INTERPRETABLE CAUSAL REPRESENTATION LEARNING FOR BIOLOGICAL DATA IN THE PATHWAY SPACE

Anonymous authors
Paper under double-blind review

ABSTRACT

Predicting the impact of genomic and drug perturbations in cellular function is crucial for understanding gene functions and drug effects, ultimately leading to improved therapies. To this end, Causal Representation Learning (CRL) constitutes one of the most promising approaches, as it aims to identify the latent factors that causally govern biological systems, thus facilitating the prediction of the effect of unseen perturbations. Yet, current CRL methods fail in reconciling their principled latent representations with known biological processes, leading to models that are not interpretable. To address this major issue, in this work we present **SENA**-discrepancy-VAE, a model based on the recently proposed CRL method discrepancy-VAE, that produces representations where each latent factor can be interpreted as the (linear) combination of the activity of a (learned) set of biological processes. To this extent, we present an encoder, **SENA**-δ, that efficiently compute and map biological processes' activity levels to the latent causal factors. We show that **SENA**-discrepancy-VAE achieves predictive performances on unseen combinations of interventions that are comparable with its original, non-interpretable counterpart, while inferring causal latent factors that are biologically meaningful.

1 INTRODUCTION

Causal Representation Learning (CRL) has raised in recent time as a promising approach for identifying the latent factors that *causally* govern the systems under study (Schölkopf et al., 2021; Ahuja et al., 2023). Among other disciplines, CRL have been recently applied on biological systems, providing precise testable predictions on causal factors associated with disease or treatment resistance (Zhang et al., 2024; Lopez et al., 2023). These methods usually operate on mixture of observational and interventional biological data, exploiting the distributional shift caused by the interventions with the goal of retrieving the causal latent factors and, possibly, how they mutually interact with each other. Concomitantly, Perturb-seq (Dixit et al., 2016) data have emerged as an ideal testbed for these type of analyses. This technology allows the gene expression profiling of single cells both in their unperturbed state and when one or more genes are made functionally inoperative (e.g., through CRISPR knock-outs (KO) (Gilbert et al., 2014)). It should be noted that, while generating expression profiles for thousands of cells across a variety of experimental conditions is indeed advantageous in the CRL context, the high dimensionality of Perturb-seq data presents notable challenges for these models.

Deep learning approaches have allowed to predict transcriptional outcomes of novel (combinations of) perturbations in Perturb-seq data (Roohani et al., 2024; Cui et al., 2024; Gaudelet et al., 2024), or of known perturbations on novel cell types (Lotfollahi et al., 2019). However, to the best of our knowledge, there are only two works so far applying CRL to Perturb-seq data (Lopez et al., 2023; Zhang et al., 2024), and we argue that these models severely lack interpretability, as the reconstructed latent factors cannot be directly reconciled with known biological processes, yielding talent factors that are difficult to interpret. Attempts made so far to boost interpretability in these models include computing associations between the reconstructed latent factors and the activity of known processes (Lopez et al., 2023), or arbitrarily selecting genes as representative of each latent causal factor (Zhang et al., 2024). As also suggested in a recent review (Tejada-Lapuerta et al., 2023), using biological processes as prior knowledge *during* the reconstruction process, rather than afterwards, may indeed boost the interpretability of the resulting models.

Related work. In the context of interpretable Representational Learning (RL), recent years have seen extensive applications of variational autoencoders (VAEs) to single-cell applications (Lopez et al., 2018). Some of these approaches enable interpretable latent factors either by enforcing gene-cell correspondence during training (Choi et al., 2023), by performing pathway enrichment analysis on linear gene embeddings (Zhao et al., 2021), or by modifying the VAE architecture to mirror user-provided gene-pathway maps (Seninge et al., 2021; Gut et al., 2021; Lotfollahi et al., 2023; Niyakan et al., 2024; Ruiz-Arenas et al., 2024a). Importantly, while these latter methods apply architectural changes that are similar in spirit to the ones we propose in this work, none of them present strong theoretical guarantees for the *causal* interpretation of their embeddings.

Therefore, in this paper we show how CRL algorithms can be extended in order to employ biological processes (BPs) as prior knowledge, improving the interpretability of the resulting latent factors. We base our results on the CRL framework first introduced by Ahuja et al. (2023) and then expanded by Zhang et al. (2024). In particular, we present **SENA**-discrepancy-VAE, a CRL model based on the recently proposed discrepancy-VAE. We show that **SENA**-discrepancy-VAE yields predictive performances comparable to the ones of its original counterpart on unseen combination of perturbations, while providing a mapping between latent factors and biological processes. To this end, we modify the discrepancy-VAE's encoder architecture (Zhang et al., 2024) and embed it with biological processes as prior knowledge. To our knowledge, this is the first effort to reconcile CRL with biological interpretability, achieving both principled identifiability and interpretability of causal latent factors in the biological pathway space.

2 Preliminaries and Background

2.1 Casual Representation Learning

In what follows, we use the notation of Zhang et al. (2024), where we further use upper-case to denote random variables, lower-case to denote (inferred/observed) realizations of the random variables, upper-case bold to denote matrices, and lower-case bold to denote vectors. Let's assume that samples $x \in \mathbb{R}^n$ are generated according to a process governed by a set of latent variables $U \in \mathbb{R}^d$, where $d << n$. These latent variables are not required to be independent from each other. Instead, each latent factor U_i may be regulated by a subset of other latent factors, namely its parents $Pa(U_i)$, according to a structural mechanism $U_i \leftarrow s_i(Pa(U_i), Z_i)$, where Z_i is an exogenous variable independent of $Pa(U_i)$ and $Z_j,\ j \neq i$. The latent factors, as well as their possible regulatory relationships, are unknown.

In absence of interventions, the latent factors are sampled from the distribution $\mathbb{P}_U$ and the measurements x are derived through a decoder function g, i.e., $u \sim \mathbb{P}_U, x \leftarrow g(u)$. Interventions are assumed to affect directly the latent variables U, rather than the observable x, and they can be either *hard* or *soft* (Pearl, 2009). In brief, *hard* intervention forcefully set the value of U_i to a specific level, effectively severing any association between U_i and its parents, while *soft* interventions solely modify the causal mechanism s_i, altering the relationship between the variable and its regulators. Thus, under intervention I, U is sampled from a new distribution $u^I \sim \mathbb{P}_{U^I}$, while the decoder function g remains unchanged, i.e., $x^I \leftarrow g(u^I)$.

In this context, the main goal of CRL is to identify a decoder function h and encoder function f such that $h \circ f(x) = x$ and $f(x) = \tilde{u}$, where $\tilde{u}$ "reconstructs" u as accurately as possible, while h approximates g. Additionally, one may be interested in identifying the regulatory (causal) mechanisms s_i among the U_i components.

Ahuja et al. (2023) proved that u can be retrieved up to an affine linear transformation, i.e., $\tilde{u} = \boldsymbol{A} \cdot u + \boldsymbol{c}$, where $\boldsymbol{A}$ and $\boldsymbol{c}$ are a matrix and a vector, respectively (Theorem 4.4 in Ahuja et al. (2023)). This requires two pivotal assumptions: (i) each intervention must targets a single component of U, and (ii) the decoder function h is a full rank polynomial. Notably, multiple interventions can target the same latent component U_i, and, most importantly, the encoder function f is only required to be non-collapsing.

(Zhang et al., 2024) further expand on this framework and reach the notable result that U *can be retrieved up to permutation and scaling*, i.e., $\tilde{u}_j = \mathbf{a}_i \cdot u_i + c_i$ (Theorem 2 in (Zhang et al., 2024)). This result requires that the relationships $U_i \leftarrow s_i(Pa(U_i), Z_i)$ can be represented by a Directed

Acyclic Graph (DAG) with specific characteristics, and holds for both *hard* and *soft* interventions. Importantly, the authors proposed a VAE-based architecture, the *discrepancy-VAE*, that implements their theoretical results within a deep-learning framework. Describing the details of the discrepancy-VAE architecture is out of the scope of this work, however we note here a few of its characteristics that are instrumental for the proposed **SENA**-discrepancy-VAE:

- The encoder f is implemented as a two-layer multilayer perceptron (MLP).
- Once trained, the model provides two additional pieces of information: (i) a deep structural causal model $\left(\mathcal{A}, \{s_i\}_{i=1}^{d}\right)$ where the graph's adjacency $\mathcal{A}$ encodes the parent set of each latent factor, while the matrix $\{s_i\}_{i=1}^{d}$ encodes the causal mechanisms (strength of interactions) (Pawlowski et al., 2020); and (ii) a map between each intervention and its target in the latent space, together with an estimate of the effect that the *soft* intervention has on s_i.
- The variational nature of the discrepancy-VAE allows to predict the effect of unseen double perturbations, provided that each single perturbation is available during training.
- The model trains both encoder f and decoder h only on unperturbed cells, while the perturbed samples are solely used for deriving the effect of the perturbations on the deep structural causal model.

2.2 Biological processes or Pathways.

A biological process or pathway (BP) can be thought as the set of concerted biochemical reactions needed to perform a specific task within the cell (Kanehisa & Goto, 2000; Ashburner et al., 2000). In the context of this work, we loosely identify a BP as the genes contained within it, discarding information regarding other molecules or interactions. From this point of view, BPs can be simply thought as gene sets, where these gene sets can overlap or even contain one another.

2.3 CRL in the context of Perturb-seq experiments.

In a Perturb-seq experiment, measurements x are single cell expression profiles, with each x_i representing the expression of a single gene i[1]. Interventions are genetic perturbations in which one or multiple genes have their functionality inhibited (through, for example, a genetic knock-out, KO (Dixit et al., 2016)). In this sense, Perturb-seq perturbations represent *hard* interventions on genes: once knocked out, the level of functionality of the targeted genes does not depend anymore upon the other genes that usually regulate it. Two observations on genetic perturbations that are relevant for our main result:

- Each perturbation likely affects several BPs at once. BPs are highly interconnected and genes are usually involved in several BPs at once.
- Gene KOs (i.e., *hard* interventions) leads to *soft* interventions in BP activity. Biological systems are very resilient, partly due to high level of redundancy in their regulatory circuits (Reed et al., 2024). This means that following a gene KO, other genes may partly assume the role of the suppressed gene, ensuring that the BP activity does not reach a halt, even if it is somewhat impacted.

3 Biologically-driven Causal Representation Learning

The CRL framework discussed in section 2.1 requires the decoder h to be a polynomial function. In contrast, a much wider modeling flexibility is granted for the encoder f, which must simply be a non-collapsing function. Thus, *the encoder f can be built so it incorporates biological processes as prior knowledge*.

To achieve this, we propose a two-layer, masked multilayer perceptron (MLP) encoder, which we termed the **SENA**-δ (**S**pars**E** **N**etwork**A**ctivity) encoder (Figure 1). Let $\{\text{BP}_1, \ldots, \text{BP}_K\}$ be the gene sets corresponding to K BPs. Let α_k indicate the activity level of the k-th BP, summarizing to what

[1]Here we will assume that these values have been normalized and scaled to the point where they can be considered laying in $\mathbb{R}^n$.

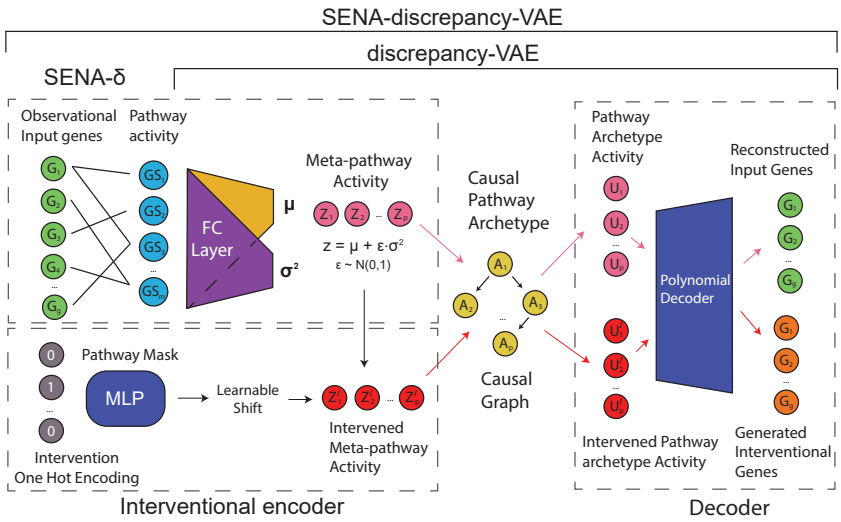

Figure 1: **Model overview. SENA**-discrepancy-VAE modifies the encoder of discrepancy-VAE to enforce a biologically-driven training through a pathway-based mask M.

extent genes within the corresponding BP are activated (i.e., undergoing transcription). Then, the first layer of the proposed encoder connects the gene expression values x with BP activity levels $\boldsymbol{\alpha}$:

$$\boldsymbol{\alpha} = \sigma\left((W \odot M)^T \cdot x\right), \tag{1}$$

where $W \in \mathbb{R}^{n \times K}$ are the layer weights, σ is the activation function, $\odot$ denotes element-wise multiplication, and M is a mask matrix defined as:

$$M_{i,k} = \begin{cases} 1 & \text{if gene } i \in \text{BP}_k, \\ \lambda & \text{otherwise.} \end{cases} \tag{2}$$

Each BP activity is thus defined as a linear combination of the expression values of its respective genes. Unfortunately, it is known that the knowledge of the specific genes involved in BPs is seldom complete (Kunes et al., 2024). Thus, the tunable hyper-parameter λ allows genes outside of the defined gene sets to contribute to the BP activity if enough evidence of their involvement is present within the data. To this extent, λ should be set to a value small enough to discourage irrelevant contributions. Henceforth, we refer to this layer as the **SENA** layer.

The second layer follows a VAE-type architecture where a fully connected linear layer with two heads (μ and σ^2) generates the exogenous variables Z_j as:

$$z_j \sim \mathcal{N}(\mu_j, \sigma_j^2); \quad \text{where} \quad \mu_j = \boldsymbol{\alpha}^T \boldsymbol{\delta}_j^{(\mu)}, \quad \sigma_j^2 = \boldsymbol{\alpha}^T \boldsymbol{\delta}_j^{(\sigma)} \tag{3}$$

Thus, the mean and standard deviation will be a linear combination of pathway activities $\boldsymbol{\alpha}$, weighted by the parameters $\boldsymbol{\delta}_j^{(\mu)}$, $\boldsymbol{\delta}_j^{(\sigma)}$, learned by the corresponding MLPs. Modelling each latent factor as a linear combination of BP activities, which we denote the meta-pathway activities Z_j, allows us to seamlessly combine the biological observation that each intervention may affects multiple BPs, with the CRL assumption (which provides identifiability guarantees) that each intervention must target only one latent factor (Figure 1). Note that modelling each latent factor as a single BP, as previously done, sets this two principle at odds with each other. We also note that each of the two layers of the **SENA**-δ encoder could be modelled as a more generic, non-linear function, simply by adding intermediate layers. We opted for a simpler architecture in order to prioritize interpretability over representational capabilities.

Most importantly, the **SENA**-δ encoder can be seamlessly plugged in the discrepancy-VAE architecture by substituting the original, fully connected, two-layer MLP encoder. This modification guides the discrepancy-VAE architecture towards a more interpretable subsets of the original solution space. We named this resulting model as the **SENA**-discrepancy-VAE (Figure 1).

Finally, we note that the discrepancy-VAE formulation has the latent factors U inferred as $U_i = s_i(\text{Pa}(U_i), Z_i)$ where the Z_is are computed by the **SENA**-δ encoder, and operate as exogenous variables for the U_is. The latter are the causal latent factors involved in the causal graph, and the input for the polynomial decoder (see Fig. 1). $\text{Pa}(U_i)$ are the parents of U_i in the graph, while s_i is the causal mechanism generating U_i from its parents and Z_i. Technically, the **SENA**-discrepancy-VAE associates the BPs to the exogenous variables Z, meaning that the activation levels of the BPs will operate as input for the causal latent factor inference, therefore infusing the latent factors with increased interpretability compared to previous models (Appendix I). Thus, we refer to the causal latent factors as the (causal) pathway archetypes, as they encode the underlying causal biological mechanism driving the cells under study, which then can be used to infer the resulting gene expression after an (unseen) perturbation.

4 Experimental settings

To first assess the learning capabilities of our proposed architecture, we performed several ablation studies using the proposed encoder within simple autoencoder (AE) and variational-AE (VAE) architectures. Our aim is to first assess whether the activity levels of the inferred (latent) BPs do indeed encode biological information (e.g., relevant activity changes are registered between perturbed and unperturbed data), and then to use these results to gauge the operational interval for λ. We then compare the **SENA**-discrepancy-VAE against the original discrepancy-VAE, over the task of predicting gene expression changes in novel combinations of perturbations, and finally analyze the interpretability of learnt latent causal factors.

4.1 Data

We employ two large-scale Perturb-seq datasets, one collected on leukemia lymphoblast cells (K562 cell line) (Norman et al., 2019), hereafter named the Norman2019 dataset, and the other collected on acute myeloid leukemia cells (THP1 cell line), namely the Wessel2023 dataset (Wessels et al., 2022). The authors of the Norman2019 study targeted 112 genes known to affect the growth of the K562 cells, yielding a total of 105 single-gene perturbation and 131 double-gene perturbations. The dataset underwent standard preprocessing steps for single cell data (filtering, normalization, and log-transformation (Wolf et al., 2018)), leading to a total of 8,907 unperturbed cells (controls), 57,831 cells under the 105 single-gene perturbations, and 41,759 cells under the 131 double-gene perturbations. The Wessel2023 study underwent the same preprocessing steps, ending up to include 424 unperturbed cells, 28 single-gene perturbations overall targeting 3036 cells, and 158 double-gene perturbations targeting 17592 cells. For both datasets we considered the 5,000 most variable genes in our analyses.

4.2 Selection of biological processes

Selecting an appropriate set of BPs is crucial for our analyses. The ideal selection should be sufficiently varied so as to include all BPs active in the system under study; and at the same time, it is desirable to reduce the redundancy that usually characterize large sets of BPs. Following Ruiz-Arenas et al. (2024b), we considered the Gene Ontology (GO) BPs (Ashburner et al., 2000), and selected GO BPs with less than 30 genes. We then discarded those with more than half of their genes in common with other selected processes, as well as those with low replicability as defined in Ruiz-Arenas et al. (2024b). We further refine this selection by including only those GO BPs that have at least five genes represented in our input dataset, and by removing those that are ancestors of other terms within our list. This multi-step selection ensures that the final BPs are (mostly) non-overlapping and cover a large variety of biological processes.

4.3 Identifying activated biological processes

We exploit the architecture of the **SENA**-δ encoder to identify BPs that are activated under specific perturbations. In particular, we expect that the activated BP_k should have its inferred activity level α_k significantly altered with respect to unperturbed controls for those perturbations targeting genes within that BP. To measure this effect, we define the differential activation (DA) for BP_k under intervention p as $\text{DA}_k^p = |\bar{\alpha}_k^p - \bar{\alpha}_k^c|$, where $\bar{\alpha}_k^p$ and $\bar{\alpha}_k^c$ are the values of activation function for BP_k

averaged over all perturbed and control cells, respectively. Because it is a difference among mean values, a t-test or similar inferential statistic can be used for assessing its statistical significance.

We then built two metrics for assessing to which extent the differentially activated BPs (i.e., statistically significant DA_k^p values) are biologically meaningful. First, for each intervention p we define $\mathcal{W}_p$ as the set of BPs that contain the targeted gene i: $\mathcal{W}_p = \{\text{BP}_k | M_{i,k} = 1\}$. The remaining (not affected) BPs are indicated as $\overline{\mathcal{W}}_p$. Intuitively, we would expect BPs containing the targeted gene to be the most affected by the intervention, while the other processes should only suffer indirect effects.

We then define the metric Hits@N, as the percentage of BPs in $\mathcal{W}_p$ that are ranked within the first N positions in terms of DA_k^p. The parameter N is set to 100 in our analyses. Let R_k^p be the rank for BP_k under perturbation p according to DA_k^p. Then, Hits@N is defined as:

$$H_N^p = \frac{1}{|\mathcal{W}_p|} \sum_{k \in \mathcal{W}_p} \mathbb{I}[R_k^p \leq N]. \tag{4}$$

Finally, we define the differential activation ratio (DAR) for a perturbation *p* as:

$$\text{DAR}_p = \frac{|\overline{\mathcal{W}}_p| \sum_{k \in \mathcal{W}_p} \text{DA}_k^p}{|\mathcal{W}_p| \sum_{k \in \overline{\mathcal{W}}_p} \text{DA}_k^p}. \tag{5}$$

This ratio contrasts the average activation for BPs directly affected by the perturbation against the average of the remaining processes. Although computing this metric involves aggregating pathways with varying numbers of targeted genes (i.e., imbalanced pathways), the imposed minimum of five genes per gene set and the definition of DA as an activation ratio make this metric potentially robust to intrinsic noise within the pathways. Note that both metrics require $\mathcal{W}_p$ and $\overline{\mathcal{W}}_p$ to contain at least one BP.

5 Ablation Study

Due to the high sparsity infused in the **SENA**-δ encoder, one cannot assume that such encoder has good reconstruction capabilities while maintaining interpretability. Moreover, we also seek to understand the reconstruction-interpretability trade-off driven by the λ parameter. Hence, in what follows we assess the **SENA**-δ encoder by employing it in an AE and VAE architectures (with MLP as decoders in both cases), and compare it with a fully-connected encoder (denoted MLP) and two ℓ_1-regularized encoders with λ as the regularization parameter. To perform a fair evaluation, these architectures will each present two fully connected layers at the encoder, and the ℓ_1 encoders will only have the first layer regularized to imitate **SENA**'s sparsity. To this end, we used the Norman2019 dataset. We evaluated the aforementioned architectures for several values of λ: $\{0,\ 0.1,\ 0.01,\ 10^{-3}\}$. Overall, four different aspects were assessed: data reconstruction and generative capabilities (for VAEs), and interpretability and sparsity of latent dimensions, described next.

Data reconstruction and generative capabilities (for VAEs). We evaluated the reconstruction and generative capabilities of the proposed architectures by computing the test Mean Squared Error (MSE) and Kullback–Leibler divergence (D_{KL}), respectively, where the latter is only used in the variational architectures.

Interpretability and sparsity of latent dimensions. We evaluated the interpretability by measuring how differentially activated (DA, see above) the affected neurons (i.e. gene sets containing the knock-out gene) are when compared to the rest of the neurons after the **SENA** layer. Hence, we compute the Hits@100 metric to measure the percentage of affected DA neurons in the top 100 differentially activated gene sets. Moreover, we define the sparsity of a model as:

$$\frac{1}{n \cdot K} \sum_{i=1}^{n} \sum_{k=1}^{K} \mathbb{I}[|\bar{x}_i \cdot W_{i,k}| \leq 10^{-8}], \tag{6}$$

which measures the contribution of every input gene to each factor after the **SENA** layer, and $\bar{x}$ refers to the mean expression across samples (cells). Reported metrics were computed on test samples.

Results. Overall, enabling residual connections between genes and BPs in a fully-connected fashion ($\lambda = \{10^{-2}, 10^{-3}\}$) maintains biologically-meaningful latent factors (Appendix IV Fig. 5-A) while

yielding reconstruction capabilities in par with the fully-connected MLP (Appendix IV Table 3 & Fig. 6-B). The reason could be that these models present an efficient use of the model weights (Appendix IV Fig. 5-B), underscoring the relevancy of gene-BP relationships in Perturb-seq data. Interestingly, higher values of lambda ($\lambda = 0.1$) presented better reconstruction capabilities than the MLP (Appendix IV Table 3), at slightly sparser encoder (and hence, more interpretable) than the MLP (Appendix IV Fig. 5-B). On the other side, and as expected, $\lambda = 0$ presented highly interpretable latent factors at the cost of a significant drop in reconstruction capabilities. Additionally, our results show that the ℓ_1-regularized MLPs does not perform well nor do they provide interpretable latent factors. Of note that when the analysis was perform only using the **SENA** layer, similar insights were obtained (Appendix IV Table 2 & Fig. 6-A). Finally, regarding the generative capabilities assessed on VAEs, the models based on **SENA**-δ encoder clearly outperforms other encoders on $\mathrm{D_{KL}}$, with lower values of λ being the best performing ones. (Appendix IV Tables 3 and 2, VAE-based column).

6 Learning interpretable latent causal factors

In this section we contrasted the proposed **SENA**-discrepancy-VAE against its original counterpart, to assess the modeling and predictive capabilities of both models. This section focuses on the results obtained on the Norman2019 dataset, while Appendix III reports the results on the Wessel2023 data. For both datasets, we trained both models on the unperturbed and single-gene perturbations samples from Norman et al. (2019) (the latter are only used as a ground-truth for the MMD loss). Double-gene perturbations were set aside for evaluation purposes. We train both models across 3 different runs with the settings proposed by the authors (Appendix F of Zhang et al. (2024)). Given the good results (in interpretability and reconstruction performance) obtained in the ablation study (Section 5), we varied the number of latent factors within $\{5,\ 10,\ 35,\ 70,\ 105\}$, and the λ for the **SENA**-discrepancy-VAE in $\{0,\ 0.1\}$ (Appendix IV Fig. 8 shows gradients and mask (M) distribution across several λ values).

6.1 Performance benchmarking

Table 1 reports the results of the comparison, where MMD (Max Mean Discrepancy (Gretton et al., 2012)) measures the difference between the generated and true double-perturbation distributions. We report the average MMD over all 131 double-gene perturbations. Additionally, MSE indicates the reconstruction error for control samples during training, $\mathrm{D_{KL}}$ is the variational loss (Kingma & Welling, 2014), and L1 $:= ||\mathcal{A}||_1$ represents the sparsity of the deep structural causal model.

Interestingly, and despite the restrictions imposed by the **SENA**-δ encoder that could potentially decrease the **SENA**-discrepancy-VAE representational capabilities, the proposed model outperformed the MLP encoder for some latent dimensions in terms of MSE and MMD computed on unseen double perturbations for small values of λ (0.1). Moreover, setting $\lambda = 0$ allowed the **SENA**-discrepancy-VAE to surpass the original MLP encoder on the $\mathrm{D_{KL}}$ metric, while the optimal model for causal graph sparsity (L1) varied with latent dimensions. These results, which align with those of the ablation studies, highlight the potential of **SENA**-discrepancy-VAE.

6.2 Visualizing **SENA**-discrepancy-VAE latent factors

We first investigated the association between perturbations and latent factor activation (Fig. 10). Both models tend to activate few latent factors. Specifically, the discrepancy-VAE model activate 8 to 9 factors across all perturbations when 35 or more latent factors are included in the model. These numbers decrease to 6 and 4 when 10 and 5 latent factors are available, respectively. At the same time, more than half of the perturbations are assigned to only 1 or 2 latent factors, creating a quite unbalanced mapping. The **SENA**-discrepancy-VAE follows a similar pattern. This seems to indicate that relatively few latent factors are needed for capturing the changes induced by perturbations, while the remaining latent factors assist in representing the overall distribution of gene expression data.

Interpretation of the SENA-discrepancy-VAE latent factors. The proposed model offers the possibility of inspecting its encoder for deriving the BPs composing the latent factors. By construction, each perturbation will target a single latent factor U_i, which enable us to associate each BP to the intervention with the largest differential activation value. Only significant differential activation values are taken into account (ranked within the top 1% in absolute value, and a false discovery rate

Table 1: Benchmarking **SENA**-discrepancy-VAE and discrepancy-VAE on double perturbations prediction. Values are reported as mean ± variance computed on 3 runs with different initializations.

Encoder	Metric	Latent Dimension				
		105	**70**	**35**	**10**	**5**
Original MLP	MMD↓	1.60000 ± 0.014026	**1.72896** ± 0.012119	1.98917 ± 0.023293	**2.44345** ± 0.035070	**2.52652** ± 0.000581
	MSE↓	0.02160 ± 0.000234	**0.02295** ± 0.000052	0.02500 ± 0.000088	0.02703 ± 0.000102	**0.02791** ± 0.000047
	KLD↓	0.00022 ± 0.000010	0.00022 ± 0.000006	0.00021 ± 0.000004	0.00023 ± 0.000014	0.00028 ± 0.000002
	L1↓	0.06146 ± 0.005123	0.07044 ± 0.001097	**0.06526** ± 0.002337	**0.06726** ± 0.006201	0.08109 ± 0.014063
SENA-$\delta_{\lambda=0.1}$	MMD	**1.57744** ± 0.000081	1.74891 ± 0.005409	**1.95511** ± 0.033302	2.52664 ± 0.035000	2.63685 ± 0.129417
	MSE	**0.02131** ± 0.000010	0.02302 ± 0.000109	**0.02460** ± 0.000083	**0.02690** ± 0.000042	0.02802 ± 0.000143
	KLD	0.00019 ± 0.000002	0.00019 ± 0.000012	**0.00019** ± 0.000004	**0.00020** ± 0.000006	**0.00020** ± 0.000000
	L1	**0.05326** ± 0.000815	**0.05369** ± 0.004880	0.07453 ± 0.000571	0.08944 ± 0.011360	**0.07556** ± 0.008806
SENA-$\delta_{\lambda=0}$	MMD	1.74076 ± 0.001087	1.89956 ± 0.009505	2.22526 ± 0.014541	2.59191 ± 0.042367	2.97485 ± 0.156958
	MSE	0.02314 ± 0.000003	0.02457 ± 0.000042	0.02637 ± 0.000053	0.02819 ± 0.000126	0.02883 ± 0.000177
	KLD	**0.00018** ± 0.000001	**0.00018** ± 0.000000	**0.00019** ± 0.000003	**0.00020** ± 0.000004	0.00022 ± 0.000029
	L1	0.05527 ± 0.000303	0.05721 ± 0.000068	0.06865 ± 0.003082	0.07169 ± 0.003503	0.10615 ± 0.046551

(FDR) ≤ 0.05 via two-tailed t-test with BH correction). Figure 2 represents the causal graph for the latent factors associated to at least one BP for the **SENA**-discrepancy-VAE model with 105 latent dimensions and $\lambda = 0$. Ten edges with the highest coefficients in absolute value are reported for readability. Latent factors are represented as a word cloud of BPs (i.e., a graphical representation of the terms frequencies within the BPs names). Table 5 (Appendix IV) reports the number of perturbations and BPs assigned to each factor and Table 7-8 (Appendix IV) shows the mapping between BPs and selected latent factors.

Latent factor 15 is targeted by perturbations on the JUN gene, and is associated with the activity level of GO:0050665, "hydrogen peroxide biosynthetic process". While JUNE is not included in GO:0050665, this gene is known to react with over-expression to oxidative stress (Vandenbroucke et al., 2008). For latent factor 69, the targeted PTPN13 gene is known to be involved in several tumors (Mcheik et al., 2020), thus it is not surprising to found it associated with a BP related to blood vessel formation. Interpretation of other factors requires careful inspection of their associated BPs (see Appendix IV Tables 7 & 8). For example, most of the BPs in latent factor 65 are associated to tissue development, while latent factor 12 contains several BPs related to protein activity.

Upon inspecting the connections on the causal graph, a first important connection is the one between factor 15, "hydrogen peroxide biosynthetic process"(Appendix IV, Table 7, third latent factor) which causes factor 69, "endothelial cell morphogenesis" (Appendix IV, Table 8, last row). It is well known that hydrogen peroxide stimulates endothelial cell proliferation (Stone & Collins, 2002; Anasooya Shaji et al., 2019). Thus, our causal graph captured this regulatory relationship in a fully unsupervised, data-driven way. In turn, factor 53 causally influences factor 15, and factor 53 contains the biological process "catechol-containing compound biosynthetic process" (Appendix IV, Table 8, second element of factor 53). It is well known that H2O2 can be produced by the metabolism of catecholamines (Noble et al., 1994; Seregi et al., 1982). An even more direct connection exists between latent factor 69 and latent factor 2, with the latter including "negative regulation of endothelial cell apoptotic process" (Appendix IV, Table 7, second row) among its biological processes. Taken together, these findings provide evidences for the correctness of our approach and its capability of recapitulating known biological causal relationships.

6.3 Leveraging **SENA**-discrepancy-VAE to capture biologically meaningful patterns

We next evaluate the ability of the proposed encoder to maintain biologically-driven factors (see Appendix I). For this evaluation, we focus on the Norman2019 dataset, and we set the latent space dimension to 105, one for each single-gene perturbation in the dataset. We then evaluated the 37 knocked out genes that were present at the input. For each of these, we computed the DA score across all BPs (after the **SENA** layer) and found that those including the targeted gene reported higher DA on average (Appendix IV Fig. 9). Since each perturbation presented a different number of affected BPs, we next focused on the perturbations with the largest amount of targeted BPs (i.e., 7), and evaluated the significance (*statsannotation* package (Charlier et al., 2022)) of the DA among affected and not

affected BPs (Mann-Whitney U test with BH p-value correction). Fig. 3-A shows the aforementioned analysis for the knock out genes LHX1, SPI1 and TBX3. This analysis highlighted the perturbation samples from LHX1 and TXB3 KOs as those presenting highly differentially activated BPs, validating the capacity of the proposed encoder to identify biologically-meaningful factors.

To analyze the robustness of the **SENA**-discrepancy-VAE's encoder, we repeated the above analysis across several latent space dimensions, computing the DAR (Eq. 5) between those BPs containing the targeted gene and the rest. Once again, we found that almost every evaluated knock out gene reported a DAR > 1 along evaluated latent dimensions (Fig. 3-B). It is worth highlighting TMSB4X and LYL1, which reported a DAR $\gg 10$ consistently, indicating that the mean difference in activation of the **SENA**-layer neurons among perturbation and control samples for the BPs containing targeted genes was $\gg$ 10x times greater than for the rest. This underscores the capacity of **SENA**-discrepancy-VAE to drive the training process while maintaining biologically-meaningful factors.

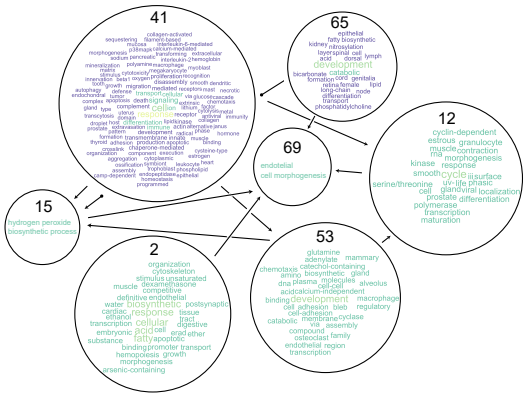

Figure 2: **SENA**-discrepancy-VAE causal graph. Latent factors are represented either by their BP, or by word clouds if more than one BP is present. Arrows indicate causal influences between latent factors.

Interpretable μ and σ^2 layers. We next assessed how the above shown interpretability is propagated through the **SENA**-discrepancy-VAE encoder. To this end, we performed the DA analysis on the output of **SENA**-δ encoder (Figure 1), where we measured the contribution of affected and not affected BPs from the previous layer to every neuron j in the μ and σ^2 layers. To this end, we define the DA score for perturbation p and BP k at the j-th neuron of μ and σ^2 layers as

$$(\mathrm{DA}_k^p)_j = |\bar{\alpha}_k^p \cdot \delta_{kj} - \bar{\alpha}_k^c \cdot \delta_{kj}| = |\delta_{kj}| \cdot |\bar{\alpha}_k^p - \bar{\alpha}_k^c| \,, \tag{7}$$

where superscripts p and c denote perturbed and controlled activities, respectively.

Fig. 3-C shows the DA score on μ and σ^2 layers for affected and not affected BPs, following the same significance tests performed above. Again, this highlights that the **SENA**-discrepancy-VAE encoder maintains biologically-meaningful the **SENA**-δ encoder (Fig. 1), yielding interpretable exogenous variables Z_i, which we denoted the meta-pathway activities and also contributes to this differential activation.

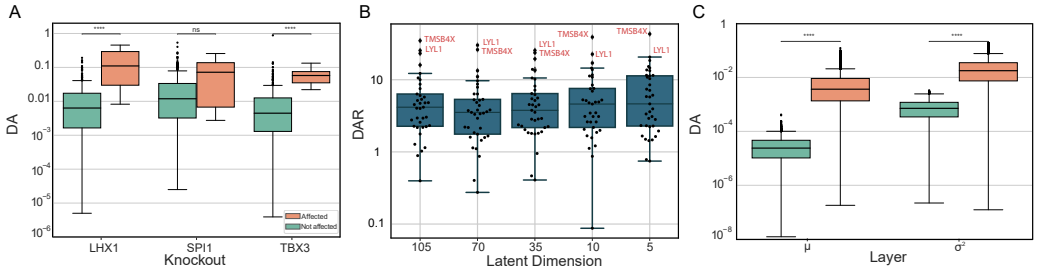

Figure 3: **SENA, μ and σ^2 layers interpretability analysis**. **A**. DA score for the three perturbations presenting the highest number of affected BPs among $\mathcal{W}_a$ and $\mathcal{W}_{\bar{a}}$ BPs. **B**. DAR of the 37 analyzed perturbations when varying the latent space dimensions. For every dimension, genes with the highest DAR are highlighted in red. **C**. DA score across the evaluated perturbations at the output of the μ and σ^2 layers of the **SENA**-discrepancy-VAE among affected and not affected BPs. **ns** and ******** depicts a p-value > 0.05 and < 10^{-4}, respectively.

Finally, we performed a differential activation score analysis on the Norman2019 dataset after training **SENA**-discrepancy-VAE. We selected the top 6 largest DA scores, which belonged to 5 unique KO genes and gene sets, respectively (Table 6). Fig. 4-A shows the UMAP components of all intervened cells (in the input gene space) across the aforementioned genes, while Fig. 4 B-F depicts those cells colored by the DA score that each cell has on the evaluated gene set (GO term). Surprisingly, from the top 6 DA scores, we found that only GO:0038065 is initially targeted by COL1A1, while the remaining gene sets are reporting specific-highlight on the cells belonging to the intervened KO without being directly targeted. For instance, the gene set GO:0006833 (Fig. 4-C) is activated by the TBX3 gene (same with GO:0010714 and CEBPA) without being explicitly encoded in the **SENA**-δ encoder. These underscore the potential of **SENA**-discrepancy-VAE to naturally learning biologically-driven patterns without specifically enforcing them.

7 Discussion and Conclusions

In this work we have demonstrated how biological processes can be used as prior knowledge in the context of causal representation learning. The resulting model, **SENA**-discrepancy-VAE, is on par, or even outperforming it in specific scenarios, in terms of predictive capabilities with the original discrepancy-VAE, while at the same time producing embeddings that can be easily inspected for assessing their biological meaning.

Among the the several findings reported in this study, it is striking that both models tend to assign most interventions to a small number of latent factors (see Fig. 10 for perturbation-to-latent factor associations in the Norman2019 data). Reasoning in terms of biological processes helps understanding why. The theory behind discrepancy-VAE requires that each intervention must be assigned to a single factor. Thus, this factor must represent all BPs affected by that intervention. If two (or more) interventions affect overlapping sets of biological processes, then by necessity they must be mapped to the same factor. Overall, it may be argued that assuming that each intervention targets a single latent factor does not allow CRL methods to thoroughly disentangle the interplay between BPs, perturbations and latent factors. Thus, future CRL works should attempt to overcome this assumption.

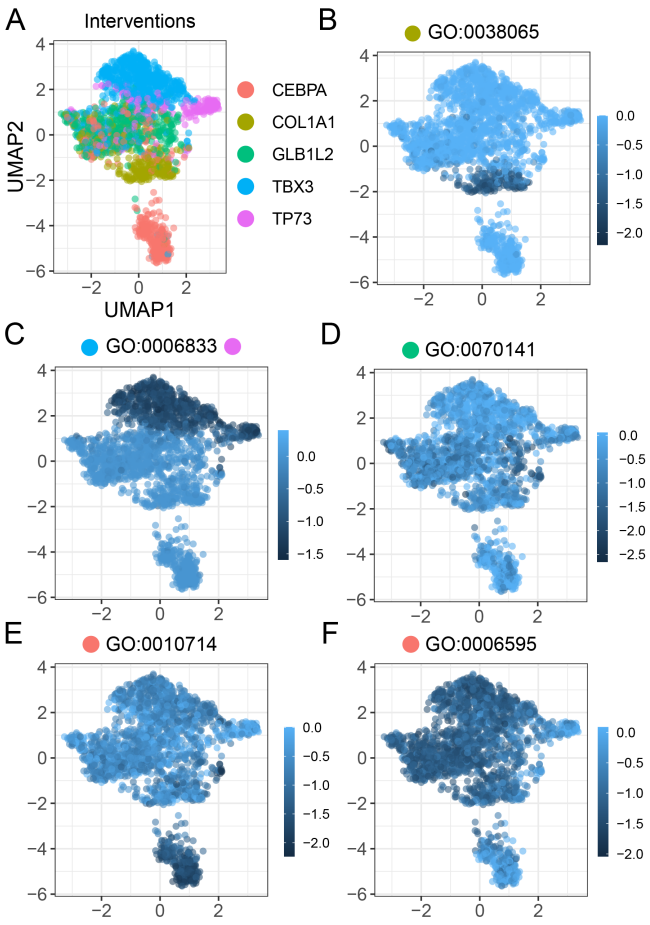

Figure 4: **DA score analysis of top 6 most significant (knockout, gene set) pairs**. **A**. UMAP components for all intervened cells (gene expression) across the KO genes presenting the 6 largest DA scores. **B-F**. UMAP cells from **A** colored by the differential activation score on each cell across genes within each evaluated gene set.

We provide **SENA**-discrepancy-VAE as a Python package ready to use, plus the data and code for results reproducibility at https://github.com/ML4BM-Lab/SENA.

REFERENCES

Kartik Ahuja, Divyat Mahajan, Yixin Wang, and Yoshua Bengio. Interventional causal representation learning. In *International conference on machine learning*, pp. 372–407. PMLR, 2023.

Chinchusha Anasooya Shaji, Bobby D Robinson, Antonia Yeager, Madhava R Beeram, Matthew L Davis, Claire L Isbell, Jason H Huang, and Binu Tharakan. The tri-phasic role of hydrogen peroxide in blood-brain barrier endothelial cells. *Scientific reports*, 9(1):133, 2019.

Michael Ashburner, Catherine A Ball, Judith A Blake, David Botstein, Heather Butler, J Michael Cherry, Allan P Davis, Kara Dolinski, Selina S Dwight, Janan T Eppig, et al. Gene ontology: tool for the unification of biology. *Nature genetics*, 25(1):25–29, 2000.

Florian Charlier, Marc Weber, Dariusz Izak, Emerson Harkin, Marcin Magnus, Joseph Lalli, Louison Fresnais, Matt Chan, Nikolay Markov, Oren Amsalem, Sebastian Proost, Agamemnon Krasoulis, getzze, and Stefan Repplinger. Statannotations, October 2022. URL https://doi.org/10.5281/zenodo.7213391.

Yongin Choi, Ruoxin Li, and Gerald Quon. sivae: interpretable deep generative models for single-cell transcriptomes. *Genome biology*, 24(1):29, 2023.

Haotian Cui, Chloe Wang, Hassaan Maan, Kuan Pang, Fengning Luo, Nan Duan, and Bo Wang. scgpt: toward building a foundation model for single-cell multi-omics using generative ai. *Nature Methods*, pp. 1–11, 2024.

Atray Dixit, Oren Parnas, Biyu Li, Jenny Chen, Charles P Fulco, Livnat Jerby-Arnon, Nemanja D Marjanovic, Danielle Dionne, Tyler Burks, Raktima Raychowdhury, et al. Perturb-seq: dissecting molecular circuits with scalable single-cell rna profiling of pooled genetic screens. *cell*, 167(7): 1853–1866, 2016.

Thomas Gaudelet, Alice Del Vecchio, Eli M Carrami, Juliana Cudini, Chantriolnt-Andreas Kapourani, Caroline Uhler, and Lindsay Edwards. Season combinatorial intervention predictions with salt & peper. *arXiv preprint arXiv:2404.16907*, 2024.

Luke A Gilbert, Max A Horlbeck, Britt Adamson, Jacqueline E Villalta, Yuwen Chen, Evan H Whitehead, Carla Guimaraes, Barbara Panning, Hidde L Ploegh, Michael C Bassik, et al. Genome-scale crispr-mediated control of gene repression and activation. *Cell*, 159(3):647–661, 2014.

Arthur Gretton, Karsten M. Borgwardt, Malte J. Rasch, Bernhard Schölkopf, and Alexander Smola. A kernel two-sample test. *Journal of Machine Learning Research*, 13(25):723–773, 2012. URL http://jmlr.org/papers/v13/gretton12a.html.

Gilles Gut, Stefan G Stark, Gunnar Rätsch, and Natalie R Davidson. Pmvae: Learning interpretable single-cell representations with pathway modules. *bioRxiv*, pp. 2021–01, 2021.

Minoru Kanehisa and Susumu Goto. Kegg: kyoto encyclopedia of genes and genomes. *Nucleic acids research*, 28(1):27–30, 2000.

Diederik P. Kingma and Max Welling. Auto-Encoding Variational Bayes. In *2nd International Conference on Learning Representations, ICLR 2014, Banff, AB, Canada, April 14-16, 2014, Conference Track Proceedings*, 2014.

Russell Z. Kunes, Thomas Walle, Max Land, Tal Nawy, and Dana Pe'er. Supervised discovery of interpretable gene programs from single-cell data. *Nature Biotechnology*, 42(7):1084–1095, Jul 2024. ISSN 1546-1696. doi: 10.1038/s41587-023-01940-3. URL https://doi.org/10.1038/s41587-023-01940-3.

Romain Lopez, Jeffrey Regier, Michael B Cole, Michael I Jordan, and Nir Yosef. Deep generative modeling for single-cell transcriptomics. *Nature Methods*, 15(12):1053–1058, 2018.

Romain Lopez, Natasa Tagasovska, Stephen Ra, Kyunghyun Cho, Jonathan Pritchard, and Aviv Regev. Learning causal representations of single cells via sparse mechanism shift modeling. In *Conference on Causal Learning and Reasoning*, pp. 662–691. PMLR, 2023.

Mohammad Lotfollahi, Felix A Wolf, and Fabian J Theis. scGen predicts single-cell perturbation responses. *Nature Methods*, 16(8):715–721, 2019.

Mohammad Lotfollahi, Sergei Rybakov, Karin Hrovatin, Soroor Hediyeh-zadeh, Carlos Talavera-López, Alexander V. Misharin, and Fabian J. Theis. Biologically informed deep learning to query gene programs in single-cell atlases. *Nature Cell Biology*, 25(2):337–350, Feb 2023. ISSN 1476-4679. doi: 10.1038/s41556-022-01072-x. URL https://doi.org/10.1038/s41556-022-01072-x.

Soha Mcheik, Leticia Aptecar, Peter Coopman, Véronique D'Hondt, and Gilles Freiss. Dual role of the ptpn13 tyrosine phosphatase in cancer. *Biomolecules*, 10(12), 2020. ISSN 2218-273X. doi: 10.3390/biom10121659. URL https://www.mdpi.com/2218-273X/10/12/1659.

Seyednami Niyakan, Xihaier Luo, Byung-Jun Yoon, and Xiaoning Qian. Biologically interpretable vae with supervision for transcriptomics data under ordinal perturbations. *bioRxiv*, pp. 2024–03, 2024.

Paul G Noble, Jack P Antel, and Voon Wee Yong. Astrocytes and catalase prevent the toxicity of catecholamines to oligodendrocytes. *Brain research*, 633(1-2):83–90, 1994.

Thomas M Norman, Max A Horlbeck, Joseph M Replogle, Alex Y Ge, Albert Xu, Marco Jost, Luke A Gilbert, and Jonathan S Weissman. Exploring genetic interaction manifolds constructed from rich single-cell phenotypes. *Science*, 365(6455):786–793, 2019.

Nick Pawlowski, Daniel C Castro, and Ben Glocker. Deep structural causal models for tractable counterfactual inference. In *Advances in Neural Information Processing Systems 33 (NeurIPS 2020)*, 2020. doi: 10.5555/3495724.3495797. URL https://dl.acm.org/doi/10.5555/3495724.3495797.

Judea Pearl. Causal inference in statistics: An overview. *Statistics Surveys*, 2009.

J. Michael Reed, Benjamin E. Wolfe, and L. Michael Romero. Is resilience a unifying concept for the biological sciences? *iScience*, 27(5):109478, 2024. ISSN 2589-0042. doi: https://doi.org/10.1016/j.isci.2024.109478. URL https://www.sciencedirect.com/science/article/pii/S2589004224006990.

Yusuf Roohani, Kexin Huang, and Jure Leskovec. Predicting transcriptional outcomes of novel multigene perturbations with gears. *Nature Biotechnology*, 42(6):927–935, 2024.

Carlos Ruiz-Arenas, Irene Marín-Goñi, Liewei Wang, Idoia Ochoa, Luis A Pérez-Jurado, and Mikel Hernaez. NetActivity enhances transcriptional signals by combining gene expression into robust gene set activity scores through interpretable autoencoders. *Nucleic Acids Res.*, 52(9):e44, May 2024a.

Carlos Ruiz-Arenas, Irene Marín-Goñi, Liewei Wang, Idoia Ochoa, Luis A Pérez-Jurado, and Mikel Hernaez. Netactivity enhances transcriptional signals by combining gene expression into robust gene set activity scores through interpretable autoencoders. *Nucleic Acids Research*, 52(9):e44–e44, 2024b.

Bernhard Schölkopf, Francesco Locatello, Stefan Bauer, Nan Rosemary Ke, Nal Kalchbrenner, Anirudh Goyal, and Yoshua Bengio. Toward causal representation learning. *Proceedings of the IEEE*, 109(5):612–634, 2021.

Lucas Seninge, Ioannis Anastopoulos, Hongxu Ding, and Joshua Stuart. Vega is an interpretable generative model for inferring biological network activity in single-cell transcriptomics. *Nature communications*, 12(1):5684, 2021.

András Seregi, Péter Serfözö, Zsuzsanna Mergl, and András Schaefer. On the mechanism of the involvement of monoamine oxidase in catecholamine-stimulated prostaglandin biosynthesis in particulate fraction of rat brain homogenates: role of hydrogen peroxide. *Journal of Neurochemistry*, 38(1):20–27, 1982.

James R Stone and Tucker Collins. The role of hydrogen peroxide in endothelial proliferative responses. *Endothelium*, 9(4):231–238, 2002.

Alejandro Tejada-Lapuerta, Paul Bertin, Stefan Bauer, Hananeh Aliee, Yoshua Bengio, and Fabian J. Theis. Causal machine learning for single-cell genomics, 2023. URL https://arxiv.org/abs/2310.14935.

Korneel Vandenbroucke, Steven Robbens, Klaas Vandepoele, Dirk Inzé, Yves Van de Peer, and Frank Van Breusegem. Hydrogen peroxide-induced gene expression across kingdoms: a comparative analysis. *Mol. Biol. Evol.*, 25(3):507–516, March 2008.

Hans Hermann Wessels, Alejandro Méndez-Mancilla, Yuhan Hao, Efthymia Papalexi, William M. Mauck, Lu Lu, John A. Morris, Eleni P. Mimitou, Peter Smibert, Neville E. Sanjana, and Rahul Satija. Efficient combinatorial targeting of rna transcripts in single cells with cas13 rna perturb-seq. *Nature methods*, 20:86, 1 2022. ISSN 15487105. doi: 10.1038/S41592-022-01705-X. URL https://pmc.ncbi.nlm.nih.gov/articles/PMC10030154/.

F. Alexander Wolf, Philipp Angerer, and Fabian J. Theis. Scanpy: large-scale single-cell gene expression data analysis. *Genome Biology*, 19(1), February 2018. ISSN 1474-760X. doi: 10.1186/s13059-017-1382-0. URL http://dx.doi.org/10.1186/s13059-017-1382-0.

Jiaqi Zhang, Kristjan Greenewald, Chandler Squires, Akash Srivastava, Karthikeyan Shanmugam, and Caroline Uhler. Identifiability guarantees for causal disentanglement from soft interventions. *Advances in Neural Information Processing Systems*, 36, 2024.

Yifan Zhao, Huiyu Cai, Zuobai Zhang, Jian Tang, and Yue Li. Learning interpretable cellular and gene signature embeddings from single-cell transcriptomic data. *Nature communications*, 12(1): 5261, 2021.

APPENDIX

I INTERPRETABILITY OF LATENT FACTORS AND CAUSAL GRAPH THROUGH OUR PROPOSED SPARSE LAYER

In the variational autoencoder proposed at Zhang et al. (2024), the exogenous variable Z_j is sampled from a normal distribution, where the mean and standard deviation of this distribution is defined by the fully connected layers in their encoder. In the proposed **SENA**-discrepancy-VAE (Fig. 1), the mean and standard deviation will be a linear combination of pathway activities $\boldsymbol{\alpha}$, weighted by the parameters $\boldsymbol{\delta}_j^{(\mu)}$, $\boldsymbol{\delta}_j^{(\sigma)}$, learned by the corresponding MLPs. Thus,

$$\mu_j = \boldsymbol{\alpha}^T \boldsymbol{\delta}_j^{(\mu)}, \quad \sigma_j^2 = \boldsymbol{\alpha}^T \boldsymbol{\delta}_j^{(\sigma)} \tag{8}$$

which then define the meta-pathway activities z_j as $z_j \sim \mathcal{N}(\mu_j, \sigma_j^2)$. This allows the expectation of Z_j to be interpretable, as

$$\mathbb{E}(Z_j) = \mu_j = \boldsymbol{\alpha}^T \boldsymbol{\delta}_j^{(\mu)} \tag{9}$$

However, we would also like this interpretablity to hold when going from the exogenous variables (the meta-pathway activities) to the causal factors U (causal pathway archetypes). The latter are defined as $U = Z^T \cdot (I - A)^{-1}$, where

$$L \triangleq (I - A)^{-1} = \sum_{l=0}^{\infty} A^l = (I + A + A^2 + \cdots + A^K),$$

according to the Neumann series, and given that A represents the adjacency matrix of a Direct Acyclic Graph, with L being the largest path (hence, $A^k = 0,\ k = K+1, \ldots$). Here A defines the causal relationships in the latent space. Therefore, the j-th causal factor can be expressed as

$$U_j = Z^T \cdot L_j,$$

where L_j is the jth column of L, and encodes the number of path of at most length K that ends at node j in the causal graph. Hence, the expectation of the causal factor U_j is given by

$$\begin{aligned}
\mathbb{E}(U_j) &= \mathbb{E}(Z^T \cdot L_j) \\
&= \mathbb{E}(Z^T) \cdot L_j \\
&= \boldsymbol{\mu} \cdot L_j && (10) \\
&= \boldsymbol{\alpha}^T \cdot \boldsymbol{\Delta}^{(\mu)} \cdot L_j && (11) \\
&= \boldsymbol{\alpha}^T \cdot \tilde{\boldsymbol{\delta}}_j^{(\mu)},
\end{aligned}$$

where Eq. (10) and Eq. (11) from Eq. (9), and $\boldsymbol{\Delta}^{(\mu)}$ is the (learned) linear mapping between the pathway activities $\boldsymbol{\alpha}$ and the meta-pathway activities Z. Thus, $\tilde{\boldsymbol{\delta}}_j^{(\mu)}$ (linearly) maps the causal latent factors with the pathway activity scores through the learnt causal structure L_j, providing the mechanism for the interpretation of the latent factors, termed in our work as the pathway archetype activities.

II Ablation study on Norman2019 - Figures and Tables

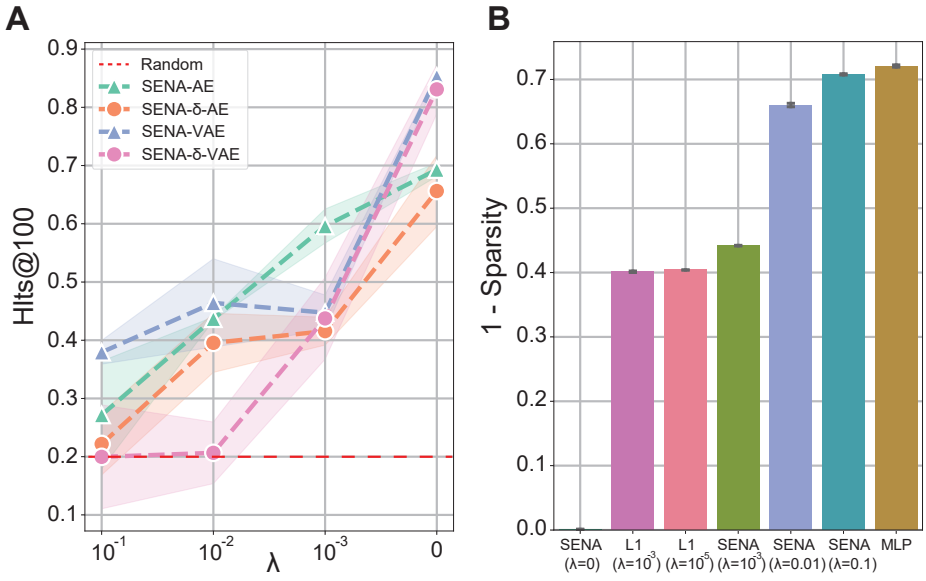

Figure 5: **Ablation studies**. **A**. Percentage of affected gene sets in the top 100 DA BPs for several **SENA**-based architectures and λ values. **B**. Sparsity evaluation according to Eq.6.

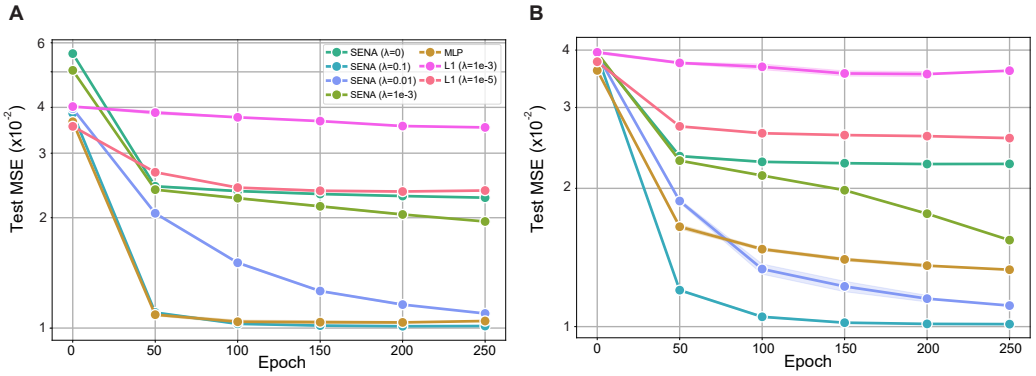

Figure 6: **Ablation studies for AE-type architecture**. Test MSE evaluation for AE-based architectures for **SENA** (**A**) and **SENA**-δ (**B**) encoders.

Table 3: AE and VAE-based evaluation for **SENA**-δ across 5 seeds. Models are sorted by sparsity.

Method	**AE-based**	**VAE-based**	
	Test MSE ($\times 10^{-2}$)	**Test MSE** ($\times 10^{-2}$)	**Test** D_{KL} ($\times 10^{-4}$)
SENA-$\delta_{\lambda=0}$	2.252 ± 0.011	3.951 ± 0.012	0.007 ± 0.009
$\ell_{1,\lambda=10^{-3}}$	3.537 ± 0.098	$\mathbf{3.944 \pm 0.007}$	5.742 ± 3.772
$\ell_{1,\lambda=10^{-5}}$	2.581 ± 0.011	3.970 ± 0.006	5.351 ± 6.089
SENA-$\delta_{\lambda=10^{-3}}$	1.552 ± 0.012	3.973 ± 0.004	$\mathbf{0.001 \pm 0.001}$
SENA-$\delta_{\lambda=0.01}$	1.096 ± 0.020	3.969 ± 0.027	0.024 ± 0.005
SENA-$\delta_{\lambda=0.1}$	$\mathbf{1.012 \pm 0.000}$	3.966 ± 0.010	0.326 ± 0.114
MLP	1.350 ± 0.029	3.954 ± 0.029	3.816 ± 1.331

Table 2: AE and VAE-based evaluation for **SENA** across 5 seeds. Methods are sorted by sparsity.

Method	**AE-based**	**VAE-based**	
	Test MSE ($\times 10^{-2}$)	**Test MSE** ($\times 10^{-2}$)	**Test** $\mathrm{D_{KL}}$ ($\times 10^{-4}$)
SENA$_{\lambda=0}$	2.279 ± 0.015	3.962 ± 0.005	13.869 ± 0.450
$\ell_{1,\lambda=10^{-3}}$	3.526 ± 0.000	3.954 ± 0.021	5.016 ± 4.120
$\ell_{1,\lambda=10^{-5}}$	2.352 ± 0.028	3.951 ± 0.005	$\mathbf{1.915 \pm 0.127}$
SENA$_{\lambda=10^{-3}}$	1.936 ± 0.023	3.967 ± 0.023	15.211 ± 7.351
SENA$_{\lambda=0.01}$	1.103 ± 0.010	$\mathbf{3.938 \pm 0.022}$	15.978 ± 9.905
SENA$_{\lambda=0.1}$	$\mathbf{1.009 \pm 0.004}$	3.951 ± 0.009	12.492 ± 3.054
MLP	1.036 ± 0.012	3.962 ± 0.019	3.872 ± 0.225

III Evaluation of SENA on the Wessels dataset

We included a second large-scale Perturb-seq dataset based on CRISPR-cas13 which aims at efficiently targeting multiple genes for combinatorial perturbations Wessels et al. (2022). This technique, termed CaRPool-seq, encodes multiple perturbations on a cleavable CRISPR array that is associated with a detectable barcode sequence. CaRPool-seq was applied to THP1 cells, an acute myeloid leukemia (AML) model system, to perform combinatorial perturbations of myeloid differentiation regulators and identify their impact on AML differentiation phenotypes.

The perturbations include 28 single perturbations, 26 regulator genes and two negative control genes, as well as 158 double-gene perturbations. We performed standard preprocessing for single cell data (filtering, normalization, and log-transformation [31]), yielding to a total of 424 unperturbed cells (controls), 3036 cells under the 28 single-gene perturbations, and 17592 cells with double-gene perturbations. Pseudo-bulk expression profiles are then obtained by adding gene expression of cells sharing the same perturbation. The resulting profiles are then visualized as UMAP landscape. The same procedure was performed for the Norman2019 dataset (Fig. 7 A and B). Marker genes are then obtained using the Wilcoxon test to contrast the cells from each perturbation with the remaining cells as implemented in Seurat's `FindAllMarkers`, requiring an adjusted p-value < 0.001 and log-fold change > 2.

The Wessel2023 study focused on perturbing myeloid differentiation regulators Wessels et al. (2022). This resulted in all perturbations having similar effects at the transcriptomics levels, as shown in Fig. 7: while in the Norman2019 datasets cells affected by different perturbations tend to cluster separately (panel A), most of the interventions in Wessel2023 are grouped together (panel B), indicating similar profiles. Moreover, the number of genes that are differentially expressed following a perturbation is generally lower in the Wessel2023 study than in Norman2019 (Fig. 7 C and D), indicating that overall the perturbations in the Wessel2023 data had a more limited effect.

The peculiarities of the Wessel2023 data lead to a notable results: both the **SENA**-discrepancy-VAE and the discrepancy-VAE consistently assign all single-gene perturbations to a single latent factor. This can be interpreted as the models recognizing that all single-gene perturbations have similar effects.

In terms of predictive capabilities (Table 4), we observe that MMD performances on the double perturbations are comparable only when considering higher values of the λ parameter. This indicates that for this dataset, introducing interpretability in addition to representational capabilities is more difficult, possibly due to the peculiarities of the performed experiments.

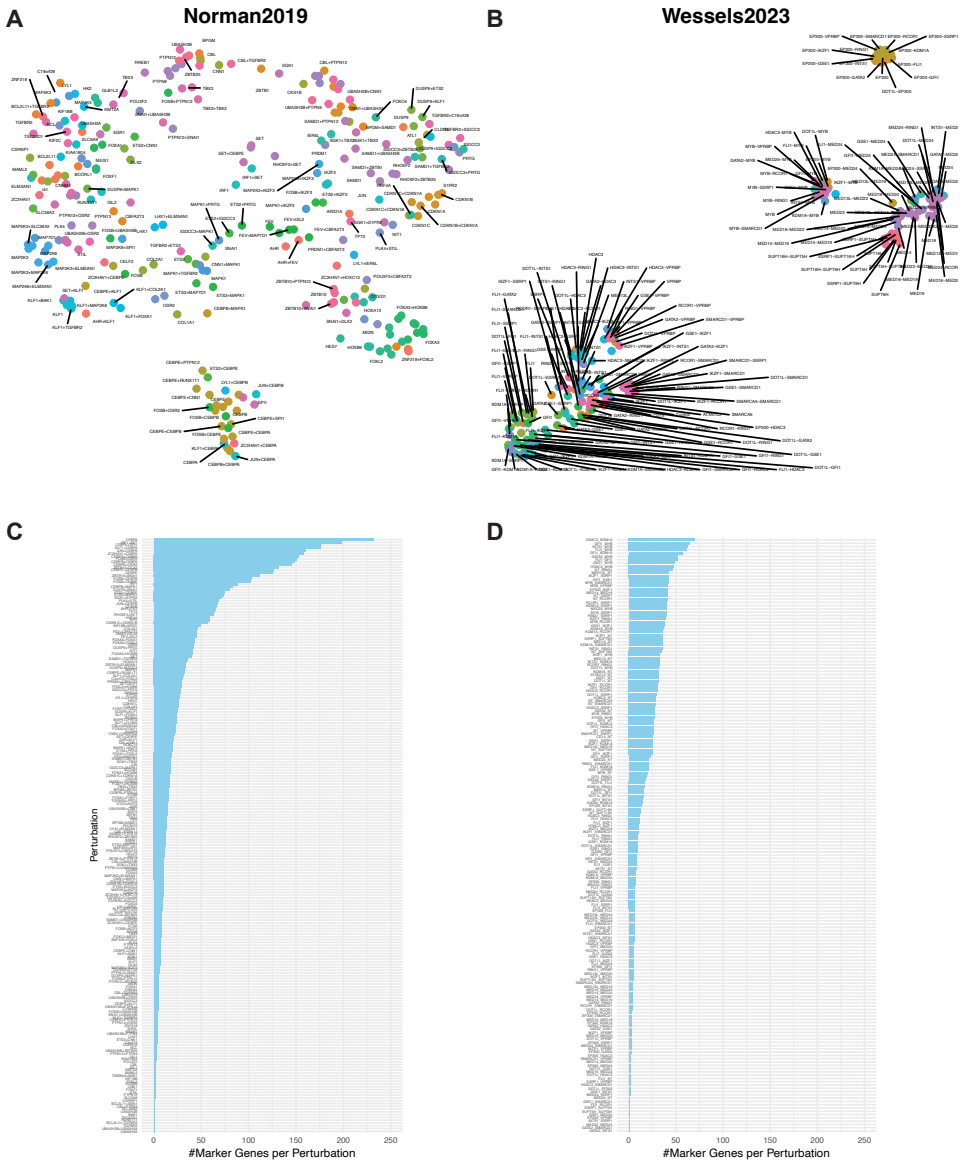

Figure 7: **Comparison of Norman and Wessels Perturb-Seq datasets**. (**A**) UMAP representation of single-gene and combinatorial perturbations captured in the Norman2019 dataset. Each point represents the pseudo-bulk expression profile of a genetic perturbation. (**B**) UMAP representation of the Wessels2023 dataset similar to A.(**C**) Number of marker genes (differentially expressed genes with p_{adj}<0.001, average LFC > 2) per perturbation in the Norman2019 dataset. (**D**) Number of marker genes per perturbation in the Wessels2023 dataset.

Table 4: Performance comparison between SENA-discrepancy-VAE and discrepancy-VAE on the Wessel2023 dataset across different lambda values and latent factors for double perturbation samples. Note that KLD and L1 losses are not dependent on the samples, but computed after the training process is finished.

Encoder	Metric	Latent Dimension		
		50	**28**	**14**
Original MLP	MMD↓	**0.1578**	0.1748	0.1966
	MSE↓	**0.0773**	0.0895	0.0977
	KLD↓	0.0123	0.0136	0.0158
	L1↓	0.0057	0.0022	0.0019
SENA-$\delta_{\lambda=0}$	MMD↓	0.5706	0.4097	0.8219
	MSE↓	0.0998	0.0983	0.1102
	KLD↓	**0.0016**	0.0026	0.0030
	L1↓	0.0157	0.0026	0.0018
SENA-$\delta_{\lambda=0.1}$	MMD↓	0.3086	0.5840	0.3698
	MSE↓	0.1039	0.1018	0.1050
	KLD↓	0.0039	0.0048	0.0067
	L1↓	0.0067	0.0035	**0.0011**

IV ADDITIONAL MATERIALS ON NORMAN2019 DATASET

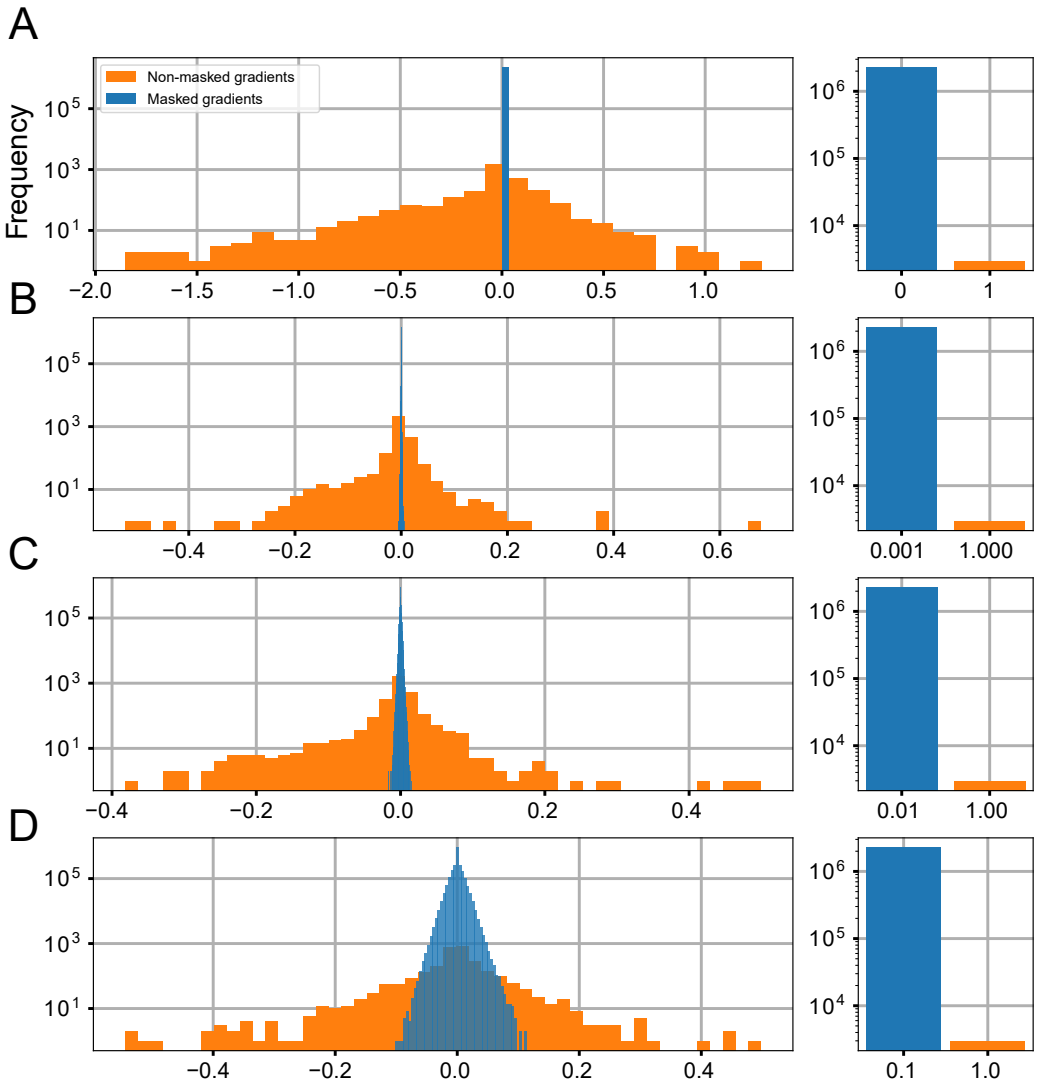

Figure 8: **SENA layer analysis**. Masked and non-masked gradients for **SENA**-discrepancy-VAE at the output of the **SENA** layer, for λ values of 0 (**A**), 10^{-3} (**B**), 0.01 (**C**) and 0.1 (**D**). Barplot showing matrix M values is depicted next to each histogram.

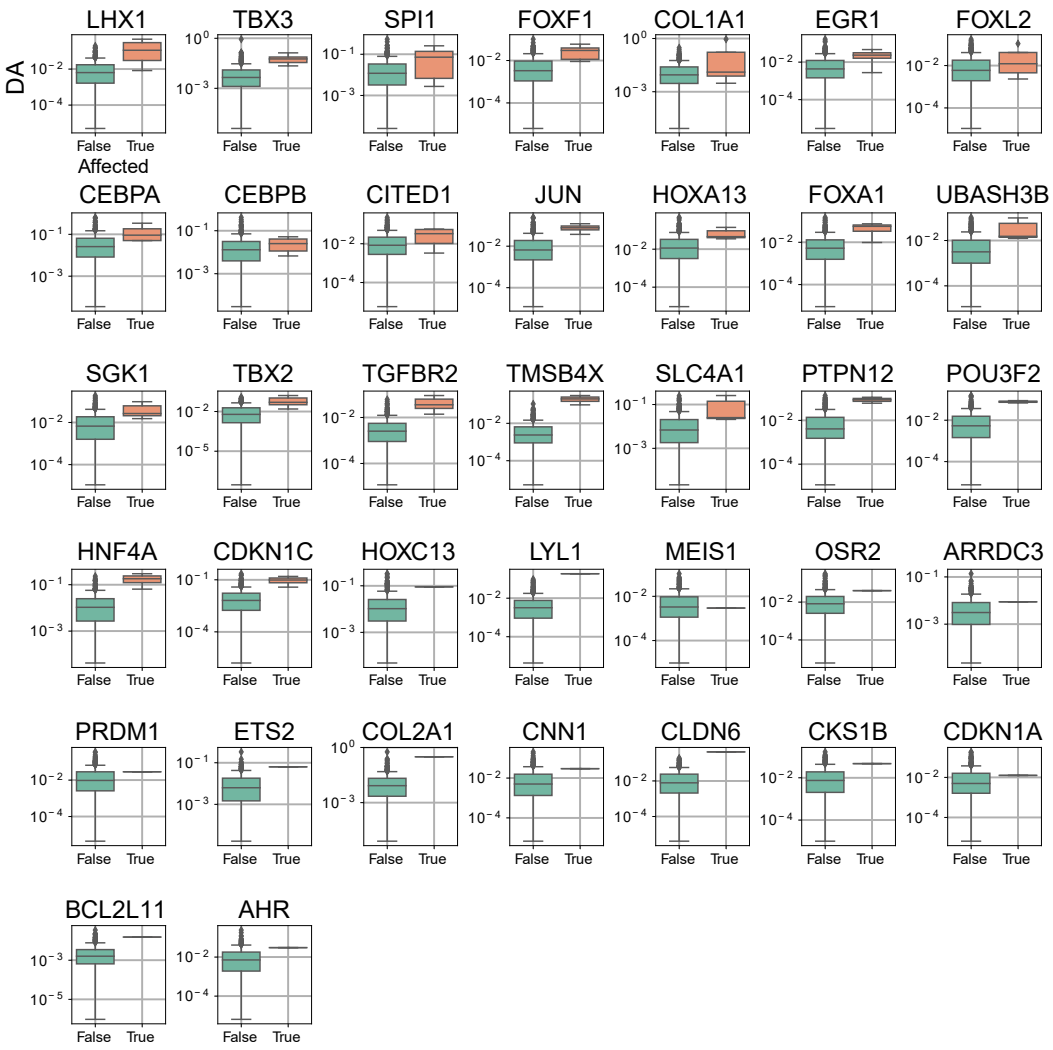

Figure 9: **Differential Activation Scores analysis**. DA score analysis for targeted and non-affected BPs along the 37 single-gene perturbations present in the input gene expression matrix.

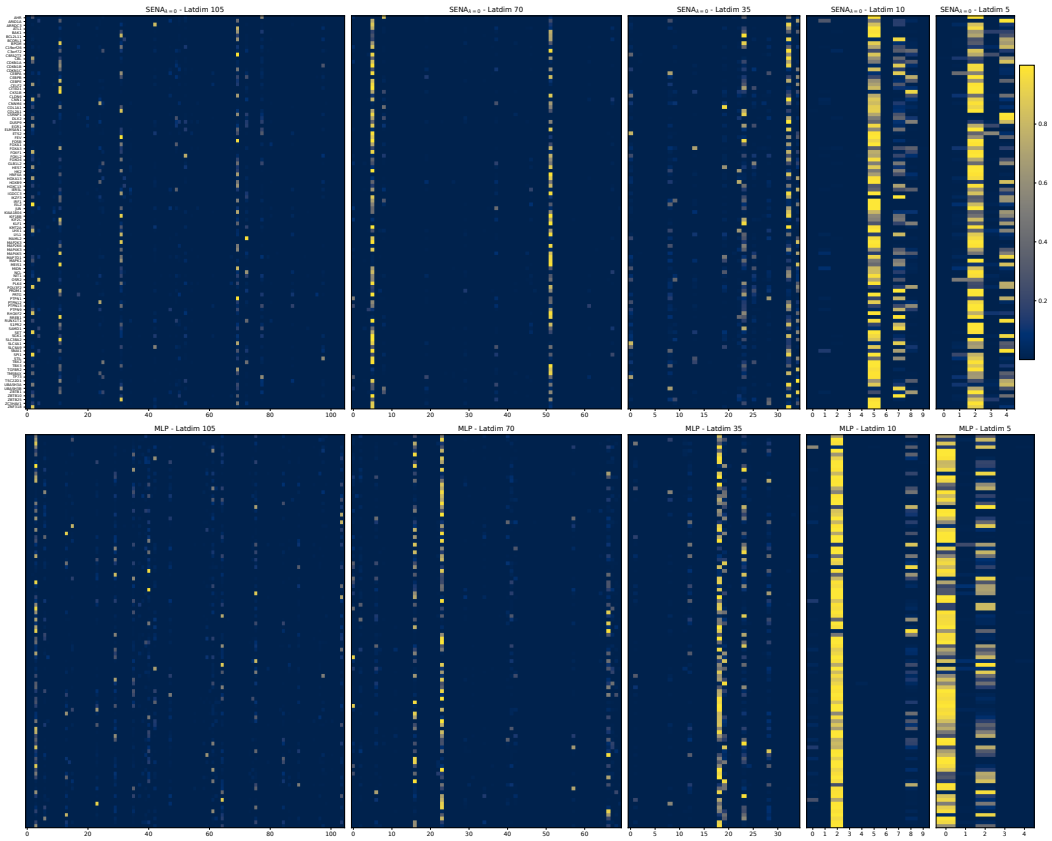

Figure 10: **Mapping between latent factors and perturbations genes**. Mapping distribution of knocked out genes and latent factors, from **SENA**-discrepancy-VAE ($\lambda = 0$) and discrepancy-VAE (MLP encoder) for several values of latent dimensions {105, 70, 35, 10, 5}. We generated this mapping from the interventional encoder according to $h = \mathrm{Softmax}\left(\mathrm{Linear}\left(\mathrm{LeakyReLU}\left(\mathrm{Linear}(c)\right)\right) \times temp\right)$, where c is the one-hot encoding vector for each perturbation and $temp$ was set to 100 as recommended for inference in the original manuscript.

Table 5: **Causal graph (Fig. 2) details**. Each row lists a latent factor, the number of targeted perturbations, and associated biological processes within it.

Latent Factor	Targeting Perturbations	Biological Processes
41	41	57
65	6	10
2	18	14
53	25	10
69	1	1
15	1	1
12	9	10

Table 6: **Top 6 (knockout, gene set) pairs according to DA score. Fig. 4 details)**. DA scores for selected genes and associated GO terms.

Gene	GO Term	DA Score
COL1A1	GO:0038065	0.92
TBX3	GO:0006833	0.88
GLB1L2	GO:0070141	0.71
TP73	GO:0006833	0.69
CEBPA	GO:0010714	0.65
CEBPA	GO:0006595	0.60

Table 7: Mapping between BP and latent factors.

Latent Factor	GO ID	GO Term
2	GO:2000352	negative regulation of endothelial cell apoptotic process
2	GO:0010944	negative regulation of transcription by competitive promoter binding
2	GO:1904292	regulation of ERAD pathway
2	GO:0060216	definitive hemopoiesis
2	GO:0048557	embryonic digestive tract morphogenesis
2	GO:0071549	cellular response to dexamethasone stimulus
2	GO:0055023	positive regulation of cardiac muscle tissue growth
2	GO:0071243	cellular response to arsenic-containing substance
2	GO:0006067	ethanol metabolic process
2	GO:0099188	postsynaptic cytoskeleton organization
2	GO:0006833	water transport
2	GO:0045723	positive regulation of fatty acid biosynthetic process
2	GO:2001279	regulation of unsaturated fatty acid biosynthetic process
2	GO:0018904	ether metabolic process
12	GO:0070141	response to UV-A
12	GO:0060512	prostate gland morphogenesis
12	GO:1903902	positive regulation of viral life cycle
12	GO:0014821	phasic smooth muscle contraction
12	GO:0006359	regulation of transcription by RNA polymerase III
12	GO:0030852	regulation of granulocyte differentiation
12	GO:0044849	estrous cycle
12	GO:0045737	positive regulation of cyclin-dependent protein serine/threonine kinase activity
12	GO:1903319	positive regulation of protein maturation
12	GO:2000010	positive regulation of protein localization to cell surface
15	GO:0050665	hydrogen peroxide biosynthetic process
41	GO:0010226	response to lithium ion
41	GO:0002693	positive regulation of cellular extravasation
41	GO:0045654	positive regulation of megakaryocyte differentiation
41	GO:0060065	uterus development
41	GO:1902042	negative regulation of extrinsic apoptotic signaling pathway via death domain receptors
41	GO:0000305	response to oxygen radical
41	GO:0001915	negative regulation of T cell mediated cytotoxicity
41	GO:0002281	macrophage activation involved in immune response
41	GO:0002357	defense response to tumor cell
41	GO:0006595	polyamine metabolic process
41	GO:0006921	cellular component disassembly involved in execution phase of apoptosis
41	GO:0006957	complement activation, alternative pathway
41	GO:0010714	positive regulation of collagen metabolic process
41	GO:0010829	negative regulation of glucose transmembrane transport
41	GO:0014912	negative regulation of smooth muscle cell migration
41	GO:0019835	cytolysis
41	GO:0030449	regulation of complement activation
41	GO:0032703	negative regulation of interleukin-2 production
41	GO:0032905	transforming growth factor beta1 production
41	GO:0033005	positive regulation of mast cell activation
41	GO:0039532	negative regulation of cytoplasmic pattern recognition receptor signaling pathway
41	GO:0044342	type B pancreatic cell proliferation
41	GO:0044406	adhesion of symbiont to host
41	GO:0045056	transcytosis
41	GO:0045663	positive regulation of myoblast differentiation
41	GO:0050849	negative regulation of calcium-mediated signaling
41	GO:0051764	actin crosslink formation
41	GO:0061450	trophoblast cell migration
41	GO:0070102	interleukin-6-mediated signaling pathway
41	GO:0070486	leukocyte aggregation
41	GO:0071391	cellular response to estrogen stimulus

Table 8: Mapping between BP and latent factors (Cont.).

Latent Factor	GO ID	GO Term
41	GO:0097202	activation of cysteine-type endopeptidase activity
41	GO:0099515	actin filament-based transport
41	GO:0140374	antiviral innate immune response
41	GO:1900120	regulation of receptor binding
41	GO:1900745	positive regulation of p38MAPK cascade
41	GO:1902307	positive regulation of sodium ion transmembrane transport
41	GO:2000508	regulation of dendritic cell chemotaxis
41	GO:0020027	hemoglobin metabolic process
41	GO:0002227	innate immune response in mucosa
41	GO:0034505	tooth mineralization
41	GO:0038065	collagen-activated signaling pathway
41	GO:0001958	endochondral ossification
41	GO:0034389	lipid droplet organization
41	GO:0010715	regulation of extracellular matrix disassembly
41	GO:0051131	chaperone-mediated protein complex assembly
41	GO:0060384	innervation
41	GO:0061684	chaperone-mediated autophagy
41	GO:0055091	phospholipid homeostasis
41	GO:0060742	epithelial cell differentiation involved in prostate gland development
41	GO:0097066	response to thyroid hormone
41	GO:0042976	activation of Janus kinase activity
41	GO:0061323	cell proliferation involved in heart morphogenesis
41	GO:0002713	negative regulation of B cell mediated immunity
41	GO:0051238	sequestering of metal ion
41	GO:0062098	regulation of programmed necrotic cell death
41	GO:2000479	regulation of cAMP-dependent protein kinase activity
53	GO:0016338	calcium-independent cell-cell adhesion via plasma membrane cell-adhesion molecules
53	GO:0009713	catechol-containing compound biosynthetic process
53	GO:0032060	bleb assembly
53	GO:0036035	osteoclast development
53	GO:0007190	activation of adenylate cyclase activity
53	GO:0009065	glutamine family amino acid catabolic process
53	GO:0035767	endothelial cell chemotaxis
53	GO:2000678	negative regulation of transcription regulatory region DNA binding
53	GO:0043032	positive regulation of macrophage activation
53	GO:0060749	mammary gland alveolus development
65	GO:0021516	dorsal spinal cord development
65	GO:0017014	protein nitrosylation
65	GO:0010842	retina layer formation
65	GO:0030540	female genitalia development
65	GO:0042759	long-chain fatty acid biosynthetic process
65	GO:2000696	regulation of epithelial cell differentiation involved in kidney development
65	GO:0015701	bicarbonate transport
65	GO:0034638	phosphatidylcholine catabolic process
65	GO:0048535	lymph node development
65	GO:0050995	negative regulation of lipid catabolic process
69	GO:0001886	endothelial cell morphogenesis