal{D}}$, and the n_i denotes the length of y_i.

Enhancing the target-side conditional input: Different from f_{aug} which focuses on enhancing the source-side input, we propose a new method that augments the previously generated target tokens, i.e., the $y_{<i}$ in equation 1. We create an augmented training data set $\breve{D} = \{(x^k, y^k, \breve{y}^k)\}_{k=1}^M$, where the $\breve{y}^k$ is the augmented sequence serving as the conditional input of the target sequence. The workflow is shown in Figure 2(a).

The loss function on $\breve{D}$ is defined as follows:

$$\mathcal{L}_{\breve{D}} = \frac{1}{M} \sum_{i=1}^M \sum_{j=1}^{n_i} \log P(y_j^i | x^i, \breve{y}_{<j}^i). \tag{3}$$

To stabilize the training, we also add the consistency loss $\mathcal{L}_c$, which is defined as

$$\mathcal{L}_C = \frac{1}{M} \sum_{i=1}^M \mathcal{D}(P(\cdot | x^i, y_{<j}^i), P(\cdot | x^i, \breve{y}_{<j}^i)). \tag{4}$$

The $\mathcal{D}$ in Equation 4 can be any function to measure the divergence of two distributions, e.g., Kullback–Leibler divergence or Jensen–Shannon divergence. We found that using $\mathcal{L}_c$ can stabilize the training.

Our final loss function is defined as:

$$\mathcal{L} = (1 - \alpha)\mathcal{L}_D + \alpha \mathcal{L}_{\breve{D}} + \beta \mathcal{L}_C \tag{5}$$

Empirically, we found $\beta = 1$ is a good default value and used in all experiments.

Figure 2: Illustration of our algorithm. (a) Target side data augmentation. The decoder consumes both ground truth and synthetic data as target input. (b) Augmented target data generation with soft labels. The $\otimes$ denotes mixup operation.

Augmented data generation: We use model-based method to generate the augmented sequence $\breve{y}$. However, we do not need external pre-trained models like (Gao et al., 2019). Specifically,

(1) We obtain the logits $\hat{l}_j^i$ for any $j \in \{1, 2, \cdots, n_i\}$, which are the values before softmax, of all target tokens. Intuitively, $\hat{l}_j^i = \texttt{dec}(\texttt{enc}(x^i), y_{<j}^i)$, where enc and dec denote the encoder and decoder of the sequence generation model and $\hat{l}_j^i$ is a $|\mathcal{V}|$-dimensional vector. Note that we feed the groundtruth $y_{<j}$ into the decoder to obtain $\hat{l}_j^i$.

(2) We obtain the $\breve{y}_j^i$ as follows:

$$\breve{y}_j^i = \left(\gamma \frac{\exp(\hat{l}_j^i / T)}{\sum_{v=1}^{|\mathcal{V}|} \exp(\hat{l}_j^i[v]/T)} + (1 - \gamma) y_j^i \right) W_{Emb}, \tag{6}$$

where (i) W_{Emb} is the target word embedding with size $|\mathcal{V}| \times d$; (ii) T is the softmax temperature; (iii) the square brackets $[\cdot]$ denotes the subscription of vector; (iv) γ is the mixup ratio and we use the same value as α in Equation 5 for all experiments. The $\breve{y}_j^i$ is a mixture of two parts: (i) the

embedding of the groundtruth y^i_j; (ii) a soft representation, which is the product between the target embedding and a distribution output by a sequence generation model. Empirically, we found T is an important hyper-parameter and more details can be found in Section 4.4. In addition, as the modern sequence generation follows the encoder-decoder architecture , we can further reduce the augmentation cost by reusing the encoder output because it is not changed during decoding.

Multi-round enhancement: Similar to iterative back-translation (Hoang et al., 2018), our model can be easily extended to multiple rounds. That is, we can use the Equation 6 multiple times, where the logits $\hat{l}^i_j$ need to be replaced by the previous output. The experimental results suggest that too many iterations only give marginal extra gain. Therefore, our experiments mainly focus on single round augmentation.

4 EXPERIMENT

We conduct experiments on various sequence generation tasks. The experiment details and results are in the following sections. Our implementation is based on the FairSeq framework (Ott et al., 2019). We use Transformer (Vaswani et al., 2017) network architecture in all experiments with different model sizes, which are adjusted according to the data size. During training, we use Adam optimizer (Kingma & Ba, 2014) with Adam $\beta = (0.9, 0.98)$ and `invert_sqrt` learning rate scheduler. Meanwhile, we used and label smoothing of value 0.1, which is the same value as Vaswani et al. (2017).

4.1 DIALOG GENERATION

We conduct experiments on two commonly used dialog generation data sets: the DailyDialog (Li et al., 2017) for single-turn dialog generation and Persona-Chat (Zhang et al., 2018) for multi-turn dialog generation. We follow the script of Luo et al. (2018) to pre-process the DailyDialog data, where the dialog is represented as request-response pairs. Then the first 80% pairs are used for training, the next 10% for validation, and the last 10% for test. We follow the script of Miller et al. (2017) to pre-process the Persona-Chat data, where the persona is inserted before the dialog.[1] We only use the *self_original* direction of Personal-Chat data in our experiments.

We use `transformer_small` configuration for DailyDialog dataset and `transformer_base` for Persona-Chat dataset, where both the encoder and the decoder consist of six layers. The (`Embed_Dim`, `FFN_Embed_Dim`) of those configurations are $(512, 1024)$ and $(512, 2048)$, respectively. Our results are generated by beam search with beam size 5. We evaluate the BLEU (B1-B4), Rouge-L (R-L), METEOR (Met.), and CIDEr metric (Papineni et al., 2002; Lin, 2004; Banerjee & Lavie, 2005; Vedantam et al., 2015). We use `nlg-eval` (Sharma et al., 2017) to compute the metrics except for BLEU scores, which are computed by the script from Luo et al. (2018). The experimental results of DailyDialog and Persona-Chat are shown in Table 1 and Table 2, respectively.

Table 1: Experimental results on DailyDialog data set.

Method	B1	B2	B3	B4	R-L	Met.	CIDEr
Seq2Seq (Luo et al., 2018)	12.43	4.57	2.69	1.84	-	-	-
AEM (Luo et al., 2018)	13.55	4.89	3.04	2.16	-	-	-
Transformer (Our reproduce)	14.70	6.13	4.15	3.13	17.45	5.36	18.58
SeqMix (Guo et al., 2020)	12.09	4.16	2.50	1.81	14.96	5.46	6.68
SSMBA (Ng et al., 2020)	12.96	4.68	3.03	2.34	15.69	4.43	10.23
OR-NMT (Zhang et al., 2019)	16.18	7.94	6.13	5.21	18.86	6.78	38.94
Ours	**17.53**	**9.32**	**7.54**	**6.70**	**20.32**	**8.64**	**53.57**

In Table 1 and Table 2, our method is first compared with conventional methods. In addition, we use recent data augmentation methods (e.g., SeqMix and SSMBA) as baselines. We also reproduce the results on Transformer and OR-NMT with sentence oracle.

---

[1]The data process scripts and evaluation scripts are available in Appendix.

From Table 1 we can see that our method is significantly better than existing works on all metrics, especially previous works of data augmentation. On all metrics mentioned above, we achieved propitious improvement over the Transformer baseline, especially for BLEU-4 (+3.57), R-L (+2.87), Met. (+3.28), and CIDEr (+34.99). The scores are also better than previous data augmentation methods. We can witness the similar improvement in Table 2, especially on the R-L (+0.93) and the CIDEr (+0.73) metric.

Table 2: Experimental results on Persona-Chat dataset.

Method	B1	B2	B3	B4	R-L	Met.	CIDEr
Transformer (Tay et al., 2020)	-	-	-	3.20	13.38	5.89	18.94
Transformer (Our reproduce)	18.33	8.28	4.99	3.44	19.27	8.13	20.42
SeqMix (Guo et al., 2020)	18.11	8.30	3.43	2.83	18.98	8.32	20.26
SSMBA (Ng et al., 2020)	18.69	7.07	3.90	2.72	19.28	8.30	11.66
OR-NMT (Zhang et al., 2019)	18.69	8.34	4.97	3.42	19.80	8.43	20.14
Ours	**19.03**	**8.68**	**5.21**	**3.55**	**20.20**	**8.43**	**21.15**

4.2 MACHINE TRANSLATION

On machine translation task, we conduct experiments on two widely used data sets: the IWSLT'14 English (EN) $\leftrightarrow$ German (DE) data set (Cettolo et al., 2014), which is a small scale data set with $140k$ sentence pairs, and the WMT'14 EN $\rightarrow$ DE dataset (Bojar et al., 2014), which is a larger one with $4.5M$ sentence pairs. We use the scripts from Ott et al. (2019) to process data. For IWSLT'14 EN$\leftrightarrow$DE, all words are lowercased and tokenized, and for WMT'14, all sentences are kept as original case then tokenized. Then the tokenized sentences are processed by BPE (Sennrich et al., 2016b) with $10k$ and $40k$ steps, respectively. We use `transformer_small` for IWSLT'14 and `transformer_base` for WMT'14, where the details are described in Section 4.1.

Table 3: Experimental results on IWSLT English $\leftrightarrow$ German translation task.

Method	EN$\rightarrow$DE	DE$\rightarrow$EN
Transformer (Vaswani et al., 2017)	28.57	34.40
DynamicConv (Wu et al., 2019)	28.70	35.00
MAT (Fan et al., 2020)	29.90	35.59
Adversarial training (Wang et al., 2019)	28.43	35.18
WordDrop (Sennrich et al., 2016a)	29.20	35.60
SwitchOut (Wang et al., 2018)	29.00	35.90
SCA (Gao et al., 2019)	-	35.78
SSMBA (Ng et al., 2020)	-	36.10
Mixed Representations (Wu et al., 2020)	29.93	36.41
SeqMix (Guo et al., 2020)	29.50	36.20
Ours (Single round augmentation)	30.14	36.47
Ours (Double rounds augmentation)	**30.22**	**36.76**

Our results are generated by beam search using beam size 5. For a fair comparison with previous works, we compute the BLEU score by the Moses script (Koehn et al., 2007). The experimental results are summarized in Table 3 and Table 4, respectively. Our baselines include standard AR training without data augmentation, other Trasnformer variants, and previous works about data augmentation. Compared with Transformer without data augmentation, our method achieves 1.65-point BLEU gain on IWSLT'14 EN$\rightarrow$DE direction and 2.36-point on DE$\rightarrow$EN direction, and 1.8-point improvement on WMT'14 EN$\rightarrow$DE translation. Besides, our results are better than other methods with complex architecture modification (the first group in tables) and source-side data augmentation (the second group in tables). These numbers clearly show the importance of target-side data augmentation and the potency of our algorithm.

Moreover, we added an extra round of data augmentation on the IWSLT'14 data set, and the results are in the last row of Table 3. We can see that the BLEU scores can be further boosted while the improvement is smaller than the first round's. This phenomenon is consistent with other data augmentation methods, e.g., iterative back-translation (Hoang et al., 2018).

Table 4: Experimental results on WMT'14 English→German translation task.

Method	BLEU
Transformer (Vaswani et al., 2017)	27.3
Admin (Liu et al., 2020)	27.9
Evolved Transformer (So et al., 2019)	28.4
Weighted Transformer (Ahmed et al., 2017)	28.4
Adversarial Training (Wang et al., 2019)	28.4
OR-NMT (Zhang et al., 2019)	28.5
Transformer+Cutoff (Shen et al., 2020)	28.9
Ours	**29.1**

4.3 ABSTRACTIVE SUMMARIZATION

We conduct experiments on the CNN/DM (Hermann et al., 2015) news summary dataset. To measure the effectiveness of our method with pre-trained models, we select the BART (Lewis et al., 2019) to initialize our model and fine-tune on the CNN/DM dataset. Our experiments are based on `BART.Large` configuration. We use the scripts from Lewis et al. (2019) to process the CNN/DM data and the `files2rouge` tool to evaluate the Rouge-1 (R-1), Rouge-2 (R-2), and Rouge-L (R-L) score. Our results are in shown Table 5.

Table 5: Experimental results on CNN/DM dataset.

Method	R-1	R-2	R-L
BART (Lewis et al., 2019)	44.16	21.28	40.90
PEGASUS (Zhang et al., 2020)	44.17	21.47	41.11
ERNIE-GEN (Xiao et al., 2020)	44.02	21.17	41.26
ProphetNet (Qi et al., 2020)	44.20	21.17	41.30
BART + R3F (Aghajanyan et al., 2020)	44.38	**21.53**	41.17
BART + OR-NMT (Zhang et al., 2019)	44.01	21.26	40.81
Ours	**44.76**	21.46	**41.57**

In addition to BART, we also compare our method with previous works on this task and the scheduled sampling method OR-NMT with sentence-level Oracle. From the numbers in Table 5, our method significantly improves the Rouge score of the BART model with 0.6-point R-1 and 0.7-point R-L and is better than other architecture changes or fine-tuning techniques. Moreover, our results are better than the scheduled sampling methods OR-NMT on sentence-oracle, demonstrating our augmentation method's effectiveness.

4.4 ABLATION STUDY

Study of the parameter α: To study the effect of parameter α, we conduct experiments on the IWSLT'14 En → De data set. The Table 6 provides the results of this ablation study. In addition to a fixed value, we also explore two value schedule functions that change the α by epoch: (S1) $\alpha = \min(1, 1.5/\sqrt{\log(\text{EPOCH}) + 1})$; (S2) $\alpha = (\text{EPOCH} - 55)^2/3000 + 0.027(\text{EPOCH} < 55)$. We have more explanations about the design intuition of these two formulas in the Appendix.

First, we can observe that using a moderate value gives the best results. If the value is too high, e.g., 0.9, the results are negatively affected. Meanwhile, the best α for IWSLT'14 is around 0.3 and for Persona-Chat it is around 0.4. For the scheduled approach, different from previous works, we did

not notice significant improvement. These results may suggest that a fixed value is already good enough for our algorithm. Therefore, we decided to use a fixed value in all other experiments.

Table 6: Ablation study of α on IWSLT'14 dataset.

α	0.1	**0.3**	0.4	0.5	0.7	0.9	S1	S2
BLEU	36.43	**36.47**	36.43	36.40	36.22	36.19	36.00	36.38

Table 7: Ablation study of α on Persona-Chat dataset.

α	BLEU-1	BLEU-2	BLEU-3	BLEU-4
0.3	18.78	8.57	5.14	**3.55**
0.4	**19.03**	**8.68**	**5.21**	**3.55**
0.5	18.99	8.64	**5.21**	**3.55**
0.6	18.64	8.53	5.10	3.51

Table 8: Ablation study of softmax temperature on IWSLT'14 dataset.

T	0.5	1	2	**4**	6	10
BLEU	36.01	36.31	36.28	**36.47**	36.41	36.23

Table 9: Ablation study of softmax temperature on Persona-Chat.

Temperature	BLEU-1	BLEU-2	BLEU-3	BLEU-4
2.0	18.26	8.46	5.11	3.50
4.0	17.92	8.34	5.06	3.49
4.5	**19.03**	**8.68**	**5.21**	**3.55**
5.0	18.44	8.37	4.98	3.45
6.0	18.27	8.35	5.04	3.48

Study of the softmax temperature T: The softmax temperature T is an important hyperparameter of our algorithm. First, we demonstrate the results on IWSLT'14 and Persona-Chat for different temperature values in Table 8 and Table 9, respectively. As shown in the table, $T = 4$ gives the best result for IWSLT'14, and $T = 4.5$ gives the best result for Persona-Chat. Then, we find a linear correlation between the best T value and vocabulary size. In Figure 3, for all data sets we use, we show the vocabulary size on the x-axis and the best T value on the y-axis. As the line chart shows, the best T value linearly decreases with the increase in vocabulary size. We suspect the reason is that the high temperature will smooth the probability distribution. Therefore, we suggest adjusting this parameter according to the vocabulary size to achieve the best results.

Figure 3: The best temperature on different vocabulary size.

Study of the parameter β: We conduct experiments on the IWSLT'14 En $\rightarrow$ De data set to study the effect of hyperparameter β. As can be seen from the Table 10, the default value $\beta = 1$ gives the best result. When the value is too high

or too low, the BLEU score will be negatively affected. Therefore, we use the default values in all other experiments.

Table 10: Ablation study of β in loss function.

β	0.6	0.8	**1**	2	3
BLEU	36.03	36.29	**36.47**	36.32	36.30

Study of the divergence $\mathcal{D}$: Theoretically, we can use any function $\mathcal{D}$ in Equation 4 to measure the divergence between two distributions. We empirically choose Kullback–Leibler divergence ($\mathcal{D}_{KL}$) or Jensen–Shannon divergence ($\mathcal{D}_{JS}$) and conduct experiments on the IWSLT'14 EN$\rightarrow$ DE data set. We explore both single round augmentation and double rounds augmentation. It can be seen from the data in Table 4 that the $\mathcal{D}_{KL}$ gives better results than JS divergence. Therefore, we use $\mathcal{D}_{KL}$ in all other experiments.

Iterative data augmentation: As described in Section 3, our method can be easily extended to iterative augmentation. We conduct experiments to evaluate the improvement on the IWSLT'14 DE$\rightarrow$EN data set, and the results are in Table 11. The zero iteration means no augmentation data is used. The numbers clearly show that the first iteration gives the most improvement, and other rounds have fewer yet positive effects on performance.

Figure 4: Ablation on the choice of $\mathcal{D}$.

$\mathcal{D}$	Single Round	Double Rounds
$\mathcal{D}_{KL}$	36.47	36.76
$\mathcal{D}_{JS}$	35.95	36.50

Table 11: Experimental results on iterative data augmentation.

# Iterations	0	1	2	3
BLEU	34.40	36.47	36.76	36.77

5 CONCLUSION AND FUTURE WORK

This paper studies a fundamental yet widely overlooked problem: augmenting the target input information for autoregressive sequence generation tasks. While data augmentation methods have been approved to be critical for machine learning, the study of enhancing the target-side conditional input is still limited. Meanwhile, we propose a sequence-level data augmentation method by using soft labels from the decoder output. Then the mixup method is used to generate synthetic data for model training. We conducted comprehensive experiments on three sequence generation tasks of five data sets. The experimental results suggest that augmenting target input benefits the sequence generation quality. Moreover, our method can significantly boost the model performance for these tasks. We hope this can bring more attention to this type of augmentation method.

There are many potential directions for future research. First, the investigation into other methods to augment this information will be fascinating. Second, applying such an idea to large-scale pre-training could also be substantial. Last, how to combine this method with unlabeled data is also a significant challenge. Therefore, we expect more research to be done in this area in the future.

REFERENCES

Armen Aghajanyan, Akshat Shrivastava, Anchit Gupta, Naman Goyal, Luke Zettlemoyer, and Sonal Gupta. Better fine-tuning by reducing representational collapse. *arXiv preprint arXiv:2008.03156*, 2020.

Karim Ahmed, Nitish Shirish Keskar, and Richard Socher. Weighted transformer network for machine translation, 2017.

Satanjeev Banerjee and Alon Lavie. Meteor: An automatic metric for mt evaluation with improved correlation with human judgments. In *Proceedings of the acl workshop on intrinsic and extrinsic evaluation measures for machine translation and/or summarization*, pp. 65–72, 2005.

Samy Bengio, Oriol Vinyals, Navdeep Jaitly, and Noam Shazeer. Scheduled sampling for sequence prediction with recurrent neural networks. *arXiv preprint arXiv:1506.03099*, 2015.

Ondřej Bojar, Christian Buck, Christian Federmann, Barry Haddow, Philipp Koehn, Johannes Leveling, Christof Monz, Pavel Pecina, Matt Post, Herve Saint-Amand, et al. Findings of the 2014 workshop on statistical machine translation. In *Proceedings of the ninth workshop on statistical machine translation*, pp. 12–58, 2014.

Tom B. Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, Sandhini Agarwal, Ariel Herbert-Voss, Gretchen Krueger, Tom Henighan, Rewon Child, Aditya Ramesh, Daniel M. Ziegler, Jeffrey Wu, Clemens Winter, Christopher Hesse, Mark Chen, Eric Sigler, Mateusz Litwin, Scott Gray, Benjamin Chess, Jack Clark, Christopher Berner, Sam McCandlish, Alec Radford, Ilya Sutskever, and Dario Amodei. Language models are few-shot learners, 2020.

Mauro Cettolo, Jan Niehues, Sebastian Stüker, Luisa Bentivogli, and Marcello Federico. Report on the 11th iwslt evaluation campaign, iwslt 2014. In *Proceedings of the International Workshop on Spoken Language Translation, Hanoi, Vietnam*, volume 57, 2014.

Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding. *arXiv preprint arXiv:1810.04805*, 2018.

Sergey Edunov, Myle Ott, Michael Auli, and David Grangier. Understanding back-translation at scale. *arXiv preprint arXiv:1808.09381*, 2018.

Yang Fan, Shufang Xie, Yingce Xia, Lijun Wu, Tao Qin, Xiang-Yang Li, and Tie-Yan Liu. Multi-branch attentive transformer, 2020.

Steven Y Feng, Varun Gangal, Jason Wei, Sarath Chandar, Soroush Vosoughi, Teruko Mitamura, and Eduard Hovy. A survey of data augmentation approaches for nlp. *Findings of ACL*, 2021.

Fei Gao, Jinhua Zhu, Lijun Wu, Yingce Xia, Tao Qin, Xueqi Cheng, Wengang Zhou, and Tie-Yan Liu. Soft contextual data augmentation for neural machine translation. In *Proceedings of the 57th Annual Meeting of the Association for Computational Linguistics*, pp. 5539–5544, Florence, Italy, July 2019. Association for Computational Linguistics. doi: 10.18653/v1/P19-1555. URL https://aclanthology.org/P19-1555.

Albert Gatt and Emiel Krahmer. Survey of the state of the art in natural language generation: Core tasks, applications and evaluation. *Journal of Artificial Intelligence Research*, 61:65–170, 2018.

Jianping Gou, Baosheng Yu, Stephen J Maybank, and Dacheng Tao. Knowledge distillation: A survey. *International Journal of Computer Vision*, 129(6):1789–1819, 2021.

Jiatao Gu, James Bradbury, Caiming Xiong, Victor OK Li, and Richard Socher. Non-autoregressive neural machine translation. *arXiv preprint arXiv:1711.02281*, 2017.

Demi Guo, Yoon Kim, and Alexander Rush. Sequence-level mixed sample data augmentation. In *Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing (EMNLP)*, pp. 5547–5552, Online, November 2020. Association for Computational Linguistics. doi: 10.18653/v1/2020.emnlp-main.447. URL https://aclanthology.org/2020.emnlp-main.447.

Hany Hassan, Anthony Aue, Chang Chen, Vishal Chowdhary, Jonathan Clark, Christian Federmann, Xuedong Huang, Marcin Junczys-Dowmunt, William Lewis, Mu Li, et al. Achieving human parity on automatic chinese to english news translation. *arXiv preprint arXiv:1803.05567*, 2018.

Karl Moritz Hermann, Tomas Kocisky, Edward Grefenstette, Lasse Espeholt, Will Kay, Mustafa Suleyman, and Phil Blunsom. Teaching machines to read and comprehend. *Advances in neural information processing systems*, 28:1693–1701, 2015.

Geoffrey Hinton, Oriol Vinyals, and Jeffrey Dean. Distilling the knowledge in a neural network. In *NIPS Deep Learning and Representation Learning Workshop*, 2015. URL http://arxiv.org/abs/1503.02531.

Vu Cong Duy Hoang, Philipp Koehn, Gholamreza Haffari, and Trevor Cohn. Iterative back-translation for neural machine translation. In *Proceedings of the 2nd Workshop on Neural Machine Translation and Generation*, pp. 18–24, 2018.

Yoon Kim and Alexander M. Rush. Sequence-level knowledge distillation. *Proceedings of the 2016 Conference on Empirical Methods in Natural Language Processing*, 2016. doi: 10.18653/v1/d16-1139. URL http://dx.doi.org/10.18653/v1/D16-1139.

Diederik P. Kingma and Jimmy Ba. Adam: A method for stochastic optimization, 2014.

Sosuke Kobayashi. Contextual augmentation: Data augmentation by words with paradigmatic relations. *Proceedings of the 2018 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies, Volume 2 (Short Papers)*, 2018. doi: 10.18653/v1/n18-2072. URL http://dx.doi.org/10.18653/v1/N18-2072.

Philipp Koehn, Hieu Hoang, Alexandra Birch, Chris Callison-Burch, Marcello Federico, Nicola Bertoldi, Brooke Cowan, Wade Shen, Christine Moran, Richard Zens, Chris Dyer, Ondřej Bojar, Alexandra Constantin, and Evan Herbst. Moses: Open source toolkit for statistical machine translation. In *Proceedings of the 45th Annual Meeting of the Association for Computational Linguistics Companion Volume Proceedings of the Demo and Poster Sessions*, pp. 177–180, Prague, Czech Republic, June 2007. Association for Computational Linguistics. URL https://aclanthology.org/P07-2045.

Varun Kumar, Ashutosh Choudhary, and Eunah Cho. Data augmentation using pre-trained transformer models, 2020.

Jason Lee, Elman Mansimov, and Kyunghyun Cho. Deterministic non-autoregressive neural sequence modeling by iterative refinement. *Proceedings of the 2018 Conference on Empirical Methods in Natural Language Processing*, 2018. doi: 10.18653/v1/d18-1149. URL http://dx.doi.org/10.18653/v1/D18-1149.

Mike Lewis, Yinhan Liu, Naman Goyal, Marjan Ghazvininejad, Abdelrahman Mohamed, Omer Levy, Ves Stoyanov, and Luke Zettlemoyer. Bart: Denoising sequence-to-sequence pre-training for natural language generation, translation, and comprehension. *arXiv preprint arXiv:1910.13461*, 2019.

Yanran Li, Hui Su, Xiaoyu Shen, Wenjie Li, Ziqiang Cao, and Shuzi Niu. Dailydialog: A manually labelled multi-turn dialogue dataset. *arXiv preprint arXiv:1710.03957*, 2017.

Chin-Yew Lin. Rouge: A package for automatic evaluation of summaries. In *Text summarization branches out*, pp. 74–81, 2004.

Liyuan Liu, Xiaodong Liu, Jianfeng Gao, Weizhu Chen, and Jiawei Han. Understanding the difficulty of training transformers, 2020.

Liangchen Luo, Jingjing Xu, Junyang Lin, Qi Zeng, and Xu Sun. An auto-encoder matching model for learning utterance-level semantic dependency in dialogue generation, 2018.

Tsvetomila Mihaylova and André FT Martins. Scheduled sampling for transformers. *arXiv preprint arXiv:1906.07651*, 2019.

A. H. Miller, W. Feng, A. Fisch, J. Lu, D. Batra, A. Bordes, D. Parikh, and J. Weston. Parlai: A dialog research software platform. *arXiv preprint arXiv:1705.06476*, 2017.

Nathan Ng, Kyunghyun Cho, and Marzyeh Ghassemi. SSMBA: Self-supervised manifold based data augmentation for improving out-of-domain robustness. In *Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing (EMNLP)*, pp. 1268–1283, Online, November 2020. Association for Computational Linguistics. doi: 10.18653/v1/2020.emnlp-main.97. URL https://aclanthology.org/2020.emnlp-main.97.

Myle Ott, Sergey Edunov, Alexei Baevski, Angela Fan, Sam Gross, Nathan Ng, David Grangier, and Michael Auli. fairseq: A fast, extensible toolkit for sequence modeling. In *Proceedings of NAACL-HLT 2019: Demonstrations*, 2019.

Kishore Papineni, Salim Roukos, Todd Ward, and Wei-Jing Zhu. Bleu: a method for automatic evaluation of machine translation. In *Proceedings of the 40th annual meeting of the Association for Computational Linguistics*, pp. 311–318, 2002.

Shrimai Prabhumoye, Yulia Tsvetkov, Ruslan Salakhutdinov, and Alan W Black. Style transfer through back-translation. *Proceedings of the 56th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, 2018. doi: 10.18653/v1/p18-1080. URL http://dx.doi.org/10.18653/v1/p18-1080.

Weizhen Qi, Yu Yan, Yeyun Gong, Dayiheng Liu, Nan Duan, Jiusheng Chen, Ruofei Zhang, and Ming Zhou. Prophetnet: Predicting future n-gram for sequence-to-sequence pre-training, 2020.

Lihua Qian, Hao Zhou, Yu Bao, Mingxuan Wang, Lin Qiu, Weinan Zhang, Yong Yu, and Lei Li. Glancing transformer for non-autoregressive neural machine translation. *arXiv preprint arXiv:2008.07905*, 2020.

Alec Radford, Jeffrey Wu, Rewon Child, David Luan, Dario Amodei, Ilya Sutskever, et al. Language models are unsupervised multitask learners. *OpenAI blog*, 1(8):9, 2019.

Yi Ren, Jinglin Liu, Xu Tan, Zhou Zhao, sheng zhao, and Tie-Yan Liu. A study of non-autoregressive model for sequence generation. *Proceedings of the 58th Annual Meeting of the Association for Computational Linguistics*, 2020. doi: 10.18653/v1/2020.acl-main.15. URL http://dx.doi.org/10.18653/v1/2020.acl-main.15.

Rico Sennrich, Barry Haddow, and Alexandra Birch. Edinburgh neural machine translation systems for WMT 16. In *Proceedings of the First Conference on Machine Translation: Volume 2, Shared Task Papers*, pp. 371–376, Berlin, Germany, August 2016a. Association for Computational Linguistics. doi: 10.18653/v1/W16-2323. URL https://aclanthology.org/W16-2323.

Rico Sennrich, Barry Haddow, and Alexandra Birch. Neural machine translation of rare words with subword units. In *Proceedings of the 54th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, pp. 1715–1725, Berlin, Germany, 2016b.

Shikhar Sharma, Layla El Asri, Hannes Schulz, and Jeremie Zumer. Relevance of unsupervised metrics in task-oriented dialogue for evaluating natural language generation. *CoRR*, abs/1706.09799, 2017. URL http://arxiv.org/abs/1706.09799.

Dinghan Shen, Mingzhi Zheng, Yelong Shen, Yanru Qu, and Weizhu Chen. A simple but tough-to-beat data augmentation approach for natural language understanding and generation, 2020.

Connor Shorten and Taghi M Khoshgoftaar. A survey on image data augmentation for deep learning. *Journal of Big Data*, 6(1):1–48, 2019.

David R. So, Chen Liang, and Quoc V. Le. The evolved transformer, 2019.

Nisan Stiennon, Long Ouyang, Jeff Wu, Daniel M Ziegler, Ryan Lowe, Chelsea Voss, Alec Radford, Dario Amodei, and Paul Christiano. Learning to summarize from human feedback. *arXiv preprint arXiv:2009.01325*, 2020.

Yi Tay, Dara Bahri, Donald Metzler, Da-Cheng Juan, Zhe Zhao, and Che Zheng. Synthesizer: Rethinking self-attention in transformer models, 2020.

Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Ł ukasz Kaiser, and Illia Polosukhin. Attention is all you need. In I. Guyon, U. V. Luxburg, S. Bengio, H. Wallach, R. Fergus, S. Vishwanathan, and R. Garnett (eds.), *Advances in Neural Information Processing Systems*, volume 30. Curran Associates, Inc., 2017. URL https://proceedings.neurips.cc/paper/2017/file/3f5ee243547dee91fbd053c1c4a845aa-Paper.pdf.

Ramakrishna Vedantam, C Lawrence Zitnick, and Devi Parikh. Cider: Consensus-based image description evaluation. In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 4566–4575, 2015.

Dilin Wang, Chengyue Gong, and Qiang Liu. Improving neural language modeling via adversarial training. In Kamalika Chaudhuri and Ruslan Salakhutdinov (eds.), *Proceedings of the 36th International Conference on Machine Learning*, volume 97 of *Proceedings of Machine Learning Research*, pp. 6555–6565. PMLR, 09–15 Jun 2019. URL `https://proceedings.mlr.press/v97/wang19f.html`.

Xinyi Wang, Hieu Pham, Zihang Dai, and Graham Neubig. SwitchOut: an efficient data augmentation algorithm for neural machine translation. In *Proceedings of the 2018 Conference on Empirical Methods in Natural Language Processing*, pp. 856–861, Brussels, Belgium, October-November 2018. Association for Computational Linguistics. doi: 10.18653/v1/D18-1100. URL `https://aclanthology.org/D18-1100`.

Qingsong Wen, Liang Sun, Fan Yang, Xiaomin Song, Jingkun Gao, Xue Wang, and Huan Xu. Time series data augmentation for deep learning: A survey. *arXiv preprint arXiv:2002.12478*, 2020.

Felix Wu, Angela Fan, Alexei Baevski, Yann Dauphin, and Michael Auli. Pay less attention with lightweight and dynamic convolutions. In *International Conference on Learning Representations*, 2019. URL `https://openreview.net/forum?id=SkVhlh09tX`.

Lijun Wu, Shufang Xie, Yingce Xia, Fan Yang, Tao Qin, Jianhuang Lai, and Tie-Yan Liu. Sequence generation with mixed representations. In *ICML 2020*, July 2020. URL `https://www.microsoft.com/en-us/research/publication/sequence-generation-with-mixed-representations/`.

Yingce Xia, Fei Tian, Lijun Wu, Jianxin Lin, Tao Qin, Nenghai Yu, and Tie-Yan Liu. Deliberation networks: Sequence generation beyond one-pass decoding. In I. Guyon, U. V. Luxburg, S. Bengio, H. Wallach, R. Fergus, S. Vishwanathan, and R. Garnett (eds.), *Advances in Neural Information Processing Systems*, volume 30. Curran Associates, Inc., 2017. URL `https://proceedings.neurips.cc/paper/2017/file/c6036a69be21cb660499b75718a3ef24-Paper.pdf`.

Dongling Xiao, Han Zhang, Yukun Li, Yu Sun, Hao Tian, Hua Wu, and Haifeng Wang. Ernie-gen: An enhanced multi-flow pre-training and fine-tuning framework for natural language generation. *arXiv preprint arXiv:2001.11314*, 2020.

Hongyi Zhang, Moustapha Cisse, Yann N Dauphin, and David Lopez-Paz. mixup: Beyond empirical risk minimization. *arXiv preprint arXiv:1710.09412*, 2017.

Jingqing Zhang, Yao Zhao, Mohammad Saleh, and Peter Liu. Pegasus: Pre-training with extracted gap-sentences for abstractive summarization. In *International Conference on Machine Learning*, pp. 11328–11339. PMLR, 2020.

Saizheng Zhang, Emily Dinan, Jack Urbanek, Arthur Szlam, Douwe Kiela, and Jason Weston. Personalizing dialogue agents: I have a dog, do you have pets too?, 2018.

Wen Zhang, Yang Feng, Fandong Meng, Di You, and Qun Liu. Bridging the gap between training and inference for neural machine translation. *arXiv preprint arXiv:1906.02448*, 2019.

Li Zhou, Jianfeng Gao, Di Li, and Heung-Yeung Shum. The design and implementation of xiaoice, an empathetic social chatbot. *Computational Linguistics*, 46(1):53–93, 2020.

A   DATA PROCESS AND EVALUATION SCRIPTS

The scripts for data preprocessing and evaluation can be found in the following URLs.

DailyDialog: `https://github.com/lancopku/AMM`

Persona-Chat: `https://github.com/facebookresearch/ParlAI/tree/main/projects/personachat`

IWSLT'14: `https://github.com/pytorch/fairseq/blob/main/examples/translation/prepare-iwslt14.sh`

WMT'14: `https://github.com/pytorch/fairseq/blob/main/examples/translation/prepare-wmt14en2de.sh`

CNN/DM: `https://github.com/pytorch/fairseq/blob/main/examples/bart/README.summarization.md`

Moses BLEU: `https://github.com/moses-smt/mosesdecoder/blob/master/scripts/generic/multi-bleu.perl`

B DESIGN OF THE SCHEDULE FORMULA OF α

In Section 4.4, we mentioned two α schedule formula. Our intuition is that the value should decrease with the training progress, while the initial model quality may not be good, which will affect the synthetic data quality. Thus, we believed it could be better to have a warm-up stage when the model only learns from real data. However, compared with a fixed value, we did not observe improvement. Therefore, we kept a fixed value in all other experiments.

Figure 5 contains the plots of these two functions.

Figure 5: The α schedule function.